

Towards an Intuitionistic Type Theory

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What are we going to cover?

Turning Nuprl into an Intuitionistic Type Theory

- ▶ Formalized Nuprl in Coq (ITP 2014)
- ▶ Verified validity of inference rules
- ▶ Added Intuitionistic axioms (continuity and bar induction)
- ▶ Added named exception to validate continuity (CPP 2016)
- ▶ Added some sort of choice sequences to validate bar induction (LICS 2017)

Nuprl?

Nuprl in a Nutshell

Similar to Coq and Agda

Extensional Constructive Type Theory with partial functions

Consistency proof in Coq:

<https://github.com/vrahli/NuprlInCoq>

Cloud based & virtual machines: <http://www.nuprl.org>

Extensional CTT with partial functions?

Extensional

$$(\forall a : A. f(a) = g(a) \in B) \rightarrow f = g \in A \rightarrow B$$

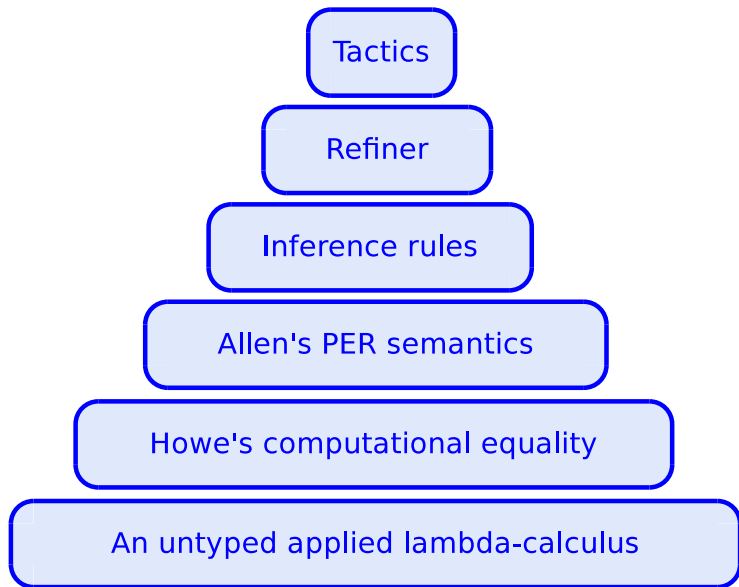
Constructive

$(A \rightarrow A)$ true because inhabited by $(\lambda x.x)$

Partial functions

$\text{fix}(\lambda x.x)$ inhabits $\overline{\mathbb{N}}$

Nuprl Stack



Nuprl Types—Martin-Löf's extensional type theory

Equality: $a = b \in T$

Dependent product: $a:A \rightarrow B[a]$

Dependent sum: $a:A \times B[a]$

Universe: \mathbb{U}_i

Nuprl Types—Less “conventional types”

Partial: \bar{A}

Disjoint union: $A+B$

Intersection: $\cap_{a:A}.B[a]$

Union: $\cup_{a:A}.B[a]$

Subset: $\{a : A \mid B[a]\}$

Quotient: $T//E$

Domain: Base

Simulation: $t_1 \leqslant t_2$

(Void = $0 \leqslant 1$ and Unit = $0 \leqslant 0$)

Bisimulation: $t_1 \sim t_2$

Image: $\text{Img}(A, f)$

PER: $\text{per}(R)$

Nuprl Types—Image type (Nogin & Kopylov)

Subset: $\{a : A \mid B[a]\} \triangleq \text{Img}(a:A \times B[a], \pi_1)$

Union: $\cup a:A. B[a] \triangleq \text{Img}(a:A \times B[a], \pi_2)$

Nuprl Types—PER type (inspired by Allen)

$$\text{Top} = \text{per}(\lambda _ . _ . 0 \leq 0)$$

$$\text{halts}(t) = \star \leq (\text{let } x := t \text{ in } \star)$$

$$A \sqcap B = \cap x:\text{Base} . \cap y:\text{halts}(x) . \text{isaxiom}(x, A, B)$$

$$T // E = \text{per}(\lambda x, y . (x \in T) \sqcap (y \in T) \sqcap (E \ x \ y))$$

Nuprl Types—Squashing

Proof erasure (1):

$$\begin{array}{ccc} & \{\text{Unit} \mid T\} & \\ \downarrow T & & \text{per}(\lambda x. \lambda y. \star \leq x \sqcap \star \leq y \sqcap T) \\ & \text{Img}(T, \lambda _ . \star) & \end{array}$$

Proof irrelevance:

$$\begin{array}{ccc} \downarrow T & T // \text{True} & \text{per}(\lambda x. \lambda y. x \in T \sqcap y \in T) \end{array}$$

Proof erasure (2):

$$\begin{array}{ccc} \Downarrow T & \text{Top} // T & \text{per}(\lambda _ . \lambda _ . T) \end{array}$$

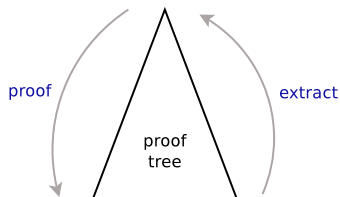
Nuprl Refinements

Nuprl's proof engine is called a refiner (TB)

A generic goal directed reasoner:

➤ a rule interpreter

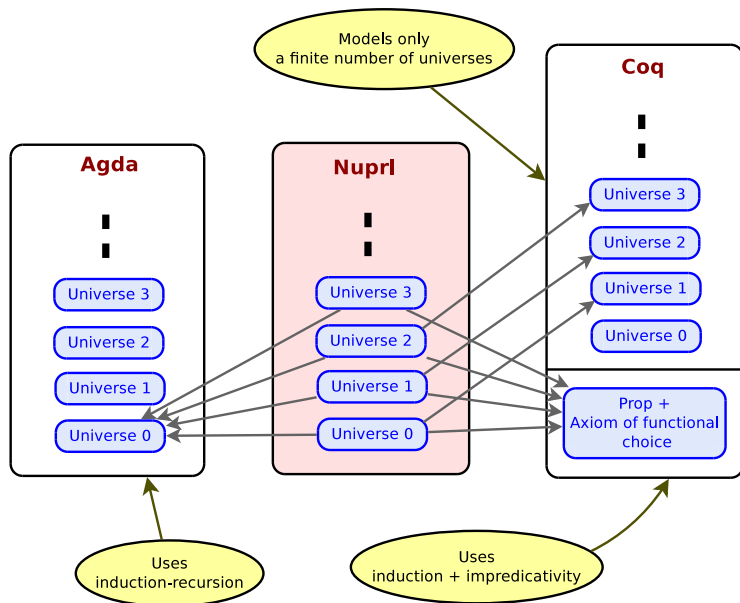
➤ a proof manager



Example of a rule

$$\begin{array}{l} H \vdash a:A \rightarrow B[a] \text{ [ext } \lambda x.b] \\ \text{BY [lambdaFormation]} \\ H, x:A \vdash B[x] \text{ [ext } b] \\ H \vdash A \in \mathbb{U}_i \text{ [ext } \star] \end{array}$$

Nuprl PER Semantics Implemented in Coq



The More Inference Rules the Better!

All verified

Expose more of the metatheory

Encode Mathematical knowledge

Let's now see how far we got towards
turning Nuprl into an intuitionistic
type theory

Intuitionism



- ▶ First act: Intuitionistic logic is based on our **inner consciousness of time**, which gives rise to the **two-ity**.
- ▶ As opposed to Platonism, it's about **constructions in the mind** and not objects that exist independently of us. There are no mathematical truths outside human thought.
- ▶ A statement is true when we have an appropriate construction, and false when no construction is possible.

Intuitionism



- ▶ Second act: New mathematical entities can be created through **more or less freely proceeding sequences** of mathematical entities.
- ▶ Also by defining new mathematical species (types, sets) that respect equality of mathematical entities.
- ▶ Gives rise to (never finished) choice sequences. Could be lawlike or lawless. Laws can be 1st order, 2nd order. . .
- ▶ The continuum is captured by choice sequences of nested rational intervals.

Intuitionism—The creative subject

Brouwer introduced procedures that depend on the mental activity of an idealized mathematician

$$CS_1 \quad \forall x. (\vdash_x A \vee \neg \vdash_x A)$$

$$CS_2 \quad \forall x, y. (\vdash_x A \Rightarrow \vdash_{x+y} A)$$

$$CS_3 \quad (\exists x. \vdash_x A) \iff A$$

Intuitionism—A non-classical logic

1. Take p a predicate on numbers such that $p(n)$ is decidable for all n but $(\forall n : \mathbb{N}. p(n))$ is not known, e.g., GC.
2. Define the choice sequence α (real number) as follows:

$$\begin{array}{c|c|c|c|c|c|c|c|} \alpha(0) & \alpha(1) & \alpha(2) & \alpha(3) & \alpha(4) & \alpha(5) & \alpha(6) & \alpha(7) & \dots \\ = 2^{-0} & = 2^{-1} & = 2^{-2} & = 2^{-3} & = 2^{-4} & = 2^{-4} & = 2^{-4} & = 2^{-4} & \dots \\ p(0) & p(1) & p(2) & p(3) & p(4) & \neg p(5) & - & - & \end{array}$$

3. We have $\alpha = 0 \iff \forall n : \mathbb{N}. p(n)$
4. Therefore, $\alpha = 0$ is not decidable

Intuitionism—Lawless sequences

“Absolutely free choice sequences”—think of the 2nd order restriction that forbids 1st order restrictions

We'll write s for finite sequences and α for lawless sequences.

We write $\alpha \in s$ if s is an initial segment of α .

\equiv stands for intensional equality.

We write $\bar{\alpha}x$ for the initial segment of α of length x .

$$LS_1 \quad \forall s. \exists \alpha. \alpha \in s$$

$$LS_2 \quad \forall \alpha, \beta. (\alpha \equiv \beta \vee \neg \alpha \equiv \beta)$$

$$LS_3 \quad A(\alpha) \Rightarrow \exists x. \forall \beta. (\bar{\alpha}x = \bar{\beta}x \Rightarrow A(\beta))$$

Intuitionism—Continuity

What can we do with these sequences
if they are never finished?

Brouwer's answer: one never needs the whole sequence.

His **continuity axiom for numbers** says that functions from sequences to numbers only need initial segments

$$\forall F : \mathbb{N}^{\mathbb{B}}. \forall f : \mathcal{B}. \exists n : \mathbb{N}. \forall g : \mathcal{B}. f =_{\mathcal{B}_n} g \rightarrow F(f) =_{\mathbb{N}} F(g)$$

From which his **uniform continuity theorem** follows: Let f be of type $[\alpha, \beta] \rightarrow \mathbb{R}$, then

$$\begin{aligned} & \text{CONT}(f, \alpha, \beta) \\ &= \forall \epsilon > 0. \exists \delta > 0. \forall x, y : [\alpha, \beta]. |x - y| \leq \delta \rightarrow |f(x) - f(y)| \leq \epsilon \end{aligned}$$

Intuitionism—Continuity

False (Kreisel 62, Troelstra 77, Escardó & Xu 2015):

$$\prod F:\mathcal{B} \rightarrow \mathbb{N}.\prod f:\mathcal{B}.\sum n:\mathbb{N}.\prod g:\mathcal{B}.f =_{\mathcal{B}_n} g \rightarrow F(f) =_{\mathbb{N}} F(g)$$

Easy in Coq model (almost purely by computation) because it doesn't have computational content:

$$\prod F:\mathcal{B} \rightarrow \mathbb{N}.\prod f:\mathcal{B}.\downarrow \sum n:\mathbb{N}.\prod g:\mathcal{B}.f =_{\mathcal{B}_n} g \rightarrow F(f) =_{\mathbb{N}} F(g)$$

Harder in Coq because it has computational content: uses named exceptions + ν (following Longley's method):

$$\prod F:\mathcal{B} \rightarrow \mathbb{N}.\prod f:\mathcal{B}.\downarrow \sum n:\mathbb{N}.\prod g:\mathcal{B}.f =_{\mathcal{B}_n} g \rightarrow F(f) =_{\mathbb{N}} F(g)$$

Intuitionism—How to compute moduli of continuity?

$$\prod F:\mathbb{N}^{\mathcal{B}}.\prod f:\mathcal{B}.\downarrow \sum n:\mathbb{N}.\prod g:\mathcal{B}.f =_{\mathcal{B}_n} g \rightarrow F(f) =_{\mathbb{N}} F(g)$$

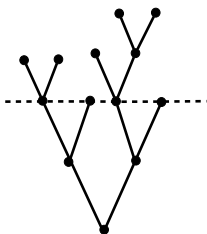
Essence: we want to be able to test whether a finite sequence f of length n is long enough. Following Longley's method of using effectful computations:

```
let exception e in
(F (fun x => if x < n then f x else raise e);
 true) handle e => false
```

Intuitionism—Bar induction

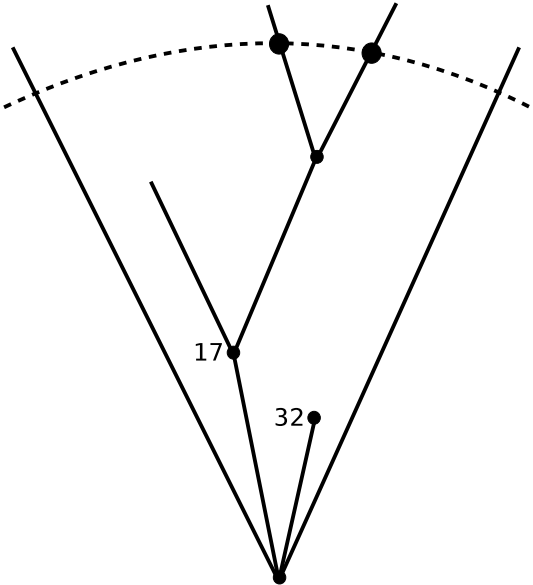
To prove his **uniform continuity theorem**, Brouwer also used the **Fan theorem**.

The fan theorem says that if for each branch α of a binary tree T , a property A is true about some initial segment of α , then **there is a uniform bound** on the depth at which A is met.



The fan theorem follows from **bar induction**.

Bar Induction—The intuition



Bar Induction—On decidable bars

$H \vdash P(0, c)$

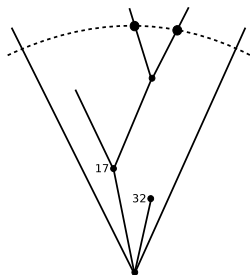
BY [BID]

(dec) $H, n : \mathbb{N}, s : \mathbb{N}^{\mathbb{N}_n} \vdash B(n, s) \vee \neg B(n, s)$

(bar) $H, s : \mathbb{N}^{\mathbb{N}} \vdash \downarrow \exists n : \mathbb{N}. B(n, s)$

(imp) $H, n : \mathbb{N}, s : \mathbb{N}^{\mathbb{N}_n}, m : B(n, s) \vdash P(n, s)$

(ind) $H, n : \mathbb{N}, s : \mathbb{N}^{\mathbb{N}_n}, x : (\forall m : \mathbb{N}. P((n+1), s \oplus_n m)) \vdash P(n, s)$



Bar Induction—On monotone bars

$H \vdash \downarrow P(0, c)$

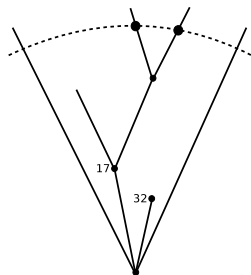
BY [BIM]

(mon) $H, n : \mathbb{N}, s : \mathbb{N}^{\mathbb{N}^n} \vdash \forall m : \mathbb{N}. B(n, s) \Rightarrow B(n + 1, s \oplus_n m)$

(bar) $H, s : \mathbb{N}^{\mathbb{N}} \vdash \downarrow \exists n : \mathbb{N}. B(n, s)$

(imp) $H, n : \mathbb{N}, s : \mathbb{N}^{\mathbb{N}^n}, m : B(n, s) \vdash P(n, s)$

(ind) $H, n : \mathbb{N}, s : \mathbb{N}^{\mathbb{N}^n}, x : (\forall m : \mathbb{N}. P((n + 1), s \oplus_n m)) \vdash P(n, s)$



Bar Induction—Why the squashing operator?

Continuity is false in Martin-Löf-like type theories
when not \downarrow -squashed

$$\prod F:\mathbb{N}^{\mathcal{B}}.\prod f:\mathcal{B}.\downarrow \sum n:\mathbb{N}.\prod g:\mathcal{B}.f =_{\mathcal{B}_n} g \rightarrow F(f) =_{\mathbb{N}} F(g)$$

$$\neg \prod F:\mathbb{N}^{\mathcal{B}}.\prod f:\mathcal{B}.\sum n:\mathbb{N}.\prod g:\mathcal{B}.f =_{\mathcal{B}_n} g \rightarrow F(f) =_{\mathbb{N}} F(g)$$

From which we derived:
BIM is false when not \downarrow -squashed

Bar Induction—Formalization

We proved BID/BIM for sequences of numbers in Coq following Dummett's “standard” classical proof (easy)

We added “choice sequences” of numbers to Nuprl's model:
all Coq functions from \mathbb{N} to \mathbb{N}

What about sequences of terms?

Bar Induction—Formalization

We proved BID for sequences of closed terms without names
(in Coq following “standard” classical proof)

Harder because we had to turn our terms into a big W type:
functions from \mathbb{N} to terms are now terms!

Why without names?

ν picks fresh names and we can't compute the collection of all names anymore

Bar Induction—Questions

Can we prove continuity for sequences of terms instead of \mathcal{B} ?

Can we prove BID/BIM on sequences of terms with names?

What does that give us? \neq proof-theoretic strength?

Can I hope to be able to prove BID in Coq/Agda without
LEM/AC?

What Axioms Have We Validated So Far?

| Name | Formula | Where | Comments |
|---------------------------------|--|-------|------------------------|
| WCP _{1,0} | $\neg \Pi f:\mathbb{N}^{\mathbb{B}}. \Pi f:\mathbb{B}. \Sigma n:\mathbb{N}. \Pi g:\mathbb{B}. f =_{\mathbb{B}_n} g \rightarrow F(f) =_{\mathbb{N}} F(g)$ | Nuprl | |
| WCP _{1,0} _↓ | $\Pi f:\mathbb{N}^{\mathbb{B}}. \Pi f:\mathbb{B}. \downarrow \Sigma n:\mathbb{N}. \Pi g:\mathbb{B}. f =_{\mathbb{B}_n} g \rightarrow F(f) =_{\mathbb{N}} F(g)$ | Coq | uses named exceptions |
| WCP _{1,0} _↓ | $\Pi f:\mathbb{N}^{\mathbb{B}}. \Pi f:\mathbb{B}. \downarrow \Sigma n:\mathbb{N}. \Pi g:\mathbb{B}. f =_{\mathbb{B}_n} g \rightarrow F(f) =_{\mathbb{N}} F(g)$ | Coq | uses \downarrow |
| WCP _{1,1} | $\neg \Pi P:\mathbb{B} \rightarrow \mathbb{P}^{\mathbb{B}}. (\Pi a:\mathbb{B}. \Sigma b:\mathbb{B}. P(a, b)) \rightarrow \Sigma c:\mathbb{N}^{\mathbb{B}}. \text{CONT}(c) \wedge \Pi a:\mathbb{B}. \text{shift}(c, a)$ | Nuprl | |
| WCP _{1,1} _↓ | $\uparrow \Pi P:\mathbb{B} \rightarrow \mathbb{P}^{\mathbb{B}}. (\Pi a:\mathbb{B}. \Sigma b:\mathbb{B}. P(a, b)) \rightarrow \downarrow \Sigma c:\mathbb{N}^{\mathbb{B}}. \text{CONT}(c)_{\downarrow} \wedge \Pi a:\mathbb{B}. \text{shift}(c, a)$? | | |
| WCP _{1,1} _↓ | $\uparrow \Pi P:\mathbb{B} \rightarrow \mathbb{P}^{\mathbb{B}}. (\Pi a:\mathbb{B}. \Sigma b:\mathbb{B}. P(a, b)) \rightarrow \downarrow \Sigma c:\mathbb{N}^{\mathbb{B}}. \text{CONT}(c)_{\downarrow} \wedge \Pi a:\mathbb{B}. \text{shift}(c, a)$? | | |
| AC _{0,0} | $\Pi P:\mathbb{N} \rightarrow \mathbb{P}^{\mathbb{N}}. (\Pi n:\mathbb{N}. \Sigma m:\mathbb{N}. P(n, m)) \rightarrow \Sigma f:\mathbb{B}. \Pi n:\mathbb{B}. P(n, f(n))$ | Nuprl | |
| AC _{0,0} _↓ | $\Pi P:\mathbb{N} \rightarrow \mathbb{P}^{\mathbb{N}}. (\Pi n:\mathbb{N}. \downarrow \Sigma m:\mathbb{N}. P(n, m)) \rightarrow \downarrow \Sigma f:\mathbb{B}. \Pi n:\mathbb{B}. P(n, f(n))$ | Nuprl | |
| AC _{0,0} _↓ | $\Pi P:\mathbb{N} \rightarrow \mathbb{P}^{\mathbb{N}}. (\Pi n:\mathbb{N}. \downarrow \Sigma m:\mathbb{N}. P(n, m)) \rightarrow \downarrow \Sigma f:\mathbb{B}. \Pi n:\mathbb{B}. P(n, f(n))$ | Coq | uses classical logic |
| AC _{1,0} | $\Pi P:\mathbb{B} \rightarrow \mathbb{P}^{\mathbb{N}}. (\Pi f:\mathbb{B}. \Sigma n:\mathbb{N}. P(f, n)) \rightarrow \Sigma F:\mathbb{N}^{\mathbb{B}}. \Pi f:\mathbb{B}. P(f, F(f))$ | Nuprl | |
| AC _{1,0} _↓ | $\Pi P:\mathbb{B} \rightarrow \mathbb{P}^{\mathbb{N}}. (\Pi f:\mathbb{B}. \downarrow \Sigma n:\mathbb{N}. P(f, n)) \rightarrow \downarrow \Sigma F:\mathbb{N}^{\mathbb{B}}. \Pi f:\mathbb{B}. P(f, F(f))$ | Nuprl | |
| AC _{1,0} _↓ | $\uparrow \Pi P:\mathbb{B} \rightarrow \mathbb{P}^{\mathbb{N}}. (\Pi f:\mathbb{B}. \downarrow \Sigma n:\mathbb{N}. P(f, n)) \rightarrow \downarrow \Sigma F:\mathbb{N}^{\mathbb{B}}. \Pi f:\mathbb{B}. P(f, F(f))$ | ? | |
| AC _{2,0} | $\Pi P:\mathbb{N}^{\mathbb{B}} \rightarrow \mathbb{P}^{\mathbb{N}}. (\Pi f:\mathbb{N}^{\mathbb{B}}. \Sigma n:T. P(f, n)) \rightarrow \Sigma F:T(\mathbb{N}^{\mathbb{B}}). \Pi f:\mathbb{N}^{\mathbb{B}}. P(f, F(f))$ | Nuprl | |
| AC _{2,0} _↓ | $\neg (\Pi P:\mathbb{N}^{\mathbb{B}} \rightarrow \mathbb{P}^T. (\Pi f:\mathbb{N}^{\mathbb{B}}. \downarrow \Sigma n:T. P(f, n)) \rightarrow \downarrow \Sigma F:T(\mathbb{N}^{\mathbb{B}}). \Pi f:\mathbb{N}^{\mathbb{B}}. P(f, F(f)))$ | Nuprl | contradicts continuity |
| AC _{2,0} _↓ | $\neg (\Pi P:\mathbb{N}^{\mathbb{B}} \rightarrow \mathbb{P}^T. (\Pi f:\mathbb{N}^{\mathbb{B}}. \downarrow \Sigma n:T. P(f, n)) \rightarrow \downarrow \Sigma F:T(\mathbb{N}^{\mathbb{B}}). \Pi f:\mathbb{N}^{\mathbb{B}}. P(f, F(f)))$ | Nuprl | contradicts continuity |
| LEM | $\neg \Pi P:\mathbb{P}. P \vee \neg P$ | Nuprl | |
| LEM _↓ | $\neg \Pi P:\mathbb{P}. \downarrow (P \vee \neg P)$ | Nuprl | |
| LEM _↓ | $\Pi P:\mathbb{P}. \downarrow (P \vee \neg P)$ | Coq | uses classical logic |
| MP | $\Pi P:\mathbb{P}^{\mathbb{N}}. (\Pi n:\mathbb{N}. P(n) \vee \neg P(n)) \rightarrow (\neg \Pi n:\mathbb{N}. \neg P(n)) \rightarrow \Sigma n:\mathbb{N}. P(n)$ | Nuprl | uses LEM _↓ |
| KS | $\neg \Pi A:\mathbb{P}. \Sigma a:\mathbb{B}. ((\Sigma x:\mathbb{N}. a(x) =_{\mathbb{N}} 1) \iff A)$ | Nuprl | uses MP |
| KS _↓ | $\neg \Pi A:\mathbb{P}. \downarrow \Sigma a:\mathbb{B}. ((\Sigma x:\mathbb{N}. a(x) =_{\mathbb{N}} 1) \iff A)$ | Nuprl | uses MP |
| KS _↓ | $\Pi A:\mathbb{P}. \downarrow \Sigma a:\mathbb{B}. ((\Sigma x:\mathbb{N}. a(x) =_{\mathbb{N}} 1) \iff A)$ | Coq | uses classical logic |
| BI _↓ | $\text{WF}(B) \rightarrow \text{BAR}_{\downarrow}(B) \rightarrow \text{BASE}(B, P) \rightarrow \text{IND}(P) \rightarrow \downarrow P(0, \perp)$ | Coq | uses classical logic |
| BID | $\text{WF}(B) \rightarrow \text{BAR}_{\downarrow}(B) \rightarrow \text{DEC}(B) \rightarrow \text{BASE}(B, P) \rightarrow \text{IND}(P) \rightarrow P(0, \perp)$ | Nuprl | uses BI _↓ |
| BIM _↓ | $\text{WF}(B) \rightarrow \text{BAR}_{\downarrow}(B) \rightarrow \text{MON}(B) \rightarrow \text{BASE}(B, P) \rightarrow \text{IND}(P) \rightarrow \downarrow P(0, \perp)$ | Nuprl | uses BI _↓ |
| BIM | $\neg \Pi B, P: (\Pi n:\mathbb{N}. \mathbb{P}^{\mathbb{B}^n}). \text{BAR}_{\downarrow}(B) \rightarrow \text{MON}(B) \rightarrow \text{BASE}(B, P) \rightarrow \text{IND}(P) \rightarrow P(0, \perp)$ | Nuprl | contradicts continuity |