#### CS 5740: Natural Language Processing

# CNNs for Text Processing

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#### Overview

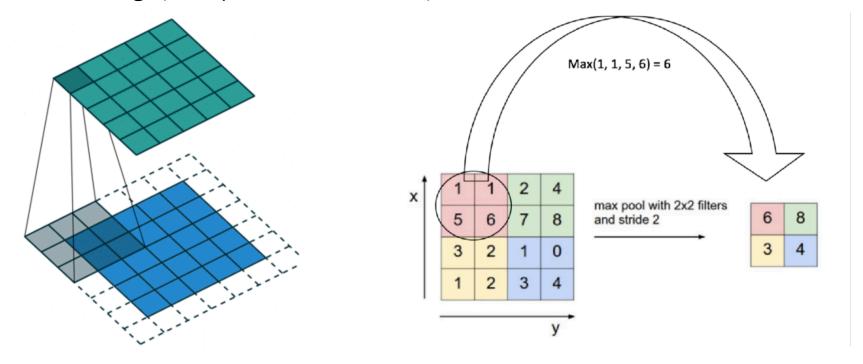
- Convolutional Neural Networks (CNNs) in a nutshell
- Convolution and pooling over text
- Hierarchical convolution

### CNNs in a Nutshell

- Computer vision neural network architecture
- Method to process an input of <u>different sizes</u> with <u>few parameters</u>
- Basically: scan the input piece-by-piece with a parameterized or non-parameterized operation

#### CNNs in a Nutshell

- Two main types of operations:
  - Convolution (parameterized)
  - Pooling (not parameterized)



### Convolution Over Text

- $\phi$  embedding function
- $\bar{x}$  sentence
- u filter, a weight vector

$$\bar{x} = \langle x_1, \dots, x_n \rangle$$

$$\mathbf{x}_i = \phi(x_i)$$

$$p_i = g([\mathbf{x}_i; \dots; \mathbf{x}_{i+k-1}] \cdot \mathbf{u})$$

- Map sequence to (shorter) sequence
- Map (filter) each k-gram to a single number
- Narrow: no padding, so output is n k + 1
- Wide: add k-1 padding on each side so output is n+k+1

# Multiple Filters

$$\mathbf{U} = \begin{bmatrix} \mathbf{u}_1 & \mathbf{u}_2 & \dots & \mathbf{u}_l \\ \mathbf{u}_1 & \mathbf{u}_2 & \dots & \mathbf{u}_l \end{bmatrix} - \text{matrix of } l \text{ filters, each is a column}$$

$$\phi - \text{embedding function}$$

$$\bar{x} - \text{sentence}$$

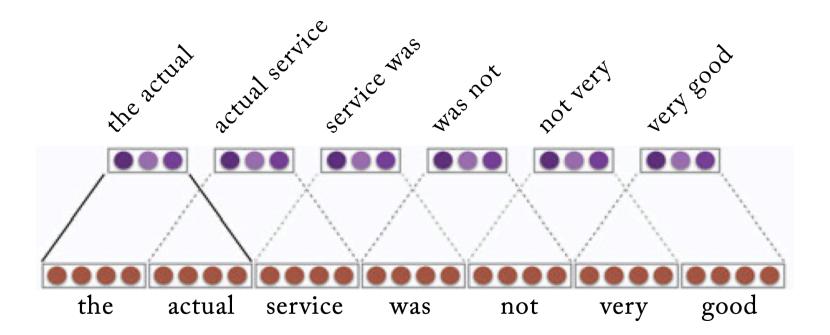
$$\bar{x} = \langle x_1, \dots, x_n \rangle$$

$$\mathbf{x}_i = \phi(x_i)$$

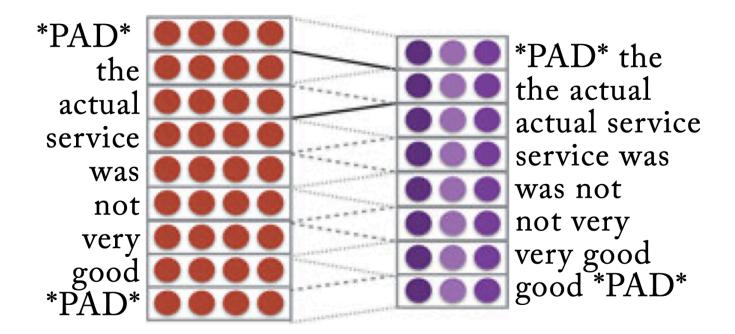
$$\mathbf{p}_i = g([\mathbf{x}_i; \dots; \mathbf{x}_{i+k-1}] \cdot \mathbf{U})$$
• Map each k-gram to a

- Usually we use l different filters  $\mathbf{u}_1, \dots, \mathbf{u}_l$
- Map each k-gram to a vector  $\mathbf{p}_i$  with l values that represent the i-th window (i.e., k-gram)

#### Narrow Convolution

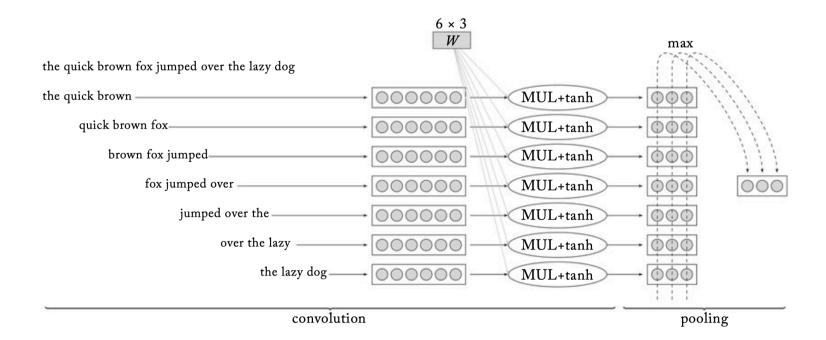


## Wide Convolution



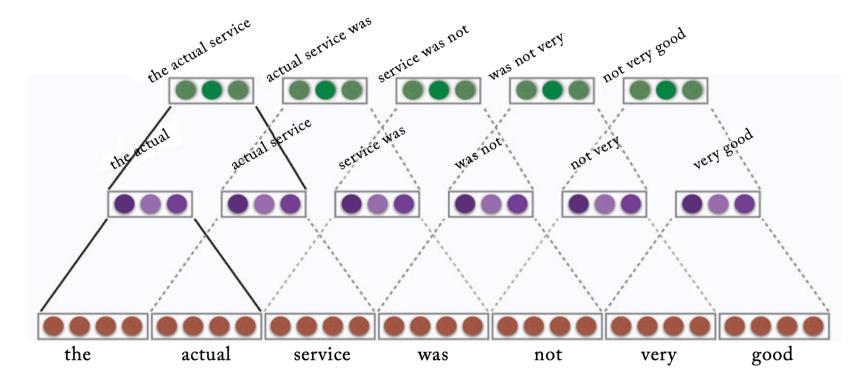
# Pooling

- Can pool the values to get a fixed-length output
- Different pooling functions: max, average, k-max



#### Hierarchical Convolutions

- Stack convolutional layers
- Capture increasingly larger receptive fields (effective windows)



#### Hierarchical Convolutions

r – number of convolutional layers

 $\operatorname{CONV}_{\theta}^{k}$  – convolution with window k and parameters  $\theta$ 

$$m = \begin{cases} n - k + 1 \text{ narrow} \\ n + k + 1 \text{ wide} \end{cases}$$
 - number of convolution output elements

 $\phi$  – embedding function

$$\bar{x}$$
 – sentence

$$\bar{x} = \langle x_1, \dots, x_n \rangle$$

$$\mathbf{x}_i = \phi(x_i)$$

$$\mathbf{p}_1^1, \dots, \mathbf{p}_{m_1}^1 = \text{CONV}_{\mathbf{U}^1, \mathbf{b}^1}^{k_1}(\mathbf{x}_1, \dots, \mathbf{x}_n)$$

$$\mathbf{p}_1^2, \dots, \mathbf{p}_{m_2}^2 = \text{CONV}_{\mathbf{U}^2, \mathbf{b}^2}^{k_2}(\mathbf{p}_1^1, \dots, \mathbf{p}_{m_1}^1)$$

• • •

$$\mathbf{p}_1^r, \dots, \mathbf{p}_{m_2}^r = \text{CONV}_{\mathbf{U}^r, \mathbf{b}^r}^{k_r} (\mathbf{p}_1^{r-1}, \dots, \mathbf{p}_{m_{r-1}}^{r-1})$$

- Stack convolutional layers
- $\mathbf{p}_1^r, ..., \mathbf{p}_{m_r}^r$  capture increasingly larger receptive fields (effective windows)

#### Strides

- So far:
   convolution is
   applied to each
   k-word window
   → this is called
   a stride of 1
- Larger strides also possible

