Proven-Correct Provers

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What are we going to cover?

Turning Nuprl into an Intuitionistic Type Theory

- Verified validity of inference rules
- Added Intuitionistic axioms (continuity and bar induction)
- Added named exception
- Added some sort of choice sequences

Verification of KeYmaera X's core

- Verified validity of inference rules
- Built a proof checker in Coq
- Enhanced a real analysis library

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Nuprl?

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Nuprl in a Nutshell

Similar to Coq and Agda

Extensional Constructive Type Theory with partial functions

Consistency proof in Coq: https://github.com/vrahli/NuprlInCoq

Cloud based & virtual machines: http://www.nuprl.org

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Extensional CTT with partial functions?

Extensional

$$(\forall a : A. f(a) = g(a) \in B) \rightarrow f = g \in A \rightarrow B$$

Constructive

 $(A \rightarrow A)$ true because inhabited by $(\lambda x.x)$

Partial functions

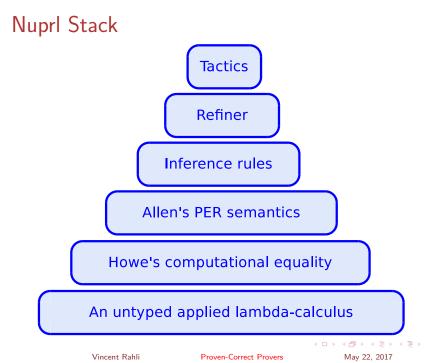
 $fix(\lambda x.x)$ inhabits $\overline{\mathbb{N}}$

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Nuprl Types—Martin-Löf's extensional type theory

Equality: $a = b \in T$

Dependent product: $a: A \rightarrow B[a]$

Dependent sum: $a:A \times B[a]$

Universe: \mathbb{U}_i

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Nuprl Types—Less "conventional types"

Partial: \overline{A}

Disjoint union: *A*+*B*

Intersection: $\cap a: A.B[a]$

Union: $\cup a: A.B[a]$

Subset: {*a* : *A* | *B*[*a*]}

Quotient: T//E

Domain: Base

Simulation: $t_1 \leqslant t_2$ (Void = 0 \leqslant 1 and Unit = 0 \leqslant 0)

Bisimulation: $t_1 \sim t_2$

Image: Img(A, f)

PER: per(R)

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Nuprl Types—Image type (Nogin & Kopylov)

Subset:
$$\{a : A \mid B[a]\} \triangleq \operatorname{Img}(a:A \times B[a], \pi_1)$$

Union: $\cup a: A.B[a] \triangleq \operatorname{Img}(a: A \times B[a], \pi_2)$

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Nuprl Types—PER type (inspired by Allen)

$$\texttt{Top}=\texttt{per}(\lambda_,_.0\leqslant 0)$$

$$halts(t) = \star \leq (let \ x := t \ in \ \star)$$

 $A \sqcap B = \cap x$:Base. $\cap y$:halts(x).isaxiom(x, A, B)

$$T//E = \operatorname{per}(\lambda x, y.(x \in T) \sqcap (y \in T) \sqcap (E \times y))$$

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Nuprl Types—Squashing

Proof erasure (1):

$$\{\text{Unit} \mid T\}$$

$$\downarrow T \qquad per(\lambda x.\lambda y.\star \leq x \sqcap \star \leq y \sqcap T)$$

$$Img(T, \lambda_.\star)$$

Proof irrelevance:TTT

 $per(\lambda x.\lambda y.x \in T \sqcap y \in T)$

Proof erasure (2): $\Downarrow T$ Top//*T*

 $per(\lambda . \lambda . T)$

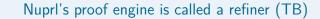
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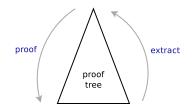
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Nuprl Refinements



- A generic goal directed reasoner:
 - **C** a rule interpreter
 - **C** a proof manager



Example of a rule

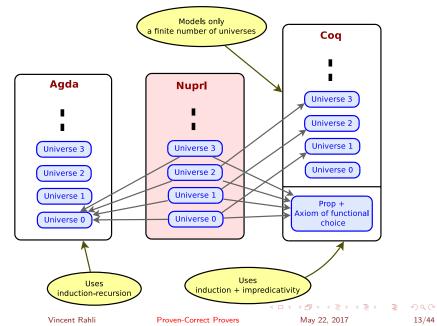
$$\begin{array}{l} H \vdash a: A \rightarrow B[a] \; \lfloor \texttt{ext} \; \lambda x. b \rfloor \\ \texttt{BY [lambdaFormation]} \\ H, x : A \vdash B[x] \; \lfloor \texttt{ext} \; b \rfloor \\ H \vdash A \in \mathbb{U}_i \; \lfloor \texttt{ext} \; \star \rfloor \end{array}$$

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Nuprl PER Semantics Implemented in Coq



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The More Inference Rules the Better!

All verified

Expose more of the metatheory

Encode Mathematical knowledge

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Let's now see how far we got towards turning Nuprl into an intuitionistic type theory

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Intuitionism



- First act: Intuitionistic logic is based on our inner consciousness of time, which gives rise to the two-ity.
- As opposed to Platonism, it's about constructions in the mind and not objects that exist independently of us. There are no mathematical truths outside human thought.
- A statement is true when we have an appropriate construction, and false when no construction is possible.

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Intuitionism



- Second act: New mathematical entities can be created through more or less freely proceeding sequences of mathematical entities.
- Also by defining new mathematical species (types, sets) that respect equality of mathematical entities.
- Gives rise to (never finished) choice sequences. Could be lawlike or lawless.
 Laws can be 1st order, 2nd order...
- The continuum is captured by choice sequences of nested rational intervals.

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Intuitionism—The creative subject

Brouwer introduced procedures that depend on the mental activity of an idealized mathematician

$$\mathsf{CS}_1 \qquad \forall x. (\vdash_x A \lor \neg \vdash_x A)$$

$$\mathsf{CS}_2 \qquad \forall x, y. (\vdash_x A \implies \vdash_{x+y} A)$$

$$\mathsf{CS}_3 \qquad (\exists x. \vdash_n A) \iff A$$

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Intuitionism—a non-classical logic

- 1. Take p a predicate on numbers such that p(n) is decidable for all n but $(\forall n : \mathbb{N}. p(n))$ is not known, e.g., GC.
- 2. Define the choice sequence α (real number) as follows:

- 3. We have $\alpha = 0 \iff \forall n : \mathbb{N}$. p(n)
- 4. Therefore, $\alpha = 0$ is not decidable

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Intuitionism—lawless sequences

"Absolutely free choice sequences"—think of the 2nd order restriction that forbids 1st order restrictions

We'll write s for finite sequences and α for lawless sequences. We write $\alpha \in s$ if s is an initial segment of α . \equiv stands for intensional equality. We write $\overline{\alpha}x$ for the initial segment of α of length x.

 $\mathsf{LS}_1 \qquad \forall s. \exists \alpha. \alpha \in s$

 $\mathsf{LS}_2 \qquad \forall \alpha, \beta. (\alpha \equiv \beta \lor \neg \alpha \equiv \beta)$

 $\mathsf{LS}_3 \qquad \mathsf{A}(\alpha) \ \Rightarrow \ \exists x. \forall \beta. (\overline{\alpha}x = \overline{\beta}x \ \Rightarrow \ \mathsf{A}(\beta))$

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Intuitionism—continuity

What can we do with these sequences if they are never finished?

Brouwer's answer: one never needs the whole sequence.

His **continuity axiom for numbers** says that functions from sequences to numbers only need initial segments

$$\forall F : \mathbb{N}^{\mathcal{B}}. \ \forall f : \mathcal{B}. \ \exists n : \mathbb{N}. \ \forall g : \mathcal{B}. \ f =_{\mathcal{B}_n} g \to F(f) =_{\mathbb{N}} F(g)$$

From which his **uniform continuity theorem** follows: Let f be of type $[\alpha, \beta] \to \mathbb{R}$, then

 $CONT(f, \alpha, \beta) = \forall \epsilon > 0. \exists \delta > 0. \forall x, y : [\alpha, \beta]. |x - y| \leq \delta \rightarrow |f(x) - f(y)| \leq \epsilon$

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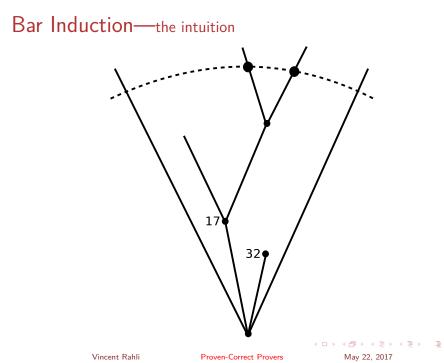
To prove his **uniform continuity theorem**, Brouwer also used the **Fan theorem**.

The fan theorem says that if for each branch α of a binary tree T, a property A is true about some initial segment of α , then **there is a uniform bound** on the depth at which A is met.

The fan theorem follows from bar induction.

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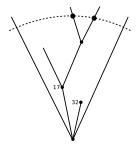
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Bar Induction—on decidable bars

$$\begin{array}{ll} H \vdash P(0,c) \\ & \text{BY [BID]} \\ & (\text{dec}) & H, n : \mathbb{N}, s : \mathbb{N}^{\mathbb{N}_n} \vdash B(n,s) \lor \neg B(n,s) \\ & (\text{bar}) & H, s : \mathbb{N}^{\mathbb{N}} \vdash \exists n : \mathbb{N}. \ B(n,s) \\ & (\text{imp}) & H, n : \mathbb{N}, s : \mathbb{N}^{\mathbb{N}_n}, m : B(n,s) \vdash P(n,s) \\ & (\text{ind}) & H, n : \mathbb{N}, s : \mathbb{N}^{\mathbb{N}_n}, x : (\forall m : \mathbb{N}. \ P((n+1), s \oplus_n m)) \vdash P(n,s) \end{array}$$



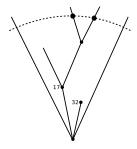
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Bar Induction—on monotone bars

$$\begin{array}{l} H \vdash \ensuremath{\mid} P(0,c) \\ & \mbox{BY [BIM]} \\ (\mbox{mon}) & H,n: \mathbb{N}, s: \mathbb{N}^{\mathbb{N}_n} \vdash \forall m: \mathbb{N}. \ B(n,s) \ \Rightarrow \ B(n+1,s\oplus_n m) \\ (\mbox{bar}) & H,s: \mathbb{N}^{\mathbb{N}} \vdash \ensuremath{\mid} \exists n: \mathbb{N}. \ B(n,s) \\ (\mbox{imp}) & H,n: \mathbb{N}, s: \mathbb{N}^{\mathbb{N}_n}, m: B(n,s) \vdash P(n,s) \\ (\mbox{ind}) & H,n: \mathbb{N}, s: \mathbb{N}^{\mathbb{N}_n}, x: (\forall m: \mathbb{N}. \ P((n+1), s\oplus_n m)) \vdash P(n,s) \end{array}$$



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Why the squashing operator?

As proved by Kreisel, Troelstra, and Escardó and Xu, continuity is false in Martin-Löf-like type theories when not ↓-squashed

From which we derived: BIM is false when not J-squashed

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Bar Induction

We proved BID/BIM for sequences of numbers in Coq following Dummett's "standard" classical proof (easy)

We added "choice sequences" of numbers to Nuprl's model: all Coq functions from $\mathbb N$ to $\mathbb N$

What about sequences of terms?

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Bar Induction

We proved BID/BIM for sequences of closed terms without names (in Coq following "standard" classical proof)

Harder because we had to turn our terms into a big W type: functions from \mathbb{N} to terms are now terms!

Why without names?

u picks fresh names and we can't compute the collection of all names anymore

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Can we prove continuity for sequences of terms instead of \mathcal{B} ?

Can we prove BID/BIM on sequences of terms with names?

What does that give us? + proof-theoretic strength?

Can I hope to be able to prove BID in Coq/Agda without LEM/AC?

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What Axioms Have We Validated So Far?

Name	Formula	Where	Comments
WCP1,0	$\neg \Pi F: \mathbb{N}^{\mathcal{B}}.\Pi f: \mathcal{B}.\Sigma n: \mathbb{N}.\Pi g: \mathcal{B}.f =_{\mathcal{B}_n} g \to F(f) =_{\mathbb{N}} F(g)$	Nuprl	
WCP1,0	$\Pi F:\mathbb{N}^{\mathcal{B}}.\Pi f:\mathcal{B}, \downarrow \Sigma n:\mathbb{N}. \ \Pi g:\mathcal{B}.f =_{\mathcal{B}_{n}} g \to F(f) =_{\mathbb{N}} F(g)$	Coq	uses named exceptions
WCP _{1,0↓}	$\Pi F: \mathbb{N}^{\mathcal{B}}.\Pi f: \mathcal{B}.\downarrow \mathbf{\Sigma} n: \mathbb{N}.\Pi g: \mathcal{B}.f =_{\mathcal{B}_n} g \to F(f) =_{\mathbb{N}} F(g)$	Coq	uses ⊥
WCP _{1,1}	$\neg \Pi P: \mathcal{B} \to \mathbb{P}^{\mathcal{B}}.(\Pi a: \mathcal{B}. \mathbf{\Sigma} b: \mathcal{B}. P(a, b)) \to \mathbf{\Sigma} c: \mathbb{N}^{\mathcal{B}}. \texttt{CONT}(c) \land \Pi a: \mathcal{B}.\texttt{shift}(c, a)$	Nuprl	
WCP1,1	$ \exists \neg \Pi P: \mathcal{B} \to \mathbb{P}^{\mathcal{B}}.(\Pi a: \mathcal{B}. \Sigma b: \mathcal{B}. P(a, b)) \to \exists \Sigma c: \mathbb{N}^{\mathcal{B}}. \ \texttt{CONT}(c) \downarrow \land \ \Pi a: \mathcal{B}.\texttt{shift}(c, a) $?	
WCP1,11	$\widehat{\gamma} \sqcap P: \mathcal{B} \to \mathbb{P}^{\mathcal{B}}.(\Pi_{a}: \mathcal{B}. \boldsymbol{\Sigma}_{b}: \mathcal{B}. P(a, b)) \to \boldsymbol{\downarrow} \boldsymbol{\Sigma}_{c}: \mathbb{N}^{\mathcal{B}}. \texttt{CONT}(c)_{\boldsymbol{\downarrow}} \land \Pi_{a}: \mathcal{B}.\texttt{shift}(c, a)$?	
AC _{0,0}	$\Pi P:\mathbb{N} \to \mathbb{P}^{\mathbb{N}}.(\Pi n:\mathbb{N}.\boldsymbol{\Sigma} m:\mathbb{N}.P(n,m)) \to \boldsymbol{\Sigma} f:\mathcal{B}.\Pi n:\mathcal{B}.P(n,f(n))$	Nuprl	
AC _{0,01}	$ \Pi P: \mathbb{N} \to \mathbb{P}^{\mathbb{N}}.(\Pi n: \mathbb{N}. \downarrow \Sigma m: \mathbb{N}. P(n, m)) \to \downarrow \Sigma f: \mathcal{B}. \Pi n: \mathcal{B}. P(n, f(n)) $	Nuprl	
AC0,01	$ \Pi P: \mathbb{N} \to \mathbb{P}^{\mathbb{N}}.(\Pi n: \mathbb{N}, \downarrow \mathbf{\Sigma} m: \mathbb{N}. P(n, m)) \to \downarrow \mathbf{\Sigma} f: \mathcal{B}. \Pi n: \mathcal{B}. P(n, f(n)) $	Coq	uses classical logic
AC1.0	$ \Pi P: \mathcal{B} \to \mathbb{P}^{\mathbb{N}}.(\Pi f: \mathcal{B}. \mathbf{\Sigma} n: \mathbb{N}. P(f, n)) \to \mathbf{\Sigma} F: \mathbb{N}^{\mathcal{B}}. \Pi f: \mathcal{B}. P(f, F(f)) $	Nuprl	
AC1,0 J	$ \Pi P: \mathcal{B} \to \mathbb{P}^{\mathbb{N}}.(\Pi f: \mathcal{B}. \downarrow \Sigma n: \mathbb{N}. P(f, n)) \to \downarrow \Sigma F: \mathbb{N}^{\mathcal{B}}. \Pi f: \mathcal{B}. P(f, F(f)) $	Nuprl	
AC1,01	$\widehat{\gamma} \Pi P: \mathcal{B} \to \mathbb{P}^{\mathbb{N}}.(\Pi f: \mathcal{B}.\downarrow \Sigma n: \mathbb{N}.P(f, n)) \to \downarrow \Sigma F: \mathbb{N}^{\mathcal{B}}.\Pi f: \mathcal{B}.P(f, F(f))$?	
AC2,0	$\mathbf{\Pi} P: \mathbb{N}^{\mathcal{B}} \to \mathbb{P}^{\mathbb{N}}.(\mathbf{\Pi} f: \mathbb{N}^{\mathcal{B}}.\boldsymbol{\Sigma} n; T.P(f, n)) \to \boldsymbol{\Sigma} F: T^{(\mathbb{N}^{\mathcal{B}})}.\mathbf{\Pi} f: \mathbb{N}^{\mathcal{B}}.P(f, F(f))$	Nuprl	
AC2,0	$\neg (\mathbf{\Pi} P: \mathbb{N}^{\mathcal{B}} \to \mathbb{P}^{T}. (\mathbf{\Pi} f: \mathbb{N}^{\mathcal{B}}. \downarrow \mathbf{\Sigma} n: T. P(f, n)) \to \downarrow \mathbf{\Sigma} F: T^{(\mathbb{N}^{\mathcal{B}})}. \mathbf{\Pi} f: \mathbb{N}^{\mathcal{B}}. P(f, F(f)))$	Nuprl	contradicts continuity
AC2,01	$\neg (\mathbf{\Pi} P: \mathbb{N}^{\mathcal{B}} \to \mathbb{P}^{\mathcal{T}}.(\mathbf{\Pi} f: \mathbb{N}^{\mathcal{B}}. \downarrow \mathbf{\Sigma} n: \mathcal{T}. P(f, n)) \to \downarrow \mathbf{\Sigma} F: \mathcal{T}^{(\mathbb{N}^{\mathcal{B}})}.\mathbf{\Pi} f: \mathbb{N}^{\mathcal{B}}. P(f, F(f)))$	Nuprl	contradicts continuity
LEM	$\neg \Pi P : \mathbb{P} . P \lor \neg P$	Nuprl	
LEM	$\neg \mathbf{\Pi} P : \mathbb{P} . \downarrow (P \lor \neg P)$	Nuprl	
LEM↓	$\Pi P:\mathbb{P}.\downarrow(P \lor \neg P)$	Coq	uses classical logic
MP	$ \Pi P: \mathbb{P}^{\mathbb{N}}.(\Pi n: \mathbb{N}.P(n) \lor \neg P(n)) \to (\neg \Pi n: \mathbb{N}.\neg P(n)) \to \mathbf{\Sigma} n: \mathbb{N}.P(n) $	Nuprl	uses LEM
KS	$\neg \Pi A: \mathbb{P}. \mathbf{\Sigma} a: \mathcal{B}. ((\mathbf{\Sigma} x: \mathbb{N}. a(x) =_{\mathbb{N}} 1) \iff A)$	Nuprl	uses MP
KSJ	$\neg \Pi A: \mathbb{P}. \downarrow \mathbf{\Sigma} a: \mathcal{B}. ((\mathbf{\Sigma} x: \mathbb{N}. a(x) =_{\mathbb{N}} 1) \iff A)$	Nuprl	uses MP
KS↓	$ \Pi A: \mathbb{P}, \forall \boldsymbol{\Sigma} a: \mathcal{B}. ((\boldsymbol{\Sigma} x: \mathbb{N}, a(x) =_{\mathbb{N}} 1) \iff A) $	Coq	uses classical logic
BI	$WF(B) \to BAR_{\perp}(B) \to BASE(B, P) \to IND(P) \to \downarrow P(0, \bot)$	Coq	uses classical logic
BIĎ	$WF(B) \to BAR_{\perp}(B) \to DEC(B) \to BASE(B, P) \to IND(P) \to P(0, \bot)$	Nuprl	uses BI ₁
BIM	$WF(B) \to BAR_{\downarrow}(B) \to MON(B) \to BASE(B, P) \to IND(P) \to \downarrow P(0, \bot)$	Nuprl	uses BI
BIM	$\neg \Pi B, P: (\Pi n: \mathbb{N}.\mathbb{P}^{\mathcal{B}_n}).BAR_{\downarrow}(B) \to MON(B) \to BASE(B, P) \to IND(P) \to P(0, \bot)$	Nuprl	contradicts continuity

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We verified the core of another prover: KeYmaera X

(some of that material comes from http://symbolaris.com/)
 (thanks to lvana for some of the material)

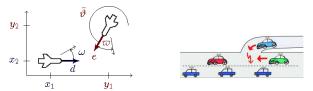
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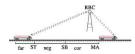
KeYmaera—a theorem prover for hybrid systems



CPSs combine digital and physical components

Hybrid systems model discrete and continuous effects of CPSs

Combination of computation and control





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KeYmaera—a theorem prover for hybrid systems

discrete dynamics specified using assignments

a := 1 (set acceleration to 1)

continuous dynamic specified using differential equations

x' = v, v' = a(derivative of position = velocity, derivative of velocity = acceleration)

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KeYmaera—example 1

Example uous car m	1 Safety property of an uncontrolled nodel	contin-
	init \rightarrow [plant] (req)	(5)
	$init \equiv v \ge 0 \land A > 0$	(6)
	$plant \equiv p' = v, v' = A$	(7)
	$req \equiv v \ge 0$	(8)
- ev	$init \equiv v \ge 0 \land A > 0 \land p_0 = p$	(9)
alter- native	$\operatorname{req} \equiv p \ge p_0$	(10)

35 -		Veloc Positi					/	
30 -				1			/	
25 -						/		
20 -					/			
15 -				/				
10 -			/					
5 -		/						
0			+		+			$\rightarrow t$
0	1 2	3	4	5	6	7	8	9

- Acceleration

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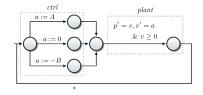
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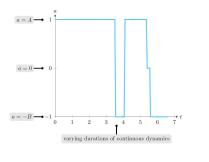
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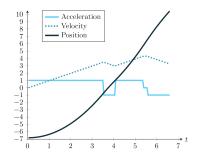
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KeYmaera—example 2

Example 2 Safety property of a hybrid car mo	del
init $\rightarrow [(ctrl; plant)^*]$ (req)	(11)
$\text{init} \equiv v \ge 0 \land A > 0 \land B > 0$	(12)
$ctrl \equiv a := A \cup a := 0 \cup a := -B$	(13)
$plant \equiv p' = v, v' = a \& v \ge 0$	(14)
$req \equiv v \ge 0$	(15)







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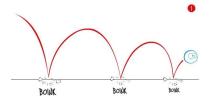
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KeYmaera—example 3



 $(h' = v, v' = -g\&h \ge 0; if (h = 0) then v := -cv fi)*$ (g: gravity force; c: damping factor)

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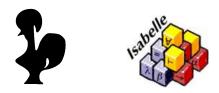
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KeYmaera—verified cores

Core implemented in Scala

- ► dL
- Axioms
- Uniform substitution
- Renaming

Verified using Coq and Isabelle



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KeYmaera—uniform substitution?

concrete axioms instead of schemata

$$[x := e]p(x) \iff p(e)$$

instantiated using substitutions

$$[x := e] x \ge 0 \iff e \ge 0$$

all side conditions are handled by a **uniform admissibility condition** on substitutions

e.g., x shouldn't get captured

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KeYmaera—verified cores

We formalized in Coq:

- dL's syntax
- dL's static and dynamic semantics
- dL's axioms
- uniform substitution
- renaming
- proof checker

Brandon Bohrer found a bug in the implementation of renaming!

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KeYmaera—Picard-Lindelöf

1 gap: The real analysis library we used doesn't provide the Picard-Lindelöf theorem

Existence and uniqueness of solutions to first-order equations with initial condition

$$\begin{cases} y'(t) = f(t, y(t)) \\ y(0) = y_0 \end{cases}$$

where f is Lipschitz continuous

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KeYmaera—Faà di Bruno

We thought we would have to compute the n^{th} -derivatives of standard operations, such as **composition**

Chain rule for 1st derivative:

$$(f \circ g)' = (f' \circ g) \cdot g'$$

Faà di Bruno generalizes the chain rule (1855–1857):

$$(f \circ g)^{(n)} = \sum_{n=1k_1 + \dots + nk_n} \frac{n!}{k_1! \cdots k_n!} \cdot f^{(k_1 + \dots + k_n)}(g) \cdot \prod_{j=1}^n (\frac{g^{(j)}}{j!})^{k_j}$$

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KeYmaera—Faà di Bruno

Faà di Bruno generalizes the chain rule:

$$(f \circ g)^{(n)} = \sum_{n=1 k_1 + \dots + n k_n} \frac{n!}{k_1! \cdots k_n!} \cdot f^{(k_1 + \dots + k_n)}(g) \cdot \prod_{j=1}^n (\frac{g^{(j)}}{j!})^{k_j}$$

So far, we only proved **McKiernan's formula** (see: "On the nth Derivative of Composite Functions"):

$$(f \circ g)^{(n)} = \sum_{r=1}^{n} f^{(r)}(g) \cdot \sum_{s=0}^{r} \frac{(-1)^{r-s}}{s!(r-s)!} g^{r-s} (g^{s})^{(n)}$$

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KeYmaera—Faà di Bruno

Many hand-written proofs

See Johnson's "The Curious History of Faà di Bruno's Formula"

Formally verified?

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