

Call-by-name, call-by-value, and the λ -calculus

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Call-by-name vs call-by-value

Define square = $\lambda xy.x*x$

Evaluate square(2+2, 2+3)

Call-by-name (CBN)

square(2+2, 2+3)

(2+2)*(2+2)

4*(2+2)

4*4

16

Call-by-value (CBV)

square(2+2, 2+3)

square(4, 2+3)

square(4, 5)

4*4

16

Termination under CBN but not CBV

$$\Omega = (\lambda x.xx) (\lambda x.xx)$$

CBN

$(\lambda xy.y) \Omega z$

$\lambda y.y z$

z

CBV

$(\lambda xy.y) \Omega z$

$(\lambda xy.y) \Omega z$

...

Objective

- Transformation between CBV and CBN semantics
- A CBV evaluation of a program P should terminate if and only if the CBN evaluation of the translated P also terminates

Theorem 2. (Simulation). $\Psi(\text{Eval}_v(M)) = \text{Eval}_n(\bar{M}(\lambda xx))$, for any program M .

Some Context

Published in 1975

Previous Papers:

Recursive Functions (1960)

Axiomatic Basis (1969)

Abstraction (1983)

Expressive Power of PLs (1990)

Higher-level languages:

C 1972

Scheme 1973

ML 1975

Practicalities

<S, E, C, D> machine

Machine for evaluating lambda expressions

Constapply(a, b)

Mechanism for syntactic sugar

The λ_v Calculus

$$\frac{e_1 \rightarrow e'_1}{e_1 e_2 \rightarrow e'_1 e_2} \qquad \frac{e \rightarrow e'}{v e \rightarrow v e'}$$

$$(\lambda x.e) v \rightarrow e [v/x]$$

1. $(\lambda x M) = (\lambda y [y/x] M) (y \notin FV(M))$. (α -rule)
2. $(\lambda x M) N = [N/x] M$ (if N is a value). (β -rule)
3. $(ab) = \text{Constapply } (a, b)$ (if this is defined). (δ -rule)

Equality of terms

$$\text{III. } M = M$$

$$2. \frac{M = N \quad N = L}{M = L}$$

$$3. \frac{M = N}{N = M}$$

$$\text{III.1. } \frac{M = N}{(MZ) = (NZ)}, \quad \frac{M = N}{(ZM) = (ZN)}$$

$$2. \frac{M = N}{(\lambda x M) = (\lambda x N)}$$

$M=N$ iff M is equivalent to N

Reduction of terms

$M \geq N$ iff M reduces to something equal to N

Theorem 2. (Church–Rosser theorem). *If $\lambda_v \vdash M_1 \geq M_i$ ($i = 2, 3$) then for some M_4 , $\lambda_v \vdash M_i \geq M_4$ ($i = 2, 3$).*

Theorem 4. *$Eval_v(M) = N$ iff $M \xrightarrow[v]{*} N$, (for closed M and a value N).*

The λ_n Calculus

$$\frac{e_1 \rightarrow e'_1}{e_1 e_2 \rightarrow e'_1 e_2}$$

$$(\lambda x.e_1) e_2 \rightarrow e_1 [e_2/x]$$

11. $(\lambda x M) = (\lambda y [y/x] M) (y \notin FV(M))$ (α -reduction).
2. $(\lambda x M) N = [N/x] M$ (β -reduction).

No requirement that N is a value

Translating from CBN to CBV

$(\lambda x y . y) \Omega z$

$$\underline{x} = x$$

$$\underline{\lambda x . M} = \lambda \alpha . \alpha (\lambda x . \underline{M})$$

$$\underline{M N} = \lambda \alpha . \underline{M} (\lambda \beta . \beta \underline{N} \alpha)$$

Translating from CBN to CBV

$$(\lambda x y . y \ \Omega) \ z$$
$$\underline{x} = x$$
$$(\underline{\lambda x M}) = \lambda \kappa \kappa (\lambda x \underline{M})$$
$$(\underline{MN}) = \lambda \kappa \underline{M} (\lambda \alpha \alpha \underline{N} \kappa).$$