

1 Inductive Continuity via Brouwer Trees

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10 — Abstract —

11 Continuity is a key principle of intuitionistic logic that is generally accepted by constructivists but
12 is inconsistent with classical logic. Most commonly, continuity states that a function from the Baire
13 space to numbers, only needs approximations of the points in the Baire space to compute. More
14 recently, another formulation of the continuity principle was put forward. It states that for any
15 function F from the Baire space to numbers, there exists a (dialogue) tree that contains the values
16 of F at its leaves and such that the modulus of F at each point of the Baire space is given by the
17 length of the corresponding branch in the tree. In this paper we provide the first internalization of
18 this “inductive” continuity principle within a computational setting. Concretely, we present a class
19 of intuitionistic theories that validate this formulation of continuity thanks to computations that
20 construct such dialogue trees internally to the theories using effectful computations. We further
21 demonstrate that this inductive continuity principle implies other forms of continuity principles.

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31 **1** Introduction

The continuity principle is a cornerstone in intuitionistic theories which is generally accepted by constructivists but contradicts classical mathematics. In essence, the principle states that functions on the Baire space (i.e., $\mathfrak{B} \equiv \text{Nat} \rightarrow \text{Nat}$) only need finite inputs, i.e., initial segments of points of the Baire space, to produce outputs. Different variants of the continuity principle have been developed to capture different levels of strictness in the notion of continuity and different computational aspects. Perhaps the most common continuity principle is the continuity principle for numbers, sometimes referred to as the weak continuity principle (WCP) [24; 15; 4; 7; 38]. WCP states that given a function $F \in \mathfrak{B} \rightarrow \text{Nat}$ and an point α of the Baire space \mathfrak{B} , $F(\alpha)$ can only depend on an initial segment of α , and the length of the smallest such segment is the modulus of continuity of F at α . This is standardly formalized as follows, where $\mathfrak{B}_n \equiv \{x : \text{Nat} \mid x < n\} \rightarrow \text{Nat}$ is the set of finite sequences of length n :

$$\text{WCP} \equiv \prod F : \mathfrak{B} \rightarrow \text{Nat} . \prod \alpha : \mathfrak{B} . \left\| \sum n : \text{Nat} . \prod \beta : \mathfrak{B} . (\alpha = \beta \in \mathfrak{B}_n) \rightarrow (F(\alpha) = F(\beta) \in \text{Nat}) \right\|$$



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32 However, as shown, e.g., by Kreisel [25, p.154], Troelstra [36, Thm.IIA], and Escardó and
 33 Xu [18; 40], continuity is not an extensional property in the sense that two (extensionally)
 34 equal functions might have different moduli of continuity. Therefore, to computationally
 35 realize continuity, the existence of a modulus of continuity has to be truncated as explained,
 36 e.g., in [18; 40; 32; 33], which is what the $\|_ \|$ operator achieves in WCP's definition above.

Brouwer used WCP, along with a consequence of Bar Induction called the Fan Theorem, to derive the following uniform continuity principle (UCP) [7, p.113], which he then used to prove that all real-valued function on the unit interval are uniformly continuous [24; 15; 4; 7; 38], where $\mathfrak{C} \equiv \text{Nat} \rightarrow \text{Bool}$ is the Cantor space and $\mathfrak{C}_n \equiv \{x : \text{Nat} \mid x < n\} \rightarrow \text{Bool}$:

$$\text{UCP} \equiv \prod F : \mathfrak{C} \rightarrow \text{Nat} . \sum n : \text{Nat} . \prod \alpha, \beta : \mathfrak{C} . (\alpha = \beta \in \mathfrak{C}_n) \rightarrow (F(\alpha) = F(\beta) \in \text{Nat})$$

37 Note that UCP does not need to be truncated as shown for example in [18].

Another version of the continuity principle, which originates from the completeness of Brouwer's bar thesis and implies both WCP and UCP, has been recently studied [20; 22; 21; 19]. This principle, referred to here as the Inductive Continuity Principle (ICP), relies on a notion of dialogue trees related to Brouwer trees [38] and reminiscent of Kleene trees [23]. This tree-based technique of capturing continuity information, pioneered in [20; 22; 21; 19], and reused for example in [9; 35; 3], consists in computing a tree that, given a function F from a subset of \mathfrak{B} to numbers, contains the values of F at its leaves, and such that the amount of information needed to compute these values, i.e., the modulus of continuity of F at each point, is given by its branches. This can be formalized as follows where $\mathfrak{B}_{\text{SNat}} \equiv \text{Nat} \rightarrow \text{SNat}$ for SNat a subtype of Nat (**Bt** and **follow**(d, α) are made formal in Sec. 3.2).¹

$$\text{ICP} \equiv \prod F : \mathfrak{B}_{\text{SNat}} \rightarrow \text{Nat} . \|\sum d : \text{Bt} . \prod \alpha : \mathfrak{B}_{\text{SNat}} . \text{follow}(d, \alpha) = F(\alpha) \in \text{Nat}\|$$

38 A number of theories have been shown to satisfy Brouwer's continuity principle, or
 39 uniform variants, such as N- HA^ω by Troelstra [37, p.158], MLTT by Coquand and Jaber [13;
 40 12], System T by Escardó [19], MLTT by Xu [40], CTT by Rahli and Bickford [32], BTT by
 41 Baillon, Mahboubi and Pedrot [3], among others (see Sec. 6 for details). These proofs often
 42 rely on a semantic forcing-based approach [13; 12], where the forcing conditions capture the
 43 amount of information needed when applying a function to a sequence in the Baire space, or
 44 through suitable models that internalize (C-Spaces in [41]) or exhibit continuous behavior
 45 (e.g., dialogue trees in [19; 3]).

46 Not only can functions on the Baire space be proved to be continuous, but using effectful
 47 computations one can in fact *compute* their modulus of continuity [28]. The $\text{TT}_{\mathfrak{C}}^\square$ family
 48 of effectful extensional type theories, recalled in Sec. 2, was shown to be consistent with a
 49 version of WCP using a family of realizability models that allow validating this principle using
 50 effectful computations, and in particular using reference cells [11]. Building on this result, in
 51 this paper we identify a family of effectful type theories that are consistent with a variant
 52 of ICP, and prove this consistency result using effectful computations, namely references.

53 Importantly, in addition to validating the continuity of $\text{TT}_{\mathfrak{C}}^\square$ functions using dialogue
 54 trees, our work provides the first internalization of the principle into a computational system
 55 in the sense that we extend $\text{TT}_{\mathfrak{C}}^\square$ with a variant of ICP in Sec. 3, and exhibit in Sec. 5 an
 56 effectful $\text{TT}_{\mathfrak{C}}^\square$ program that realizes this axiom. The most challenging aspect of internalizing
 57 this dialogue-based technique is in proving termination of the computation of such trees.
 58 We further show in Sec. 4 that ICP encompasses both weak and uniform continuity. It is
 59 however still unknown whether ICP is in fact strictly stronger than the other principles.

¹ We use here Brouwer trees, which are equivalent to dialogue trees for functions on the Baire space [17].

Figure 1 Core syntax (above) and small-step operational semantics (below)

$v \in \text{Value} ::= vt$	(type)	$\lambda x.t$	(lambda)	\star	(constant)
$ \underline{n}$	(number)	$\text{inl}(t)$	(left injection)	δ	(choice name)
$ \langle t_1, t_2 \rangle$	(pair)	$\text{inr}(t)$	(right injection)		
$vt \in \text{Type} ::= \Pi x:t_1.t_2$	(product)	$\{x:t_1 \mid t_2\}$	(set)	t_1+t_2	(disjoint union)
$ \Sigma x:t_1.t_2$	(sum)	$t_1=t_2 \in t$	(equality)	$\ t\ $	(truncation)
$ \mathbb{U}_i$	(universe)	Nat	(numbers)	pure	(pure)
$ t_1 \cap t_2$	(intersection)				
$t \in \text{Term} ::= x$	(variable)	$!t$	(read)	$t_1 <? t_2$	(less than)
$ v$	(value)	$\nu x.t$	(fresh)	$t_1 =? t_2$	(equality)
$ t_1 t_2$	(application)	$t_1 := t_2$	(write)	$\text{let } x = t_1 \text{ in } t_2$	(call-by-value)
$ \text{fix}(t)$	(fixpoint)	$t_1 + t_2$	(addition)	$\text{let } x, y = t_1 \text{ in } t_2$	(pair destructor)
$ \text{case } t \text{ of } \text{inl}(x) \Rightarrow t_1 \mid \text{inr}(y) \Rightarrow t_2$	(injection destructor)				
$(\lambda x.t) u$	$w \mapsto_w t[x \setminus u]$	$\underline{n} <? \underline{m}$	$w \mapsto_w \text{inl}(\star)$, if $n < m$		
$\text{fix}(v)$	$w \mapsto_w v \text{ fix}(v)$	$\underline{n} <? \underline{m}$	$w \mapsto_w \text{inr}(\star)$, if $n \not< m$		
$\text{let } x = v \text{ in } t_2$	$w \mapsto_w t_2[x \setminus v]$	$\underline{n} =? \underline{m}$	$w \mapsto_w \text{inl}(\star)$, if $n = m$		
$\text{let } x, y = \langle t_1, t_2 \rangle \text{ in } t$	$w \mapsto_w t[x \setminus t_1; y \setminus t_2]$	$\underline{n} =? \underline{m}$	$w \mapsto_w \text{inr}(\star)$, if $n \neq m$		
$! \delta$	$w \mapsto_w \text{read}(w, \delta)$	$\underline{n} + \underline{m}$	$w \mapsto_w \underline{n} + \underline{m}$		
$\delta := t$	$w \mapsto_{\text{write}(w, \delta, t)} \star$	$\text{case } \text{inl}(t) \text{ of } \text{inl}(x) \Rightarrow t_1 \mid \text{inr}(y) \Rightarrow t_2$	$w \mapsto_w t_1[x \setminus t]$		
$\nu x.t$	$w \mapsto_{\text{start} \nu \mathcal{C}(w)} t[x \setminus \nu \mathcal{C}(w)]$	$\text{case } \text{inr}(t) \text{ of } \text{inl}(x) \Rightarrow t_1 \mid \text{inr}(y) \Rightarrow t_2$	$w \mapsto_w t_2[y \setminus t]$		

2 Background

This section reviews $\text{TT}_{\mathcal{C}}^{\square}$ [10] — a family of extensional type theories parameterized by a choice operator \mathcal{C} and a metatheoretical modality \square , which allows typing the choice operators.

2.1 Metatheory

Our metatheory is Agda’s type theory [2]. The results presented in this paper have been formalized in Agda: <https://github.com/vrahli/opentt/>. We use $\forall, \exists, \wedge, \vee, \rightarrow, \neg$ in place of Agda’s logical connectives in this paper, and use \top for True and \perp for False. Agda provides a hierarchy of types annotated with universe labels which we omit for simplicity. Following Agda’s terminology, we refer to an Agda type as a *set*, and reserve the term *type* for $\text{TT}_{\mathcal{C}}^{\square}$ ’s types. We use \mathbb{P} as the type of sets that denote propositions; \mathbb{N} for the set of natural numbers; and \mathbb{B} for the set of Booleans true and false. We use induction-recursion to define the forcing interpretation in Sec. 2.3, where we use function extensionality to interpret universes. We also use classical reasoning twice in the proof presented in Sec. 5.

2.2 $\text{TT}_{\mathcal{C}}^{\square}$ ’s Syntax and Operational Semantics

Fig. 1 recalls $\text{TT}_{\mathcal{C}}^{\square}$ ’s syntax and operational semantics, where the blue boxes highlight the effectful components, and where x belongs to a set of variables Var . For simplicity, numbers are considered to be primitive and the constant \star is used in place of a term when the particular term used is irrelevant. We use all letters as metavariables for terms and denote by $t[x \setminus u]$ the capture-avoiding substitution of all the free occurrences of x in t by u . We write $\text{if } t_1 \text{ then } t_2 \text{ else } t_3$ for $\text{case } t_1 \text{ of } \text{inl}(x) \Rightarrow t_2 \mid \text{inr}(x) \Rightarrow t_3$, where x does not occur in t_2 or t_3 , and $t_1; t_2$ for $\text{let } x = t_1 \text{ in } t_2$ where x does not occur free in t_2 .

Types are syntactic forms that are given semantics in Sec. 2.3 via a forcing interpretation. The type system contains standard types such as dependent products of the form $\Pi x:t_1.t_2$ and dependent sums of the form $\Sigma x:t_1.t_2$. We write $t_1 \rightarrow t_2$ for the non-dependent Π type; Unit for $\underline{0}=\underline{0} \in \text{Nat}$; Void for $\underline{0}=\underline{1} \in \text{Nat}$; $\neg T$ for $(T \rightarrow \text{Void})$; and Bool for Unit+Unit.

85 To capture the time progression notion which underlines choice operators, $\text{TT}_{\mathcal{C}}^{\square}$ is param-
 86 eterized by a Kripke frame [27; 26], consisting of a set of *worlds* \mathcal{W} equipped with a reflexive
 87 and transitive binary relation \sqsubseteq . Let w range over \mathcal{W} . We sometimes write $w' \sqsupseteq w$ for $w \sqsubseteq w'$.
 88 Let \mathcal{P}_w be the collection of predicates on world extensions, i.e., functions in $\forall w' \sqsupseteq w. \mathbb{P}$. Due
 89 to \sqsubseteq 's transitivity, if $P \in \mathcal{P}_w$ then for every $w' \sqsupseteq w$ it naturally extends to a predicate in $\mathcal{P}_{w'}$.
 90 Let $\forall_w^{\sqsubseteq}(P)$ stand for the fact that $P \in \mathcal{P}_w$ is true for all extensions of w , i.e., P w' holds for
 91 all $w' \sqsupseteq w$. We sometime write $\forall_w^{\sqsubseteq}(w'.P)$ instead of $\forall_w^{\sqsubseteq}(\lambda w'.P)$.

92 Fig. 1's lower part presents $\text{TT}_{\mathcal{C}}^{\square}$'s small-step call-by-name operational semantics, where
 93 $t_1 \xrightarrow{w_1 \mapsto w_2} t_2$ expresses that t_1 reduces to t_2 in one step of computation from the world w_1 and
 94 potentially updating it so that the resulting world is w_2 . We omit the congruence rules such
 95 as: if $t_1 \xrightarrow{w_1 \mapsto w_2} t_2$ then $t_1(u) \xrightarrow{w_1 \mapsto w_2} t_2(u)$. We denote by \mapsto^* the reflexive transitive closure
 96 of \mapsto , i.e., $a \xrightarrow{w_1 \mapsto w_2}^* b$ states that a computes to b in 0 or more steps. We write $a \mapsto_w^* b$ for
 97 $\exists(w' : \mathcal{W}). a \xrightarrow{w \mapsto w'}^* b$, and $a \mapsto_w b$ for $\forall_w^{\sqsubseteq}(w'. a \mapsto_w^* b)$.

98 $\text{TT}_{\mathcal{C}}^{\square}$ includes effectful notions that rely on worlds to record choices and provides operators
 99 to access and update choices. In this paper, for conciseness of presentation, we focus on
 100 one instance of choice operators as mutable references to natural numbers. Reference cells,
 101 which allow a program to indirectly access a particular object, are choice operators since
 102 they can point to different objects over their lifetime. See [10] for the general notion of choice
 103 operators. To define references to numbers², we let the set of choices $\mathcal{C} \subseteq \text{Term}$ to be \mathbb{N} . A
 104 choice stored in a reference cell is referred to through the reference's name. To this end,
 105 $\text{TT}_{\mathcal{C}}^{\square}$'s computation system is parameterized by a set \mathcal{N} of choice names, ranged over by δ ,
 106 equipped with a decidable equality, and an operator that given a list of names, returns a
 107 name not in the list ($\mathcal{N} \cong \mathbb{N}$ for simplicity). This can be given by nominal sets [30]. We take
 108 worlds to be lists of cells, where a cell is a pair of a choice name and a choice, and \sqsubseteq is the
 109 reflexive transitive closure of two operations that allow creating and updating reference cells.

110 As shown in Fig. 1, a choice name δ can be used in a computation to access choices from
 111 a world using $!\delta \xrightarrow{w \mapsto w} \text{read}(w, \delta)$, where the partial function $\text{read} \in \mathcal{W} \rightarrow \mathcal{N} \rightarrow \mathcal{C}$ accesses the
 112 content of the δ -cell in w if that cell exists.³ Choices can be made using $(\delta := t) \xrightarrow{w \mapsto \text{write}(w, \delta, t)} *$,
 113 where $\text{write}(w, \delta, t)$ updates the reference δ with the choice t if δ occurs in w , and otherwise
 114 returns w , and therefore $w \sqsubseteq \text{write}(w, \delta, t)$. The computation returns $*$, which is reminiscent
 115 of reference updates in OCaml for example, which are of type `unit`. Finally, new choice
 116 names can be generated using $\nu x.t \xrightarrow{w \mapsto \text{start}\nu\mathcal{C}(w)} t[x \setminus \nu\mathcal{C}(w)]$, where $\nu\mathcal{C}(w)$ returns a “fresh”
 117 name not occurring in the list w , which x gets replaced with in the expression above, and
 118 $\text{start}\nu\mathcal{C}(w)$ returns the list w extended with the pair $\langle \nu\mathcal{C}(w), 0 \rangle$, where 0 is the default value
 119 with which reference cells are filled, and therefore $\forall(w : \mathcal{W}). w \sqsubseteq \text{start}\nu\mathcal{C}(w)$.⁴

120 2.3 Forcing Interpretation

121 $\text{TT}_{\mathcal{C}}^{\square}$'s semantics is similar to the one presented in [10], which we recall and extend in Fig. 2.
 122 Types are interpreted via a forcing interpretation defined using induction-recursion [16] as
 123 follows, where the forcing conditions are worlds: (1) the inductive relation $w \models T_1 \equiv T_2$ expresses
 124 type equality in the world w ; (2) the recursive function $w \models t_1 = t_2 \in T$ expresses equality in a
 125 type. We also define $a \mapsto_{!w} b$ as $\forall_w^{\sqsubseteq}(w'. a \xrightarrow{w \mapsto w'}^* b)$, capturing the fact that the computation

² Only relevant components of the choice operator are discussed. See `worldInstanceRef.lagda` for details.

³ In general, `read`, `$\nu\mathcal{C}$` , `start $\nu\mathcal{C}$` , and `write` are all parameters of $\text{TT}_{\mathcal{C}}^{\square}$, as described in [10]. Here they too are instantiated with references to numbers.

⁴ $\text{TT}_{\mathcal{C}}^{\square}$ also contains a quotienting type operator \downarrow used to assign types to computations that can compute to different values in different worlds, such as choices `! δ` [11]. For readability, we elide it here.

Figure 2 Forcing Interpretation

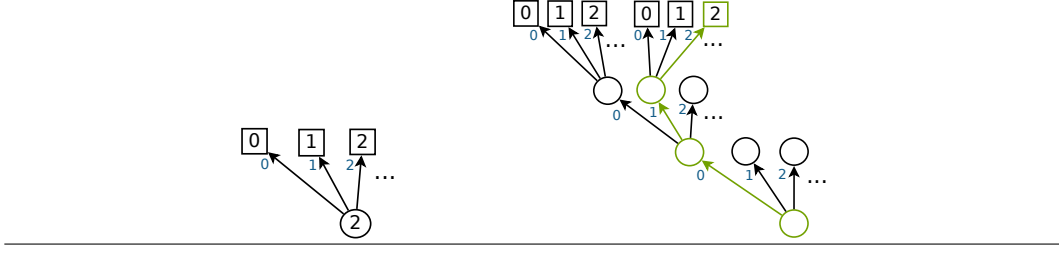
Numbers:	$w \vDash \text{Nat} \equiv \text{Nat} \iff \text{True}$
	$w \vDash t \equiv t' \in \text{Nat} \iff \Box_w(w'. \exists (n : \mathbb{N}). t \Vdash_{w'} \underline{n} \wedge t' \Vdash_{w'} \underline{n})$
Products:	$w \vDash \Pi x : A_1. B_1 \equiv \Pi x : A_2. B_2 \iff \text{Fam}_w(A_1, A_2, \lambda x. B_1, \lambda x. B_2)$
	$w \vDash f \equiv g \in \Pi x : A. B \iff \Box_w(w'. \forall (a_1, a_2 : \text{Term}). w' \vDash a_1 \equiv a_2 \in A \rightarrow w' \vDash f a_1 \equiv g a_2 \in B[x \setminus a_1])$
Sums:	$w \vDash \Sigma x : A_1. B_1 \equiv \Sigma x : A_2. B_2 \iff \text{Fam}_w(A_1, A_2, \lambda x. B_1, \lambda x. B_2)$
	$w \vDash p_1 \equiv p_2 \in \Sigma x : A. B \iff \Box_w(w'. \exists (a_1, a_2, b_1, b_2 : \text{Term}). w' \vDash a_1 \equiv a_2 \in A \wedge w' \vDash b_1 \equiv b_2 \in B[x \setminus a_1] \wedge p_1 \Vdash_{w'} \langle a_1, b_1 \rangle \wedge p_2 \Vdash_{w'} \langle a_2, b_2 \rangle)$
Sets:	$w \vDash \{x : A_1 \mid B_1\} \equiv \{x : A_2 \mid B_2\} \iff \text{Fam}_w(A_1, A_2, \lambda x. B_1, \lambda x. B_2)$
	$w \vDash a_1 \equiv a_2 \in \{x : A \mid B\} \iff \Box_w(w'. \exists (b_1, b_2 : \text{Term}). w' \vDash a_1 \equiv a_2 \in A \wedge w' \vDash b_1 \equiv b_2 \in B[x \setminus a_1])$
Disjoint unions:	$w \vDash A_1 + B_1 \equiv A_2 + B_2 \iff w \vDash A_1 \equiv A_2 \wedge w \vDash B_1 \equiv B_2$
	$w \vDash a_1 \equiv a_2 \in A + B \iff \Box_w(w'. \exists (u, v : \text{Term}). (a_1 \Vdash_{w'} \text{inl}(u) \wedge a_2 \Vdash_{w'} \text{inl}(v) \wedge w' \vDash u \equiv v \in A) \vee (a_1 \Vdash_{w'} \text{inr}(u) \wedge a_2 \Vdash_{w'} \text{inr}(v) \wedge w' \vDash u \equiv v \in B))$
Equalities:	$w \vDash (a_1 = b_1 \in A) \equiv (a_2 = b_2 \in B) \iff w \vDash A \equiv B \wedge w \vDash a_1 \equiv a_2 \in A \wedge w \vDash b_1 \equiv b_2 \in B$
	$w \vDash a_1 \equiv a_2 \in (a = b \in A) \iff \Box_w(w'. w' \vDash a = b \in A)$
Subsingletons:	$w \vDash \ A\ \equiv \ B\ \iff w \vDash A \equiv B$
	$w \vDash a = b \in \ A\ \iff \Box_w(w'. w' \vDash a = a \in A \wedge w' \vDash b = b \in A)$
Purity:	$w \vDash \text{pure} \equiv \text{pure} \iff \top$
	$w \vDash a_1 \equiv a_2 \in \text{pure} \iff \text{namefree}(a_1) \wedge \text{namefree}(a_2)$
Binary intersections:	$w \vDash A_1 \cap B_1 \equiv A_2 \cap B_2 \iff w \vDash A_1 \equiv A_2 \wedge w \vDash B_1 \equiv B_2$
	$w \vDash a_1 \equiv a_2 \in A \cap B \iff \Box_w(w'. w' \vDash a_1 \equiv a_2 \in A \wedge w' \vDash a_1 \equiv a_2 \in B)$
Modality closure:	$w \vDash T_1 \equiv T_2 \iff \Box_w(w'. \exists (T'_1, T'_2 : \text{Term}). T_1 \Vdash_{w'} T'_1 \wedge T_2 \Vdash_{w'} T'_2 \wedge w' \vDash T'_1 \equiv T'_2)$
	$w \vDash t_1 \equiv t_2 \in T \iff \Box_w(w'. \exists (T' : \text{Term}). T \Vdash_{w'} T' \wedge w' \vDash t_1 \equiv t_2 \in T')$

126 can read using $!\delta$ but not write, and therefore does not change the initial world (this is used in
 127 Thm. 1). Fig. 2 defines in particular the semantics of `pure`, which is inhabited by name-free
 128 terms, where `namefree`(t) is defined recursively over t and returns false iff t contains a
 129 choice name δ or a fresh operator of the form $\nu x.t$. We also write $\text{Fam}_w(A_1, A_2, B_1, B_2)$
 130 for $w \vDash A_1 \equiv A_2 \wedge \forall_w(w'. \forall (a_1, a_2 : \text{Term}). w' \vDash a_1 \equiv a_2 \in A_1 \rightarrow w' \vDash B_1(a_1) \equiv B_2(a_2))$. This forcing
 131 interpretation is parameterized by a family of abstract modalities \Box , which we sometimes
 132 refer to simply as a modality, which is a function that takes a world w to its modality
 133 $\Box_w \in \mathcal{P}_w \rightarrow \mathbb{P}$. We often write $\Box_w(w'. P)$ for $\Box_w \lambda w'. P$. To guarantee that this interpretation
 134 yields a type system in the sense of Thm. 1, we require that the modalities satisfy certain
 135 properties detailed in [10] and reminiscent of standard modal axiom schemata [14].

► **Theorem 1** ([10]). TT_C^\square is a standard type system in the sense that its forcing interpretation induced by \Box satisfies the following properties (free variables are universally quantified):

<i>transitivity:</i>	$w \vDash T_1 \equiv T_2 \rightarrow w \vDash T_2 \equiv T_3 \rightarrow w \vDash T_1 \equiv T_3$	$w \vDash t_1 \equiv t_2 \in T \rightarrow w \vDash t_2 \equiv t_3 \in T \rightarrow w \vDash t_1 \equiv t_3 \in T$
<i>symmetry:</i>	$w \vDash T_1 \equiv T_2 \rightarrow w \vDash T_2 \equiv T_1$	$w \vDash t_1 \equiv t_2 \in T \rightarrow w \vDash t_2 \equiv t_1 \in T$
<i>computation:</i>	$w \vDash T \equiv T \rightarrow T \Vdash_{!w} T' \rightarrow w \vDash T \equiv T'$	$w \vDash t \equiv t \in T \rightarrow t \Vdash_{!w} t' \rightarrow w \vDash t \equiv t' \in T$
<i>monotonicity:</i>	$w \vDash T_1 \equiv T_2 \rightarrow w \sqsubseteq w' \rightarrow w' \vDash T_1 \equiv T_2$	$w \vDash t_1 \equiv t_2 \in T \rightarrow w \sqsubseteq w' \rightarrow w' \vDash t_1 \equiv t_2 \in T$
<i>locality:</i>	$\Box_w(w'. w' \vDash T_1 \equiv T_2) \rightarrow w \vDash T_1 \equiv T_2$	$\Box_w(w'. w' \vDash t_1 \equiv t_2 \in T) \rightarrow w \vDash t_1 \equiv t_2 \in T$
<i>consistency:</i>	$\neg w \vDash t \equiv t \in \text{Void}$	

136 Note that due to effects, types are not closed under all computations. For example,
 137 when $T \equiv \text{Nat}$, $t' \Vdash_w \underline{n}$ does not necessarily follow from $t \Vdash_w t'$ and $t \Vdash_w \underline{n}$. An example
 138 is $t \equiv (\delta := \underline{1}; \text{if } !\delta < \underline{1} \text{ then } \underline{0} \text{ else } \underline{1})$, which reduces to $t' \equiv (\text{if } !\delta < \underline{1} \text{ then } \underline{0} \text{ else } \underline{1})$
 139 and also to $\underline{1}$ in all worlds, but t' does not reduce to $\underline{1}$ in all worlds, because δ could be
 140 initialized differently in different worlds. However, the following holds by transitivity of \Vdash_w :

Figure 3 Examples of dialogue (left) and Brouwer (right) trees for $\lambda\alpha.\alpha(\underline{2})$


141 $t' \Vdash_w t \rightarrow w \vDash t \equiv t' \in \text{Nat} \rightarrow w \vDash t \equiv t' \in \text{Nat}$. Similarly, the following also holds by transitivity
 142 of \Vdash_w : $w \vDash T \equiv T' \rightarrow T' \Vdash_w T \rightarrow w \vDash T \equiv T'$. Finally, note that, as indicated in Thm. 1, this
 143 semantics is closed under β -reduction, as β -reduction does not modify the current world.

144 2.4 $\text{TT}_{\mathcal{C}}^{\square}$'s Inference Rules

$\text{TT}_{\mathcal{C}}^{\square}$'s inference rules are standard and they reflect the semantics of the types, which is given meaning through a forcing interpretation presented in Sec. 2.3. Concetely, sequents in $\text{TT}_{\mathcal{C}}^{\square}$ are of the form $h_1, \dots, h_n \vdash t : T$. Such a sequent denotes that, assuming h_1, \dots, h_n , T is a type inhabited by t . An hypothesis h is of the form $x:A$, where the variable x stands for the name of the hypothesis and A its type. We write $a \in A$ for $a = a \in A$. To illustrate the naturality of the typing rules and their correspondence to the forcing interpretation, we provide examples of $\text{TT}_{\mathcal{C}}^{\square}$'s inference rules for Π types. The following rules are the standard Π -elimination, Π -introduction, type equality for Π types, and λ -introduction rules, respectively.

$$\frac{H, f: \Pi x:A.B, J \vdash a \in A \quad H, f: \Pi x:A.B, J, z: f(a) \in B[x \setminus a] \vdash e : C}{H, f: \Pi x:A.B, J \vdash e[z \setminus *] : C} \quad \frac{H, z:A \vdash b : B[x \setminus z] \quad H \vdash A \in \mathbb{U}_i}{H \vdash \lambda z. b : \Pi x:A.B}$$

$$\frac{H \vdash A_1 = A_2 \in \mathbb{U}_i \quad H, y:A_1 \vdash B_1[x_1 \setminus y] = B_2[x_2 \setminus y] \in \mathbb{U}_i}{H \vdash \Pi x_1:A_1. B_1 = \Pi x_2:A_2. B_2 \in \mathbb{U}_i} \quad \frac{H, z:A \vdash t_1[x_1 \setminus z] = t_2[x_2 \setminus z] \in B[x \setminus z] \quad H \vdash A \in \mathbb{U}_i}{H \vdash \lambda x_1. t_1 = \lambda x_2. t_2 \in \Pi x:A.B}$$

The following rules are the standard function extensionality and β -reduction rules, resp.:

$$\frac{H, z:A \vdash f_1(z) = f_2(z) \in B[x \setminus z] \quad H \vdash A \in \mathbb{U}_i}{H \vdash f_1 = f_2 \in \Pi x:A.B} \quad \frac{H \vdash t[x \setminus s] = u \in T}{H \vdash (\lambda x. t) s = u \in T}$$

145 **3** Inductive Continuity via Brouwer Trees

146 This section states a dialogue tree-based continuity principle, referred to as the inductive
 147 continuity principle, since it relies on trees to capture functions. As we show in Sec. 4, it
 148 implies both Brouwer's continuity principle for numbers and his uniform continuity principle
 149 on the Cantor space. Furthermore, it is still unknown whether the inductive continuity
 150 principle is strictly stronger than Brouwer's continuity principle for numbers. Sec. 5 internally
 151 validates this inductive principle. In particular, Thm. 4 shows that, given a pure function
 152 $F \in \mathfrak{B} \rightarrow \text{Nat}$, $\text{TT}_{\mathcal{C}}^{\square}$ provides a computation, introduced in Sec. 5.1, that builds a dialogue
 153 tree capturing F 's continuity.

154 As mentioned above, we rely here on Brouwer trees, which are a simple form of dialogue
 155 trees. Let us provide an example of how dialogue and Brouwer trees work. Consider the
 156 function $F \equiv \lambda\alpha.\alpha(\underline{2}) \in \mathfrak{B} \rightarrow \text{Nat}$. Fig. 3 (left) shows its dialogue tree, where the internal
 157 (root) node is labeled with the value α is applied to, and the leaves contain the values of
 158 F for all possible inputs. For example if F is applied to $\alpha \equiv \lambda x.x$, then starting from the
 159 root, we apply α to the node's value, i.e., $\underline{2}$, which gives us $\underline{2}$, and we therefore follow the

160 2nd path, which leads to the leaf labeled 2, the value of $F(\alpha)$. If $\alpha \equiv \lambda x.0$, then $\alpha(\underline{2})$ is
 161 now $\underline{0}$, and following the 0th path leads to the leaf labeled 0, which is the value of $F(\alpha)$.
 162 Fig. 3 (right) shows F 's Brouwer tree, where as opposed to dialogue trees, internal nodes are
 163 not labeled, and as for dialogue trees, the leaves contain the values of F for all inputs. For
 164 example if F is applied to $\alpha \equiv \lambda x.x$, because $\alpha(\underline{0})$ is $\underline{0}$, we first follow the 0th branch; then
 165 because $\alpha(\underline{1})$ is $\underline{1}$, we follow the 1st branch, and finally because $\alpha(\underline{2})$ is $\underline{2}$, we follow the 2nd
 166 branch, leading to a leaf labeled 2 (following the green path in Fig. 3). If $\alpha \equiv \lambda x.\underline{0}$, then we
 167 instead always follow the 0th branch, leading to a leaf labeled with 0.

168 In the dialogue tree, the modulus of continuity of F at some point α is given by the
 169 maximum value of the internal nodes followed using α , while in the Brouwer tree, the modulus
 170 is the length of the branch followed using α . Note that, in general, the values of the internal
 171 nodes of a dialogue tree of a function $F \in \mathfrak{B} \rightarrow \text{Nat}$ are used to “ask questions” to an argument
 172 $\alpha \in \mathfrak{B}$ to decide what branch to take in the tree (by applying α to those values), while in a
 173 Brouwer tree, “dialogues” happen by asking all the values of an initial segment of α .

174 3.1 Extending $\text{TT}_{\mathcal{C}}^{\square}$ with (Co-)W Types and Infinite Sequences

175 In order to state the inductive continuity principle, we make use of the notion of a Brouwer tree,
 176 which we define in $\text{TT}_{\mathcal{C}}^{\square}$ using W types [1, Sec.5.2], which is a standard way of representing
 177 inductive types. Additionally, we use co-W types (also called M types) [1, Sec.5.2], the dual
 178 notion to that of a W type, to prove the validity of the principle. Thus, we add W and M
 179 types to $\text{TT}_{\mathcal{C}}^{\square}$, using sup as a W type and M type constructor and wrec as a W type recursor.

$$\begin{aligned} vt \in \text{Type} &::= \dots \mid W(t_1, t_2) \mid M(t_1, t_2) \\ t \in \text{Term} &::= \dots \mid \text{sup}(t_1, t_2) \mid \text{wrec}(t_1, t_2) \\ v \in \text{Value} &::= \dots \mid [s], \text{ where } s \text{ is a metatheoretical function in } \mathbb{N} \rightarrow \mathbb{N} \end{aligned}$$

where $\text{wrec}(t_1, t_2)$ and $[s]$ compute as follows:

$$\text{wrec}(\text{sup}(a, f), g) \quad w \mapsto_w \quad g \ a \ f \ (\lambda b. \text{wrec}(f(b), g)) \quad [s] \ n \quad w \mapsto_w \quad \underline{s(n)}$$

180 In addition, the application operator is modified so that it evaluates its argument whenever
 181 the function is of the form $[s]$, i.e., $[s] \ a$ reduces to $[s] \ b$ when a reduces to b . Hence, for any
 182 metatheoretical function s in $\mathbb{N} \rightarrow \mathbb{N}$, $[s]$ inhabits \mathfrak{B} . These sequences are used in Sec. 5.5
 183 to prove that the computation of Brouwer trees provided in Sec. 5.1 terminates. They are
 184 similar to the sequences of the form $\lambda x.M_x$ in [5], where the infinite sequence of terms
 185 M_1, M_2, \dots does not have a computational purpose, but is used to prove termination in
 186 their proof that some bar recursion operator realizes the negative translation of the axiom of
 187 choice. Similar sequences have been used in [31] to validate versions of the axiom of choice,
 188 and in [34] to validate variants of Brouwer's Bar Induction principle [24].

189 W and M types are interpreted in a standard way:

$$\begin{aligned} \text{190 } \mathbf{W \text{ types:}} & \quad w \vDash W(A_1, B_1) \equiv W(A_2, B_2) \iff \text{Fam}_w(A_1, A_2, B_1, B_2) \\ & \quad w \vDash s_1 \equiv s_2 \in W(A, B) \iff \square_w(w'. \mu(R. \exists(a_1, a_2, f_1, f_2 : \text{Term}). w' \vDash a_1 \equiv a_2 \in A \wedge (\forall(b_1, b_2 : \\ \text{191 } & \quad \text{Term}). w' \vDash b_1 \equiv b_2 \in B(a_1) \rightarrow R \ f_1(b_1) \ f_2(b_2)) \wedge s_1 \vDash_{w'} \text{sup}(a_1, f_1) \wedge s_2 \vDash_{w'} \text{sup}(a_2, f_2)) \ s_1 \ s_2) \\ \text{192 } & \\ \text{193 } \mathbf{M \text{ types:}} & \quad w \vDash M(A_1, B_1) \equiv M(A_2, B_2) \iff \text{Fam}_w(A_1, A_2, B_1, B_2) \\ & \quad w \vDash s_1 \equiv s_2 \in M(A, B) \iff \square_w(w'. \nu(R. \exists(a_1, a_2, f_1, f_2 : \text{Term}). w' \vDash a_1 \equiv a_2 \in A \wedge (\forall(b_1, b_2 : \\ \text{194 } & \quad \text{Term}). w' \vDash b_1 \equiv b_2 \in B(a_1) \rightarrow R \ f_1(b_1) \ f_2(b_2)) \wedge s_1 \vDash_{w'} \text{sup}(a_1, f_1) \wedge s_2 \vDash_{w'} \text{sup}(a_2, f_2)) \ s_1 \ s_2) \\ \text{195 } & \end{aligned}$$

196 Therefore, $W(A, B)$ and $M(A, B)$ are types in \mathbb{U}_i whenever $A \in \mathbb{U}_i$ and $B \in A \rightarrow \mathbb{U}_i$.
 197 Given $a \in A$ and $f \in B[a] \rightarrow W(A, B)$, $\text{sup}(a, f) \in W(A, B)$ is a W type constructor, and if
 198 $f \in B[a] \rightarrow M(A, B)$ then $\text{sup}(a, f) \in M(A, B)$ is an M type constructor. Given $t \in W(A, B)$
 199 and $g \in \prod a:A.(B(a) \rightarrow W(A, B)) \rightarrow (B(a) \rightarrow C) \rightarrow C$, $\text{wrec}(t, g) \in C$ is a W type recursor.

► **Example 2.** Given $A \in \mathbb{U}_i$ and $B \in A \rightarrow \mathbb{U}_i$, $W(A, B)$ denotes the type of inductive definitions with inhabitants of A representing the constructors (as well as their non-inductive parameters), and $B(a)$ representing the indices of inductive parameters at a given constructor a . For example, the natural numbers have two constructors: `zero` and `succ`, the latter having one inductive parameter. Therefore, natural numbers are encoded as:

$$W(\text{Bool}, \lambda x.\text{case } x \text{ of } \text{inl}(_) \Rightarrow \text{Void} \mid \text{inr}(_) \Rightarrow \text{Unit}),$$

where `Void` captures the lack of inductive parameters for `zero` and `Unit` captures `succ`'s single inductive parameter. The constructors `zero` and `succ` are then be encoded as:

$$\text{zero} \equiv \text{sup}(\text{inl}(\star), \lambda x.\star) \quad \text{and} \quad \text{succ} \equiv \lambda n.\text{sup}(\text{inr}(\star), \lambda x.n)$$

200 3.2 Brouwer Tree-Based Inductive Continuity Principle

201 We can now state the inductive continuity principle that captures the moduli of continuity
 202 of functions in $\mathfrak{B}_{\text{SNat}} \rightarrow \text{Nat}$ using Brouwer trees, where $\mathfrak{B}_{\text{SNat}} \equiv \text{Nat} \rightarrow \text{SNat}$ for SNat a
 203 subtype of Nat (this principle is therefore a family of principles for all such SNats). This
 204 continuity result, as well as the ones recalled in Sec. 4, are stated for pure functions only
 205 using the following quantification: $\prod_p a:A.B \equiv \prod a:(A \cap \text{pure}).B$, which quantifies over pure
 206 members of A . We also write A_p for $A \cap \text{pure}$ and $A +_p B$ for $(A+B) \cap \text{pure}$. It remains to
 207 be determined whether some effectful computations can be proved to be continuous.

208 We first define Brouwer trees (a class of dialogue trees where internal nodes are not
 209 labeled) using W types as follows.

210 ► **Definition 3** (Brouwer Trees). A Brouwer tree is a member of $\text{Bt} \equiv W(\text{BtA}, \text{BtB})$, where
 211 $\text{BtA} \equiv \text{Nat} +_p \text{Unit}$ and $\text{BtB} \equiv \lambda a.\text{if } a \text{ then Void else } \text{SNat}_p$. Such trees have two
 212 constructors: $\eta(i) \equiv \text{sup}(\text{inl}(i), \lambda x.\star)$, which builds a leaf node with value $i \in \text{Nat}$; and
 213 $F(f) \equiv \text{sup}(\text{inr}(\star), f)$, which builds an internal node from a function $f \in \text{SNat}_p \rightarrow \text{Bt}$.

214 Using this definition, the Brouwer tree depicted in Fig. 3 is $F(\lambda i.F(\lambda j.F(\lambda k.\eta(k))))$.

215 ► **Theorem 4** (Inductive Continuity Principle). The following continuity principle, referred to
 216 as ICP_p , is valid in $\text{TT}_{\mathcal{C}}^{\square 5}$ (see `contDiagVal` in `barContP10.lagda` for details):

$$217 \quad \prod_p F:\mathfrak{B}_{\text{SNat}} \rightarrow \text{Nat}.\|\Sigma d:\text{Bt}.\prod_p \alpha:\mathfrak{B}_{\text{SNat}}.\text{follow}(d, \alpha) = F(\alpha) \in \text{Nat}\| \quad (\text{ICP}_p)$$

where $\text{follow}(d, \alpha)$ extracts the value of the leaf encountered when following α in d as follows:

$$\text{follow}(d, \alpha) \equiv \text{wrec}(d, \lambda a.\lambda f.\lambda r.\lambda k.\text{case } a \text{ of } \text{inl}(i) \Rightarrow i \mid \text{inr}(_) \Rightarrow r(\alpha k)(k+1) \underline{0}$$

218 At a high-level, the proof goes as follows (the full proof is carried out in Sec. 5).

219 **Step 1:** Given a function in $\mathfrak{B}_{\text{SNat}} \rightarrow \text{Nat}$, we first build by coinduction a possibly infinite
 220 co-Brouwer tree as an M type. This co-Brouwer tree contains the result of F applied to
 221 the finite sequence s at the leaf ending the path following s whenever s contains enough
 222 information to compute the result of F .

⁵ “Valid in $\text{TT}_{\mathcal{C}}^{\square}$ ” here means that the principle is realizable in $\text{TT}_{\mathcal{C}}^{\square}$, thus it is consistent with the theory.

- 223 **Step 2:** Classically, this co-Brouwer tree is either finite or contains an infinite branch.
 224 **Step 3:** If the co-Brouwer tree is finite, it is a Brouwer tree.
 225 **Step 4:** If the co-Brouwer tree contains an infinite branch, then the branch gives rise to an
 226 infinite sequence α , and since F is continuous, the path must be finite. As discussed in
 227 Sec. 5.5, this step relies on a continuity argument similar to the one used to validate the
 228 weak continuity principle WCP_p recalled in Sec. 4.1.
 229 **Step 5:** Finally, the obtained Brouwer tree is shown to contain the values of F at its leaves.

230 4 Relation with Other Continuity Principles

231 This section demonstrates that inductive continuity implies both Brouwer's continuity
 232 principle for numbers (referred to as weak continuity here) and uniform continuity.

233 4.1 Weak Continuity

234 TT_C^\square was shown to satisfy the following version of Brouwer's continuity principle for numbers,
 235 also called the weak continuity principle, which therefore can be added as an axiom [11].

$$236 \quad \prod_p F: \mathfrak{B} \rightarrow \text{Nat}. \prod_p \alpha: \mathfrak{B}. \|\Sigma n: \text{Nat}. \prod_p \beta: \mathfrak{B}. (\alpha = \beta \in \mathfrak{B}_n) \rightarrow (F(\alpha) = F(\beta) \in \text{Nat})\| \quad (WCP_p)$$

WCP_p is realized in every world by the term $\lambda F. \lambda \alpha. \langle \text{mod}(F, \alpha), \lambda \beta. \lambda e. * \rangle$, where $\text{mod}(F, \alpha)$ computes the modulus of continuity of the function $F \in \mathfrak{B} \rightarrow \text{Nat}$ at $\alpha \in \mathfrak{B}$. Roughly speaking, $\text{mod}(F, \alpha)$ generates a reference cell δ initialized with 0, applies F to a modified version of α (namely $\text{upd}(\delta, \alpha)$) that keeps track using δ of the highest number α gets applied to, and then returns the value held by δ (plus one). Formally:

$$\begin{aligned} \text{mod}(F, \alpha) &\equiv \nu x. (x := 0; F(\text{upd}(x, \alpha)); !x + 1) \\ \text{upd}(\delta, \alpha) &\equiv \lambda x. (\text{let } y = x \text{ in } ((\text{if } !\delta < y \text{ then } \delta := y \text{ else } *); \alpha(y))) \end{aligned}$$

237 Note that the truncation in WCP_p is necessary. It has been shown that a non-truncated
 238 version of WCP is inconsistent with MLTT [18; 40], and the same applies to WCP_p and TT_C^\square .
 239 The main reason for this is the semantics of dependent functions given by TT_C^\square 's realizability
 240 model (see Fig. 2). Under this semantics, $f \in \prod x: A. B$ if f maps equal terms $a_1 = a_2 \in A$ to equal
 241 terms $f(a_1) = f(a_2) \in B[x \setminus a_1]$. As continuity is a non-extensional property [25], extensionally
 242 equal functions in \mathfrak{B} might have different moduli of continuity, so WCP_p 's realizer cannot
 243 inhabit a non-truncated version of WCP_p . However, when B is of the form $\|C\|$, it suffices
 244 that $f(a_1)$ and $f(a_2)$ are both members of $C[x \setminus a_1]$, allowing WCP_p 's validation.

245 **► Theorem 5.** WCP_p is derivable from ICP_p in TT_C^\square when $\text{SNat} \equiv \text{Nat}$.

Proof outline. Let $F \in \mathfrak{B} \rightarrow \text{Nat}$ a pure function and let $\alpha \in \mathfrak{B}$. It follows from ICP_p that:
 $\|\Sigma d: \text{Bt}. \prod_p \alpha: \mathfrak{B}. \text{follow}(d, \alpha) = F(\alpha) \in \text{Nat}\|$. Because both principles are truncated, we can
 assume the existence of a tree $d \in \text{Bt}$ such that: $\prod_p \alpha: \mathfrak{B}. \text{follow}(d, \alpha) = F(\alpha) \in \text{Nat}$. Because d
 encodes the modulus of continuity of each sequence $\alpha \in \mathfrak{B}$, as the length of the branch in d
 that “follows” α , we instantiate the conclusion with: $n \equiv \text{lenBranch}(d, \alpha) \in \text{Nat}$, where:

$$\text{lenBranch}(d, \alpha) \equiv \text{wrec}(d, \lambda a. \lambda f. \lambda r. \lambda k. \text{case } a \text{ of } \text{inl}(i) \Rightarrow k \mid \text{inr}(_) \Rightarrow r(\alpha k)(k+1)) 0$$

246 It now remains to prove that $F(\alpha) = F(\beta) \in \text{Nat}$, for any pure function $\beta \in \mathfrak{B}$ such that $\alpha = \beta \in \mathfrak{B}_n$.
 247 From ICP_p , we know that $\text{follow}(d, \alpha) = F(\alpha) \in \text{Nat}$ and $\text{follow}(d, \beta) = F(\beta) \in \text{Nat}$. Therefore,
 248 it is enough to prove $\text{follow}(d, \alpha) = \text{follow}(d, \beta) \in \text{Nat}$, which follows from the following fact:

$$249 \quad \prod \alpha, \beta: \mathfrak{B}. \alpha = \beta \in \mathfrak{B}_{\text{lenBranch}(d, \alpha)} \rightarrow \text{follow}(d, \alpha) = \text{follow}(d, \beta) \in \text{Nat}. \quad \blacktriangleleft$$

250 **4.2 Uniform Continuity**

251 The uniform continuity principle states that all functions on the Cantor space ($\mathcal{C} \equiv \text{Nat} \rightarrow \text{Bool}$)
 252 are uniformly continuous, meaning that all points $\alpha \in \mathcal{C}$ have the same modulus of continuity.
 253 We consider here the following version:

$$254 \quad \prod_p F: \mathcal{C} \rightarrow \text{Nat}. \|\Sigma n: \text{Nat}. \prod_p \alpha, \beta: \mathcal{C}. (\alpha = \beta \in \mathcal{C}_n) \rightarrow (F(\alpha) = F(\beta) \in \text{Nat})\| \quad (\text{UCP}_p)$$

255 Brouwer proved that all real-valued functions on the unit interval are uniformly continuous [8,
 256 Thm.3] using WCP and the Fan Theorem [38, Ch.7, Sec.7; 15, Sec.3.2], which he derived from
 257 Bar Induction. While it was shown that in the case of uniform continuity the truncation can
 258 be removed [18; 40], we leave formalizing this in $\text{TT}_{\mathcal{C}}^{\square}$ for future work.

259 **► Theorem 6.** UCP_p is derivable from ICP_p in $\text{TT}_{\mathcal{C}}^{\square}$ when $\text{SNat} \equiv \{x : \text{Nat} \mid x < 2\}$ or
 260 equivalently $\text{SNat} \equiv \text{Bool}$ (and therefore $\mathfrak{B}_{\text{SNat}}$ is \mathcal{C}).

Proof outline. Let $F \in \mathcal{C} \rightarrow \text{Nat}$ be a pure function. Because both principles are truncated,
 we can assume the existence of a tree $d \in \text{Bt}$ such that: $\prod_p \alpha: \mathcal{C}. \text{follow}(d, \alpha) = F(\alpha) \in \text{Nat}$. As
 d is finitely branching and encodes the modulus of continuity of each $\alpha \in \mathcal{C}$ as the length of
 the branch in d that “follows” α , we compute the uniform modulus of continuity of F as d ’s
 depth as follows, where $\text{max}(i, j)$ returns the maximum among the numbers i and j :

$$\text{depth}(d) \equiv \text{wrec}(d, \lambda a. \lambda f. \lambda r. \text{case } a \text{ of } \text{inl}(i) \Rightarrow \underline{1} \mid \text{inr}(_) \Rightarrow \text{max}(r(0), r(1)) + \underline{1})$$

261 We then instantiate our conclusion with $n \equiv \text{depth}(d) \in \text{Nat}$, and have to prove that
 262 $F(\alpha) = F(\beta) \in \text{Nat}$, for all pure functions $\alpha, \beta \in \mathcal{C}$ such that $\alpha = \beta \in \mathcal{C}_n$. From ICP_p , we know
 263 that $\text{follow}(d, \alpha) = F(\alpha) \in \text{Nat}$ and $\text{follow}(d, \beta) = F(\beta) \in \text{Nat}$. Therefore, it is enough to prove
 264 $\text{follow}(d, \alpha) = \text{follow}(d, \beta) \in \text{Nat}$, which follows from the following fact, which can be proved
 265 by induction on d : $\prod \alpha, \beta: \mathcal{C}. \alpha = \beta \in \mathcal{C}_{\text{depth}(d)} \rightarrow \text{follow}(d, \alpha) = \text{follow}(d, \beta) \in \text{Nat}$. ◀

266 **5 Validity of the Inductive Continuity Principle**

267 This section sketches the proof of Thm. 4, which has been formalized in Agda. For simplicity
 268 we focus here on functions in $\mathfrak{B} \rightarrow \text{Nat}$, but as mentioned in Sec. 3, the principle holds for all
 269 functions in $\mathfrak{B}_{\text{SNat}} \rightarrow \text{Nat}$ where SNat is a subtype of Nat .

270 To validate ICP_p we assume that $\text{TT}_{\mathcal{C}}^{\square}$ ’s \square modality is a Kripke-like modality, i.e., $\forall(w : \mathcal{W}). \square_w f \rightarrow \forall_w^{\square}(f)$. This is used to derive a co-Brouwer tree from an $F \in \mathfrak{B} \rightarrow \text{Nat}$. In
 271 short, when building a co-Brouwer tree in Step 1 by extending a node with branches for all
 272 $n \in \text{Nat}$, if n does not compute to a number in the current world w (which a Kripke modality
 273 enforces), it is unclear how this can result in a co-tree in w . It was proved in [10] that $\text{TT}_{\mathcal{C}}^{\square}$
 274 is inconsistent with classical logic when \square is a Kripke modality and \mathcal{C} is instantiated using
 275 references, which is expected because continuity contradicts classical logic [38; 39].
 276

277 **5.1 Computing Brouwer Trees**

To show that ICP_p is valid, we must exhibit a $\text{TT}_{\mathcal{C}}^{\square}$ computation that can compute a Brouwer
 tree from a pure function in $\mathfrak{B} \rightarrow \text{Nat}$. This computation is similar to the one provided
 in [35, Sec.1.3], and proceeds as follows: given $F \in \mathfrak{B} \rightarrow \text{Nat}$, $\text{loop}(F) \underline{0} \alpha_0$ builds a tree in
 Bt satisfying the condition in Thm. 4, where $\alpha_0 \equiv \lambda _ . \underline{0}$, and loop is defined as follows:

$$\begin{aligned} \text{loop}(F) &\equiv \text{fix}(\lambda R. \lambda k. \lambda \alpha. \nu x. (x := \underline{0}); \text{let } i = F(\text{upd}(x, \alpha)) \text{ in cases}(x, R, k, \alpha, i)) \\ &\text{cases}(\delta, R, k, \alpha, i) \equiv \text{if } !\delta < k \text{ then } \eta(i) \text{ else } F(\lambda x. R(k + \underline{1}) \text{ append}(k, \alpha, x)) \end{aligned}$$

278 The goal of this computation is to recursively build a Brouwer tree from the root, by
 279 applying F to a finite sequence (essentially, the pair $\langle k, \alpha \rangle$), which corresponds to a path in
 280 the tree, and which is extended as long as it does not contain enough information for F to
 281 compute a value, i.e., as long as F makes use of more than k values from α .

282 Note that a finite sequence, or a list, of elements of type A is encoded here as a pair of its
 283 length k and a function in $\text{Nat} \rightarrow A$ where only its initial segment of length k is relevant. Given
 284 a list l given by the pair k and f , the operator $\text{append}(k, f, a) \equiv \lambda x. \text{if } x = k \text{ then } a \text{ else } f(x)$
 285 returns a list of length $k + 1$ that appends a to l . Lists are defined like this instead of using
 286 a W type because $\text{loop}(F)$ applies F to a function with initial segment the list given as
 287 argument. Therefore, instead of using an additional operator to turn an element of such a
 288 W type into a function, with this encoding lists directly provide such functions.

289 The computation in [35] uses exceptions to test whether F requires more values than
 290 the ones provided in the current finite sequence, while we use here references as in [11].
 291 Exceptions are well-suited to test whether the modulus of continuity is reached, but not
 292 to directly compute moduli of continuity. For example, the computation in [32] relies on
 293 exceptions and a loop, while the computation in [11] makes use of references and does
 294 not require an additional loop because a reference cell can be used to store the moduli of
 295 continuity. Instead of using a reference to a Boolean, which would be similar to using an
 296 exception, we use here a reference δ that points to a number, and apply F to $\text{upd}(\delta, \alpha)$, as
 297 in WCP_p 's realizer, as it allows us to reuse some of the results used in [11] to validate WCP_p .

298 5.2 Step 1: Building a co- W

299 First, we prove that from a function $F \in \mathfrak{B} \rightarrow \text{Nat}$, we get $\text{loop}(F) \Downarrow \alpha_0 \in \text{CoDiag}$, where
 300 $\text{CoDiag} \equiv M(\text{Nat} +_p \text{Unit}, \lambda a. \text{if } a \text{ then Void else Nat}_p)$. We prove this by coinduction, and
 301 by inspecting the computation of $\text{loop}(F)$ (see `coSem` in `barContP2.lagda`). Given $k \in \text{Nat}_p$ and
 302 $\alpha \in \mathfrak{B}$, $(\text{loop}(F) k \alpha)$ first evaluates $F(\text{upd}(\delta, \alpha))$ to i for some “fresh” δ , and then returns
 303 $\eta(i)$ if $! \delta < k$, and otherwise returns $F(\lambda x. \text{loop}(F) (k + \underline{1}) \text{append}(k, \alpha, x))$. We now prove
 304 $\text{loop}(F) k \alpha \in \text{CoDiag}$ by cases. If $! \delta < k$ then it remains to prove that $\eta(i) \in \text{CoDiag}$, which
 305 is straightforward because $F(\text{upd}(\delta, \alpha)) \in \text{Nat}$, and therefore i too. If $! \delta \not< k$ then it remains
 306 to prove $F(\lambda x. \text{loop}(F) (k + \underline{1}) \text{append}(k, \alpha, x)) \in \text{CoDiag}$, which follows from the fact that
 307 $\lambda x. \text{loop}(F) (k + \underline{1}) \text{append}(k, \alpha, x) \in \text{Nat}_p \rightarrow \text{CoDiag}$, which follows by coinduction.

308 5.3 Step 2: Case analysis

Using classical logic we analyze two cases: given $t \in M(A, B)$, either t 's branches are all finite
 or there exists an infinite branch, where the type of branches w.r.t. the world w , type A , and
 family B is defined as follows, a right injection capturing the termination of a branch:

$$\text{Branch} \equiv \forall (n : \mathbb{N}). (\exists (a, b : \text{Term}). w \Vdash a \equiv a \in A \wedge w \Vdash b \equiv b \in B(a)) \vee \top$$

Note that a branch can either be finite if it returns an element of the right disjunct (i.e., \top)
 for some $n \in \mathbb{N}$, or infinite if it always returns an element of the left disjunct for all $n \in \mathbb{N}$.
 Branches are defined w.r.t. a term t in $W(A, B)$ or in $M(A, B)$, and we say that a branch
 $p \in \text{Branch}$ is a branch of a term t if: $\forall (n : \mathbb{N}). p \in_n t$, where $p \in_n t$ is defined recursively as
 follows (for $\text{shift}(p) \equiv \lambda k. p(k + 1)$):

$$p \in_0 t \equiv \top \quad p \in_{n+1} t \equiv \begin{cases} \exists (f : \text{Term}). t \Vdash_w \text{sup}(a, f) \wedge \text{shift}(p) \in_n f b, \\ \quad \text{when } p(0) \text{ is a left injection of } (a, b, _, _) \\ \top, \text{ otherwise} \end{cases}$$

35:12 Inductive Continuity via Brouwer Trees

309 The tree $t \in M(A, B)$ is $\text{loop}(F) \sqsubseteq \alpha_0$. In case t 's branches are all finite, we show that
 310 $t \in W(A, B)$ (Sec. 5.4). In case t has an infinite branch, we derive a contradiction using an
 311 argument similar to one used to validate weak continuity in [11] (Sec. 5.5).

312 5.4 Step 3: Building a W type

In case t 's branches are all finite, we prove that if $t \in M(A, B)$ then $t \in W(A, B)$. Again,
 we use classical logic: assuming $t \notin W(A, B)$ and deriving a contradiction. Given that
 $t \in M(A, B)$ and $t \notin W(A, B)$, we extract, by coinduction, an infinite co-branch u from t ,
 where the type of co-branches u w.r.t. the world w , type A , and family B , is coinductively
 defined as follows (see `m2mb` in `barContP.lagda`):

$$\nu(R.\exists(a, f, b : \text{Term}).u \mapsto_w \text{sup}(a, f) \wedge w \vDash b \equiv b \in B(a) \wedge R f(b))$$

313 In particular, such a co-branch provides a sequence of B s. From this co-branch u , we build
 314 an infinite branch $p \in \text{Branch}$ (see `mb2path` in `barContP.lagda`), which is a function from $n \in \mathbb{N}$
 315 to (left injections of) B s along with their corresponding A s, derived by induction on n . From
 316 the assumption that t 's branches are all finite we obtain that p must also be finite, from
 317 which we derive a contradiction (see `m2w` in `barContP.lagda`).

318 5.5 Step 4: Termination

In case t , which is here $\text{loop}(F) \sqsubseteq \alpha_0$, contains an infinite branch p , we derive a contradiction
 from F 's continuity. Because p is infinite, i.e., only returns left injections, we obtain a
 metatheoretical function of the following type, which follows the branch p of $\text{loop}(F) \sqsubseteq \alpha_0$:

$$\mathbb{N} \rightarrow \exists(a, b : \text{Term}).w \vDash a \equiv a \in \text{Bt}A \wedge w \vDash b \equiv b \in \text{Bt}B(a)$$

Therefore, for each $n \in \mathbb{N}$, there are two cases: either ($w \vDash a \equiv a \in \text{Nat}$ and $w \vDash b \equiv b \in \text{Void}$) or
 ($w \vDash a \equiv a \in \text{Unit}$ and $w \vDash b \equiv b \in \text{Nat}_p$). Since Void is not inhabited, it must be that $w \vDash a \equiv a \in \text{Unit}$
 and $w \vDash b \equiv b \in \text{Nat}_p$. Hence, from this function, we obtain a metatheoretical function of the
 following type, which follows the branch p of $\text{loop}(F) \sqsubseteq \alpha_0$:

$$\mathbb{N} \rightarrow \exists(b : \text{Term}).w \vDash b \equiv b \in \text{Nat}_p$$

319 From this function, since \Box is a Kripke-like modality, we obtain a metatheoretical function
 320 $\mathbf{s} \in \mathbb{N} \rightarrow \mathbb{N}$, which given $n \in \mathbb{N}$ returns the path taken in the n^{th} F along the branch p
 321 following the computation $\text{loop}(F) \sqsubseteq \alpha_0$. As explained in Sec. 3.1, TT_c^\Box 's calculus includes all
 322 metatheoretical functions from \mathbb{N} to \mathbb{N} , which inhabit \mathfrak{B} . These sequences do not have any
 323 computational purpose here, and are only used to prove termination. We have $[\mathbf{s}] \in \mathfrak{B}$, so by
 324 continuity of F we know that there is a $k \in \mathbb{N}$ such that the k^{th} iteration of $\text{loop}(F) \sqsubseteq \alpha_0$ runs
 325 $F(\text{upd}(\delta, [\mathbf{s}]))$ for some “fresh” δ such that δ 's value stays under k during the computation of
 326 $F(\text{upd}(\delta, [\mathbf{s}]))$. This result makes use of `steps-sat-isHighestN` in `continuity3.lagda`, which was
 327 used to prove `WCPp` in [11], and in particular to prove that $F(\text{upd}(\delta, [\mathbf{s}]))$ keeps track in δ
 328 of the highest number that \mathbf{s} is applied to in the computation it performs. The modulus of
 329 continuity k of F at $\text{upd}(\delta, [\mathbf{s}])$ is then the value stored by δ at the end of this computation.

330 Therefore, because the k^{th} iteration of $\text{loop}(F) \sqsubseteq \alpha_0$ runs $F(\text{upd}(\delta, [\mathbf{s}]))$ such that δ 's
 331 value stays under k , it returns $\eta(i)$ for some i , which contradicts the assumption that the
 332 branch is infinite, i.e., contains only F s (see `noInfPath` in `barContP6.lagda` for details).

333 Note that the k^{th} iteration of $\text{loop}(F) \sqsubseteq \alpha_0$ does not quite run $F(\text{upd}(\delta, [\mathbf{s}]))$, but instead
 334 $F(\text{upd}(\delta, \alpha))$, where as indicated in Sec. 5.1, α is built starting from α_0 using the `append`

335 function, and therefore is equal to $[s]$ up to k . We can interchangeably use $F(\mathbf{upd}(\delta, [s]))$
 336 or $F(\mathbf{upd}(\delta, \alpha))$ thanks to Lem. 8 below (see `updSeq-steps-NUM` in `barContP6.lagda`).

► **Definition 7.** *The simulation relation $t_1 \approx_{\delta, s, n} t_2$ holds iff*

$$\begin{aligned} & (t_1 = \mathbf{upd}(\delta, s) \wedge t_2 = \mathbf{upd}(\delta, s2l(s, n))) \vee (t_1 = \mathbf{upd}(\delta, s2l(s, n)) \wedge t_2 = \mathbf{upd}(\delta, s)) \\ & \vee (t_1 = x \wedge t_2 = x) \vee (t_1 = \underline{n} \wedge t_2 = \underline{n}) \vee (t_1 = \lambda x. a \wedge t_2 = \lambda x. b \wedge a \approx_{\delta, s, n} b) \\ & \vee (t_1 = (a_1 \ b_1) \wedge t_2 = (a_2 \ b_2) \wedge a_1 \approx_{\delta, s, n} b_1 \wedge a_2 \approx_{\delta, s, n} b_2) \vee \dots \end{aligned}$$

337 where $s2l(s, 0) \equiv \alpha_0$ and $s2l(s, n + 1) \equiv \mathbf{append}(n, s2l(s, n), \underline{s(n + 1)})$.

338 Most cases are omitted in this definition as they are similar to the ones presented above.
 339 Crucially terms of the form δ or $\nu x. t$ are not related, and those are the only expressions not
 340 related, thereby ruling out names except when occurring inside `upd` through the first clause.

341 ► **Lemma 8.** *If $a \approx_{\delta, s, n} b$ and $a \xrightarrow{w_1}^*_{w_2} \underline{k}$ such that n is higher than any value held by δ
 342 throughout this computation, then $b \xrightarrow{w_1}^*_{w_2} \underline{k}$.*

343 5.6 Step 5: The Continuity Property

344 It now remains to prove that given $F \in \mathfrak{B} \rightarrow \mathbf{Nat}$, the tree $d \equiv (\mathbf{loop}(F) \ \underline{0} \ \alpha_0) \in \mathbf{Bt}$ satisfies
 345 the property $\prod_p \alpha: \mathfrak{B}. \mathbf{follow}(d, \alpha) = F(\alpha) \in \mathbf{Nat}$ (see `semCond` in `barContP9.lagda`). For this we
 346 need to prove that `follow`(d, α) computes to the same number that $F(\alpha)$ computes to, and
 347 this for any pure sequence $\alpha \in \mathfrak{B}$ and tree $d \equiv \mathbf{loop}(F) \ \underline{k} \ \alpha_k$, where α_k agrees with α up to k
 348 (see `follow-NUM` in `barContP9.lagda`). We prove this by induction on d . Either d is an $\eta(i)$,
 349 which we discuss below, or a $F(f)$, in which case we conclude by induction. In case d
 350 is $\eta(i)$, we must prove that $F(\alpha)$ computes to i . In that case, d runs $F(\mathbf{upd}(\delta, \alpha_k))$ for some
 351 “fresh” δ , which computes to i for some α_k that agrees with α up to k . Here α_k is $s2l(s, k)$,
 352 for some s equal to α in \mathfrak{B} . We use again here a metatheoretical sequence s , which does not
 353 have any computational purpose. We can then prove that $F(\alpha)$ and $F(s)$ compute to the
 354 same number, and appealing to Lem. 8, we prove that $F(s)$ and $F(\mathbf{upd}(\delta, \alpha_k))$ compute to
 355 the same number, and therefore that $F(\alpha)$ computes to i , which concludes our proof.

356 6 Conclusion and Related Works

357 The paper presents the first internalization of the inductive dialogue-based continuity principle
 358 in a dependent type theory, namely \mathbf{TT}_C^\square , which has been formalized in Agda. For this, we
 359 construct Brouwer trees via effectful computations that use references. Proving the inductive
 360 continuity principle internally entails new challenges, such as the termination proof which
 361 requires maintaining a strict connection between a meta-theoretical generic element and
 362 an internal computation. More generally, the class of effectful intuitionistic theories \mathbf{TT}_C^\square ,
 363 which now internalizes several continuity principles, provides a computational framework for
 364 further studying the relationship between these principles. WCP and ICP have been shown
 365 to coincide in the presence of Bar Induction (under certain restrictions), or assuming classical
 366 reasoning [6; 22; 9]. Bar Induction was shown to be consistent with a subsystem of \mathbf{TT}_C^\square [34].
 367 Thus, it seems that \mathbf{TT}_C^\square provides an ideal framework in which one can formally verify this
 368 implication internally, as well as produce a corresponding computation. An immediate related
 369 question we leave for further study is then to establish the relation between the two principles
 370 in a general setting, without assuming Bar Induction or resorting to classical reasoning.

371 The technique of using dialogue trees to compute moduli of continuity originated in [20;
 372 22; 21; 19], while the idea of recording the interaction of a function with an oracle to compute

continuity goes back to Longley [28], where exceptions and references were used as a probing mechanism to compute moduli of continuity. In [19], Escardó defined a model of System T where \mathbb{N} is interpreted as the type of dialogue trees and function types as functions between the interpretations of the source and target types. This model contains a *generic element* of type $\mathbb{N} \rightarrow \mathbb{N}$, a function from dialogue trees to dialogue trees, that records queries to it in the structure of the resulting dialogue tree. Then, a dialogue tree is built using this generic element, from which the modulus of continuity can be calculated. Sterling [35] extended the effectful forcing technique to prove that System T validates the realizable bar thesis, which is equivalent to the inductive continuity principle considered here. System T was given a call-by-name interpretation, where types are interpreted as algebras over a dialogue tree monad. Although the carrier sets of this interpretation agree with those of Escardó, the actions of the algebras allow for a compositional interpretation of the recursor on numbers.

In [3], the authors prove that all BTT [29] functions are continuous by generalizing the method of [19]. However, their method does not allow *internalizing* the continuity principle, which is the goal of the present work. As they work in the metatheory, they can induct on the syntax of the $F \in \mathfrak{B} \rightarrow \text{Nat}$ when constructing the dialogue trees, allowing for a constructive proof of continuity. In this work, we construct a program computing such trees in the theory itself, where recursion on syntax of terms is not available. As a result we resort to classical logic to prove finiteness of the computed trees and termination of this program. It remains to be seen if this can also be done internally, without resorting to classical logic.

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