Principled Programming
Introduction to Coding in Any Imperative Language

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Stepwise Refinement
We introduce Stepwise Refinement, a key approach to programming, and illustrate its use in many examples.

- Divide and Conquer
- Sequential Refinement
- Case Analysis
- Iterative Refinement
- Recursive Refinement
All Gaul is divided into three parts.

~ Julius Caesar
Divide and Conquer:

All Gaul is divided into three parts. To conquer Gaul:
First, conquer the first part.
Then, conquer the second part.
Finally, conquer the third part.

A methodology.
Divide and Conquer: Applied to programming

To write a program:
First, break it into subprograms.
Then, write each subprogram separately.

the methodology is called programming by *Stepwise Refinement*. 
Divide and Conquer: Applied to programming

To write a program:
First, break it into subprograms.
Then, write each subprogram separately.

the methodology is called programming by *Stepwise Refinement*.

Program top-down, outside-in.
Stepwise Refinement: Creates a hierarchy of subprograms, each with its own specification.

Program top-down, outside-in.
Stepwise Refinement: A “program” to follow as you code.

```plaintext
if ( P is simple to write ) Write it;
else {
    Refine P into simpler subprograms;
    Write each subprogram;
}
```

Program top-down, outside-in.
where **Refine** is:

<table>
<thead>
<tr>
<th><strong>Sequential steps</strong></th>
<th>Do one thing after another.</th>
</tr>
</thead>
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<tr>
<td><strong>Case analysis</strong></td>
<td>Do one thing or another.</td>
</tr>
<tr>
<td><strong>Iteration</strong></td>
<td>Do one thing repeatedly.</td>
</tr>
<tr>
<td><strong>Recursion</strong></td>
<td>Do something based on self-similarity.</td>
</tr>
<tr>
<td><strong>Selection from a library of patterns</strong></td>
<td>Do some pattern of the previous kinds of refinement.</td>
</tr>
</tbody>
</table>
Stepwise Refinement: Is recursive

if ( P is simple to write ) Write it;
else {
    Refine P into simpler subprograms;
    Write each subprogram;
}

because it uses itself for writing each subprogram.
Stepwise Refinement: Terminates

```plaintext
if ( P is simple to write ) Write it;
else {
    Refine P into simpler subprograms;
    Write each subprogram;
}
```

provided the subprograms get simpler to write.
Stepwise Refinement: Terminates when $P$ is so simple that you just write it.

```plaintext
if ( $P$ is simple to write ) Write it;
...
```

This is called the base case of the recursion.
Stepwise Refinement: The subproblems of each refinement must fit together like pieces of a jigsaw puzzle.

We now consider each of the five kinds of refinement.
**Sequential Refinement:** Implement a specification $P$ with a sequence of steps $P_1$ through $P_n$ executed one after the other.

```
/* Specification $P$. */
/* Specification $P_1$. */
/* Specification $P_2$. */
...
/* Specification $P_n$. */
```

where if any /* Specification $P_i$. */ is simple enough, it can be just code.
Example 1: A top-level specification

/* Drive from LA to NYC. */
Example 1: A top-level specification that calls for the state-space effect shown.

/* Drive from LA to NYC. */
Example 1: A Sequential Refinement

/* Drive from LA to NYC. */
/* Drive from LA to Chicago. */
/* Drive from Chicago to NYC. */
Example 1: A Sequential Refinement

/* Drive from LA to NYC. */
/* Drive from LA to Chicago. */
/* Drive from Chicago to NYC. */
Example 1: A Sequential Refinement

/* Drive from LA to NYC. */
/* Drive from LA to Chicago. */
/* Drive from Chicago to NYC. */
Example 2: A different Sequential Refinement

/* Drive from LA to NYC. */
   /* Drive from LA to St. Louis. */
   /* Drive from St. Louis to NYC. */

Different roads and scenery, but the same net effect (the external interface):
If I leave from LA, I will get to NYC.
Example 3: An incorrect Sequential Refinement

/* Drive from LA to NYC. */
/* Drive from LA to Chicago. */
/* Drive from St. Louis to NYC. */

The first step does not establish what the second step requires.
Example 3: A corrected Sequential Refinement

/* Drive from LA to NYC. */
/* Drive from LA to Chicago. */
/* Drive from Chicago to St. Louis. */
/* Drive from St. Louis to NYC. */
Example 4: An infeasible Sequential Refinement

/* Drive from LA to NYC. */
/* Drive from LA to Tokyo. */
/* Drive from Tokyo to NYC. */

You can’t drive from LA to Tokyo.

Just because you can express a requirement doesn’t mean that it can be accomplished.
Example 1, continued:

/* Drive from LA to NYC. */
/* Drive from LA to Chicago. */
/* Drive from Chicago to NYC. */
Example 1, continued:

/* Drive from LA to NYC. */
/* Drive from LA to Chicago. */
/* Drive from Chicago to NYC. */

Refine specifications and placeholders in an order that makes sense for development, without regard to execution order.
Example 1, continued:

/* Drive from LA to NYC. */
/* Drive from LA to Chicago. */
/* Drive from Chicago to NYC. */
    /* Drive from Chicago to Pittsburgh. */
/* Drive from Pittsburgh to NYC. */

Refine specifications and placeholders in an order that makes sense for development, without regard to execution order.
**Example 4, continued:** Backtrack out of an infeasible Sequential Refinement

```plaintext
/* Drive from LA to NYC. */
/* Drive from LA to Tokyo. */
/* Drive from Tokyo to NYC. */
```

You can’t drive from LA to Tokyo.
Example 4, continued: Backtrack out of an infeasible Sequential Refinement

/* Drive from LA to NYC. */
/* Drive from LA to Tokyo. */
/* Drive from Tokyo to NYC. */

You can’t drive from LA to Tokyo.

☞ Don’t be wedded to code. Revise and rewrite when you discover a better way.
Example 4, continued: An infeasible Sequential Refinement undone.

/* Drive from LA to NYC. */

Don’t be wedded to code. Revise and rewrite when you discover a better way.
Example 4, continued: An infeasible Sequential Refinement revised.

/* Drive from LA to NYC. */
    /* Drive from LA to Denver. */
    /* Drive from Denver to NYC. */

You can drive from LA to Denver and from Denver to NYC.

☞ Don’t be wedded to code. Revise and rewrite when you discover a better way.
Example 5: A new top-level specification

/* Drive from LA to NYC and buy a new car (in any order). */
Example 5: A new top-level specification

/* Drive from LA to NYC and buy a new car (in any order). */
Example 5: One possible order

/* Drive from LA to NYC and buy a new car (in any order). */
/* Buy a new car. */
/* Drive from LA to NYC. */
Example 5: Another possible order

/* Drive from LA to NYC and buy a new car (in any order). */
/* Drive from LA to NYC. */
/* Buy a new car. */
Example 5: and its possible refinement.

/* Drive from LA to NYC and buy a new car (in any order). */
/* Drive from LA to NYC. */
/* Drive from LA to Chicago. */
/* Drive from Chicago to NYC. */
/* Buy a new car. */

Implicitly, unmentioned components of state may not be changed.
Example 5: and its possible refinement.

/* Get from ⟨LA, old⟩ to ⟨NYC, new⟩. */
/* Get from ⟨LA, old⟩ to ⟨NYC, old⟩. */
   /* Get from ⟨LA, old⟩ to ⟨Chicago, old⟩. */
   /* Get from ⟨Chicago, old⟩ to ⟨NYC, old⟩. */
/* Get from ⟨NYC, old⟩ to ⟨NYC, new⟩. */

I.e., the convention that unmentioned state components may not be changed implies that the previous version would be as shown above.
Generalization:

/* Get from PRE to POST. */
/* Get from PRE to MID. */
/* Get from MID to POST. */
Loosening the Coupling: Between the two sub-steps

/* Drive from LA to NYC. */
/* Drive from LA to Chicago. */
/* Drive from Chicago to NYC. */
Loosening the Coupling: by weakening a precondition

/* Drive from LA to NYC. */
/* Drive from LA to Chicago. */
/* Drive from Illinois to NYC. */

starting in LA  get to Chicago

starting in Illinois  get to NYC
Loosening the Coupling: by weakening a precondition

/* Drive from LA to NYC. */
/* Drive from California to Chicago. */
/* Drive from Chicago to NYC. */
Loosening the Coupling: or by strengthening a postcondition

/* Drive from LA to NYC. */
/* Drive from LA to Chicago. */
/* Drive from Chicago to Manhattan. */

starting in LA  get to Chicago

starting in Chicago  get to Manhattan
Loosening the Coupling: or by doing both.

/* Drive from LA to NYC. */
/* Drive from California to Chicago. */
/* Drive from Illinois to Manhattan. */
2-Step Sequential Refinement: In general

```c
/* Specification P: Get from PRE to POST. */
   /* Get from A₁ to B₁. */
   /* Get from A₂ to B₂. */
```

where
- establishing PRE automatically establishes A₁,
- establishing B₁ automatically establishes A₂, and
- establishing B₂ automatically establishes POST.
**n-Step Sequential Refinement:** In general

/* Specification \( P \): Get from \( \text{PRE} \) to \( \text{POST} \). */

/* Get from \( A_1 \) to \( B_1 \). */
/* Get from \( A_2 \) to \( B_2 \). */
...
/* Get from \( A_n \) to \( B_n \). */

where

- establishing \( \text{PRE} \) automatically establishes \( A_1 \),
- establishing \( B_k \) automatically establishes \( A_{k+1} \), for \( k \) from 1 through \( n-1 \), and
- establishing \( B_n \) automatically establishes \( \text{POST} \).
Loosening in Practice: Consider an individual specification

/* Get from PRE to POST. */

in the context of a program
Loosening in Practice: The specification

/* Get from PRE to POST. */

can be implemented by any code that satisfies the specification

/* Get from PRE’ to POST’. */

where PRE’ is any weakening of PRE, and POST’ is any strengthening of POST.
Example 1: Precondition is essential, but postcondition can be strengthened

/* Get from \( x \geq 0 \) to \( y \) is a number that when squared equals \( x \). */
\[
y = \text{Math.sqrt}(x);
\]

Any weakening of \( x \geq 0 \) would make the specification infeasible for real \( y \), but we are free to choose \( y \) as either the positive or negative root of \( x \).
Example 2: Precondition is convenient, but not essential

/* Get from \texttt{x \geq 0} to \texttt{y is} \texttt{|x|}. */

\begin{verbatim}
y = x;
\end{verbatim}

The precondition \texttt{x \geq 0} simplifies the code that sets \texttt{y} to the absolute value of \texttt{x}, because in that case the absolute value of \texttt{x} is just \texttt{x} itself.
Example 3: Precondition is irrelevant

/* Get from \( x \geq 0 \) to \( y \) is \( x \) squared. */
\[ y = x \times x; \]

because \( x \) squared is \( x \times x \) regardless of whether \( x \) is positive or negative.
Example 4: Precondition is customarily ignored

/* Get from array A’s elements are unique to A’s elements are numerically ordered. */

because conventional techniques for establishing the postcondition are more general, and do not rely on the given precondition.
Example 5: Chapter-1 example, revisited

/* Given $n \geq 0$, output the integer part of the square root of $n$. */
/* Given $n \geq 0$, let $r$ be the integer part of the square root of $n \geq 0$. */
System.out.println( r );

Consider the domain and range of the general-purpose output statement
System.out.println( r );

The domain is any state where variable $r$ exists and contains a value, regardless of whether it is the integer square root of $n$. The range is any state with the additional property that the output ends with the given value.
**Conjunctive Normal Form:** A condition of the form

\[ C_1 \text{ and } C_2 \text{ and } \ldots \text{ and } C_n \]

where each \( C_i \) is called a *conjunct*.

Example:
- \( x \) is declared \textbf{and} \( x \) contains a value \textbf{and} \( x \) is greater than or equal to 0
- state is NY \textbf{and} city is NYC
**Conjunctive Normal Form**: A condition in CNF can be weakened by dropping a conjunct, e.g.,

Replace:

\[
\text{x is declared and } \text{x contains a value and } \text{x is greater than or equal to 0}
\]

with:

\[
\text{x is declared and } \text{x contains a value}
\]

and can be strengthened by appending an additional conjunct, e.g.,

Replace:

\[
\text{state is NY and city is NYC}
\]

with:

\[
\text{state is NY and city is NYC and borough is Manhattan}
\]
Implicit Preconditions: In practice, explicit preconditions are often omitted.

/* Get from LA to NYC. */
 /* Get to Chicago. */
 /* Get to St. Louis. */
 /* Get to NYC. */

implicitly means

/* Get from LA to NYC. */
 /* (Given that we are in LA) Get to Chicago. */
 /* (Given that we are in Chicago) Get to St. Louis. */
 /* (Given that we are in St. Louis) Get to NYC. */
Implicit Preconditions: The reader of

/* Get from LA to NYC. */
/* Get to Chicago. */
/* Get to St. Louis. */
/* Get to NYC. */

must infer the relevant precondition, and scan backwards to confirm that it has been established and survives, i.e., has not subsequently been invalidated.
**Implicit Preconditions**: Minimize the distance between code that establishes a precondition, and code that relies on it, if possible.

```c
int k = 0;
/* 10 pages of code to do whatever. */
k++;
```

If the 10 pages have nothing to do with variable k, the following is better

```c
int k = 0;
whatever();
k++;
```

☞ Many short procedures are better than large blocks of code.
Implicit Preconditions: Minimize the distance between code that establishes a precondition, and code that relies on it, if possible, especially if the procedure can be placed outside of the scope of such a variable k.

If the distance remains great, consider an explicit indication of where the precondition was established:

/* Given PRE (established at point p in the code), get to POST. */

Many short procedures are better than large blocks of code.
Problem Reduction: A special case of Sequential Refinement
Problem Reduction: An example

How many distinct values occur in an \textbf{int} array $A[0..n-1]$?

\begin{center}
\begin{tabular}{c|c|c|c|c}
& 14 & 7 & 14 & 34 & 7 \\
\end{tabular}
\end{center}
Problem Reduction: An example

How many distinct values occur in an `int` array `A[0..n-1]`?

```
A = [14, 7, 14, 34, 7]
```

Tally of each first instance of a value i.e., each `A[k]` for which that value doesn't occur in `A[0..k-1]`, for `k` from 0 through `n-1`. 
Problem Reduction: An example

How many distinct values occur in an int array $A[0..n-1]$?

| A  | 14 | 7 | 14 | 34 | 7 |

Tally of each first instance of a value i.e., each $A[k]$ for which that value doesn’t occur in $A[0..k-1]$, for $k$ from 0 through n-1.

Solve a different problem, and use that solution to solve the original problem.
Problem Reduction: An example

How many distinct values occur in an int array $A[0..n-1]$?

Tally of each first instance of a value i.e., each $A[k]$ for which that value doesn’t occur in $A[0..k-1]$, for $k$ from 0 through $n-1$.

Solve a different problem, and use that solution to solve the original problem.
Problem Reduction: An example

How many distinct values occur in an int array $A[0..n-1]$?

<table>
<thead>
<tr>
<th>A</th>
<th>14</th>
<th>7</th>
<th>14</th>
<th>34</th>
<th>7</th>
</tr>
</thead>
</table>

Tally of each first instance of a value i.e., each $A[k]$ for which that value doesn’t occur in $A[0..k-1]$, for $k$ from 0 through $n-1$.

<table>
<thead>
<tr>
<th>$A'$</th>
<th>7</th>
<th>7</th>
<th>14</th>
<th>14</th>
<th>34</th>
</tr>
</thead>
</table>

1 + the number of adjacent pairs of unequal elements in $A'$, a version of $A$ rearranged into numerical order.

☞ Solve a different problem, and use that solution to solve the original problem.
**Problem Reduction: An example**

How many distinct values occur in an *int* array $A[0..n-1]$?

![Array A](image1)

| 14 | 7 | 14 | 34 | 7 |

Tally of each *first* instance of a value i.e., each $A[k]$ for which that value doesn’t occur in $A[0..k-1]$, for $k$ from 0 through $n-1$.

![Array A'](image2)

| 7 | 7 | 14 | 14 | 34 |

1 + the number of *adjacent pairs* of unequal elements in $A'$, a version of $A$ rearranged into numerical order.

In worst case, running time is proportional to $n^2$. 
Problem Reduction: An example

How many distinct values occur in an int array A[0..n-1]?

A = [14, 7, 14, 34, 7]

Tally of each first instance of a value i.e., each A[k] for which that value doesn’t occur in A[0..k-1], for k from 0 through n-1.

In worst case, running time is proportional to n^2.

A' = [7, 7, 14, 14, 34]

1 + the number of adjacent pairs of unequal elements in A’, a version of A rearranged into numerical order.

In worst case, running time is proportional to n log n, i.e., time to sort an array of length n + time to count the number of unequal adjacent element pairs.
Problem Reduction: An example

How many distinct values occur in an int array A[0..n-1]?

Tally of each first instance of a value i.e., each A[k] for which that value doesn’t occur in A[0..k-1], for k from 0 through n-1.

In worst case, running time is proportional to n^2.

1 + the number of adjacent pairs of unequal elements in A’, a version of A rearranged into numerical order.

In worst case, running time is proportional to n log n, i.e., time to sort an array of length n + time to count the number of unequal adjacent element pairs.
Problem Reduction: In general

/* Specification P: Get from PRE to POST. */
    /* Get from PRE to A. */
    /* Get from B to POST. */

where establishing A automatically establishes B.
Problem Reduction: In general

/* Specification $P$: Get from $\text{PRE}$ to $\text{POST}$. */
/* Get from $\text{PRE}$ to $\text{A}$. */
/* Get from $\text{B}$ to $\text{POST}$. */

where establishing $\text{A}$ automatically establishes $\text{B}$.

Solve a different problem, and use that solution to solve the original problem.
Problem Reduction: In general

/* Specification \textit{P}: Get from \textit{PRE} to \textit{POST}. */
/* Get from \textit{PRE} to \textit{A}. */
/* Define problem \textit{P’} based on \textit{PRE}. */
/* Solve problem \textit{P’}. */
/* Establish \textit{A} from the solution to \textit{P’}. */
/* Get from \textit{B} to \textit{POST}. */

where establishing \textit{A} automatically establishes \textit{B}.

⇒ Solve a different problem, and use that solution to solve the original problem.
**Case Analysis:** Implement a specification $P$ as a choice of one step to execute from among $P_1, \ldots, P_n$.

```c
/* Specification $P$. */
if (condition_1) /* Specification $P_1$. */
else if (condition_2) /* Specification $P_2$. */
...
else if (condition_{n-1}) /* Specification $P_{n-1}$. */
else /* Specification $P_n$. */
```
Case Analysis: Implement a specification $P$ as a choice of one step to execute from among $P_1, \ldots, P_n$.

```c
/* Specification $P$. */
if (condition_1) /* Specification $P_1$. */
else if (condition_2) /* Specification $P_2$. */
...
else if (condition_{n-1}) /* Specification $P_{n-1}$. */
else /* Specification $P_n$. */
```

Appropriate when distinct program behaviors are required for different situations.
Case Analysis: Implement a specification $P$ as a choice of one step to execute from among $P_1, \ldots, P_n$.

- In the real world: Animal, vegetable, or mineral?
- In a maze: Facing a wall or not?
- After a search: Found or not found?
- In mathematics: Even or odd? Positive or negative?

Appropriate when distinct program behaviors are required for different situations.
Case Analysis: An example

/* Let y be |x|. */

Appropriate when distinct program behaviors are required for different situations.
Case Analysis: An example

/* Let y be |x|. */
if ( x>=0 ) y = x;
else y = -x;

Appropriate when distinct program behaviors are required for different situations.
Case Analysis: An example

/* Let y be |x|. */
if ( x>=0 ) y = x;
else y = -x;

☞ Beware of unnecessary Case Analysis; hope for code uniformity; avoid code bloat.
Case Analysis: An example

/* Let y be |x|. */
    y = Math.abs(y);

☞ Beware of unnecessary Case Analysis; hope for code uniformity; avoid code bloat.
Case Analysis: A second example

/* Advance k to the next hour. */
Case Analysis: A second example

/* Advance k to the next hour. */
if (k==11) k = 0;
else k = k+1;
Case Analysis: A second example

/* Advance k to the next hour. */
if (k==11) k = 0;
else k = k+1;

☞ Beware of unnecessary Case Analysis; hope for code uniformity; avoid code bloat.
Case Analysis: A second example

/* Advance k to the next hour. */
k = (k+1)%12;

☞ Beware of unnecessary Case Analysis; hope for code uniformity; avoid code bloat.
Case Analysis: A third example

/* Advance k to the previous hour. */
Case Analysis: A third example

/* Advance k to the previous hour. */
if (k==0) k = 11;
else k = k-1;
Case Analysis: A third example

/* Advance k to the previous hour. */
if (k==0) k = 11;
else k = k-1;

☞ Beware of unnecessary Case Analysis; hope for code uniformity; avoid code bloat.
Case Analysis: A third example

/* Advance k to the previous hour. */
k = (k+11)%12;

☞ Beware of unnecessary Case Analysis; hope for code uniformity; avoid code bloat.
**Case Analysis:** A third example

/* Advance k to the previous hour. */
   k = (k+11)%12;

Why not (k-1)%12  ?

---

☞ Beware of unnecessary Case Analysis; hope for code uniformity; avoid code bloat.
Case Analysis: The *condition* in a Case Analysis is often the locus of error.
Case Analysis: The *condition* in a Case Analysis is often the locus of error.

Be alert to high-risk coding steps associated with binary choices: “==” or “!=”, “<” or “<=",” “x” or “x-1”, condition or !condition, positive or negative, 0-origin or 1-origin, “even integers are divisible by 2, but array segments of odd length have middle elements”.
Case Analysis: The condition in a Case Analysis is often the locus of error.

☞ Be alert to high-risk coding steps associated with binary choices.
Case Analysis: The condition in a Case Analysis is often the locus of error.

Example: parity

/* Output whether n is odd or even. */
Case Analysis: The condition in a Case Analysis is often the locus of error.

Example: parity

/* Output whether n is odd or even. */
if ( (n%2)==1 ) System.out.println( "odd" );
else System.out.println( "even" );
Case Analysis: The condition in a Case Analysis is often the locus of error.

Example: parity

/* Output whether n is odd or even. */
    if ( (n%2)==1 ) System.out.println( "odd" );
    else System.out.println( "even" );

Be alert to high-risk coding steps associated with binary choices.
Case Analysis: The *condition* in a Case Analysis is often the locus of error.

Example: parity

```java
/* Output whether n is odd or even. */
if ((n%2)==1) System.out.println( "odd" );
else System.out.println( "even" );
```

Be alert to high-risk coding steps associated with binary choices.

Because n%2 for negative n is negative, the code will report all negative n as even.
Case Analysis: The condition in a Case Analysis is often the locus of error.

Example: parity, corrected

/* Output whether n is odd or even. */
if ( (n%2)==0 ) System.out.println( "even" );
else System.out.println( "odd" );
**Case Analysis:** The *condition* in a Case Analysis is often the locus of error.

*Example:* roots, real or imaginary

/* Let im be true iff the roots of quadratic $Ax^2+Bx+C=0$ are imaginary. */
Case Analysis: The condition in a Case Analysis is often the locus of error.

Example: roots, real or imaginary

/* Let im be true iff the roots of quadratic $Ax^2+Bx+C=0$ are imaginary. */

boolean im;  // Roots are imaginary.
if ( B*B-4*A*C < 0 ) im = true;
else im = false;
Case Analysis: The condition in a Case Analysis is often the locus of error.

Example: roots, real or imaginary

/* Let im be true iff the roots of quadratic Ax^2+Bx+C=0 are imaginary. */
   boolean im;  // Roots are imaginary.
   if ( B*B-4*A*C < 0 ) im = true;
   else im = false;

☞ Be alert to high-risk coding steps associated with binary choices.
Case Analysis: The condition in a Case Analysis is often the locus of error.

Example: roots, real or imaginary

/* Let im be true iff the roots of quadratic Ax^2+Bx+C=0 are imaginary. */
boolean im; // Roots are imaginary.
if ( B*B-4*A*C < 0 ) im = true;
else im = false;

☞ Be alert to high-risk coding steps associated with binary choices.

Is the case of B*B-4*A*C==0 correct?
Case Analysis: The condition in a Case Analysis is often the locus of error.

Example: roots, real or imaginary

/* Let im be true iff the roots of quadratic $Ax^2+Bx+C=0$ are imaginary. */
boolean im; // Roots are imaginary.
if ( B*B-4*A*C < 0 ) im = true;
else im = false;

Be alert to high-risk coding steps associated with binary choices.

Is the case of $B*B-4*A*C=0$ correct? Yes.
Case Analysis: The *condition* in a Case Analysis is often the locus of error.

Example: roots, real or imaginary

```java
/* Let im be true iff the roots of quadratic Ax^2+Bx+C=0 are imaginary. */
boolean im; // Roots are imaginary.
if ( B*B-4*A*C < 0 ) im = true;
else im = false;
```

☞ Beware of unnecessary Case Analysis; hope for code uniformity; avoid code bloat.
Case Analysis: The condition in a Case Analysis is often the locus of error.

Example: roots, real or imaginary

/* Let im be true iff the roots of quadratic Ax^2+Bx+C=0 are imaginary. */

⚠️ Beware of unnecessary Case Analysis; hope for code uniformity; avoid code bloat.
Case Analysis: The *condition* in a Case Analysis is often the locus of error.

Example: roots, real or imaginary

/* Let *im* be *true* iff the roots of quadratic $Ax^2+Bx+C=0$ are imaginary. */

```java
boolean im = B*B - 4*A*C < 0;  // Roots are imaginary.
```

☞ Beware of unnecessary Case Analysis; hope for code uniformity; avoid code bloat.
Case Analysis: The *condition* in a Case Analysis is often the locus of error.

Example: Parallel or intersecting lines

/* Output whether lines $y=m_1 \cdot x + b_1$ and $y=m_2 \cdot x + b_2$ are parallel or intersect. */
Case Analysis: The condition in a Case Analysis is often the locus of error.

Example: Parallel or intersecting lines

/* Output whether lines y=m1·x+b1 and y=m2·x+b2 are parallel or intersect. */
if ( (m1==m2) && (b1!=b2) )
    System.out.println( "parallel" );
else System.out.println( "intersect" );
Case Analysis: The *condition* in a Case Analysis is often the locus of error.

Example: Parallel or intersecting lines

```java
/* Output whether lines y=m1·x+b1 and y=m2·x+b2 are parallel or intersect. */
if ( (m1==m2) && (b1!=b2) )
    System.out.println( "parallel" );
else System.out.println( "intersect" );
```

Be alert to high-risk coding steps associated with binary choices.
Case Analysis: The condition in a Case Analysis is often the locus of error.

Example: Parallel or intersecting lines

```java
/* Output whether lines y=m1·x+b1 and y=m2·x+b2 are parallel or intersect. */
if ( (m1==m2) && (b1!=b2) )
    System.out.println( "parallel" );
else System.out.println( "intersect" );
```

☞ Be alert to high-risk coding steps associated with binary choices.

What if $m_1$ is $0.0e0$ and $m_2$ is smallest floating-point number?
Case Analysis: The condition in a Case Analysis is often the locus of error.

Example: Parallel or intersecting lines

```java
/* Output whether lines y=m1·x+b1 and y=m2·x+b2 are parallel or intersect. */
if ( (m1==m2) && (b1!=b2) )
    System.out.println( "parallel" );
else System.out.println( "intersect" );
```

☞ Be alert to high-risk coding steps associated with binary choices.

What if m1==m2, but b1 is 0.0e0 and b2 is smallest floating-point number?
Case Analysis: The condition in a Case Analysis is often the locus of error.

Example: Parallel or intersecting lines

```java
/* Output whether lines y=m1·x+b1 and y=m2·x+b2 are parallel or intersect. */
if ( compare slopes and intercepts wrt tolerances )
    System.out.println( "parallel" );
else System.out.println( "intersect" );
```

Never test two floating-point numbers for equality or inequality.
Iterative Refinement: Implement a specification $P$ by repeatedly executing step $P'$. 

/* Specification $P$. */
/* Setup for $P'$. */
while ( condition )
  /* Specification $P'$. */
Iterative Refinement: Implement a specification $P$ by repeatedly executing step $P'$. 

```c
/* Specification $P$. */
/* Setup for $P'$. */
while (condition )
    /* Specification $P'$. */
```

**Invariant:** The thing that stays the same, and allows $P'$ to remain applicable.

**Variant:** The thing that changes, and eventually causes the loop to stop.
Iterative Refinement: Implement a specification P by repeatedly executing step P′.

```c
/* Specification P. */
/* Setup for P′. */
while (condition)
    /* Specification P′. */
```

Invariant: The thing that stays the same, and allows P′ to remain applicable.

Variant: The thing that changes, and eventually causes the loop to stop.

Infinite loops have their utility, but termination is the norm.
**Iterative Refinement:** Implement a specification P by repeatedly executing step $P'$.  

```c
/* Specification P. */
/* Setup for $P'$. */
while (condition)
  /* Specification $P'$. */
```

A fruitful real-world analogy: Hammering a nail into a block of wood.
/* Drive a nail vertically into a block of wood. */
/* Drive a nail vertically into a block of wood. */
/* Setup: Stabilize the nail vertically, with height≥0. */
while ( /* any of the nail sticks out */ ) {
    /* Hit the nail with the hammer squarely. */
}
/* Drive a nail vertically into a block of wood. */
/* Setup: Stabilize the nail vertically, with height≥0. */

// Establish invariant: Nail vertical, and height≥0.
// Initial variant: Height of nail.

while ( /* any of the nail sticks out */ ) {
   /* Hit the nail with the hammer squarely. */
}


/* Drive a nail vertically into a block of wood. */
/* Setup: Stabilize the nail vertically, with height≥0. */

// Establish invariant: Nail vertical, and height≥0.
// Initial variant: Height of nail.

while ( /* any of the nail sticks out */ ) {
    /* Hit the nail with the hammer squarely. */

    // Maintain the invariant:
    // Hit the nail vertically, but not so hard
    // that its height becomes negative.
    // Reduce the variant:
    // Hit the nail hard enough to reduce the
    // height such that a finite # of hits suffices.
}

/* Iterative Refinement
Iteration in the Real World*/
/* Drive a nail vertically into a block of wood. */
/* Setup: Stabilize the nail vertically, with height≥0. */

// Establish invariant: Nail vertical, and height≥0.
// Initial variant: Height of nail.

while ( /* any of the nail sticks out */ ) {
  /* Hit the nail with the hammer squarely. */

  // Maintain the invariant:
  // Hit the nail vertically, but not so hard
  // that its height becomes negative.
  // Reduce the variant:
  // Hit the nail hard enough to reduce the
  // height such that a finite # of hits suffices.

} // Invariant still holds: Nail vertical, and height≥0.
// Variant reduced to zero: height==0.
Iterative Refinement: What can go wrong?

- Setup doesn’t *establish* the nail’s verticality (the invariant). The very first hammer blow flattens the nail, or begins the process of bending it, even if the loop body is perfectly correct.
Iterative Refinement: What can go wrong?

- Loop body doesn’t *maintain* the nail’s verticality (the invariant). Eventually, the nail is flattened.
Iterative Refinement: What can go wrong?

• Loop body doesn’t maintain the nail’s nonnegative height (the invariant), splits the wood, and the nail goes into the table top.
Iterative Refinement: What can go wrong?

- Loop body makes *insufficient progress* (the variant). The loop runs forever and the nail never gets flush with the surface.

This can be because the height is an infinite decreasing sequence that doesn’t converge to zero, or because you hit a knot, and stop advancing altogether.
No advancement: Use a feather instead of a hammer, or at a knot.
**Cyclic advancement:** Movement, but destined to return to a prior state.

/* Make triangle point down. */
Cyclic advancement: Movement, but destined to return to a prior state.

/* Make triangle point down. */
while (/* not pointing down */) {
    /* Compute angle a. */
    /* Turn angle a. */
}
Cyclic advancement: Movement, but destined to return to a prior state.

/* Make triangle point down. */
while ( /* not pointing down */ ) {
    /* Compute angle a. */
    /* Turn angle a. */
}

runs forever if a is always 120°
Cyclic advancement: Movement, but destined to return to a prior state.

/* Make triangle point down. */
while ( /* not pointing down */) {
    /* Compute angle a. */
    /* Turn angle a. */
}

runs forever if a is always 120°

(Doesn’t happen in hammering)
Non-convergent advancement: Variant must be a nonnegative integer that is reduced by at least 1 on each iteration.

```plaintext
int h = 10;
while ( h>0 ) h = h/2;
```

terminates
Non-convergent advancement: Variant must be a nonnegative integer that is reduced by at least 1 on each iteration.

```c
float h = 10;
while ( h>0 ) h = h/2;
```

terminates

sequence of states
Non-convergent advancement: Variant must be a nonnegative integer that is reduced by at least 1 on each iteration.

Rational $h = \text{new} \, \text{Rational}(10);$
while ( !Rational.isZero(h) )
    $h = \text{Rational.divide}( \, \text{new} \, \text{Rational}(2) \, );$
runs forever

(Code explained in Chapter 18.)
Iterative Refinement: In general

/* Specification \( P \): Get from PRE to POST. */
/* Setup: Get from PRE to INVARIANT. */

while ( condition ) {
    /* Get from condition \&\& INVARIANT to INVARIANT. */
}

where !condition \&\& INVARIANT entails POST.
Iteration: To get to POST iteratively
Iteration: To get to POST iteratively, choose a weakened POST as INARIANT

Finding Loop Invariants

Iterative Refinement
**Iteration:** Then, iteratively change the **INVARIANT**’s parameters.
Example: Hammering a nail, the goal

nail vertical and height=0
Example: Hammering a nail, set up the INVARIANT

nail vertical and height=0

nail vertical and height≥0
Example: Hammering a nail, the process

nail vertical and height = 0

POST

improving approximations

nail vertical and height ≥ 0

nail vertical and height ≥ 0

Iterative Refinement
Finding Loop Invariants
Example: Hammering a nail, the process

- nail vertical and height = 0
- nail vertical and height $\geq 0$
- nail vertical and height $= 0$
Example: Integer division, the goal

/* Given int \( x \geq 0 \) and int \( y > 0 \), set int \( q \) to \( x/y \), and int \( r \) to \( x \% y \). */
**Example:** Integer division, the **INVARIANT**

/* Given int \( x \geq 0 \) and int \( y > 0 \), set int \( q \) to \( x/y \), and int \( r \) to \( x \% y \). */

![Diagram showing integer division with variables x, q, and r.](Image)
Example: Integer division, the process

/* Given int x\geq 0 and int y>0, set int q to x/y, and int r to x\%y. */
int r = ___; int q = ___;
while ( condition ) { _____________ }

![Diagram showing integer division process]
Example: Integer division, the process

/* Given int \( x \geq 0 \) and int \( y > 0 \), set int \( q \) to \( x/y \), and int \( r \) to \( x \% y \). */

int \( r = \_\_\_ \); int \( q = \_\_\_ \);
while ( condition ) {
  \( r = r - y \); \( q++ \);
}
Example: Integer division, termination

/* Given int \( x \geq 0 \) and int \( y > 0 \), set int \( q \) to \( x/y \), and int \( r \) to \( x \% y \). */
int \( r = \_)\_; int \( q = \_)\_; 
while ( \( \text{r} \geq \text{y} \) ) { \( \text{r} = \text{r}-\text{y}; \text{q}++; \) }
Example: Integer division, establish the INVARIANT

/* Given int x ≥ 0 and int y > 0, set int q to x/y, and int r to x%y. */
int r = x;  int q = 0;
while ( r >= y ) { r = r - y; q++; }
Example: Played in execution order, with nail and wood analogy, the set up

```c
/* Given int x≥0 and int y>0, set int q to x/y, and int r to x%y. */
int r = x;  int q = 0;
while (   r>=y    ) { r = r - y; q++; }
```

![Diagram of nail and wood analogy]
Example: Played in execution order, with nail and wood analogy, the process

/* Given int \( x \geq 0 \) and int \( y > 0 \), set int \( q \) to \( x \div y \), and int \( r \) to \( x \mod y \). */
int \( r = x \);
int \( q = 0 \);
while ( \( r \geq y \) ) {
    \( r = r - y \);
    \( q++ \);
}
Example: Played in execution order, with nail and wood analogy, termination

/* Given int x≥0 and int y>0, set int q to x/y, and int r to x%y. */
int r = x;   int q = 0;
while (      r>=y    ) { r = r-y; q++; }
Example: Greatest common divisor of x and y, the goal

/* Given x>0 and y>0, return the greatest common divisor of x and y. */
static int gcd(int x, int y) {
    return ____;
}
Example: Greatest common divisor of x and y, by iteration

/* Given x > 0 and y > 0, return the greatest common divisor of x and y. */
static int gcd(int x, int y) {
    while (condition)
        ________;
    return ____;
}
Example: Greatest common divisor of x and y, the INVARIANT

/* Given x>0 and y>0, return the greatest common divisor of x and y. */
static int gcd(int x, int y) {
    while (condition )
        ________;
    return ____;
}

x and y have a greatest common divisor d, for some d
Example: Greatest common divisor of $x$ and $y$, by Case Analysis

/* Given $x>0$ and $y>0$, return the greatest common divisor of $x$ and $y$. */
static int gcd(int x, int y) {
    while ( condition )
        if ( x>y ) _________;
        else _________;
    return ____;
}

$x$ and $y$ have a greatest common divisor $d$, for some $d$
**Example:** Greatest common divisor of $x$ and $y$, by Case Analysis

/* Given $x>0$ and $y>0$, return the greatest common divisor of $x$ and $y$. */
static int gcd(int x, int y) {
    while (condition) {
        if ($x>y$) _________;
        else _________;
    }
    return ____;
}

$x$ and $y$ have a greatest common divisor $d$, for some $d$.

Suppose $x>y$.  

Example: Greatest common divisor of x and y, by Case Analysis, $x > y$

If $x$ and $y$ have a common divisor $d$
Example: Greatest common divisor of x and y, by Case Analysis, $x > y$

$d$ $d$ $d$ $d$ $d$ $d$ $d$ $d$ $d$ $d$

$x$

$d$ $d$ $d$ $d$ $d$ $d$ $d$ $d$

$y$

$d$ $d$ $d$ $d$ $d$ $d$ $d$ $d$ $d$ $d$

$x - y$

if $x$ and $y$ have a common divisor $d$ then $y$ and $x - y$ have a common divisor $d$. 

Euclid’s Algorithm

Iterative Refinement
Example: Greatest common divisor of $x$ and $y$, by Case Analysis, $x>y$

/* Given $x>0$ and $y>0$, return the greatest common divisor of $x$ and $y$. */
static int gcd(int x, int y) {
    while ( condition )
        if ( $x>y$ ) $x = x-y$;
        else ________;
    return ___;
}
Example: Greatest common divisor of $x$ and $y$, by symmetry

/* Given $x>0$ and $y>0$, return the greatest common divisor of $x$ and $y$. */
static int gcd(int x, int y) {
    while (condition) {
        if (x>y) x = x - y;
        else y = y - x;
    }
    return ____;
}
Example: Greatest common divisor of x and y, termination

/* Given x>0 and y>0, return the greatest common divisor of x and y. */
static int gcd(int x, int y) {
    while ( x!=y )
        if ( x>y ) x = x - y;
        else y = y - x;
    return x;
}
Termination: Can be nontrivial, i.e., hard, unknown, or even unknowable
Termination: Are the following two code segments equivalent?

/* Given input n>0, output “done”. */
int n = in.nextInt();
System.out.println( "done" );

/* Given input n>0, output “done”. */
int n = in.nextInt();
while ( n!=1 )
    if ( (n%2)==0 ) n = n/2;
    else n = 3*n+1;
System.out.println( "done" );

Answer turns on whether the loop terminates for every input.
Termination: Are the following two code segments equivalent?

/* Given input n>0, output “done”. */
int n = in.nextInt();
System.out.println( "done" );

/* Given input n>0, output “done”. */
int n = in.nextInt();
while ( n!=1 )
    if ( (n%2)==0 ) n = n/2;
    else n = 3*n+1;
System.out.println( "done" );

Sample input 3:

3 → 10 → 5 → 16 → 8 → 4 → 2 → 1
Termination: Are the following two code segments equivalent?

```java
/* Given input n>0, output “done”. */
int n = in.nextInt();
System.out.println( "done" );

/* Given input n>0, output “done”. */
int n = in.nextInt();
while ( n!=1 )
    if ( (n%2)==0 ) n = n/2;
    else n = 3*n+1;
System.out.println( "done" );
```

That every such sequence reaches 1 is an open problem in mathematics.
Recursive Refinement: Implement specification $P$ by using the very refinement being defined to solve self-similar subproblems.

```c
/* Specification $P$. */
if (base case) /* $P_\theta$. */
else
    /* Identify smaller instance(s) of $P$ within $P$ itself, apply this approach to each such instance, and combine the results. */
```
Self-similarity: Same or similar structure at every scale
Recursive Refinement: Implement specification $P$ by using the very refinement being defined to solve self-similar subproblems.

```c
/* Specification $P$. */
if ( base case ) /* $P_0$. */
else
  /* Identify smaller instance(s) of $P$ within $P$ itself, apply this approach to each such instance, and combine the results. */
```

To use the refinement within both the specification and in the refinement itself, define it separately as a procedure, and invoke it by name.
**Recursive Refinement:** Implement specification $P$ by using the very refinement being defined to solve self-similar subproblems.

```plaintext
/* Specification $P$. */
P( arguments )

and elsewhere define:

```plaintext
static type P( parameters ) {
    if ( base case ) /* $P_0$. */
    else
        /* Identify smaller instance(s) of $P$ within $P$ itself, invoke
           $P( arguments )$ to each such instance, and combine the results. */
        P( arguments )
}
```

To use the refinement within both the specification and in the refinement itself, define it separately as a procedure, and invoke it by name.
Example: 5 4 3 2 1 BLASTOFF

/* Count down from 5, and say “BLASTOFF” at 0. */
countdown(5);

and elsewhere define:
/* Count down from n, and say "BLASTOFF" at zero. */
static void countdown(int n) {
    if ( n==0 ) System.out.println( "BLASTOFF" );
    else {
        System.out.println( n );
        countdown(n-1);
    }
}
A second example: (...(((0+1)+2)+3)+...+100)

/* Output the sum of 1 through 100. */
System.out.println(sum(100));

and elsewhere define:
/* Return the sum of 0 through n. */
static int sum(int n) {
    if ( n==0 ) return 0;
    else return sum(n-1)+n;
}
A third example: \((1+(2+(3+\ldots+(100+0)\ldots)))\)

```java
/* Output the sum of 1 through 100. */
System.out.println( sum(100) );

and elsewhere define:
/* Return the sum of 0 through n. */
static int sum(int n) { return sumAux(n,0); }

/* Return the sum of 0 through n, and acc. */
static int sumAux(int n, int acc) {
  if ( n==0 ) return acc;
  else return sumAux(n-1, n+acc);
}
```
Library of Patterns: Implement specification $P$ by using a previously used and analyzed parameterized composition of constructs.

Build your personal library over your lifetime.
Extended Example: Running a Maze

Background. Define a maze to be a square two-dimensional grid of cells separated (or not) from adjacent cells by walls. One can move between adjacent cells if and only if no wall divides them. A solid wall surrounds the entire grid of cells, so there is no escape from the maze.

Problem Statement. Write a program that inputs a maze, and outputs a direct path from the upper-left cell to the lower-right cell if such a path exists, or outputs “Unreachable” otherwise. A path is direct if it never visits any cell more than once.
Extended Example: Running a Maze

**Background.** Define a maze to be a square two-dimensional grid of cells separated (or not) from adjacent cells by walls. One can move between adjacent cells if and only if no wall divides them. A solid wall surrounds the entire grid of cells, so there is no escape from the maze.

**Problem Statement.** Write a program that inputs a maze, and outputs a direct path from the upper-left cell to the lower-right cell if such a path exists, or outputs “Unreachable” otherwise. A path is direct if it never visits any cell more than once.

Use Stepwise Refinement. Write simple code immediately, otherwise refine the problem statement using: (a) Sequential Refinement, (b) Case Analysis, (c) Iterative Refinement, (d) a known pattern.
Specify the goal

/* Find path in maze from upper-left to lower-right, if one exists. */
Refine with an architecture

/* Find path in maze from upper-left to lower-right, if one exists. */
/* Input. */
/* Compute. */
/* Output. */
Refine with an architecture and elaborate

/* Find path in maze from upper-left to lower-right, if one exists. */
/* Input a maze of arbitrary size, or output “malformed input” and stop if the input is improper. Input format: TBD. */
/* Compute a direct path through the maze, if one exists. */
/* Output the direct path found, or “unreachable” if there is none. Output format: TBD. */

Master stylized code patterns, and use them.
Ignore Input and Output, and focus on essence

/* Find path in maze from upper-left to lower-right, if one exists. */
/* Input a maze of arbitrary size, or output “malformed input” and stop if the input is improper. Input format: TBD. */
/* Compute a direct path through the maze, if one exists. */
/* Output the direct path found, or “unreachable” if there is none. Output format: TBD. */
Ignore Input and Output, and focus on essence

/* Compute a direct path through the maze, if one exists. */
Investigate:

☞ Analyze first.
☞ Confirm your understanding of a programming problem with concrete examples. Elaborate the expected input/output mapping explicitly.
☞ There is no shame in reasoning with concrete examples.
☞ Simple examples may be as good (or better) than complicated ones for guiding you toward a solution.
☞ Seek algorithmic inspiration from experience. Hand-simulate an algorithm that is in your “wetware”. Be introspective. Ask yourself: What am I doing?
Investigate:

Example 1

Begin with a (near) empty maze
Investigate:

Traverse clockwise along the perimeter
Interpose a protruding wall. Continue excursion along it, pirouette to its other size, and continue.
Interpose a second protruding wall. Continue excursion along it (effectively backing out of a cul-de-sac), and continue.
Interpose a third protruding wall. Continue excursion along it (effectively backing out of a room-sized cul-de-sac), and continue.
Investigate:

Block access to lower-right cell. Continue excursion along bottom and left perimeter, and then stop in upper-left cell.
Return to code

/* Compute a direct path through the maze, if one exists. */
/* Compute a direct path through the maze, if one exists. */

Never be (very) lost. Don’t stray far from a correct (albeit, partial) program.
Iterative Refinement:

/* Compute a direct path through the maze, if one exists. */
while ( __________ ) __________

☞ If you “smell a loop”, write it down.
Iterative Refinement:

/* Compute a direct path through the maze, if one exists. */

  __ 3 __
while ( __ 2 __ ) __ 1 __
  __ 4 __
The loop body

/* Compute a direct path through the maze, if one exists. */

3.

while (2) 1

4.
Pick an example, and imagine running the program for a while

Example 1

Body. Do 1st. **Play “musical chairs”**
Pick an example, and imagine running the program for a while

Example 1

Body. Do 1st. *Play “musical chairs”*
Body. Do 1st. **Play “musical chairs”**
Stop at an arbitrary moment

Example 1

Body. Do 1st. Play “musical chairs” and “stop the music”.
Characterize the state

Facing a wall

Example 1

Body. Do 1st. Play “musical chairs” and “stop the music”. Characterize the “program state” when the music stops, i.e., at the instant the loop-body is about to execute yet again.
Characterize the state, and the state transition

Example 1

**INVARIANT:** Hand on wall

Body. Do 1st. Play “musical chairs” and “stop the music”. Characterize the “program state” when the music stops, i.e., at the instant the loop-body is about to execute yet again. If you had stopped one iteration later, what would have looked the same (the “loop invariant”), and what would have changed (the “loop variant”)?
Characterize the state, **and the state transition**

**INVARIANT:** Hand on wall

**VARIANT:** Distance to goal
Characterize the state, and the state transition

**INVARIANT**: Hand on wall

**VARIANT**: Distance to goal

---

A Case Analysis in the loop body is often needed for characterizing different ways in which to decrease the loop variant while maintaining the loop invariant.
Characterize the state, and the state transition

**INVARIANT**: Hand on wall

**VARIANT**: Distance to goal

Transition rule

(1) \[
\begin{array}{l}
\uparrow \\
\end{array}
\Rightarrow
\begin{array}{l}
\uparrow \\
\end{array}
\]
Resume playing musical chairs, applying the transition rule

INVARIANT: Hand on wall

VARIANT: Distance to goal

Transition rule

(1) \[ \uparrow \Rightarrow \uparrow \uparrow \]
Introduce a new transition rule when needed

**INVARIANT**: Hand on wall

**VARIANT**: Distance to goal

Transition rules

1. \[
\begin{array}{c}
\uparrow \\
\end{array}
\rightarrow
\begin{array}{c}
\uparrow \\
\uparrow
\end{array}
\]

2. \[
\begin{array}{c}
\uparrow \\
\end{array}
\rightarrow
\begin{array}{c}
\rightarrow
\end{array}
\]
and resume playing musical chairs

Example 1

Transition rules

(1)  

(2)  

and resume playing musical chairs

Example 1

Transition rules

(1) \[ \begin{array}{c}
\uparrow \\
\end{array} \] \[ \Rightarrow \] \[ \begin{array}{c}
\uparrow \\
\end{array} \]

(2) \[ \begin{array}{c}
\uparrow \\
\end{array} \] \[ \Rightarrow \] \[ \rightarrow \]
and resume playing musical chairs

Transition rules

(1) \[ \begin{array}{c}
\uparrow \\
\hline
\end{array} \quad \Rightarrow \quad \begin{array}{c}
\uparrow \\
\hline
\end{array} \]

(2) \[ \begin{array}{c}
\uparrow \\
\hline
\end{array} \quad \Rightarrow \quad \begin{array}{c}
\rightarrow \\
\hline
\end{array} \]
and resume playing musical chairs

Example 1

Transition rules

(1) \[
\begin{array}{c}
\uparrow \\
\end{array}
\Rightarrow \begin{array}{c}
\uparrow \\
\end{array}
\]

(2) \[
\begin{array}{c}
\uparrow \\
\end{array}
\Rightarrow \begin{array}{c}
\rightarrow \\
\end{array}
\]
Try another example

Example 1

Example 2

Transition rules

(1)  

(2)  

→
Try another example, and introduce a new transition rule when needed

Example 1

Example 2

Transition rules

(1) \[
\begin{array}{cc}
\text{\textup{↑}} & \text{\textup{↑}} \\
\end{array}
\] \[\Rightarrow\]
\[
\begin{array}{cc}
\text{\textup{↑}} & \text{\textup{↑}} \\
\end{array}
\]

(2) \[
\begin{array}{cc}
\text{\textup{↑}} & \text{\textup{→}} \\
\end{array}
\] \[\Rightarrow\]
\[
\begin{array}{cc}
	ext{\textup{→}} \\
\end{array}
\]

(3) \[
\begin{array}{cc}
\text{\textup{↓}} & \text{\textup{↑}} \\
\end{array}
\] \[\Rightarrow\]
\[
\begin{array}{cc}
\text{\textup{↑}} \\
\end{array}
\]
Then resume playing musical chairs

Example 1

Example 2

Transition rules

(1)  

(2)  

(3)
Then resume playing musical chairs

Transition rules

(1) \[ \begin{array}{c|c}
\uparrow & \\hline \\
\end{array} \quad \Rightarrow \quad \begin{array}{c|c}
\uparrow & \\hline \\
\end{array} \]

(2) \[ \begin{array}{c|c}
\uparrow & \\hline \\
\end{array} \quad \Rightarrow \quad \begin{array}{c|c}
\hline & \\hline \\
\end{array} \]

(3) \[ \begin{array}{c|c}
\downarrow & \\hline \\
\end{array} \quad \Rightarrow \quad \begin{array}{c|c}
\hline & \uparrow \\
\end{array} \]
Then resume playing musical chairs

Transition rules

(1) \[
\begin{array}{c}
\uparrow \\
\hline \\
\end{array}
\] \[\Rightarrow\] \[
\begin{array}{c}
\uparrow \\
\hline \\
\end{array}
\]

(2) \[
\begin{array}{c}
\uparrow \\
\hline \\
\end{array}
\] \[\Rightarrow\] \[
\begin{array}{c}
\hline \\
\end{array}
\]

(3) \[
\begin{array}{c}
\downarrow \\
\hline \\
\end{array}
\] \[\Rightarrow\] \[
\begin{array}{c}
\hline \\
\end{array}
\]
Then resume playing musical chairs

Transition rules

(1) $\begin{array}{c}
\uparrow \\
\end{array}$ $\Rightarrow$ $\begin{array}{c}
\uparrow \\
\end{array}$

(2) $\begin{array}{c}
\uparrow \\
\end{array}$ $\Rightarrow$ $\rightarrow$

(3) $\begin{array}{c}
\downarrow \\
\end{array}$ $\Rightarrow$ $\uparrow$

Example 1

Example 2
Try another example, and see that the three transition rules suffice

Example 1
Example 2
Example 3

Transition rules

(1) \[
\begin{array}{c}
\text{↑} \\
\end{array}
\begin{array}{c}
\text{↑} \\
\end{array}
\begin{array}{c}
\text{↑} \\
\end{array}
\begin{array}{c}
\text{↑} \\
\end{array}
\]

(2) \[
\begin{array}{c}
\text{↑} \\
\end{array}
\begin{array}{c}
\text{→} \\
\end{array}
\]

(3) \[
\begin{array}{c}
\text{↓} \\
\end{array}
\begin{array}{c}
\text{↑} \\
\end{array}
\]

Runnig a Maze
Try another example, and see that the three transition rules get you far

Example 1

Example 2

Example 3

Example 4

Numbering reflects the direct path

Transition rules

(1) $\uparrow \rightarrow \uparrow$

(2) $\uparrow \rightarrow \rightarrow$

(3) $\downarrow \rightarrow \uparrow$
until a fourth rule is needed

Example 1

Example 2

Example 3

Example 4

Transition rules

(1) ↑ → ↑

(2) ↑ → →

(3) ↓ → ↑

(4) ↓ → →
Resume

Example 1

Example 2

Example 3

Example 4

Transition rules

(1) \[ \begin{array}{c} \uparrow \ \uparrow \end{array} \Rightarrow \begin{array}{c} \uparrow \ \uparrow \end{array} \]

(2) \[ \begin{array}{c} \uparrow \end{array} \Rightarrow \begin{array}{c} \rightarrow \end{array} \]

(3) \[ \begin{array}{c} \downarrow \end{array} \Rightarrow \begin{array}{c} \uparrow \end{array} \]

(4) \[ \begin{array}{c} \downarrow \end{array} \Rightarrow \begin{array}{c} \rightarrow \end{array} \]
Resume

Example 1

Example 2

Example 3

Example 4

Transition rules

(1) $\uparrow\uparrow$ $\Rightarrow$ $\uparrow\uparrow$

(2) $\uparrow\quad\Rightarrow\quad\rightarrow$

(3) $\downarrow\quad\Rightarrow\quad\uparrow$

(4) $\downarrow\quad\Rightarrow\quad\rightarrow$
Resume

Example 1

Example 2

Example 3

Example 4

Transition rules

(1) \[ \begin{align*}
\text{\uparrow} & \quad \Rightarrow \quad \text{\uparrow} \\
\end{align*} \]

(2) \[ \begin{align*}
\text{\uparrow} & \quad \Rightarrow \quad \text{\rightarrow} \\
\end{align*} \]

(3) \[ \begin{align*}
\text{\downarrow} & \quad \Rightarrow \quad \text{\uparrow} \\
\end{align*} \]

(4) \[ \begin{align*}
\text{\downarrow} & \quad \Rightarrow \quad \text{\rightarrow} \\
\end{align*} \]
Resume, and go all the way

Transition rules

(1) \( \uparrow \rightarrow \Rightarrow \) \( \uparrow \rightarrow \)

(2) \( \uparrow \Rightarrow \rightarrow \)

(3) \( \downarrow \rightarrow \Rightarrow \) \( \uparrow \rightarrow \)

(4) \( \downarrow \rightarrow \Rightarrow \) \( \rightarrow \rightarrow \)
And yet another example

Transition rules

(1) ↑  ⇔  ↑  
(2) ↑  ⇔  →  
(3) ↓  ⇔  ↑  
(4) ↓  ⇔  →  

Numbering reflects the direct path
The loop body: One case for each transition rule

/* Compute a direct path through the maze, if one exists. */

while ( _________ )
  if ( _________ )
    _________
  else if ( _________ )
    _________
  else if ( _________ )
    _________
  else _________
    _________
The loop body: One case for each transition rule, but they are too complex.

/* Compute a direct path through the maze, if one exists. */

while ( _________ )
  if ( _________ )
    _________
  else if ( _________ )
    _________
  else if ( _________ )
    _________
  else _________
The loop body: One case for each transition rule, but they are too complex.
For example:  (1) \[ \uparrow \quad \Rightarrow \quad \square \uparrow \]

/* Compute a direct path through the maze, if one exists. */

while ( _________ )
    if ( two colinear walls not separated by a perpendicular wall )
        sidestep
    else if ( _________ )
        _________
    else if ( _________ )
        _________
    else _________
        _________
Idea: Implement coarse-grain transition steps with micro-operations

(1) 

(2) 

(3) 

(4)
Idea: Implement coarse-grain transition steps with micro-operations

Turn 90° clockwise  Step forward and turn 90° counterclockwise

(1) $\uparrow \rightarrow \Rightarrow \quad \downarrow \leftarrow \Rightarrow \quad (\quad \downarrow \rightarrow \Rightarrow \quad \uparrow)$

(2) $\uparrow \Rightarrow \quad \downarrow$

(3) $\downarrow \leftarrow \Rightarrow \quad (\quad \downarrow \rightarrow \Rightarrow \quad \rightarrow) \Rightarrow \quad (\quad \downarrow \Rightarrow \quad \rightarrow \Rightarrow \quad \uparrow)$

(4) $\downarrow \leftarrow \Rightarrow \quad (\quad \downarrow \Rightarrow \quad \rightarrow) \Rightarrow \quad (\quad \downarrow \Rightarrow \quad \rightarrow)$
Idea: Implement coarse-grain transition steps with micro-operations

Turn 90° clockwise  Step forward and turn 90° counterclockwise

(1) \[
\begin{array}{c}
\uparrow \\
\hline
\rightarrow
\end{array}
\Rightarrow
\begin{array}{c}
\rightarrow \\
\hline
\uparrow
\end{array}
\Rightarrow
\begin{array}{c}
\uparrow \\
\hline
\rightarrow
\end{array}
\]

(2) \[
\begin{array}{c}
\uparrow \\
\hline
\rightarrow
\end{array}
\Rightarrow
\begin{array}{c}
\rightarrow \\
\hline
\uparrow
\end{array}
\]

(3) \[
\begin{array}{c}
\downarrow \\
\hline
\leftarrow
\end{array}
\Rightarrow
\begin{array}{c}
\leftarrow \\
\hline
\downarrow
\end{array}
\Rightarrow
\begin{array}{c}
\downarrow \\
\hline
\leftarrow
\end{array}
\Rightarrow
\begin{array}{c}
\downarrow \\
\hline
\rightarrow
\end{array}
\Rightarrow
\begin{array}{c}
\rightarrow \\
\hline
\uparrow
\end{array}
\]

(4) \[
\begin{array}{c}
\downarrow \\
\hline
\leftarrow
\end{array}
\Rightarrow
\begin{array}{c}
\leftarrow \\
\hline
\downarrow
\end{array}
\Rightarrow
\begin{array}{c}
\downarrow \\
\hline
\leftarrow
\end{array}
\Rightarrow
\begin{array}{c}
\downarrow \\
\hline
\rightarrow
\end{array}
\Rightarrow
\begin{array}{c}
\rightarrow \\
\hline
\uparrow
\end{array}
\]

new **INVARIANT**: Hand on wall or door
new **VARIANT**: Number of wall segments or doors to goal
/* Compute a direct path through the maze, if one exists. */

while ( _________ )
  if ( /* facing-wall */ )
    /* Turn 90° clockwise. */
  else {
    /* Step forward. */
    /* Turn 90° counterclockwise. */
  }

new **INVARIANT**: Hand on wall or door
new **VARIANT**: Number of wall segments or doors to goal
Iteration: (2) termination

/* Compute a direct path through the maze, if one exists. */

while ( /* !in-lower-right && !in-upper-left-about-to-cycle */ )
    if ( /* facing-wall */ )
        /* Turn 90° clockwise. */
    else {
        /* Step forward. */
        /* Turn 90° counterclockwise. */
    }
Iteration: (3) initialization

/* Compute a direct path through the maze, if one exists. */
/* Start in upper-left cell, facing up. */
while ( /* !in-lower-right && !in-upper-left-about-to-cycle */ )
    if ( /* facing-wall */ )
        /* Turn 90° clockwise. */
    else {
        /* Step forward. */
        /* Turn 90° counterclockwise. */
    }
/* Compute a direct path through the maze, if one exists. */
/* Start in upper-left cell, facing up. */
while ( /* !in-lower-right && !in-upper-left-about-to-cycle */ )
  if ( /* facing-wall */ )
    /* Turn 90° clockwise. */
  else {
    /* Step forward. */
    /* Turn 90° counterclockwise. */
  }

Iteration: Correctness relies on subtle problem constraints
Iteration: Correctness relies on subtle problem constraints

If started facing down, not up
If outer wall not solid
If cheese could be in interior cell
/* Compute a direct path through the maze, if one exists. */
/* Start in upper-left cell, facing up. */
while ( /* !in-lower-right && !in-upper-left-about-to-cycle */ )
  if ( /* facing-wall */ )
    /* Turn 90° clockwise. */
  else {
    /* Step forward. */
    /* Turn 90° counterclockwise. */
  }

Iteration: (4) finalization (nothing to do)
The core algorithm is in hand

/* Compute a direct path through the maze, if one exists. */
/* Start in upper-left cell, facing up. */
while ( /* !in-lower-right && !in-upper-left-about-to-cycle */ )
  if ( /* facing-wall */ )
    /* Turn 90° clockwise. */
  else {
    /* Step forward. */
    /* Turn 90° counterclockwise. */
  }