

## 1 Progress Lemma

To finish the proof of Soundness for the typed lambda calculus, we need to prove Progress. The Progress Lemma captures the idea that we cannot get stuck when evaluating a well-formed expression.

**Progress Lemma:**  $\vdash e : \tau \Rightarrow e \in \text{Value} \vee \exists e'. e \longrightarrow e'$

**Proof:** We use induction on the typing derivation of  $e$ . Remember the definition of an expression in  $\lambda^\rightarrow$ :

$$e ::= b \mid x \mid \lambda x : \tau. e \mid e_0 e_1$$

So we have four cases:

- Case  $e = b$ : We have that  $b \in \text{Value}$ .
- Case  $e = x$ : This case is not possible because we would have  $\vdash x : \tau$  and from the empty environment we cannot assign any type to  $x$ .
- Case  $e = \lambda x \in \tau_0. e_1$ : We have that  $e \in \text{Value}$ .
- Case  $e = e_0 e_1$ : We know that there is a typing derivation for  $\vdash e_0 e_1 : \tau$  and this derivation must have the form:

$$\frac{\vdash e_0 : \tau' \quad \vdash e_1 : \tau' \rightarrow \tau}{\vdash e_0 e_1 : \tau}$$

By the induction hypothesis,  $e_0 \in \text{Value} \vee \exists e'_0. e_0 \longrightarrow e'_0$  and  $e_1 \in \text{Value} \vee \exists e'_1. e_1 \longrightarrow e'_1$ . We have four possibilities now:

- Both  $e_0$  and  $e_1$  are values. Since  $e_0$  is a value with an arrow type, it has to be an abstraction. Say  $e_0$  is  $\lambda x \in \tau'. e_2$  and  $e_1$  is some value  $v$ . Then

$$e = (\lambda x \in \tau'. e_2)v \longrightarrow e_2\{v/x\}$$

so,  $e' = e_2\{v/x\}$  as desired.

- $e_0$  is not a value. Then  $\exists e'_0. e_0 \longrightarrow e'_0$  and we have

$$\frac{e_0 \longrightarrow e'_0}{e_0 e_1 \longrightarrow e'_0 e_1}$$

- $e_0$  is some value  $v$ , but  $e_1$  is not a value. Then  $\exists e'_1. e_1 \longrightarrow e'_1$  and we have

$$\frac{e_1 \longrightarrow e'_1}{v e_1 \longrightarrow v e'_1}$$

And this finishes the proof.

## 2 $\lambda^{\rightarrow*+}$

In comparison to UML, the language  $\lambda^\rightarrow$  has a lot of stuff missing. Let's add some of this stuff to the language in a slightly simplified form. We extend  $\lambda^\rightarrow$  to  $\lambda^{\rightarrow*+}$  as follows:

$$e ::= \dots \mid (e_0, e_1) \mid \text{left } e \mid \text{right } e \mid \text{case } e_0 \text{ of } e_1 \mid e_2 \mid \text{inl}_{\tau_1 + \tau_2} e \mid \text{inr}_{\tau_1 + \tau_2} e$$

We also extend our values

$$v ::= \lambda x \in \tau . e \mid (v_0, v_1) \mid \text{inl}_{\tau_1 + \tau_2} v \mid \text{inr}_{\tau_1 + \tau_2} v$$

The set of types is defined by

$$\tau ::= B \mid \tau_0 \rightarrow \tau_1 \mid \tau_0 * \tau_1 \mid \tau_0 + \tau_1$$

where  $\tau_0 * \tau_1$  and  $\tau_0 + \tau_1$  are the product type and sum type of  $\tau_0$  and  $\tau_1$ .

Now we define the operational semantics. We start by extending our evaluation contexts:

$$E[\cdot] ::= \dots \mid ([\cdot], e) \mid (v, [\cdot]) \mid \text{left } [\cdot] \mid \text{right } [\cdot] \mid \text{case } [\cdot] \text{ of } e_1 | e_2 \mid \text{inl}_{\tau_1 + \tau_2} [\cdot] \mid \text{inr}_{\tau_1 + \tau_2} [\cdot]$$

and then we define the rules. We have the usual rule

$$\frac{e \longrightarrow e'}{E[e] \longrightarrow E[e']}$$

where the reductions are

- $(\lambda x : \tau . e) v \longrightarrow e\{v/x\}$
- $\text{left } (v_0, v_1) \longrightarrow v_0$
- $\text{right } (v_0, v_1) \longrightarrow v_1$
- $\text{case } (\text{inl}_{\tau_1 + \tau_2} v) \text{ of } e_1 | e_2 \longrightarrow e_1 v$
- $\text{case } (\text{inr}_{\tau_1 + \tau_2} v) \text{ of } e_1 | e_2 \longrightarrow e_2 v$

Observe that we have introduction forms (constructors) and elimination forms (destructors). The constructors construct elements of more complex types from simpler ones. For example  $(\cdot, \cdot)$  constructs elements of type  $\tau_0 * \tau_1$  from two elements, one of type  $\tau_0$  and the other of type  $\tau_1$ . The other constructors are the abstraction and the inclusions  $\text{inl}$  and  $\text{inr}$ . The destructors are the application, case, left and right operations. A redex is an expression where a constructor and its corresponding destructor collide.

Observe that we do not need booleans in  $\lambda^{\rightarrow*+}$ . They can be encoded as follows:

- $\llbracket \text{bool} \rrbracket = 1 + 1$
- $\llbracket \text{true} \rrbracket = \text{inl}_{1+1} \text{unit}$
- $\llbracket \text{false} \rrbracket = \text{inr}_{1+1} \text{unit}$
- $\llbracket \text{if } e \text{ then } e_1 \text{ else } e_2 \rrbracket = \text{case } e_0 \text{ of } \lambda x : 1. \llbracket e_1 \rrbracket | \lambda x : 1. \llbracket e_2 \rrbracket$  where  $x$  is a fresh variable.

### 3 Typing Rules

Now we give the typing rules for typed lambda calculus:

$$\begin{array}{c} \frac{\Gamma \vdash e_0 : \tau_0 \quad \Gamma \vdash e_1 : \tau_1}{\Gamma \vdash (e_0, e_1) : \tau_0 * \tau_1} \\[10pt] \frac{\Gamma \vdash e : \tau_0 * \tau_1}{\Gamma \vdash \text{left } e : \tau_0} \qquad \frac{\Gamma \vdash e : \tau_0 * \tau_1}{\Gamma \vdash \text{right } e : \tau_1} \\[10pt] \frac{\Gamma \vdash e : \tau_1}{\Gamma \vdash \text{inl}_{\tau_1 + \tau_2} e : \tau_1 + \tau_2} \qquad \frac{\Gamma \vdash e : \tau_2}{\Gamma \vdash \text{inr}_{\tau_1 + \tau_2} e : \tau_1 + \tau_2} \\[10pt] \frac{\Gamma \vdash e_2 : \tau_2 \rightarrow \tau_3 \quad \Gamma \vdash e_1 : \tau_1 \rightarrow \tau_3 \quad \Gamma \vdash e_0 : \tau_1 + \tau_2}{\Gamma \vdash \text{case } e_0 \text{ of } e_1 | e_2 : \tau_3} \end{array}$$

## 4 Denotational semantics

The denotational semantics for type domains are as follows:

$$\begin{aligned}\mathcal{T}[\tau_1 \rightarrow \tau_2] &= \mathcal{T}[\tau_2]^{\mathcal{T}[\tau_1]} \\ \mathcal{T}[\tau_1 * \tau_2] &= \mathcal{T}[\tau_1] \times \mathcal{T}[\tau_2] \\ \mathcal{T}[\tau_1 + \tau_2] &= \mathcal{T}[\tau_1] + \mathcal{T}[\tau_2]\end{aligned}$$

In the right-hand side,  $\times$  and  $+$  mean mathematical product and disjoint union. Now we give the semantic function for this language:

$$\rho \models \Gamma \Rightarrow \mathcal{C}[\Gamma \vdash e : \tau] \rho \in \mathcal{T}[\tau]$$

$$\begin{aligned}\mathcal{C}[\Gamma \vdash (e_0, e_1) : \tau_0 * \tau_1] &= \langle \mathcal{C}[\Gamma \vdash e_0 : \tau_0] \rho, \mathcal{C}[\Gamma \vdash e_1 : \tau_1] \rho \rangle \in \mathcal{T}[\tau_0 * \tau_1] \rho \\ \mathcal{C}[\Gamma \vdash \text{left } e : \tau_0] \rho &= \pi_1(\mathcal{C}[\Gamma \vdash e : \tau_0 * \tau_1] \rho) \in \mathcal{T}[\tau_0] \\ \mathcal{C}[\Gamma \vdash \text{right } e : \tau_1] \rho &= \pi_2(\mathcal{C}[\Gamma \vdash e : \tau_0 * \tau_1] \rho) \in \mathcal{T}[\tau_1] \\ \mathcal{C}[\Gamma \vdash \text{inl}_{\tau_1 + \tau_2} e : \tau_1 + \tau_2] \rho &= \text{in}_1(\mathcal{C}[\Gamma \vdash e : \tau_1] \rho) \in \mathcal{T}[\tau_1] + \mathcal{T}[\tau_2] \\ \mathcal{C}[\Gamma \vdash \text{inr}_{\tau_1 + \tau_2} e : \tau_1 + \tau_2] \rho &= \text{in}_2(\mathcal{C}[\Gamma \vdash e : \tau_2] \rho) \in \mathcal{T}[\tau_1] + \mathcal{T}[\tau_2] \\ \mathcal{C}[\Gamma \vdash \text{case } e_0 \text{ of } e_1 | e_2] \rho &= \text{case } \mathcal{C}[\Gamma \vdash e_0 : \tau_1 + \tau_2] \rho \text{ of} \\ &\quad \text{in}_1(x_1).(\mathcal{C}[\Gamma \vdash e_1 : \tau_1 \rightarrow \tau_3] \rho) x_1 \\ &\quad | \quad \text{in}_2(x_2).(\mathcal{C}[\Gamma \vdash e_2 : \tau_2 \rightarrow \tau_3] \rho) x_2 \\ &\quad \text{end} \in \mathcal{T}[\tau_3]\end{aligned}$$

Notice that the sums and products we gave above can be extended to arbitrary tuple and variant types. This can be also obtained by the following desugaring:

$$\begin{aligned}\tau_1 * \dots * \tau_n &= \tau_1 * (\tau_2 * \dots * \tau_n) \\ \langle e_1, \dots, e_n \rangle &= \langle e_1, \langle e_2, \dots, e_n \rangle \rangle\end{aligned}$$

Variants (such as datatypes) can be desugared into sums similarly.

## 5 Recursion

To make the language Turing-equivalent, extend the language as follows:

$$e ::= \dots \mid \text{rec } y : \tau \rightarrow \tau'. (\lambda x. e)$$

With this extension, the language becomes essentially the language PCF. (Note that Pierce introduces a somewhat more general language-level fix operator.)

$$\frac{\Gamma, x : \tau, y : \tau \rightarrow \tau' \vdash e : \tau'}{\Gamma \vdash \text{rec } y : \tau \rightarrow \tau'. (\lambda x. e) : \tau \rightarrow \tau'}$$

$$\begin{aligned}\mathcal{C}[\Gamma \vdash \text{rec } y : \tau \rightarrow \tau'. (\lambda x. e) : \tau \rightarrow \tau'] \rho &= \text{fix } \lambda f \in \mathcal{T}[\tau \rightarrow \tau']. \\ &\quad \lambda v \in \mathcal{T}[\tau]. \mathcal{C}[\Gamma, x : \tau, y : \tau \rightarrow \tau' \vdash e : \tau'] \rho [x \mapsto v, y \mapsto f]\end{aligned}$$

Because of the fixed point, the domain  $\mathcal{T}[\tau \rightarrow \tau']$  must be a pointed cpo. So we add  $\perp$  as a possible function result and only allow continuous functions too:

$$\mathcal{T}[\tau \rightarrow \tau'] = [\mathcal{T}[\tau] \rightarrow \mathcal{T}[\tau']_{\perp}]$$

Further, the meaning function now gives elements from a lifted domain  $\mathcal{T}[\tau]_{\perp}$  instead of from  $\mathcal{T}[\tau]$ :

$$\rho \models \Gamma \Rightarrow \mathcal{C}[\Gamma \vdash e : \tau] \rho \in \mathcal{T}[\tau]_{\perp}$$

By adding recursion to this language and making the domains pointed cpos, we can write non-terminating programs in this language, and in fact the language will be Turing complete if we have a few operations on numbers. We also have to make a few changes to the definition of  $\mathcal{C}[\cdot]$  using the `let` construct from the metalanguage to handle the  $\perp$ 's.

Example:

$$\begin{aligned} \mathcal{C}[\Gamma \vdash e_0 \ e_1 : \tau'] \rho = & \quad \text{let } f = \mathcal{C}[\Gamma \vdash e_0 : \tau \rightarrow \tau'] \rho. \\ & \quad \text{let } v = \mathcal{C}[\Gamma \vdash e_1 : \tau] \rho. f(v) \end{aligned}$$