

# Classic ML

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September 1, 2011

During this lecture, we are going to learn about a programming language called **Classic ML**.

We will actually use a language called **EventML** (developed by the Nuprl team [CAB<sup>+</sup>86, Kre02, ABC<sup>+</sup>06]). EventML is based on Classic ML and a logic called the Logic of Events [Bic09, BC08, BCG11].

We will focus at the Classic ML part of EventML.

## Where does ML come from?

ML was originally designed, as part of a proof system called LCF (Logic for Computable Functions), to perform proofs within  $PP\lambda$  (Polymorphic Predicate  $\lambda$ -calculus), a formal logical system [GMM<sup>+</sup>78, GMW79].

By the way, what does ML mean? It means **Meta Language** because of the way it was used in LCF.

We refer to this original version of ML as Classic ML.

Many modern programming languages are based on Classic ML: SML (Standard ML), OCaml (object-oriented programming language), F# (a Microsoft product)... Nowadays ML is often used to refer to the collection of these programming languages.

## Where is ML used?

- ▶ F# is a Microsoft product used, e.g., in the .NET framework.
- ▶ OCaml is developed by the INRIA. It has inspired F#. The Coq theorem prover is written in OCaml. It has been used in the implementation of Ensemble [Hay98, BCH<sup>+</sup>00]. It is also used by companies.
- ▶ SML has formally defined static and dynamic semantics. The HOL theorem prover is written in SML. It is nowadays mainly used for teaching and research.

## What is Classic ML (or just ML for short)?

ML is a strongly typed higher-order impure functional programming language.

What does it mean?

(Nowadays, ML often refers to a family of languages such as Classic ML, SML, Caml, F#...)

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## What is ML?

Higher-order.

Functions can do nothing (we will come back to that one):

```
\x. x
```

Functions can take numerical arguments:

```
\x. x + 1
```

```
let plus_three x = x + 3 ;;
```

Functions can take Boolean arguments:

```
\a. \b. a or b
```

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## What is ML?

Higher-order.

Functions can also take other **functions as arguments**.

Function application:

```
let app = \f. \x. (f x);;
```

Function composition:

```
let comp g h = \x. (g (h x)) ;;
```

Note that, e.g. app can be seen as a function that takes a function (f) as input and outputs a function (\x. (f x)).

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## What is ML?

Higher-order.

BTW, a function of the form  $\lambda x.e$  (where  $e$  is an expression) is called a  $\lambda$ -expression.

The terms of the forms  $x$  (a variable),  $(e_1 e_2)$  (an application), and  $\lambda x.e$  (a  $\lambda$ -expression) are the terms of the  $\lambda$ -calculus [Chu32, Bar84].

In 1932, Church [Chu32] introduced a system (that led to the  $\lambda$ -calculus we know) for “the foundation of formal logic”, which was a formal system for logic and functions.

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## What is ML?

Impure and functional.

**Functional.** Functions are first-class objects: functions can build functions, take functions as arguments, return functions...

**Impure.** Expressions can have side-effects: references, exceptions.

(We are only going to consider the pure part of ML.)

Other functional(-like) programming language: Haskell (pure), SML (impure), F# (impure)...

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## What is ML?

Strongly typed.

### What is a type?

A type bundles together “objects” (syntactic forms) sharing a same semantics.

(Types started to be used in formal systems, providing foundations for Mathematics, in the early 1900s to avoid paradoxes (Russell [Rus08]).)

A **type system** (typing rules) dictates what it means for a program to have a type (to have a static semantics).

### What are types good for?

Types are good, e.g., for checking the well-defined behavior of programs (e.g., by restricting the applications of certain functions – see below).

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## What is ML?

Strongly typed.

What else?

**Flexibility.** One of the best things about ML is that it has almost full type inference (type annotations are sometimes required). Each ML implementation has a **type inferencer** that, given a semantically correct program, finds a type.

This frees the programmer from explicitly writing down types: if a program has a type, the type inferencer will find one.

Given a semantically correct program, the inferred type provides a *static semantics* of the program.

Consider  $\lambda x. x + 2$ . 2 is an integer.  $+$  takes two integers and returns an integer. This means that  $x$  is constrained to be an integer.  $\lambda x. x + 2$  is then a function that takes an integer and returns an integer.

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## What is ML?

Strongly typed.

Can type inferencers infer more than one type? Is each type as good as the others?

In ML it is typical that a program can have several types. The more general the inferred types are the more flexibility the programmer has (we will come back to that once we have learned about *polymorphism*).

(ML's type system has principal type but not principal typing [Wei02] (a typing is a pair type environment/type).)

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## What is ML?

Strongly typed.

Using types, some operations become only possible on values with specific types.

For example, one cannot apply an integer to another integer: integers are not functions. The following does not type check (it does not have a type/a static semantics):

```
let fu = (8 6) ;;
```

Another example: using the built-in equality, one cannot check whether a Boolean is equal to an integer. The following does not type check (and will be refused at compile time):

```
let is_eq = (true = 1) ;;
```

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## What is ML?

Strongly typed.

What does type check then?

one can apply our `plus_three` function to integers:

```
let plus_three x = x + 3 ;;  
let fu = plus_three 6 ;;
```

One can test whether two integers are equal:

```
let i1 = 11;;  
let i2 = 22;;  
let is_eq = (i1 = i2) ;;
```

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## ML types

**Integer.** For example, `12 + 3` has type `Int`.

**Boolean.** For example, `!true` has type `Bool` (! stands for the Boolean negation).

**List.** For example, `[1;7;5;3]` has type `Int List`.

**Function type.** For example, `let plus3 x = x + 3;;` has type `Int → Int`.

**Product type.** For example, `(true, 3)` has type `Bool * Int`.

**Disjoint union type.** For example, `inl(1 + 5)` has type `Int + Int`.

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## Polymorphism

We claimed that `inl(1 + 5)` has type `Int + Int`. But it can also have type `Int + Bool`, `Int + Int List`, ...

For all type `T`, `inl(1 + 5)` has type `Int + T`. This can be represented with a **polymorphic type**: `Int + 'a`, where 'a is called a *type variable*, meaning that it can be any type.

Let us consider a simpler example: `let id x = x;;`  
What's its type?

The action `id` performs does not depend on its argument's type. It can be applied to an integer, a Boolean, a function, ... It always returns its argument. `id`'s type cannot be uniquely determined. To automatically assign a (monomorphic type) to `id` one would have to make a non-deterministic choice. Instead, we assign to `id` the polymorphic type: `'a → 'a`.

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## Polymorphism

Formally, this form of polymorphism is expressed using the  $\forall$  quantification.

This form of polymorphism is sometimes called **infinitary parametric** polymorphism [Str00, CW85] and  $\forall$  types are called type schemes (see, e.g., system F [Gir71, Gir72]).

Polymorphism complicates type inference but does not make it impossible.

## Polymorphism

Polymorphism allows one to express that a single program can have more than one meaning. Using the  $\forall$  quantification, one can express that a single program has an infinite number of meaning, i.e., can be used in an infinite number of ways.

The following function `null` has type `'a list → Bool`:

```
let null lst =  
  case lst of [] => true  
            of x . xs => false;;
```

## Polymorphism

`let` declarations allow one to define polymorphic functions while lambda expression do not. For example, the following piece of code is typeable:

```
let x = (\x. x) in (x 1, x true)
```

However, the following piece of code is not typeable:

```
(\x. (x 1, x true)) (\x. x)
```

In the first example, the two last `x`'s stand for the identity function for two different types. In the second example, the two bound `x`'s in `\x. (x 1, x true)` have to be the same function.

## Recursion

Another important feature of ML (and functional languages in general) is **recursion**

Recursion allows functions to call themselves.

Recursion accomplishes what “while” loops accomplish in imperative languages but in a functional way: functions call functions.

For example, to compute the length of a list, one wants to iterate through the list to count how many elements are in the list. The following function computes the length of a list:

```
letrec length lst =  
  case lst of [] => 0  
            of x . xs => 1 + length xs;;
```

## Recursion

Given  $x$  and  $y$ , find  $q$  (quotient) and  $r$  (remainder) such that  $x = (q * y) + r$ .

The “while” solution:

```
q := 0; r := x;
while r >= y do q := q + 1; r := r - y; od
return (q, r);
```

The recursive solution:

```
let quot_and_rem x y =
  letrec aux q r =
    if r < y then (q, r)
    else aux (q + 1) (r - y)
  in aux 0 x ;;
```

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## Recursion

Another example: the factorial.

The “while” solution:

```
f := 1; i := 1;
while i <= x do
  f := i * f;;
  i := i + 1;;
od
```

The recursive solution:

```
let f x = if x <= 1
  then 1
  else x * f (x - 1);;
```

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## Typing rules

Let us consider the following expression language:

$v \in \text{Var}$  (a countably infinite set of variables)  
 $\text{exp} \in \text{Exp} ::= v \mid \text{exp}_1 \text{exp}_2 \mid \backslash v. \text{exp} \mid \text{let } v = \text{exp}_1 \text{ in } \text{exp}_2$

Let us consider the following type language:

$a \in \text{TyVar}$  (a countably infinite set of type variables)  
 $\tau \in \text{Ty} ::= a \mid \tau_1 \rightarrow \tau_2$   
 $\sigma \in \text{ITyScheme} ::= \forall \{a_1, \dots, a_n\}. \tau$

Let environments (metavariable  $\Gamma$ ) be partial functions from program variables to type schemes. We write environments as follows:  $\{v_1 \mapsto \sigma_1, \dots, v_n \mapsto \sigma_n\}$ .

Let substitutions (metavariable  $sub$ ) be partial functions from type variables to types. We write substitutions as follows:  $\{a_1 \mapsto \tau_1, \dots, a_n \mapsto \tau_n\}$ .

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## Typing rules

the function  $\text{fv}$  computes the set of free type variables in a type or in a type environment.

We define the domain of an environment as follows:

$\text{dom}(\{v_1 \mapsto \sigma_1, \dots, v_n \mapsto \sigma_n\}) = \{a_1, \dots, a_n\}$ .

We write substitution in a type as follows:  $\tau[sub]$ .

Let the instantiation of a type scheme be defined as follows:

$$\tau \prec \forall \{a_1, \dots, a_n\}. \tau' \\ \iff \exists \tau_1, \dots, \tau_n. (\tau = \tau'[\{a_i \mapsto \tau_i \mid i \in \{1, \dots, n\}\}])$$

We also define a function to “merge” environments:

$$\Gamma_1 + \Gamma_2 \\ = \{a \mapsto \tau \mid \Gamma_2(a) = \tau \text{ or } (\Gamma_1(a) = \tau \text{ and } a \notin \text{dom}(\Gamma_2))\}$$

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## Typing rules

$$\frac{\tau \prec \Gamma(\text{vid})}{v : \langle \Gamma, \tau \rangle}$$

$$\frac{\text{exp}_1 : \langle \Gamma, \tau_1 \rightarrow \tau_2 \rangle \quad \text{exp}_2 : \langle \Gamma, \tau_1 \rangle}{\text{exp}_1 \text{ exp}_2 : \langle \Gamma, \tau_2 \rangle}$$

$$\frac{\text{exp} : \langle \Gamma + \{v \mapsto \tau\}, \tau' \rangle}{\lambda v. \text{exp} : \langle \Gamma, \tau \rightarrow \tau' \rangle}$$

$$\frac{\text{exp} : \langle \Gamma, \tau \rangle \quad \text{exp}_2 : \langle \Gamma + \{v \mapsto \forall(\text{fv}(\tau) \setminus \text{fv}(\Gamma)), \tau' \rangle}{\text{let } v = \text{exp}_1 \text{ in } \text{exp}_2 : \langle \Gamma, \tau' \rangle}$$

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