

## 1 Progress Lemma

To finish the proof of Soundness we need to prove Progress. The Progress lemma captures the idea that we cannot get stuck when evaluating a well-formed expression.

**Progress Lemma:**  $\vdash e : \tau \Rightarrow e \in \text{Value} \vee \exists e'. e \mapsto e'$

**Proof:** We shall use induction on the typing derivation of  $e$ . Remember the definition of an expression in  $\lambda^\rightarrow$ :

$$e ::= b \mid x \mid \lambda x \in \tau. e \mid e_0 e_1$$

So we have four cases:

- Case  $e = b$ : We have that  $b \in \text{Value}$ .
- Case  $e = x$ : This case is not possible because we would have  $\vdash x : \tau$  and from the empty environment we cannot assign any type to  $x$ .
- Case  $e = \lambda x \in \tau_0. e_1$ : We have that  $e \in \text{Value}$ .
- Case  $e = e_0 e_1$ : We know that there is a typing derivation for  $\vdash e_0 e_1 : \tau$  and this derivation must have the form:

$$\frac{\vdash e_0 : \tau' \quad \vdash e_1 : \tau' \rightarrow \tau}{\vdash e_0 e_1 : \tau}$$

By the induction hypothesis,  $e_0 \in \text{Value} \vee \exists e'_0. e_0 \mapsto e'_0$  and  $e_1 \in \text{Value} \vee \exists e'_1. e_1 \mapsto e'_1$ . We have four possibilities now:

- Both  $e_0$  and  $e_1$  are values. Since  $e_0$  has an arrow type, it has to be an abstraction. Say  $e_0$  is  $\lambda x \in \tau'. e_2$  and  $e_1$  is some value  $v$ . Then

$$e = (\lambda x \in \tau'. e_2)v \mapsto e_2\{v/x\}$$

so,  $e' = e_2\{v/x\}$  as desired.

- $e_0$  is not a value. Then  $\exists e'_0. e_0 \mapsto e'_0$  and we have

$$\frac{e_0 \mapsto e'_0}{e_0 e_1 \mapsto e'_0 e_1}$$

- $e_0$  is some value  $v$ , but  $e_1$  is not a value. Then  $\exists e'_1. e_1 \mapsto e'_1$  and we have

$$\frac{e_1 \mapsto e'_1}{v e_1 \mapsto v e'_1}$$

And this finishes the proof.

## 2 $\lambda^{\rightarrow**+}$

In comparison to  $uF$ , the language  $\lambda^\rightarrow$  has a lot of stuff missing. Let's add some of this stuff to the language to make it more interesting. We extend  $\lambda^\rightarrow$  to  $\lambda^{\rightarrow**+}$  as follows:

$$e ::= \dots \mid \langle e_0, e_1 \rangle \mid \text{left } e \mid \text{right } e \mid \text{case } e_0 \text{ of } e_1 | e_2 \mid \text{inl}_{\tau_1 + \tau_2} e \mid \text{inr}_{\tau_1 + \tau_2} e$$

We also extend our values

$$v ::= \lambda x \in \tau . e \mid \langle v_0, v_1 \rangle \mid \text{inl}_{\tau_1 + \tau_2} v \mid \text{inr}_{\tau_1 + \tau_2} v$$

The set of types is defined by

$$\tau ::= B \mid \tau_0 \rightarrow \tau_1 \mid \tau_0 * \tau_1 \mid \tau_0 + \tau_1$$

where  $\tau_0 * \tau_1$  and  $\tau_0 + \tau_1$  are the product type and sum type of  $\tau_0$  and  $\tau_1$ .

Now we define the Context operational semantics. We start extending our contexts:

$$C ::= \dots \mid \langle C, e \rangle \mid \langle v, C \rangle \mid \text{left } C \mid \text{right } C \mid \text{case } C \text{ of } e_1 | e_2 \mid \text{inl}_{\tau_1 + \tau_2} C \mid \text{inr}_{\tau_1 + \tau_2} C$$

and then we define the rules. We have the usual rule

$$\frac{e \mapsto_r e'}{C[e] \mapsto_r C[e']}$$

where the redex reductions are

- $(\lambda x \in \tau . e)v \mapsto_r e\{v/x\}$
- $\text{left } \langle v_0, v_1 \rangle \mapsto_r v_0$
- $\text{right } \langle v_0, v_1 \rangle \mapsto_r v_1$
- $\text{case } (\text{inl}_{\tau_1 + \tau_2} v) \text{ of } e_1 | e_2 \mapsto_r e_1 v$
- $\text{case } (\text{inr}_{\tau_1 + \tau_2} v) \text{ of } e_1 | e_2 \mapsto_r e_2 v$

Observe that we have constructors and destructors. The constructors construct elements of more complex types from simpler ones. For example  $\langle \cdot, \cdot \rangle$  constructs elements of type  $\tau_0 * \tau_1$  from two elements, one of type  $\tau_0$  and the other of type  $\tau_1$ . The other constructors are the abstraction and the inclusions  $\text{inl}$  and  $\text{inr}$ . The destructors are the application, case, left and right operations. A redex is an expression where a constructor and its corresponding destructor meet.

Also observe that we do not need booleans in  $\lambda^{\rightarrow * +}$ . They can be encoded as follows:

- $\llbracket \text{bool} \rrbracket = 1 + 1$
- $\llbracket \#t \rrbracket = \text{inl}_{1+1} \#u$
- $\llbracket \#f \rrbracket = \text{inr}_{1+1} \#u$
- $\llbracket \text{if } e \text{ then } e_1 \text{ else } e_2 \rrbracket = \text{case } e_0 \text{ of } \lambda x \llbracket e_1 \rrbracket | \lambda x \llbracket e_2 \rrbracket$  where  $x$  is a fresh variable.

### 3 Typing Rules

Now we give the typing rules for typed lambda calculus:

$$\frac{\Gamma \vdash e_0 : \tau_0 \quad \Gamma \vdash e_1 : \tau_1}{\Gamma \vdash \langle e_0, e_1 \rangle : \tau_0 * \tau_1}$$

$$\frac{\Gamma \vdash e : \tau_0 * \tau_1}{\Gamma \vdash \text{left } e : \tau_0} \qquad \frac{\Gamma \vdash e : \tau_0 * \tau_1}{\Gamma \vdash \text{right } e : \tau_1}$$

$$\frac{\Gamma \vdash e : \tau_1}{\Gamma \vdash \text{inl}_{\tau_1 + \tau_2} e : \tau_1 + \tau_2} \qquad \frac{\Gamma \vdash e : \tau_2}{\Gamma \vdash \text{inr}_{\tau_1 + \tau_2} e : \tau_1 + \tau_2}$$

$$\frac{\Gamma \vdash e_2 : \tau_2 \rightarrow \tau_3 \quad \Gamma \vdash e_1 : \tau_1 \rightarrow \tau_3 \quad \Gamma \vdash e_0 : \tau_1 + \tau_2}{\Gamma \vdash \text{case } e_0 \text{ of } e_1 | e_2 : \tau_3}$$

## 4 Denotational semantics

The denotational semantics for type domains are as follows:

$$\begin{aligned}\mathcal{T}[\tau_1 \rightarrow \tau_2] &= \mathcal{T}[\tau_2]^{\mathcal{T}[\tau_1]} \\ \mathcal{T}[\tau_1 * \tau_2] &= \mathcal{T}[\tau_1] \times \mathcal{T}[\tau_2] \\ \mathcal{T}[\tau_1 + \tau_2] &= \mathcal{T}[\tau_1] + \mathcal{T}[\tau_2]\end{aligned}$$

In the right hand side,  $\times$  and  $+$  mean mathematical product and disjoint union. Now we give the semantic function for this language:

$$\rho \models \Gamma \Rightarrow \mathcal{C}[\Gamma \vdash e : \tau] \rho \in \mathcal{T}[\tau]$$

$$\begin{aligned}\mathcal{C}[\Gamma \vdash \langle e_0, e_1 \rangle : \tau_0 * \tau_1] \rho &= \langle \mathcal{C}[\Gamma \vdash e_0 : \tau_0] \rho, \mathcal{C}[\Gamma \vdash e_1 : \tau_1] \rho \rangle \in \mathcal{T}[\tau_0 * \tau_1] \\ \mathcal{C}[\Gamma \vdash \text{left } e : \tau_0] \rho &= \pi_1(\mathcal{C}[\Gamma \vdash e : \tau_0 * \tau_1] \rho) \in \mathcal{T}[\tau_0] \\ \mathcal{C}[\Gamma \vdash \text{right } e : \tau_1] \rho &= \pi_2(\mathcal{C}[\Gamma \vdash e : \tau_0 * \tau_1] \rho) \in \mathcal{T}[\tau_1] \\ \mathcal{C}[\Gamma \vdash \text{inl}_{\tau_1 + \tau_2} e : \tau_1 + \tau_2] \rho &= \text{in}_1(\mathcal{C}[\Gamma \vdash e : \tau_1] \rho) \in \mathcal{T}[\tau_1] + \mathcal{T}[\tau_2] \\ \mathcal{C}[\Gamma \vdash \text{inr}_{\tau_1 + \tau_2} e : \tau_1 + \tau_2] \rho &= \text{in}_2(\mathcal{C}[\Gamma \vdash e : \tau_2] \rho) \in \mathcal{T}[\tau_1] + \mathcal{T}[\tau_2] \\ \mathcal{C}[\Gamma \vdash \text{case } e_0 \text{ of } e_1 | e_2] \rho &= \text{case } \mathcal{C}[\Gamma \vdash e_0 : \tau_1 + \tau_2] \rho \text{ of} \\ &\quad \text{in}_1(x_1).(\mathcal{C}[\Gamma \vdash e_1 : \tau_1 \rightarrow \tau_3] \rho) x_1 \\ &\quad | \text{in}_2(x_2).(\mathcal{C}[\Gamma \vdash e_2 : \tau_2 \rightarrow \tau_3] \rho) x_2 \\ &\quad \text{end} \in \mathcal{T}[\tau_3]\end{aligned}$$

Just notice that the sums and products we gave above can be extended to arbitrary tuples. But this can be attained by desugaring:

$$\begin{aligned}\tau_1 * \dots * \tau_n &= \tau_1 * (\tau_2 * \dots * \tau_n) \\ \langle e_1, \dots, e_n \rangle &= \langle e_1, \langle e_2, \dots, e_n \rangle \rangle\end{aligned}$$

Sums can be desugared similarly.

## 5 Add Recursion

To make the language Turing-equivalent, extend the language as follows:

$$e ::= \dots \mid \text{rec } y : \tau \rightarrow \tau'. (\lambda x. e)$$

$$\frac{\Gamma, x : \tau, y : \tau \rightarrow \tau' \vdash e : \tau'}{\Gamma \vdash \text{rec } y : \tau \rightarrow \tau'. (\lambda x. e) : \tau \rightarrow \tau'}$$

$$\begin{aligned} \mathcal{C}[\Gamma \vdash \text{rec } y : \tau \rightarrow \tau'.(\lambda x e) : \tau \rightarrow \tau']\rho &= \text{fix } \lambda f \in \mathcal{T}[\tau \rightarrow \tau'] \\ &\lambda v \in \mathcal{T}[\tau]\mathcal{C}[\Gamma, x : \tau, y : \tau \rightarrow \tau' \vdash e : \tau']\rho[x \mapsto v, y \mapsto f] \end{aligned}$$

Notice here we take a fixed point, so we need the domain  $\mathcal{T}[\tau \rightarrow \tau']$  to be a pointed cpo. So we need to add  $\perp$  to make this domain a pointed cpo:

$$\begin{aligned} \mathcal{T}[\tau \rightarrow \tau'] &= \mathcal{T}[\tau] \rightarrow \mathcal{T}[\tau']_{\perp} \\ \rho \models \Gamma &\Rightarrow \mathcal{C}[\Gamma \vdash e : \tau]\rho \in \mathcal{T}[\tau]_{\perp} \end{aligned}$$

By adding recursion to this language and making the domains to be pointed cpo, we can write non-terminating program in this language. We also have to do a few changes in the definition of  $\mathcal{C}[\cdot]$  using the `let` construct from the meta-language to handle the  $\perp$ 's.

Example:

$$\begin{aligned} \mathcal{C}[\Gamma \vdash e_0 e_1 : \tau']\rho &= \text{let } f = \mathcal{C}[\Gamma \vdash e_0 : \tau \rightarrow \tau']\rho. \\ &\text{let } v = \mathcal{C}[\Gamma \vdash e_1 : \tau]\rho.f(v) \end{aligned}$$