

## Lecture 9: Pseudo-Random Generators

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## 1 Recap

Here we will recap three properties of pseudo-randomness proved last class (the proofs are not repeated here).

1. Indistinguishability is preserved under **efficient operations**. More specifically, if two ensembles  $\{X_n\}_{n \in \mathbb{N}}$  and  $\{Y_n\}_{n \in \mathbb{N}}$  are indistinguishable, and  $M$  is any nuPPT, then  $\{M(X_n)\}_{n \in \mathbb{N}}$  and  $\{M(Y_n)\}_{n \in \mathbb{N}}$  are also indistinguishable.
2. **Hybrid Lemma**: If  $\{X_n^1\}_{n \in \mathbb{N}}, \dots, \{X_n^m\}_{n \in \mathbb{N}}$  is a finite sequence of ensembles, and there exists a distinguisher  $D$  that can distinguish  $\{X_n^1\}_{n \in \mathbb{N}}$  and  $\{X_n^m\}_{n \in \mathbb{N}}$  with advantage  $\epsilon$ , then there exists some  $i < m$  and  $D'$  that can distinguish  $\{X_n^i\}_{n \in \mathbb{N}}$  and  $\{X_n^{i+1}\}_{n \in \mathbb{N}}$  with advantage  $\epsilon/m$ .
3. **Prediction Lemma**: If  $\{X_n^0\}_{n \in \mathbb{N}}$  and  $\{X_n^1\}_{n \in \mathbb{N}}$  are ensembles and there exists a distinguisher,  $D$ , that can distinguish  $\{X_n^0\}_{n \in \mathbb{N}}$  and  $\{X_n^1\}_{n \in \mathbb{N}}$  with advantage  $\mu(n)$ , then there exists a nuPPT  $A$  that can “predict” which distribution a sample came from. More specifically,  $\Pr[b \leftarrow \{0, 1\} : t \leftarrow X_n^b : A(t) = b] \geq 1/2 + \mu(n)/2$ .

## 2 Pseudo-Randomness and the Next-bit Test

### 2.1 Definitions

**Definition 1** An ensemble,  $\{X_n\}_{n \in \mathbb{N}}$  is said to be **pseudorandom** if every element sampled from  $X_n$  has the same length, denoted  $m(n)$ , and  $\{X_n\}_{n \in \mathbb{N}}$  is indistinguishable from  $\{U_{m(n)}\}_{n \in \mathbb{N}}$  (the uniform distribution).

**Definition 2** An ensemble,  $\{X_n\}_{n \in \mathbb{N}}$  is said to pass the **next-bit test** if no nuPPT can guess the  $i^{\text{th}}$  bit given the first  $(i - 1)$ . Specifically,  $\forall$  nuPPT  $A$ ,  $\exists$  negligible  $\epsilon$ , such that  $\forall n \in \mathbb{N}$ ,  $\forall i \in [0, m(n) - 1]$ ,  $\Pr[t \leftarrow X_n : A(1^n, t_{0 \rightarrow i}) = t_{i+1}] \leq 1/2 + \epsilon(n)$ .

### 2.2 The theorem

**Theorem 1** Let  $\{X_n\}_{n \in \mathbb{N}}$  be an ensemble where every element sampled from  $X_n$  has length  $m(n)$ . Then  $\{X_n\}_{n \in \mathbb{N}}$  passes the next-bit test IF and ONLY IF  $\{X_n\}_{n \in \mathbb{N}}$  is pseudo-random.

**Proof.** First, it was shown last class that if  $\{X_n\}_{n \in \mathbb{N}}$  is pseudo-random, then it passes “all” randomness tests, so the IF direction is covered.

Next, say that  $\{X_n\}_{n \in \mathbb{N}}$  pass the next-bit test, and assume for contradiction that  $\{X_n\}_{n \in \mathbb{N}}$  is not pseudo-random. Then there exists some distinguisher  $D$  that can distinguish  $\{X_n\}_{n \in \mathbb{N}}$  and  $\{U_{m(n)}\}_{n \in \mathbb{N}}$  with advantage  $1/p(n)$  for some polynomial  $p$ , for infinitely many  $n$ . The remainder of the proof will be showing that for exactly these  $n$ ,  $\{X_n\}_{n \in \mathbb{N}}$  fails the next-bit test. And because there are infinitely many such  $n$ ,  $\{X_n\}_{n \in \mathbb{N}}$  does in fact fail the next-bit test. So from now on we will focus on one of these such  $n$ .

We’ll set up to try and use the Hybrid Lemma. Let the distribution  $H_n^i = \{l \leftarrow X_n, r \leftarrow U_{m(n)} : l_{0 \rightarrow i} || r_{i+1 \rightarrow m(n)}\}$ . In other words,  $H_n^i$  samples from  $X_n$  and  $U_{m(n)}$ . It uses the first  $i$  bits from its sample of  $X_n$ , and the remaining bits from its sample of  $U_{m(n)}$ . then  $H_n^0 = U_{m(n)}$  and  $H_n^{m(n)} = X_n$ . So we know that there exists a  $D$  that distinguishes  $H_n^0$  from  $H_n^{m(n)}$ , so by the Hybrid Lemma, there exists a  $D'$  that distinguishes  $H_n^i$  from  $H_n^{i+1}$  with advantage  $1/p(n)m(n)$ , which is still polynomial.

Now we’re going to define  $G_n^{i+1} = \{l \leftarrow X_n, r \leftarrow U_{m(n)} : l_{0 \rightarrow i} || 1 - l_{i+1} || r_{i+2 \rightarrow m(n)}\}$ . Notice that  $G_n^{i+1}$  and  $H_n^{i+1}$  pull every bit from exactly the same distribution, except for the  $i+1$  bit. Intuitively, if we could tell apart  $G_n^{i+1}$  and  $H_n^{i+1}$ , then we could tell whether the  $i+1$  bit was “right” or “wrong,” and we could use such a distinguisher to guess that  $i+1$  bit given only the first  $i$ . First we have to show that we can in fact distinguish  $G_n^{i+1}$  from  $H_n^{i+1}$ .

We can write  $H_n^i = \frac{1}{2}G_n^{i+1} + \frac{1}{2}H_n^{i+1}$ . This is because the first  $i$  bits and the last  $m(n) - i - 1$  bits are sampled exactly the same in all three distributions. In addition, the  $i+1$  bit of  $H_n^i$  is uniformly at random. The  $i+1$  bit of  $H_n^{i+1}$  and  $G_n^{i+1}$  will always be opposites, so choosing each with probability  $1/2$  is exactly a uniform distribution. Now we can use the fact that we can tell apart  $H_n^i$  from  $H_n^{i+1}$  to show that we can, in fact, tell apart  $G_n^{i+1}$  and  $H_n^{i+1}$ .

$$\begin{aligned} 1/p(n)m(n) &\leq |Pr[t \leftarrow H_n^{i+1} : D(t) = 1] - Pr[t \leftarrow H_n^i : D(t) = 1]| \\ &= |Pr[t \leftarrow H_n^{i+1} : D(t) = 1] - (\frac{1}{2}Pr[t \leftarrow H_n^{i+1} : D(t) = 1] + \frac{1}{2}Pr[t \leftarrow G_n^{i+1} : D(t) = 1])| \\ &= \frac{1}{2}|Pr[t \leftarrow H_n^{i+1} : D(t) = 1] - Pr[t \leftarrow G_n^{i+1} : D(t) = 1]| \end{aligned}$$

The last line exactly says that the exactly same distinguisher,  $D$ , can distinguish  $H_n^{i+1}$  and  $G_n^{i+1}$  with advantage  $2/p(n)m(n)$ , which is still inverse polynomial. Now we want to use the prediction lemma, which says that there exists a machine  $A$ , such that if we randomly sample from  $H_n^{i+1}$  and  $G_n^{i+1}$  (each with probability  $1/2$ ), then  $A$  can guess which sample we chose from with probability at least  $1/2 + 1/p(n)m(n)$ .

Now that we have this  $A$ , we will build and  $A'$  that can guess that  $i+1$  bit of  $X_n$  given only the first  $i$  bits with probability  $1/2 + 1/p(n)m(n)$ , contradicting the fact that  $X_n$  passed the next-bit test.  $A'(1^n, y)$  will do the following:

Let  $b \leftarrow \{0, 1\}$ , and  $r \leftarrow \{0, 1\}^{m(n)-i-1}$ .  $b$  is our “guess” at what the next-bit of  $y$  is. Our guess can either be right or wrong. If our guess is right, then  $y||b||r$  is EXACTLY a sample from  $H_n^{i+1}$  (because the first  $i + 1$  bits are exactly a sample from  $X_n$ , and the remaining bits are uniform at random). If our guess is wrong, then  $y||b||r$  is EXACTLY a sample from  $G_n^{i+1}$  (because the first  $i$  bits are exactly a sample from  $X_n$ , the  $i + 1$  bit is flipped, and the remaining bits are uniform at random). So now we run  $A(y||b||r)$ . If  $A$  outputs  $H_n^{i+1}$ , then  $A'$  outputs  $b$ . If  $A$  outputs  $G_n^{i+1}$ , then  $A'$  outputs  $1 - b$ . Notice that  $A'$  is correct EXACTLY when  $A$  is correct by the argument above. If  $y||b||r$  came as a sample from  $H_n^{i+1}$ , then  $b$  is the next bit of  $y$ . If  $y||b||r$  came as a sample from  $G_n^{i+1}$ , then  $1 - b$  is the next bit of  $y$ . So  $A'$  succeeds with probability  $1/2 + 1/p(n)m(n)$  in guessing the  $i + 1$  bit of a sample from  $X_n$  after seeing only the first  $i$  bits.

This proof was for a specific  $n$ , and holds for the infinitely many  $n$  such that the distinguisher  $D$  succeeded with probability  $1/p(n)$ . So for infinitely many  $n$ ,  $A'$  can guess the  $i + 1$  bit given the first  $i$  bits (note that the  $i$  is non-uniform and depends on  $n$ ) with advantage  $1/p(n)m(n)$ , so this is a contradiction and  $\{X_n\}_{n \in \mathbb{N}}$  does not pass the next-bit test.

So now we have shown that  $\{X_n\}_{n \in \mathbb{N}}$  is pseudo-random IF and ONLY IF it passes the next-bit test.

## 3 Constructing PRGs

### 3.1 Definition

A function  $g : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is a **Pseudo-Random Generator** if it satisfies the following three conditions:

1. **Efficiency:**  $g$  is (PPT)-computable. (and deterministic)
2. **Expansion:**  $|g(x)| = l(|x|)$ , and  $l(k) > k, \forall k$ .
3. **Pseudo-Random:**  $\{x \leftarrow \{0, 1\}^n g(n)\}_{n \in \mathbb{N}}$  is indistinguishable from  $\{U_{l(n)}\}_{n \in \mathbb{N}}$ .

### 3.2 First attempt

Shamir proposed the following PRG. Let  $f$  be a *OWP*, then let  $g(s) = f^n(s)||f^{n-1}(s)|| \dots ||s$ . This is appealing because it is, by definition, hard to predict future “blocks” of  $g(s)$  given only the first “blocks” of  $g(s)$ . However, it is easy to distinguish even  $f(s)||s$  from a uniform distribution over  $2|s|$ . This is because from a random distribution, the chance that  $U_{2n}$  is of the form  $f(s)||s$  is  $1/2^n$ . So a distinguisher just needs to check if the first half of the bits are equal to  $f$  applied on the second half. If so, then the probability that this happened by chance from a uniform distribution is negligible, so it is safe to say that it came from the distribution of  $g(s)$ . So  $g$  fails to be a pseudo-random generator.

### 3.3 Second attempt

Instead, let  $f$  be a *OWP*, with a hardcore predicate  $b$ . Then let  $g(s) = f(s)||b(s)$ . This time,  $g$  is provably a *PRG*. It is clear that  $g$  is **efficient** and **expanding**. Assume for contradiction that  $g$  is not a pseudo-random. Then  $g$  must fail the next-bit test by the work in the previous section. What possibilities are there for the  $i$  such that  $g$  can guess the  $i + 1$  bit given the first  $i$  bits? It clearly cannot be  $i < |s|$ . This is because  $f$  is a permutation, so  $f(s)$ , where  $s \leftarrow U_n$  is EXACTLY a uniform distribution, which means that it is statistically impossible to guess the  $i + 1$  bit with probability better than  $1/2$ . However, we also cant have  $i = |s|$ , or else this means we could guess  $b(s)$  given  $f(s)$ , contradicting the fact that  $b(s)$  is a hardcore predicate for  $f$ . So no matter that  $i$  is, there is a contradiction, so no such  $i$  can exist, and  $g$  must pass the next-bit test, and therefore  $g$  is pseudo-random.