## **Time**

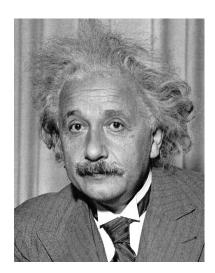
Supriya Vadlamani

## Asynchrony v/s Synchrony

- Last class:
  - Asynchrony
    - Event based
    - Lamport's Logical clocks
- Today:
  - Synchrony
    - Use real world clocks
    - But do all the clocks show the same time?

#### **Problem Statement**

"The only reason for time is so that everything doesn't happen at once." — Albert Einstein



#### Given a collection of processes that can. . .

- Only communicate with significant latency
- Only measure time intervals approximately
- Fail in various ways

We want to construct a shared notion of time.

## Why is The Problem Hard?

- Variation of transmission delays
  - Each process cannot have an instantaneous global view

Presence of drift in clocks.

Support faulty elements Hardest!

## Applications that Require Synchronization

- Transaction processing applications
- Process control applications
- Communication protocols
  - require approximately the same view of time



### Approaches to Clock Synchronization

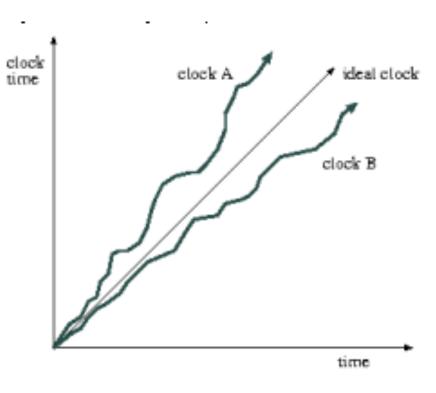
Hardware vs Software clocks

External vs Internal Clock synchronization

#### Hardware Clocks

- Each processor has an oscillator
- BUT- oscillators drift!

Logical Clock = H/w clock + Adjustment Factor



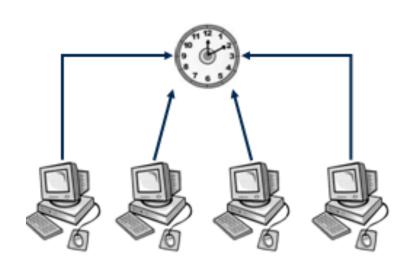
#### Software Clocks

- Deterministic → assumes an upper bound on transmission delays guarantees some precision

  Realistic ?
- 2. Statistical → expectation and standard deviation of the delay distributions are knownReliable ?
- 3. Probabilistic → no assumptions about delay distributions
  Any Guarantees ?

## **Clock Synchronization**

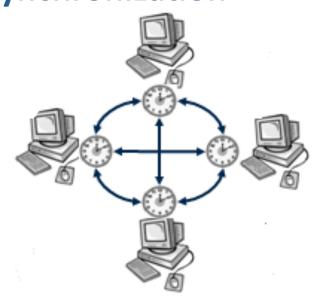
#### **External Clock Synchronization**



Synchronize clocks with respect to an external time reference

**Example: NTP** 

#### **Internal Clock Synchronization**



Synchronize clocks among themselves

## Today...

## Optimal Clock Synchronization [Srikanth and Toueg '87]

- Assume reliable network (deterministic)
- Internal clock synchronization
- Also optimal with respect to failures

#### **Authors:**

Sam Toueg – Cornell University
-Moved to MIT
T K Srikanth- Cornell University

## Types of Failures in a Network

- Up to f processes can fail in the following ways:
  - Crash Failure:
    - processor behaves correctly and then stops executing forever
  - Performance Failure:
    - processor reacts too slowly to a trigger event( Eg:Clock too slow or fast, Stuck clock bits)
  - Arbitrary Failure (a.k.a Byzantine):
    - processor executes uncontrolled computation

## System Model

#### **Assumptions:**

Clock drift is bounded

$$(1 - \rho)(t - s) \le Hp(t) - Hp(s) \le (1 + \rho)(t - s)$$

Communication and processing are reliable

$$t_{recv} - t_{send} \le t_{del}$$

Authenticated messages

will relax this later...

#### Goals

Property 1 Agreement:

Bounded drift btw processes

$$|L_{pi}(t) - L_{pj}(t)| \leq \delta$$

( $\delta$  is the precision of the clock synchronization algorithm)

Property 2 Accuracy:

Bounded drift within a process

$$(1 - \rho_{\nu})(t - s) + a \le L_{\rho}(t) - L_{\rho}(s) \le (1 + \rho_{\nu})(t - s) + b$$

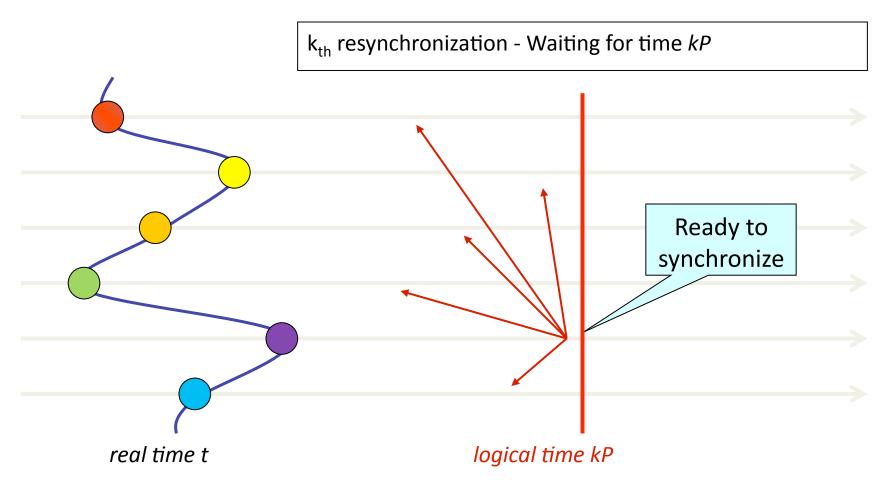
#### Goals

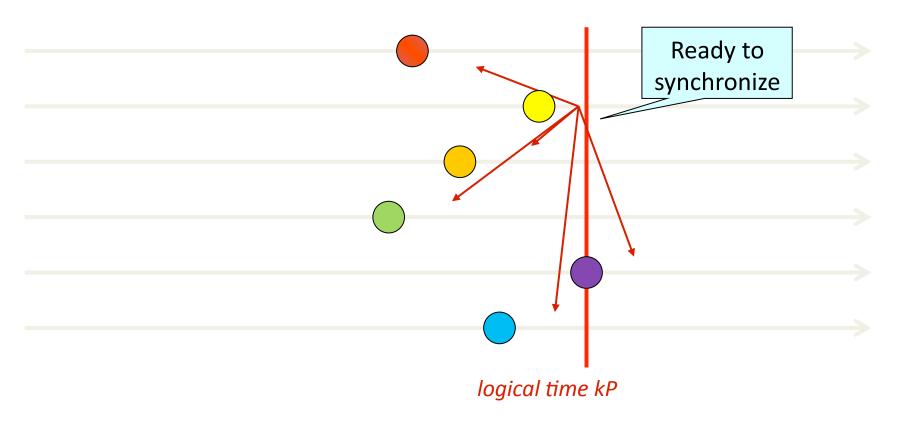
- Optimal Accuracy
  - Drift rate bounded by the maximum drift rate of correct hardware clocks

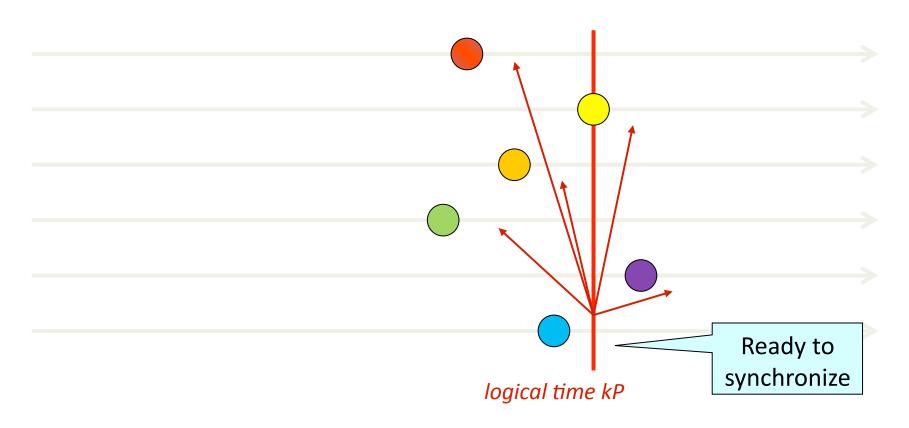
$$\rho_{v} = \rho$$

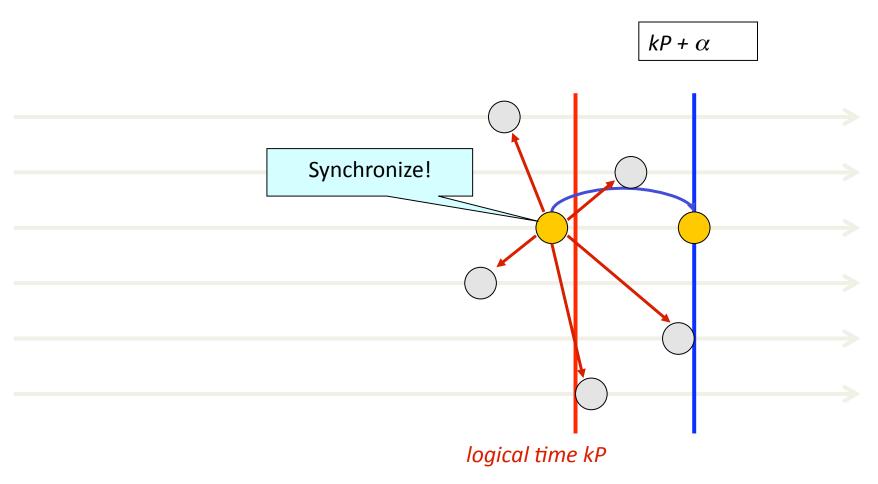
#### Outline

- Synchronization algorithm authentication
- Optimizing for accuracy
- Properties
- Synchronization algorithm broadcast
- Initialization and Integration









## Achieving Optimal Accuracy

### Uncertainty of t<sub>delay</sub>

- → difference in the logical time between resynchronizations
  - → Reason for non-optimal accuracy

#### Solution:

Slow down or speed up the logical clocks.

Slow down to kP+ $\alpha$  when  $C_k^{i-1}$  reads min(T+ $\beta$ , kP+ $\beta$ )

Speed up to kP+ $\alpha$  when  $C_k^{i-1}$  reads max(T- $\beta$ , kP+ $\beta$ )

### Properties Essential to the Algorithm

#### **Unforgeability:**

No process broadcasts → no correct process accepts by t

#### Relay:

Correct process accepts message at time t, → others do so by time t + tdel

#### **Correctness:**

Round k: f+1 broadcast messages → received by t+tdel

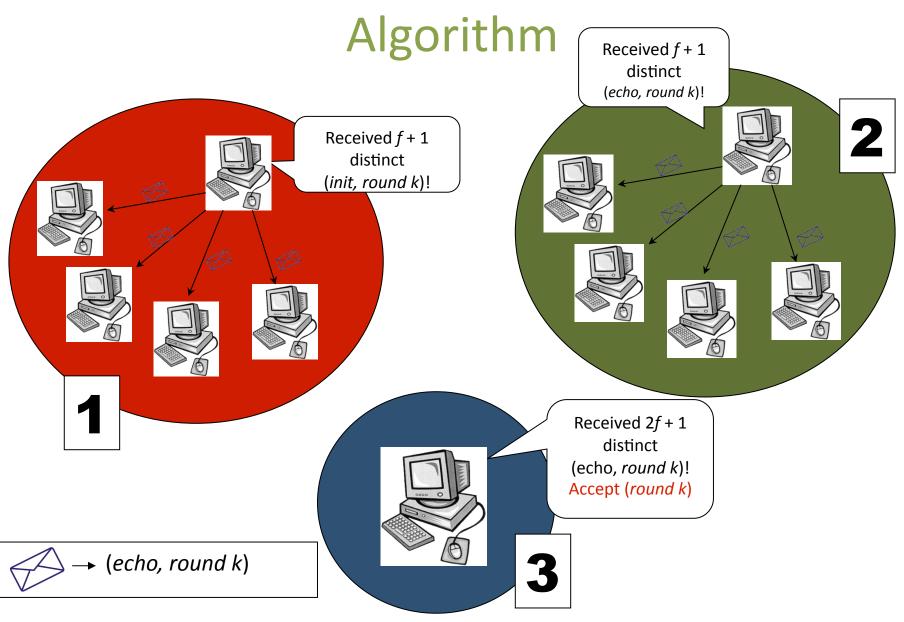
#### **Broadcast Primitive**

- Strong authentication is too heavyweight.
   Only need:
  - Unforgeability
  - Relay
  - Correctness
- Can use a broadcast primitive from the literature.

## Synchronization Algorithm (Broadcast Primitives)

- Replace signed communication with a broadcast primitive
  - Primitive relays messages automatically
  - Cost of  $O(n^2)$  messages per resynchronization
- New limit on number of faulty processes allowed:
  - n > 3f

## Broadcast based Synchronization



### Take Away

- Deterministic algorithm
  - Simple algorithm
  - Unified solution for different types of failures
  - Achieves "optimal" accuracy
  - $-O(n^2)$  messages
  - Bursty communication

## Today...

- Probabilistic Internal Clock Synchronization [Cristian and Fetzer '03]
  - Drop requirements on network (probabilistic)
  - Internal Clock synchronization

Authors

Flaviu Cristian

Christof Fetzer (TU Dresden)

**UC San Diego** 

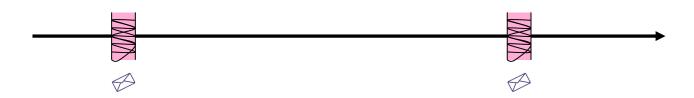
### How is the second paper different?

- Deterministic approach
  - → Bound on transmission delays
- Require N<sup>2</sup> messages
- Unified solution for all failures
- Bursty communication

- Probabilistic approach
  - → No upper bound on transmission delays
- Requires N+1 messages (best case)
- Caters to every kind of failure
- Staggered communication

## Motivation for Probabilistic Synch.

- Traditional deterministic fault-tolerant clock synchronization algorithms:
  - Assume bounded communication delays
  - Require the transmission of at least N<sup>2</sup>
     messages each time N clocks are synchronized
  - Bursty exchange of messages within a narrow re-synchronization real-time interval



## System Model

Correct clocks still have bounded drift

- No longer a maximum communication delay
  - delays given by probability distribution

There is a known minimum message delay t<sub>min</sub>

#### Outline

- Probabilistic Clock Reading, (2 processors)
- Optimizing probabilistic clock reading
- Round Message exchange protocol
- Failure algorithm classes

## Contents of Exchanged Messages

Message (p->q)

Send time

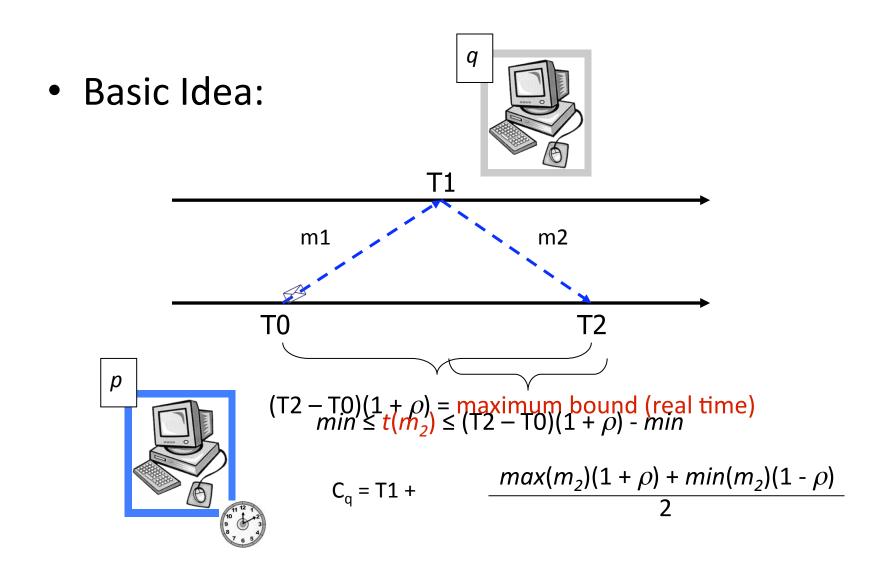
Estimation of all clocks

Error bounds

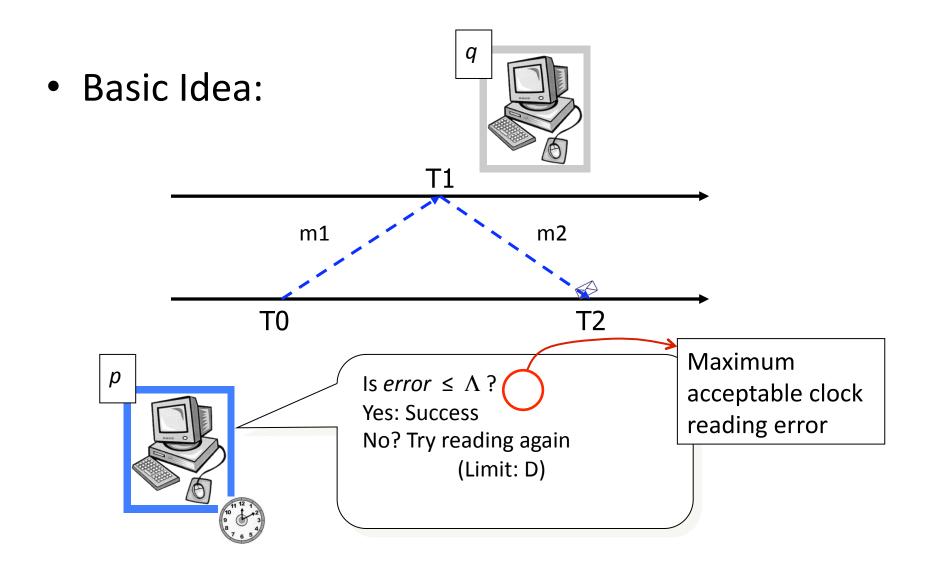
Receive time stamps of q

If q trusts p can also use it to approximate other clocks.

## Probabilistic Clock Reading



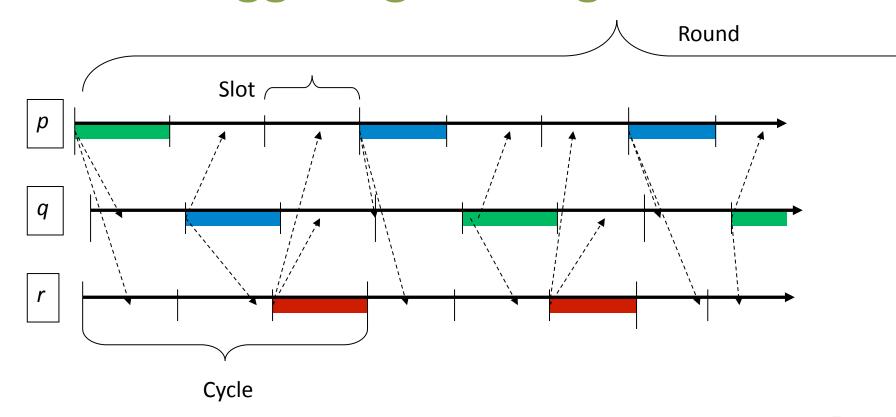
## **Probabilistic Clock Reading**



## Techniques to Optimize Probabilistic Clock Reading

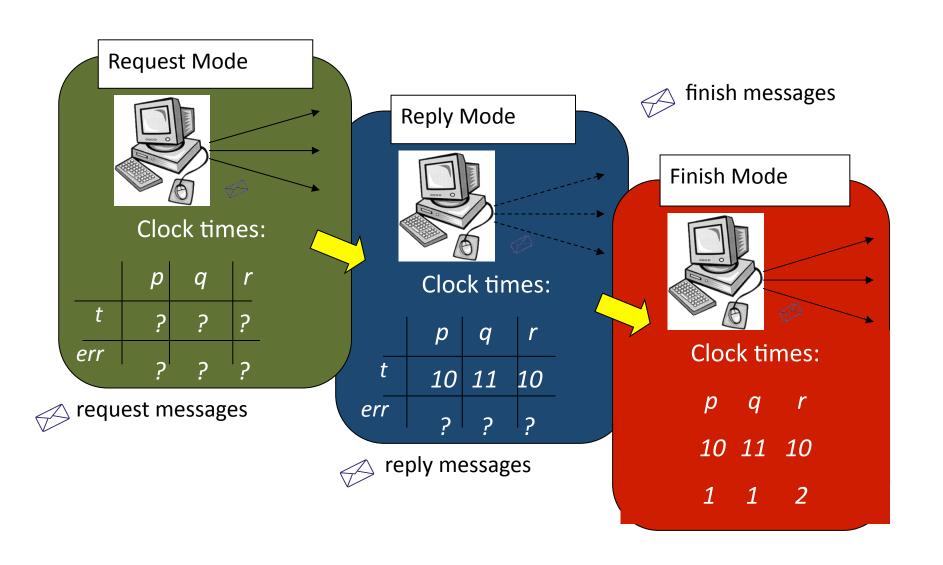
- Use all potentially non-concurrent messages
  - Helpful to approximate the sender's/receiver's clocks
- Stagger the messages
  - Increases no. of non concurrent messages, reduces n/w congestion
- Transitive Remote clock reading
  - Possible when no arbitrary failures

## Staggering Messages



A slot is a unit in which a single process gets to send A cycle is a unit in which all processes get a chance to send A round is a unit in which all processes must get estimates of other clocks

### Round Message Exchange Protocol



## Failure Classes

Algo	Tolerated Failures	Required Processes	Tolerated Failures
CSA Crash	F	F+1	Crash
CSA Read	F	2F+1	Crash, Reading
CSA Arbit.	F	3F+1	Arbit, Reading
CSA Hybrid	Fc, Fr, Fa	3Fa+2Fr+Fc+1	Crash, Read, Arb

### Take away

- Probabilistic algorithm
  - Takes advantage of the current working conditions, by invoking successive round-trip exchanges, to reach a tight precision)
  - Precision is not guaranteed
  - Achieves "optimal" accuracy
  - -O(n) messages

If both algorithms achieve optimal accuracy,

Then why is there still work being done?

#### References

#### Thanks to

- Lakshmi Ganesh
- Michael George

#### Papers:

- Optimal Clock Synchronization, Srikanth and Toueg. JACM 34(3), July 1987.
- F. Schneider. Understanding protocols for Byzantine clock synchronization.
   Technical Report 87-859, Dept of Computer Science, Cornell University, Aug 1987.
- Using Time Instead of Timeout for Fault-Tolerant Distributed Systems,
   Lamport. ACM TOPLAS 6:2, 1974.
- Probabilistic Internal Clock Synchronization, Cristian and Fetzer.

## Initialization and Integration

- Same algorithms are used
  - A process independently starts clock C<sup>o</sup>
  - On accepting a message at real time t, it sets  $C^0 = \alpha$
- "Passive" scheme for integration of new processes
  - Joining process find out the current round number
  - Prevents a joining process from affecting the correct processes in the system