A Nominal Exploration of Intuitionism

Vincent Rahli and Mark Bickford http://www.nuprl.org



securityandtrust.lu

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Overall Story

L.E.J. Brouwer



Stephen C. Kleene



Mark Bickford



Robert L. Constable



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Nuprl in a Nutshell

Similar to Coq and Agda

Extensional Intuitionistic Type Theory for partial functions

Consistency proof in Coq: https://github.com/vrahli/NuprlInCoq

Cloud based & virtual machines: http://www.nuprl.org

JonPRL: http://www.jonprl.org

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Based on Martin-Löf's extensional type theory

Equality:
$$a = b \in T$$

Dependent product: $\Pi a: A.B[a]$

Dependent sum: $\Sigma a: A.B[a]$

Universe: \mathbb{U}_i

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Nuprl Types

Less "conventional types"

Partial: \overline{A}

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Disjoint union: A+B
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Intersection: $\cap a: A.B[a]$

Union: $\cup a: A.B[a]$

Subset: {*a* : *A* | *B*[*a*]}

Quotient: T//E

Domain: Base

Simulation: $t_1 \leq t_2$

Bisimulation: $t_1 \simeq t_2$

Image: Img(A, f)

PER: per(R)

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Nuprl Types

${\sf Squashing}/{\sf Truncation}$

$$\downarrow T \qquad \{\texttt{Unit} \mid T\}$$

 $x = y \in \bigcup T$ iff x and y compute to * and T "is true" ...but we don't remember the reason why

$$x = y \in J T \text{ iff } x, y \in T$$

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Nuprl PER Semantics Implemented in Coq



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The More Types & Inference Rules the Better!

All verified

Expose more of the metatheory

Encode Mathematical knowledge

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Towards Intuitionistic Type Theory

We've proved this rule correct using our Coq model:

Brouwer's Continuity Principle for numbers

$$\mathbf{\Pi} F: \mathcal{B} \to \mathbb{N}.\mathbf{\Pi} f: \mathcal{B}. \mathbf{I} \mathbf{\Sigma} n: \mathbb{N}.\mathbf{\Pi} g: \mathcal{B}. f =_{\mathcal{B}_n} g \to F(f) =_{\mathbb{N}} F(g)$$

$$(\mathcal{B} = \mathbb{N}^{\mathbb{N}} = \mathbb{N} \to \mathbb{N} \quad \& \quad \mathcal{B}_n = \mathbb{N}^{\mathbb{N}_n} = \mathbb{N}_n \to \mathbb{N})$$

Given a total function F on infinite sequences of numbers, for every sequence f, F only applies f to numbers up to some n.

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Weak Continuity

False in Nuprl (Kreisel 62, Troelstra 77, Escardó & Xu 2015)

$$\mathbf{\Pi} F: \mathcal{B} \to \mathbb{N}.\mathbf{\Pi} f: \mathcal{B}.\mathbf{\Sigma} n: \mathbb{N}.\mathbf{\Pi} g: \mathcal{B}.f =_{\mathcal{B}_n} g \to F(f) =_{\mathbb{N}} F(g)$$

Easy in Coq model (almost purely by computation) because it doesn't have computational content

$$\mathbf{\Pi} F: \mathcal{B} \to \mathbb{N}.\mathbf{\Pi} f: \mathcal{B}. \mathbf{I} \Sigma n: \mathbb{N}.\mathbf{\Pi} g: \mathcal{B}. f =_{\mathcal{B}_n} g \to F(f) =_{\mathbb{N}} F(g)$$

Harder in Coq because it has computational content: uses named exceptions + ν (following Longley's method)

$$\Pi F: \mathcal{B} \to \mathbb{N}. \Pi f: \mathcal{B}. \mathbf{\sum} n: \mathbb{N}. \Pi g: \mathcal{B}. f =_{\mathcal{B}_n} g \to F(f) =_{\mathbb{N}} F(g)$$

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Strong Continuity

Actually what we proved in Coq is essentially

$$\begin{split} \Pi F &: \mathcal{B} \to \mathbb{N}. \\ \downarrow \mathbf{\Sigma} \mathcal{M} &: (\mathbf{\Pi} n : \mathbb{N}. \mathcal{B}_n \to \mathbb{N} + \texttt{Unit}). \\ \mathbf{\Pi} f &: \mathcal{B}. \mathbf{\Sigma} n : \mathbb{N}. \quad \mathcal{M} \text{ n } f =_{\mathbb{N} + \texttt{Unit}} \texttt{inl}(F(f)) \\ & \wedge \mathbf{\Pi} m : \mathbb{N}.\texttt{isl}(\mathcal{M} \text{ m } f) \to m =_{\mathbb{N}} n \end{split}$$

Given a total function F on infinite sequences of numbers, there exists a function M, that can tell us for every finite sequence f, whether f is long enough to apply F to f, and if it is, it returns F(f).

For all infinite sequence f, there exists a number n such that applying M to f's initial segment of length n returns F(f).

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Strong Continuity

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Every function F in $\mathcal{B} \to \mathbb{N}$ has a **neighborhood** function M.

which is equivalent to weak continuity because (standard)

$$\mathsf{AC}_{1,0\downarrow} \Rightarrow (\mathsf{WCP}_{\downarrow} \iff \mathsf{SCP}_{\downarrow})$$

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(Digression) Axiom of Choice

Trivial

 $\Pi a: A. \Sigma b: B. P \ a \ b \ \Rightarrow \ \Sigma f: B^{A}. \Pi a: A. P \ a \ f(a)$

Harder to prove $(AC_{0,0})$ in Coq: uses the axiom of choice and free choice sequences

 $\Pi a: \mathbb{N}. \downarrow \Sigma b: \mathbb{N}. P \ a \ b \ \Rightarrow \ \downarrow \Sigma f: \mathbb{N}^{\mathbb{N}}. \Pi a: \mathbb{N}. P \ a \ f(a)$

Non-trivial to prove $(AC_{0,n} \text{ and } AC_{1,n})$ in Nuprl

 $\Pi a: \mathbb{N} \cup \Sigma b: B.P \ a \ b \ \Rightarrow \ \bigcup \Sigma f: B^{\mathbb{N}} \cup \Pi a: \mathbb{N} \cdot P \ a \ f(a)$

 $\Pi a: \mathcal{B}. \mid \mathbf{\Sigma}b: B.P \ a \ b \ \Rightarrow \ \mid \mathbf{\Sigma}f: B^{\mathcal{B}}. \Pi a: \mathcal{B}.P \ a \ f(a)$

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How to Compute Moduli of Continuity?

$$\begin{split} \Pi F &: \mathcal{B} \to \mathbb{N}. \\ &\downarrow \mathbf{\Sigma} \mathcal{M} : (\mathbf{\Pi} n : \mathbb{N}. \mathcal{B}_n \to \mathbb{N} + \texttt{Unit}). \\ &\Pi f : \mathcal{B}. \mathbf{\Sigma} n : \mathbb{N}. \quad \mathcal{M} \text{ } n \text{ } f =_{\mathbb{N} + \texttt{Unit}} \texttt{inl}(F(f)) \\ &\land \mathbf{\Pi} m : \mathbb{N}.\texttt{isl}(\mathcal{M} \text{ } m \text{ } f) \to m =_{\mathbb{N}} n \end{split}$$

We want to be able to test whether a finite sequence f of length n is long enough. Following Longley's method of using effectful computations:

```
let exception e in
(F (fun x => if x < n then f x else raise e);
true) handle e => false
```

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How to Compute Moduli of Continuity?

let exception e in
(F (fun x => if x < n then f x else raise e);
true) handle e => false

We want exceptions & a try/catch operator

F should not be able to catch exception e

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How to Compute Moduli of Continuity?

```
let exception e in
(F (fun x => if x < n then f x else raise e);
true) handle e => false
```

We want "unguessable" names

(Have been around in Nuprl for a long time)

If F does not have the name of the exception ethen it cannot catch e

We want to be able to generate fresh "unguessable" names

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Let's Extend Nuprl With These New Operators

let exception e in (F (fun $x \Rightarrow if x < n$ then f x else raise e); true) handle e => false $v ::= \cdots \mid a$ (name value) $e ::= \exp(t_1, t_2)$ (exception) *vt* ::= . . . (name type) Name $|\operatorname{Exc}(t_1, t_2)|$ (exception type) t ::= ...(exception) е if $|t_2|$ then t_3 else t_4 (name equaliy) $\nu x.t$ (fresh) t with x.c (try/catch) trv.

The way our ν operator works is similar to Odersky's ν operator in his $\lambda \nu$ -calculus.

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Mostly Computational Proof

We've proved that this effectful test function inhabits the continuity principle

Proof mostly done by computation

For example:

In Coq, we compute an over-approximation of the modulus of continuity of F at f by computing F(f) to a number k, and returning the largest number occurring in the sequence:

$$F(f) \mapsto \cdots \mapsto k$$

This proves that the modulus of continuity of F at $f \downarrow$ -exists.



We've added these terms to Nuprl's computation system and proved that Nuprl's meta-theoretical properties are preserved

Including:

The congruence of Howe's computational equivalence relation

The validity of Nuprl's inference rules

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Can we prove continuity for sequences of terms instead of \mathcal{B} ?

Exception mechanism doesn't seem to play well with a parallel operator?

What properties of names and exceptions do we have to make available as inference rules so that we can do the proof directly in Nuprl?

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