# Karthik Sridharan

Contact

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Research Interests Machine Learning, Statistical Learning Theory, Online Learning and Decision Making, Optimization, Empirical Process Theory, Concentration Inequalities, Game Theory

# Education

Ph.D., Computer Science, Sep 2006 - Oct 2011

- Institute: Toyota Technological Institute at Chicago
- Advisor: Nathan Srebro
- Area of Study: Theoretical Machine Learning

M.S., Computer Science, Aug 2004 - Jun 2006

- Institute: University at Buffalo, State University of New York
- Advisor: Venu Govindaraju
- Area of Study: Biomtrics/Applied Machine Learning

B.E., Computer Science and Engineering, Aug 2000 - Jun 2004

• Institute: M.S. Ramaiah Institute of Technology, Bangalore, India

# Work Experience

# Associate Professor, (2020-current)

 $\bullet$  Department : Computer Science

• Institute : Cornell University

# Assistant Professor, (2014-2019)

• Department : Computer Science

• Institute : Cornell University

# Postdoctoral Research Scholar, (Nov 2011 to 2014)

• Institute : Department of Statistics, University of Pennsylvania

• Supervisor : Prof. Alexander Rakhlin , co-supervisor : Prof. Michael Kearns

# Internship, Summer'09

- Institute: Microsoft Research, Redmond
- Mentor : Ofer Dekel
- Projects: Robust selective sampling from single and multiple teachers

# Research Assistant, Sep 2004 - Jun 2006

- Institute: Center for Unified Biometrics and Sensors, SUNY Buffalo
- Mentor : Venu Govindaraju
- Projects: Semantic Face Retrieval, Facial Expression Recognition and Analysis

# Grants, Fellowships, Awards

Student Best Paper Award - Conference on Learning Theory (COLT), 2019

Best Paper Award - Algorithmic Learning Theory (ALT), 2019

Student Best Paper Award - Conference on Learning Theory (COLT), 2018

Linkedin Research Award- 2022

Alfred P. Sloan Research Fellow in Computer Science, 2018

**NSF CAREER Award**, CAREER: New Paradigms for Online Machine Learning, Award Number:1750575, Robust Intelligence, March 2018.

Simons-Berkeley Research Fellowship, long-term visitor, Foundations of ML, Spring'16

NSF (DMS-1521529) Collaborative Research: Novel Computational and Statistical Approaches to Prediction and Estimation (co-PI with A. Rakhlin), CDS&E-MSS, Start: Aug, 2015

Best Paper Award - Conference on Learning Theory (COLT), 2011

Best Paper Award - Conference on Learning Theory (COLT), 2010

Best Paper Award (Second Prize) - IEEE Automatic Identification Advanced Technologies (AutoID), 2005

# Graduate Students

#### **Past Students:**

- Dylan J. Foster, PhD, Fall 2018. Currently Senior Researcher at Microsoft Research New England/NY
- Chuan Guo, PhD, Co-advised by Kilian Weinberger. Currently Researcher at Meta AI (FAIR)
- Ayush Sekhari, PhD, Co-advised by Robert Kleinberg. Currently postdoc at MIT

# Current Students:

- August Chen, PhD (optimization, sampling and generative AI)
- Linda Lu, PhD (machine unlearning)
- Wilson Yoo, PhD (safety in ML)

# Teaching Experience

## Spring 2024, Spring 2025

- Course: Introduction to Machine Learning (CS 3780/5780)
- Institution : Cornell University

## Spring 2022, Fall 2023

- Course: Mathematical Foundations of Machine Learning (CS 4783/5783)
- Institution : Cornell University

# Fall 2014, 2015, Spring 2018, Fall 2018, Fall 2019, Fall 2021, Fall 2022, Fall 2024

- Course: Machine Learning Theory (CS 6783)
- Institution: Cornell University

# Spring 2015, 2016, Fall 2016, 2017, Spring 2019, 2020

- Course: Machine Learning for Data Sciences (CS 4786/5786)
- Institution : Cornell University

# Spring 2012, 2014 (Co-Taught with Prof. Alexander Rakhlin)

- Course : Statistical Learning Theory and Sequential Prediction
- Institution : University of Pennsylvania

# Teaching Assistant, Winter 2011

- Course: Computational and Statistical Learning Theory
- Instructor : Nathan Srebro
- Institute: TTIC/ University of Chicago

# Teaching Assistant, Spring 2010

- $\bullet$  Course : Convex Optimization
- Instructor : Nathan Srebro
- Institute : TTIC/ University of Chicago

#### **Publications**

(other than publications marked by \* all others are listed by alphabetical order)

#### Conferences:

- 1. Selective Sampling and Imitation Learning via Online Regression Ayush Sekhari, Karthik Sridharan, Wen Sun, and Runzhe Wu Neural Information Processing Systems (NeurIPS), 2023
- Contextual Bandits and Imitation Learning via Preference-Based Active Queries Ayush Sekhari, Karthik Sridharan, Wen Sun, and Runzhe Wu Neural Information Processing Systems (NeurIPS), 2023
- 3. From Gradient Flow on Population Loss to Learning with Stochastic Gradient Descent Chris De Sa, Satyen Kale, Jason D. Lee, Ayush Sekhari, Karthik Sridharan Neural Information Processing Systems (NeurIPS), 2022
- 4. On the Complexity of Adversarial Decision Making Dylan J. Foster, Alexander Rakhlin, Ayush Sekhari, Karthik Sridharan Neural Information Processing Systems (NeurIPS), 2022

  (Oral)
- 5. Guarantees for Epsilon-Greedy Reinforcement Learning with Function Approximation Christoph Dann, Yishay Mansour, Mehryar Mohri, Ayush Sekhari, Karthik Sridharan International Conference on Machine Learning (ICML 2022). Short version at RLDM 2022 Reinforcement Learning and Decision Making conference.
- SGD: The role of Implicit Regularization, Batch-size and Multiple Epochs Satyen Kale, Ayush Sekhari, Karthik Sridharan Neural Information Processing Systems (NeurIPS), 2021
- Agnostic Reinforcement Learning with Low-Rank MDPs and Rich Observations Christoph Dann, Yishay Mansour, Mehryar Mohri, Ayush Sekhari, Karthik Sridharan Neural Information Processing Systems (NeurIPS), 2021
- 8. Online learning with dynamics: A minimax perspective Kush Bhatia, Karthik Sridharan Neural Information Processing Systems (NeurIPS), 2020
- 9. Reinforcement Learning with Feedback Graphs Christoph Dann, Yishay Mansour, Mehryar Mohri, Ayush Sekhari, Karthik Sridharan NeurIPS 2020. Short version at ICML 2020 Theoretical Foundations of RL workshop.
- 10. Second-Order Information in Non-Convex Stochastic Optimization: Power and Limitations Yossi Arjevani, Yair Carmon, John C Duchi, Dylan J Foster, Ayush Sekhari, Karthik Sridharan COLT 2020.
- Hypothesis Set Stability and Generalization Dylan J. Foster, Spencer Greenberg, Satyen Kale, Haipeng Luo, Mehryar Mohri, Karthik Sridharan Neural Information Processing System (NeurIPS 2019)
- 12. The Complexity of Making the Gradient Small in Stochastic Convex Optimization Dylan J. Foster, Ayush Sekhari, Ohad Shamir, Nathan Srebro, Karthik Sridharan, Blake Woodworth Conference on Learning Theory (COLT 2019) (Student Best paper award)
- 13. Distributed Learning with Sublinear Communication Jayadev Acharya, Christopher De Sa, Dylan J. Foster, Karthik Sridharan International Conference on Machine Learning (ICML 2019) (oral ≈ 4.5% acceptance).
- 14. Training Well-Generalizing Classifiers for Fairness Metrics and Other Data-Dependent Constraints Andrew Cotter, Maya Gupta, Heinrich Jiang, Nathan Srebro, Karthik Sridharan, Serena Wang, Blake Woodworth, Seungil You International Conference on Machine Learning (ICML 2019)
- 15. Two-Player Games for Efficient Non-Convex Constrained Optimization Andrew Cotter, Heinrich Jiang and Karthik Sridhara
  Algorithmic Learning Theory (ALT 2019) (Best paper award)
- 16. Uniform Convergence of Gradients for Non-Convex Learning and Optimization Dylan Foster, Ayush Sekhari, Karthik Sridharan Neural Information Processing System (NeurIPS 2018)
- 17. Online Learning: Sufficient Statistics and the Burkholder Method
  Dylan Foster, Alexander Rakhlin, Karthik Sridharan
  Conference on Learning Theory (COLT 2018)

#### 18. Logistic Regression: The Importance of Being Improper

Dylan Foster, Satyen Kale, Haipeng Luo, Mehryar Mohri, Karthik Sridharan Conference on Learning Theory (COLT 2018) (Student Best paper award)

#### 19. Small-loss bounds for online learning with partial information

Thodoris Lykouris, Karthik Sridharan, Eva Tardos Conference on Learning Theory (COLT 2018)

# 20. Inference in Sparse Graphs with Pairwise Measurements and Side Information

Dylan Foster, Daniel Reichman, Karthik Sridharan Artificial Intelligence and Statistics (AISTATS 2018)

# 21. Parameter-Free Online Learning via Model Selection

Dylan Foster, Satyen Kale, Mehryar Mohri, Karthik Sridharan Neural Information Processing Systems (NIPS 2017) (spotlight  $\approx 4.94\%$  acceptance)

## 22. ZIGZAG: A new approach to adaptive online learning

Dylan Foster, Alexander Rakhlin, Karthik Sridharan Conference on Learning Theory (COLT 2017)

# 23. On Equivalence of Martingale Tail Bounds and Deterministic Regret Inequalities

Alexander Rakhlin, Karthik Sridharan Conference on Learning Theory (COLT 2017)

# 24. Efficient Multiclass Prediction on Graphs via Surrogate Losses

Alexander Rakhlin, Karthik Sridharan Artificial Intelligence and Statistics (AISTATS 2017)

## 25. Learning in Games: Robustness of Fast Convergence

Dylan Foster, Zhiyuan Li, Thodoris Lykouris, Karthik Sridharan, Eva Tardos Neural Information Processing Systems (NIPS 2016)

# 26. Exploiting the Structure: Stochastic Gradient Methods Using Raw Clusters\*

Zeyuan Allen-Zhu\*, Yang Yuan\*, Karthik Sridharan Neural Information Processing Systems (NIPS 2016) (\* - main contributors)

## 27. BISTRO: An Efficient Relaxation-Based Method for Contextual Bandits

Alexander Rakhlin, Karthik Sridharan International Conference on Machine Learning (ICML 2016)

# 28. Differentially Private Causal Inference

Matt Kusner, Yu Sun, Karthik Sridharan, Kilian Weinberger Artificial Intelligence and Statistics (AISTATS 2015)

# 29. Adaptive Online Learning

Dylan Foster, Alexander Rakhlin, Karthik Sridharan Neural Information Processing Systems (NIPS 2015) (spotlight  $\approx 4.46\%$  acceptance)

#### 30. Hierarchies of Relaxations for Online Prediction Problems with Evolving Constraints

Alexander Rakhlin, Karthik Sridharan Conference on Learning Theory (COLT), 2015

#### 31. Learning with Square Loss: Localization through Offset Rademacher Complexity

Tengyuan Liang, Alexander Rakhlin, Karthik Sridharan Conference on Learning Theory (COLT), 2015

#### 32. Online Optimization: Competing with Dynamic Comparators

Ali Jadbabaie, Alexander Rakhlin, Shahin Shahrampour, Karthik Sridharan Artificial Intelligence and Statistics (AISTATS), 2015

# 33. Online Non-parametric Regression

Alexander Rakhlin, Karthik Sridharan Conference on Learning Theory (COLT), 2014

# 34. On Semi-Probabilistic Universal Prediction

Alexander Rakhlin, Karthik Sridharan Proceedings of IEEE Information Theory Workshop, 2013. Invited paper

## 35. Optimization, Learning, and Games with Predictable Sequences

Alexander Rakhlin, Karthik Sridharan Neural Information Processing Systems (NIPS) 2013.

# 36. Competing With Strategies

Wei Han, Alexander Rakhlin, Karthik Sridharan Conference on Learning Theory (COLT) 2013.

#### 37. Online Learning With Predictable Sequences

Alexander Rakhlin, Karthik Sridharan Conference on Learning Theory (COLT) 2013.

# 38. Localization and Adaptation in Online Learning

Alexander Rakhlin, Ohad Shamir, Karthik Sridharan Artificial Intelligence and Statistics (AISTATS) 2013.

## 39. Relax and Randomize: From Value to Algorithms

Alexander Rakhlin, Ohad Shamir, Karthik Sridharan Neural Information Processing Systems (NIPS) 2012 (oral  $\approx 1.36\%$  acceptance).

# 40. Making Stochastic Gradient Descent Optimal for Strongly Convex Problems

Alexander Rakhlin, Ohad Shamir, Karthik Sridharan International Conference on Machine Learning (ICML), 2012

## 41. Minimizing The Misclassification Error Rate Using a Surrogate Convex Loss

Shai Ben-David, David Loker, Nathan Srebro, Karthik Sridharan International Conference on Machine Learning (ICML), 2012

#### 42. On the Universality of Online Mirror Descent

Nathan Srebro, Karthik Sridharan, Ambuj Tewari Neural Information Processing Systems (NIPS), 2011

#### 43. Better Mini-Batch Algorithms via Accelerated Gradient Methods

Andrew Cotter, Ohad Shamir, Nathan Srebro, Karthik Sridharan Neural Information Processing Systems (NIPS), 2011

## 44. Online Learning: Stochastic and Constrained Adversaries

Alexander Rakhlin, Karthik Sridharan, Ambuj Tewari Neural Information Processing Systems (NIPS), 2011

# 45. Online Learning: Beyond Regret

Alexander Rakhlin, Karthik Sridharan, Ambuj Tewari Conference on Learning Theory (COLT) 2011 (*Best paper award*).

# 46. Complexity-based Approach to Calibration with Checking Rules

Dean Foster, Alexander Rakhlin, Karthik Sridharan, Ambuj Tewari Conference on Learning Theory (COLT) 2011.

#### 47. Online Learning: Random Averages, Combinatorial Parameters and Learnability

Alexander Rakhlin, Karthik Sridharan, Ambuj Tewari Neural Information Processing Systems (NIPS) 2010 (oral  $\approx 1.64\%$  acceptance).

#### 48. Smoothness, Low Noise and Fast Rates

Nathan Srebro, Karthik Sridharan, Ambuj Tewari Neural Information Processing Systems (NIPS) 2010.

# 49. Learning Kernel-Based Halfspaces with the Zero-One Loss

Shai Shalev-Shwartz, Ohad Shamir, Karthik Sridharan Conference on Learning Theory (COLT), 2010 (Best paper award).

# 50. Robust Selective Sampling from Single and Multiple Teachers

Ofer Dekel, Claudio Gentile, Karthik Sridharan Conference on Learning Theory (COLT), 2010

## 51. Convex Games in Banach Spaces

Karthik Sridharan, Ambuj Tewari Conference on Learning Theory (COLT), 2010

## 52. Learning exponential families in high-dimensions: Strong convexity and sparsity

Sham Kakade, Ohad Shamir, Karthik Sridharan, Ambuj Tewari International Conference on Artificial Intelligence and Statistics (AISTATS), 2010

## 53. Learnability and Stability in the General Learning Setting

Shai Shalev-Shwartz, Ohad Shamir, Nathan Srebro, Karthik Sridharan Conference on Learning Theory (COLT), 2009

#### 54. Stochastic Convex Optimization

Shai Shalev-Shwartz, Ohad Shamir, Nathan Srebro, Karthik Sridharan Conference on Learning Theory (COLT), 2009

## 55. The Complexity of Improperly Learning Large Margin Halfspaces

Shai Shalev-Shwartz, Ohad Shamir, Karthik Sridharan Open Problems, Conference on Learning Theory (COLT), 2009

# 56. Multi-View Clustering via Canonical Correlation Analysis

Kamalika Chaudhuri, Sham Kakade, Karen Livescue, Karthik Sridharan International Conference on Machine Learning (ICML), 2009

## 57. On the Complexity of Linear Prediction: Risk Bounds, Margin Bounds and Regularization

Sham Kakade, Karthik Sridharan, Ambuj Tewari Neural Information Processing Systems (NIPS), 2008

#### 58. Fast Rates for Regularized Objectives

Shai Shalev-Shwartz, Nathan Srebro, Karthik Sridharan Neural Information Processing Systems (NIPS), 2008

# 59. Information Theoretic Framework for Multi-view Learning\*

Karthik Sridharan, Sham Kakade Conference on Learning Theory (COLT), 2008

## 60. Competitive Mixtures of Simple Neurons\*

Karthik Sridharan, Matthew J Beal, Venu Govindaraju International Conference on Pattern Recognition (ICPR), 2006

#### 61. Identifying handwritten text in mixed documents\*

Faisal Farooq, Karthik Sridharan, Venu Govindaraju International Conference on Pattern Recognition (ICPR), 2006

#### 62. Classification of Machine Print and Handwritten Arabic Documents\*

Karthik Sridharan, Faisal Farooq, Venu Govindaraju Symposium on Document Image Understanding Technology (SDIUT), 2005

# 63. A Sampling Based Approach to Facial Feature Extraction\*

Karthik Sridharan, Venu Govindaraju IEEE Automatic Identification Advanced Technologies (AUTOID), 2005 (Best paper award, 2nd prize)

#### 64. A Probabilistic Approach to Semantic Face Retrieval\*

Karthik Sridharan, Sankalp Nayak, Sharat Chikkerur, Venu Govindaraju Audio and Video-based Biometric Person Authentication (AVBPA), 2005

## 65. A Dynamic Migration Model for Self-adaptive Genetic Algorithms\*

K.G. Srinivasa, Karthik Sridharan, P. D. Shenoy, Venugopal K.R., L.M. Patnaik International Conference on Intelligent Data Engineering and Automated Learning, 2004

# 66. An Effective Content-Based Image Retrieval System Using STI features and Relevance feedback\*

K.G. Srinivasa, Karthik Sridharan, P. D. Shenoy, Venugopal K.R., L.M. Patnaik International Conference on Knowledge Based Computer Systems (KBCS), 2004

# 67. EASOM: An Efficient Soft Computing Method for Predicting the Share Values\*

K.G. Srinivasa, Karthik Sridharan, P. D. Shenoy, Venugopal K.R., L.M. Patnaik International Conference on Artificial Intelligence and Applications (AIA), 2004

Journals:

# 68. Small-loss Bounds for Online Learning with Partial Information

Lykouris, Thodoris, Karthik Sridharan, and Eva Tardos To appear, Mathematics of Operations Research.

# 69. Optimization with Non-Differentiable Constraints with Applications to Fairness, Recall, Churn, and Other Goals

Andrew Cotter, Heinrich Jiang, Serena Wang, Taman Narayan, Maya Gupta, Seungil You, Karthik Sridharan

Journal of Machine Learning Research, (to appear) 2019

## 70. Empirical Entropy, Minimax Regret and Minimax Risk

Alexander Rakhlin, Karthik Sridharan, Alexandre Tsybakov Bernoulli Journal, Volume 23, Number 2, 789-824.

# 71. Online Learning via Sequential Complexities

Alexander Rakhlin, Karthik Sridharan, Ambuj Tewari Journal of Machine Learning Research (JMLR), vol 16, pp. 155–186, 2015

# 72. Sequential Complexities and Uniform Martingale Laws of Large Numbers

Alexander Rakhlin, Karthik Sridharan, Ambuj Tewari

Probability Theory and Related Fields, 2015, Volume 161, Issue 1-2, pp 111-153.

# 73. Selective Sampling and Active Learning from Single and Multiple Teachers

Ofer Dekel, Claudio Gentile, Karthik Sridharan Journal of Machine Learning Research (JMLR), 2012

## 74. Learning Kernel Based Half-spaces with the 0-1 Loss

Shai Shalev-Shwartz, Ohad Shamir, Karthik Sridharan SIAM Journal of Computing, 2011

# 75. Learnability, Stability and Uniform Convergence

Shai Shalev-Shwartz, Ohad Shamir, Nathan Srebro, Karthik Sridharan Journal of Machine Learning Research (JMLR), 2010.

#### 76. A Neural Network based CBIR System using STI Features and Relevance Feedback\*

K.G. Srinivasa, Karthik Sridharan, P. D. Shenoy, Venugopal K.R., L.M. Patnaik International Journal on Intelligent Data Analysis, Volume 10, Number 2, 2006.

# Theses:

#### 77. Learning From an Optimization Viewpoint

Karthik Sridharan, Ph.D. Thesis

Advisor: Nathan Srebro

Committee: David McAllester, Arkadi Nemirovski, Alexander Razborov, Nati Srebro

Toyota Technological Institute, Chicago, 2011

#### 78. Semantic Face Retrieval

Karthik Sridharan, Master's Thesis

Advisor : Venu Govindaraju

Computer Science, SUNY Buffalo, 2006

# **Books and Book Chapters:**

#### 79. Prediction of Sequences

Alexander Rakhlin, Karthik Sridharan Book, in Preparation.

# 80. On Martingale Extensions of Vapnik-Chervonenkis Theory with Applications to Online Learning

Alexander Rakhlin, Karthik Sridharan

Chapter 15 in Measures of Complexity, Festschrift in honor of A. Chervonenkis.

Area chair or senior PC

COLT 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021, 2022, 2023, 2024; ALT 2015, 2018, 2019, 2020, 2021, 2022; ICML 2016, 2017, 2018, 2019, 2020, 2021, 2022, 2023, 2024, NeurIPS 2016, 2017, 2018, 2019, 2020, 2021, 2022, 2023, 2024, IJCAI 2019, AAAI 2018

Refereeing

Conference Refereeing: NIPS, ICML, COLT, AISTATS, ALT, IJCAI, AAAI, STOC, FOCS

**Journal Refereeing:** Journal of Machine Learning Research, Machine Learning, Pattern Recognition Letters, IEEE Transactions on Information Theory, Mathematical Programming SERIES A and B, Bernoulli Journal, Annals of Statistics, SIAM journal of Optimization, Mathematics of Operations Research

Selected Invited Talks FIND seminar, ECE Cornell, Fall 2024

Invited Talk, TTICs 20th Anniversary 2023

 $\ \, \text{Keynote speaker for Mathematics in ML mini symposium at CDSE day in University at Buffalo April} \,$ 

2019

Center for Applied Mathematics, Colloquium, Fall, 2018

Information Theory and Applications, 2018 Information Theory and Applications, 2017 Wilks Statistics Seminar, Princeton, 2016

Workshop on Learning From Easy Data, Lorentz Center, Leiden, Amsterdam, 2016

Invited talk in Online Learning session at World Congress in Probability and Statistics, Toronto, 2016

Workshop on Optimization and Statistical Learning, Les Houches, France, 2016

Information Theory and Applications, 2016

Workshop on Online Algorithms and Learning, Lorentz Center, Leiden, Amsterdam, 2015

IMS Program on Stochastic Methods in Game Theory, Singapore, Nov, 2015

"Convexity and Optimization: Theory and Applications", Institute for Mathematics and its Applications, Minnesotta, 2015

Workshop on Optimization and Statistical Learning, Les Houches, France, 2015

Information Theory and Applications, 2015

Mathematics of Machine Learning Program, April-July 2014, Barcelona, Spain, 2014

Information Theory and Applications, 2014

Meeting in Mathematical Statistics, CIRM, Marsille, France, 2014

Cornell

Graduate Field Memberships Computer Science

Statistics

Operations Research and Industrial Engineering

Center for Applied Mathematics

Cornell Services Faculty Recruitment Committee CS 2024

PhD Admissions committee member for Computer Science Department in 2015, 2016, 2017, 2019, 2020, 2022, 2023

Served part-time on Faculty Recruitment committee for Computer Science Department 2018 (jointly with Prof. Weinberger)

PhD Admissions committee member for Center of Applied Maths in 2016

Faculty Recruitment committee for Statistics (DSS) 2019

Fall 2019 Colloquium Committee

Cornell University (CALS) Diversity Hosting Meet and Greet 2019