

## 1. Free and Bound Variables

- (a) Write the following  $\lambda$ -terms in their fully-parenthesized, curried forms. Identify the bound and free variables. For the bound variables, indicate which abstraction operator binds them.
- (i)  $\lambda xyz. z x \lambda x. x$
  - (ii)  $\lambda xy. (\lambda z. z y) \lambda x. z x$
  - (iii)  $(\lambda x. y \lambda y. x y) \lambda z. y z$
- (b) Reduce (a)(iii) to normal form using  $\alpha$ - and  $\beta$ -reduction. In each step, underline the redex and indicate which rule is being applied.

Two of the six rules for safe substitution are

$$\begin{aligned} (\lambda y. e_0) \{e_1/x\} &\triangleq \lambda y. (e_0 \{e_1/x\}) && \text{where } y \neq x \text{ and } y \notin FV(e_1) \\ (\lambda y. e_0) \{e_1/x\} &\triangleq \lambda z. (e_0 \{z/y\} \{e_1/x\}) && \text{where } y \neq x, z \neq x, z \notin FV(e_0), \text{ and } z \notin FV(e_1). \end{aligned}$$

We also defined  $\alpha$ -reduction as

$$\lambda x. e \xrightarrow{\alpha} \lambda y. (e \{y/x\}) \quad \text{where } y \notin FV(e).$$

- (c) These rules contain a number of side-conditions of the form  $y \notin FV(e)$  whose purpose may not be immediately apparent. Show by counterexample that each side-condition is independently necessary to avoid variable capture. Each counterexample should involve a pair of terms that either converge to different normal forms or such that one converges and the other diverges.

## 2. Encoding Lists

We would like to encode lists  $[x_1; \dots; x_n]$  and list operators corresponding to the OCaml operators `::`, `List.hd`, and `List.tl` as  $\lambda$ -terms. We could use `pair`, `first`, and `second` defined in lecture, but with that encoding, there seems to be no reasonable encoding of the empty list or a way to check for it.

Here is an alternative approach. Define

$$\begin{aligned} \text{true} &\triangleq \lambda xy. x & \text{nil} &\triangleq \lambda x. x \text{ false} \\ \text{false} &\triangleq \lambda xy. y & \text{list} &\triangleq \lambda h. \lambda t. \lambda x. x \text{ true } h t \end{aligned}$$

We will consider  $[x_1; x_2; \dots; x_n]$  as syntactic sugar for  $(\text{list } x_1 (\text{list } x_2 (\dots (\text{list } x_n \text{ nil}) \dots)))$ .

- (a) Write  $\lambda$ -terms `head` and `tail` such that `head (list  $e_1$   $e_2$ ) =  $e_1$`  and `tail (list  $e_1$   $e_2$ ) =  $e_2$` . Show the reductions that verify this. The functions `head` and `tail` are not required to do anything sensible when applied to `nil` or to any non-list. Show that `(head (tail  $[x_1; x_2]$ )) =  $x_2$` .
- (b) Write a  $\lambda$ -term `empty` that returns `true` on input `nil` and `false` on any other list. It need not do anything sensible when applied to non-lists.
- (c) Write a  $\lambda$ -term `curry` that converts a function that takes inputs of the form  $[x; y]$  to a function that does the same thing, but takes its arguments one at a time. Write a  $\lambda$ -term `uncurry` that converts in the opposite direction. For the uncurried function, you need not worry about inputs not of the form  $[x; y]$ .

- (d) Write a  $\lambda$ -term **map** that accepts a function and a list and returns a new list containing the results of the function applied to each element of the input list. For example, if **succ** is the successor function, then

$$\text{map succ } [\bar{1}; \bar{2}; \bar{3}; \bar{4}] \rightarrow [\bar{2}; \bar{3}; \bar{4}; \bar{5}].$$

(*Hint.* Use the fixpoint combinator  $Y$  defined in lecture.)

### 3. Implementing the $\lambda$ -Calculus

The archive `lambda.zip` contains a partial implementation of some useful  $\lambda$ -calculus mechanisms. In particular, it contains an implementation of the call-by-value reduction strategy, and you can use it to try out evaluation. The syntax is similar to OCaml:  $\lambda x.e$  is written `fun x -> e`. The parser also accepts multiple arguments, as in `fun x y -> e` for  $\lambda xy.e$ .

There is a read-eval-print loop that will accept a sequence of lines that you type in, terminated by a blank line. The program will then parse your input and display the current expression. You can then hit enter repeatedly to step through the CBV evaluation sequence.

```
? (fun x y -> x) (fun z -> z) (fun u v -> u)
(fun x y -> x) (fun z -> z) fun u v -> u
>>
(fun y -> (fun z -> z)) fun u v -> u
>>
Result: fun z -> z
?
```

- (a) The construct `let x = e1 in e2` is syntactic sugar for `(fun x -> e2) e1`. Implement the `let` statement. The parser already accepts it, you just have to fill out the stubs in `lambda.ml`.
- (b) The substitution function `subst` does not check for the capture of free variables.

```
? (fun x -> fun y -> x) (fun z -> y)
(fun x -> (fun y -> x)) fun z -> y
>>
Result: fun y -> fun z -> y
?
```

Make it do so.