

## Lecture 7:

9/19/13

~~Ahead~~ The Grosz/Sidner theory of discourse structure

global

[copies of handout from last time]

\* A2o printout: the Kasparov diagr. for annotation

[load up the YouTube intentions video?]

no class Tues.

<start w/ screen up>

but @ laptop display on.

? lessons learned from pilot studies?

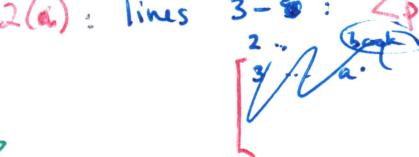
(no time, most likely)

add to prior notes;  
'actually' in quotes

Last lecture we looked @ some suggestive discourse examples that provided evidence of hidden structure.

I want to remind you of this one: used anaphora resolution as lens

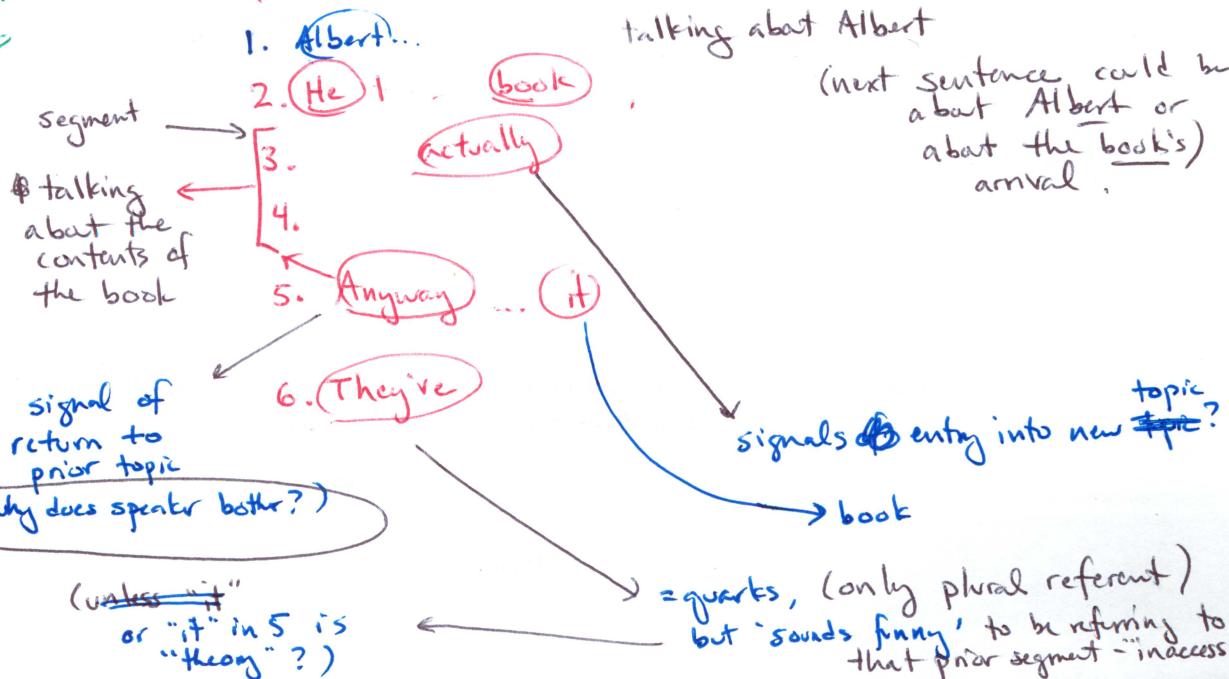
#2(a): lines 3-5: <project on board>



skip centering as being a theory of local structure (pairwise relationships).

Centring is presumably the phenom. behind 'brown & round' @ discourse.

@ first, start off  
describing segments  
as topic-based



Tony Any other observations from class? >

(ask A. to repeat from last time)

[See paper on describing 'so' as discourse marker, although broader citation better for webpage?]

(So) what were observing here  
two ~~points~~ arising here:  
concepts

- existence of discourse segments
- incoherence when expected segment structure violated



Now, before I kind of argued that you wouldn't expect to see a discourse like 2c in real life.

So you might conceive of incoherence as an acceptance criterion

But incoherent

But incoherent discourses happen all the time in real life!

And actually, they are not always a bad thing at all.

Consider #4 on handout. I'm picking at this particular incoherent discourse due to the pedagogical point I'm driving @.

- incoherent, b/c (b) → an interruption

(and it is an interruption, so

(or alternatively the interleaving of two conversations).

e.g. "them" would refer to the "kids".

(although maybe if the kids are badly enough behaved, you would want to put the kids away).

- incoherent, but normal  
→ important to be in this case.
- speaker wants, [in some sense] to be incoherent.

If the kids are ~~busy~~ about to microwave the new puppy, the speaker does not want to wait to finish their gripping tale of the Sage of the Groceries before telling the kids to knock it off.

→ listeners (humans or systems) must recognize (& adapt to) incoherence.

In this case, presumably the speaker gives a lot of cues so that the listener can pick up on: turning, change in volume,

So, two points so far that a theory of global discourse structure should take into account:

- structural units or segments
- coherence; incoherence ( maybe amounts to understanding the relations b/w segments.)

Now, one more thought-provoking discourse example, this one involving 2 people (finally).

#5. one assu &

or actually, once you read it, it seems more like one human being and one ~~sift~~ somewhat flawed AI system.

<read>

- clearly this is a conversation going off the rails.  
[bulletproof glass joke from Grishman?]

What's the problem?  
B's responses are, from some perspective, totally rational:  
answer to 1<sup>st</sup> q is truthful  
and second utterance by A isn't a q, so B doesn't technically  
have to do anything,  
but B acknowledges A ~~any~~, anyway, which is nice; polite.

Crucially, though, B is failing to recognize A's ~~intention~~ intentions when making these stunts, and that failure to take attention into account is causing all sorts of hard feelings, one presumes.

So: third elt: the in

third elt: importance of recognizing intentions.

After all, perhaps the most important thing to note about conversations is that people ~~never~~ generally have them for a ~~no~~ reason (even if those reasons aren't very good).

Grosz; Sidner [1986] theory: ~~no~~ has really influenced my thinking when I pay attention to daily conversation.

primary atoms: discourse segment purpose (DSP)

- a single intention, the recognition of which motivates the discourse segment. q: ok to use phrasing that is culturally specific?

ex in 5: DSP = find out the time

# check that B knows the time; # test an AI system (even if true)

this explains why A continues the conversation, and does so by repeating the point a few times.  
they're doing another attempt to get their intent recognized.

DSP relations: relations b/w DSPs:  $\begin{array}{c} \text{DSP1 dominates DSP2 if satisfying} \\ \text{minimal set of} \\ \text{contradict w/ more rhetoric-based} \\ \text{theories like "elaboration",} \\ \text{"evidence", "contrast",} \\ \text{which are more about the} \\ \text{segments rather than the DSPs.} \end{array}$   $\begin{array}{c} \text{DSP1 dominates DSP2} \\ \text{DSP2 furthers DSP1.} \end{array}$

this kind of relation naturally suggests a tree.

ex: [Juafsky; Martin] (from back in the day when people called travel agents)

DSP1: caller: agent books flight

DSP2: a: c gives departure

note: a 'coherent' conversation could be inconsistent w.r.t. participants.

Should coherence be a property of the conversation mutually, or the participants individually?  
note that both parties

DSP3: a: c gives destination

DSP4: a: c explains which

"Ithaca"

note that the intentions of both parties are in the tree.

Also

also note that this structure is a property of the joint conversation, not ~~of each~~ necessarily the viewpoint that either participant themselves has.

So the fact that overt agendas are what's interesting makes a lot of sense;

you get the same structure whether or not the caller is a valid customer or, say, someone from a rival company that's trying to tie up the phone line.

DSP tree structure  $\Rightarrow$  embedded discourse segments.

note: w/in the DS for DSP3 is a ~~sub~~ DS for the disambig.

But none of this theory so far explains why some referents seem to somehow disappear.

How to account for?

Well, parse trees relate to pushdown automata,  
so it's useful to think of trees being related to stacks,  
(semi-)natural

## attentional component:

conversation has a stack of focus spaces, one per discourse segment.

contains PSP: salient entities

- draw a segmentation so people know what to do on A2.  
pop. f.s. when DSP is recognized / realized.  
orders possible referents

explains why there are "missing" (or "inconvenient") referents, like "the thing"  
disappearing.

• ease cognitive load by reducing the # of possible referents you have to look  
for

q: how deep can people's stacks be?

(talk about Walker's queue theory)

q: do parts of trees stay around beyond boundary of the conversation  
(i.e., over multiple days?)

It's probably true that tree intentions last across sessions, and stand  
cutter keys some always 'at the bottom of the stack'.

- incoherence: stack doesn't match the tree.

## Some implications:

~~hearses~~ m

hearers must act. understanding of PSP for speaker to be satisfied.

("closure": Clark '96, Norman '88).

- ack can consist of attention

(if I keep staring @ you after I've told me what time  
it is this seems weird)

(if you don't look @ me while I'm trying to convey a complex point.)

- speakers can close segments w/ acts (thanks, great)

- ~~hears~~ can provide next expected contribution (disclaim a compliment,  
to show you know it was just meant to be polite).

- paraphrasing or repeating

Q: if it's all about getting your DSP recognized, why don't people just 'say what they mean'?

A: b/c there are other ~~metalinguistic~~ factors (and hence intentions) @ play also  
controlling phrasing.

ex: status, ~~common knowledge~~

<show youtube video - From youtube directly! Better bandwidth>

DSP	goals	← no "they're"
	PSP	referent
	Book	
	DSP!	Attention
	All	