# CS5740: Natural Language Processing Spring 2018

#### Neural Networks

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#### Overview

- Introduction to Neural Networks
- Word representations
- NN Optimization tricks

# Some History

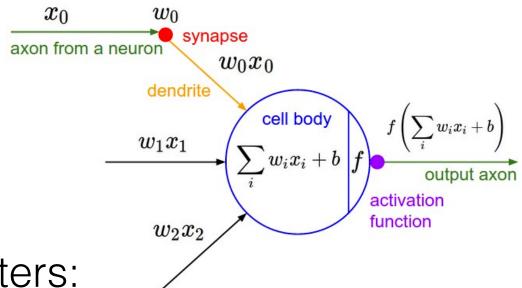
- Neural network algorithms date from the 80's
  - Originally inspired by early neuroscience
- Historically slow, complex, and unwieldy
- Now: term is abstract enough to encompass almost any model – but useful!
- Dramatic shift in last 2-3 years away from MaxEnt (linear, convex) to "neural net" (nonlinear architecture)

#### The "Promise"

- Most ML works well because of humandesigned representations and input features
- ML becomes just optimizing weights
- Representation learning attempts to automatically learn good features and representations
- Deep learning attempts to learn multiple levels of representation of increasing complexity/abstraction

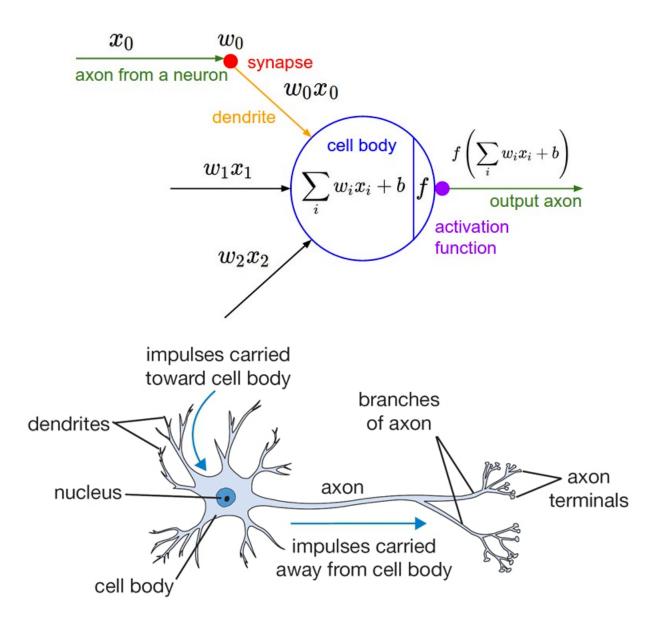
#### Neuron

 Neural networks comes with their terminological baggage

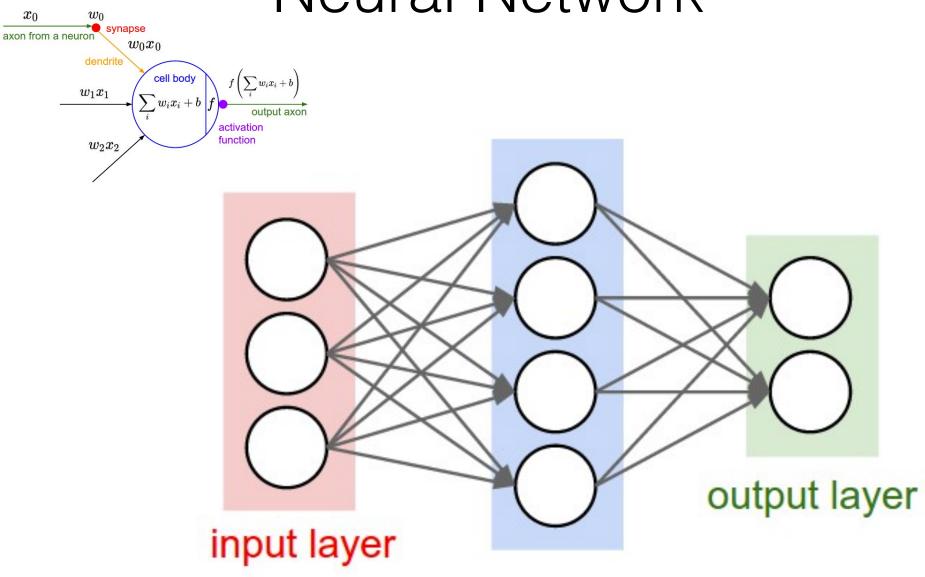


- Parameters:
  - Weights: w<sub>i</sub> and b
  - Activation function
- If we drop the activation function, reminds you of something?

# Biological "Inspiration"

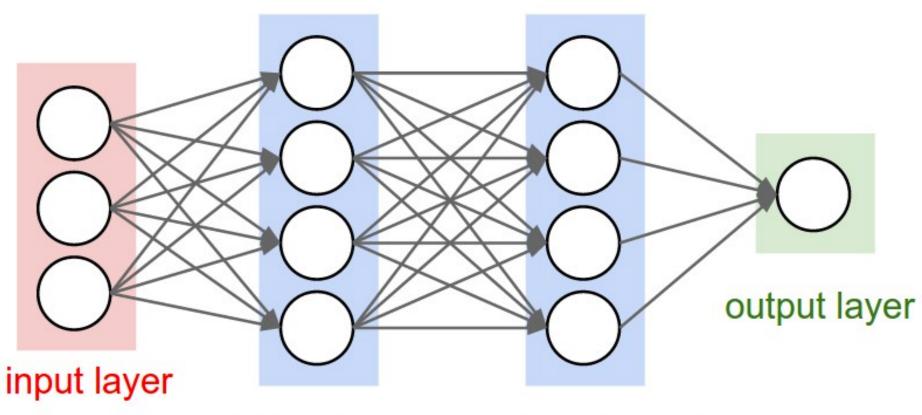


#### Neural Network



hidden layer

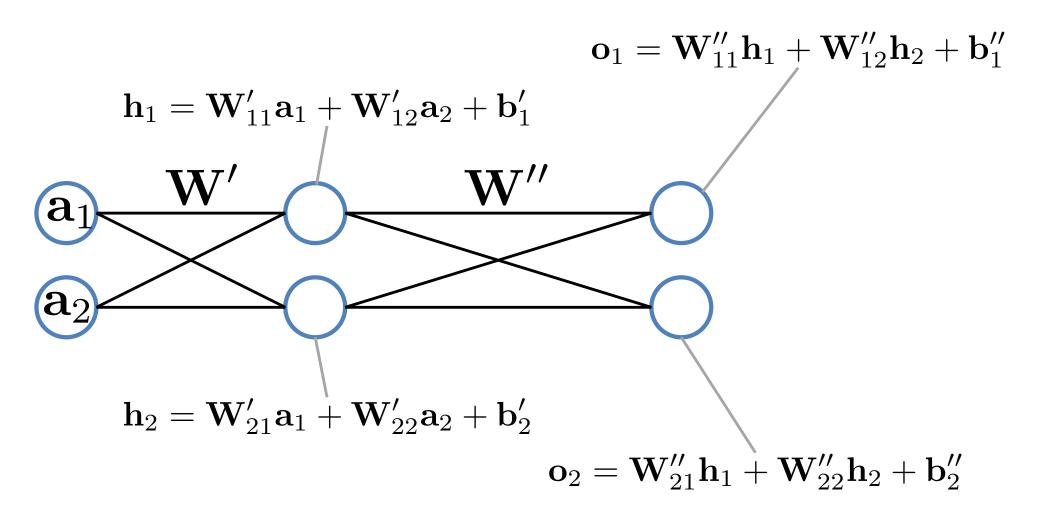
#### Neural Network



hidden layer 1 hidden layer 2

#### Matrix Notation

$$\mathbf{W''}(\mathbf{W'a} + \mathbf{b'}) + \mathbf{b''}$$



#### Neuron and Other Models

- A single neuron is a perceptron
- Strong connection to MaxEnt how?

#### From MaxEnt to Neural Nets

Vector form MaxEnt:

$$P(y|x;w) = \frac{e^{w^{\top}\phi(x,y)}}{\sum_{y'} e^{w^{\top}\phi(x,y')}}$$

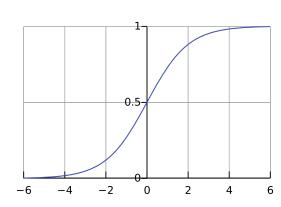
For two classes:

$$P(y_{1}|x;w) = \frac{e^{w^{\top}\phi(x,y_{1})}}{e^{w^{\top}\phi(x,y_{1})} + e^{w^{\top}\phi(x,y_{2})}}$$

$$= \frac{e^{w^{\top}\phi(x,y_{1})}}{e^{w^{\top}\phi(x,y_{1})} + e^{w^{\top}\phi(x,y_{2})}} \frac{e^{-w^{\top}\phi(x,y_{1})}}{e^{-w^{\top}\phi(x,y_{1})}}$$

$$= \frac{1}{1 + e^{w^{\top}(\phi(x,y_{2}) - \phi(x,y_{2}))}}$$
Function (sigmoid)
$$= \frac{1}{1 + e^{-w^{\top}z}} = f(w^{\top}z)$$

$$z = \phi(x,y_{1}) - \phi(x,y_{2})$$



#### From MaxEnt to Neural Nets

Vector form MaxEnt:

$$P(y|x;w) = \frac{e^{w^{\top}\phi(x,y)}}{\sum_{y'} e^{w^{\top}\phi(x,y')}}$$

For two classes:

$$P(y_1|x;w) = \frac{1}{1 + e^{-w^{\top}z}} = f(w^{\top}z)$$

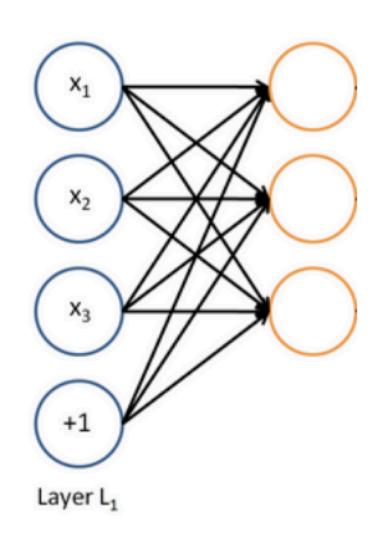
- Neuron:
  - Add an "always on" feature for class prior >> bias term (b)

$$h_{w,b}(z) = f(w^{\top}z + b)$$

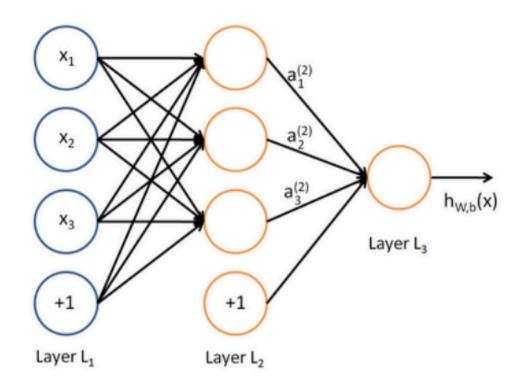
$$f(u) = \frac{1}{1 + e^{-u}}$$

### Neural Net = Several MaxEnt Models

- Feed a number of MaxEnt models → vector of outputs
- And repeat ...



# Neural Net = Several MaxEnt Models



- But: how do we tell the hidden layer what to do?
  - Learning will figure it out

#### How to Train?

- No hidden layer:
  - Supervised
  - Just like MaxEnt
- With hidden layers:
  - Latent units → not convex
  - What do we do?
    - Back-propagate the gradient
    - About the same, but no guarantees

# Probabilistic Output from Neural Nets

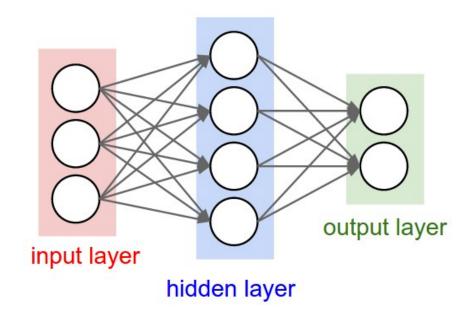
- What if we want the output to be a probability distribution over possible outputs?
- Normalize the output activations using

#### softmax:

$$y = \text{softmax}(W \cdot z + b)$$

$$\text{softmax}(q) = \frac{e^{q}}{\sum_{j=1}^{k} e^{q_{j}}}$$

 Where q is the output layer



# Word Representations

- So far, atomic symbols:
  - "hotel", "conference", "walking", "\_\_\_ing"
- But neural networks take vector input
- How can we bridge the gap?
- One-hot vectors

```
hotel = [0000...0000000100000000]
conference = [0000...0000000000100000]
```

- Dimensionality:
  - Size of vocabulary
  - 20K for speech
  - 500K for broad-coverage domains
  - 13M for Google corpora

# Word Representations

One-hot vectors:

- Problems?
- Information sharing?
  - "hotel" vs. "hotels"

# Word Embeddings

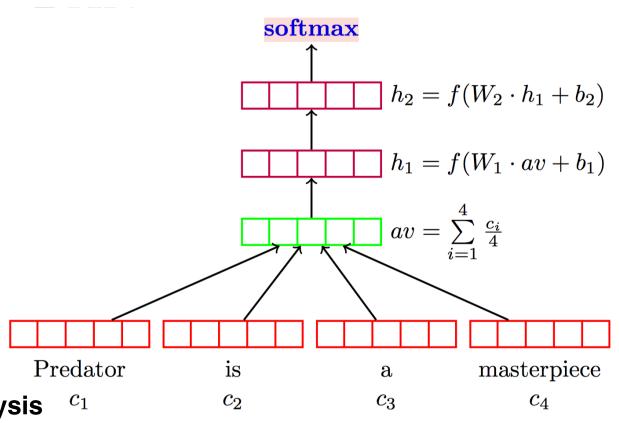
- Each word is represented using a dense low-dimensional vector
  - Low-dimensional << vocabulary size</p>
- If trained well, similar words will have similar vectors
- How to train? What objective to maximize?
  - Soon ...

# Word Embeddings as Features

- Example: sentiment classification
  - very positive, positive, neutral, negative, very negative
- Feature-based models: bag of words
- Any good neural net architecture?
  - Concatenate all the vectors
    - Problem: different document → different length
  - Instead: sum, average, etc.

# Neural Bag-of-words





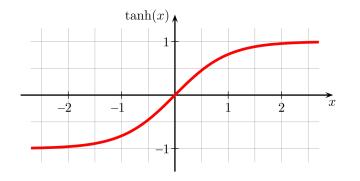
IMDB sentiment analysis

BOW + fancy	
smoothing + SVM	
NBOW + DAN	

[lyyer et al. 2015; Wang and Manning 2012]

# Practical Tips

- Select network structure appropriate for the problem
  - Window vs. recurrent vs. recursive
  - Non-linearity function
- Gradient checks to identify bugs
  - If you build from scratch
- Parameter initialization
- Model is powerful enough?
  - If not, make it larger
  - Yes, so regularize, otherwise it will overfit
- Know your non-linearity function and its gradient
  - Example tanh(x)



$$\frac{\partial}{\partial x} \tanh(x) = 1 - \tanh^2(x)$$

# Avoiding Overfitting

- Reduce model size (but not too much)
- L1 and L2 regularization
- Early stopping (e.g., patience)
- Dropout (Hinton et al. 2012)
  - Randomly set 50% of inputs in each layer to 0