CS5430: System Security (Fall 2023) Programming Project

Phase 2: DAC Authorization for key-value store

**General Instructions.** Work together in a group of 2 or 3 students from the class. You may work with the students from your Phase 1 group or with a different set of students.

This programming assignment should be implemented in Java version 11, which is available on the uge+linux computers.

**Due Date.** November 8, 2023 at 11:59 pm (in CMS)

**Project Overview**

Authorization for a key-value store restricts which principals are able to perform the various specific operations associated with each key. With DAC (Discretionary Access Control), the principal that first creates a key is the principal that defines which principals are authorized to perform the various operations associated with that key.

The system you build for phase 2 will implement a new client interface and a new server interface that together support DAC for a key-value store that is shared among a collection of users who all execute within a single client. We discuss below how these interfaces should be implemented by using the client and server you implemented for Phase 1.

**Access Control Semantics.** Each userId corresponds to a principal. The authorization model to be implemented defines authorization in terms of three sets that are associated with each key $k$:

- $k$.writers: a set of userId's that are authorized to write a value to be associated with key $k$.
- $k$.readers: a set of userId's that are authorized to read the value associated with key $k$.
- $k$.indirects: a set of keys that are used to augment the sets of writers and readers for key $k$.

Set $k$.indirects allows the authorization for accessing a key $k$ to be based on authorizations for other keys — specifically, those keys that are elements of $k$.indirects. In particular, the set of userId’s that can read (say) a key $k$ is given by set $k$.readers augmented with set $R(k)$, where $R(k)$ is defined as follows:

$$R(k) := \bigcup_{k' \in k.indirects} (k'.readers \cup R(k'))$$

Authorization for write is defined analogously in terms of a set $W(k)$.

In addition to the three sets defined above, each key $k$ also has an associated owner, which is the userId that created key $k$. The owner of a key $k$ is the only userId that is authorized to read and/or write the sets $k$.writers, $k$.readers, and $k$.indirects associated with key $k$. Notice, it is possible to have a key where these sets imply that the owner cannot read or write that key.
All of the information used to implement the authorization model for a key $k$ should be stored as part of the meta-values associated with key $k$. Also, authorization for a request should be checked only if that request has been authenticated.

**Client Stub Interface.** The client stub for phase 2 extends the client stub from phase 1 by repurposing the phase 1 operations for reading and writing metavalues. In phase 2, these operations are used only for reading and writing the writers, readers, and indirects sets. The skeleton code you are given for phase 2 defines a `ClientACLObject` to be used for this purpose. The body of this object definition is empty, so you can define a suitable implementation.

**Server Interface.** The server you built for phase 1 allowed an arbitrary object type to be used as the metavalues associated with each key. In phase 2, this arbitrary object should specialized to a `ServerACLObject`, which we have defined in the skeleton code. The skeleton code does not give an implementation for `ServerACLObject`—you must create something appropriate. Note that your `ClientACLObject` and a `ServerACLObject` need not be the same, depending on your design.

In order to enforce the DAC security policy, the semantics of certain phase 1 server operations are changed in phase 2. Here is an informal description for the changed operations.

```java
public AbstractAuthenticatedDoResponse<K, V, ServerACLObject>
authenticatedDo(AbstractAuthenticatedDoRequest<K, V, ServerACLObject> request)
    throws RemoteException;
//Invoked in response to a client stub request to perform CREATE, DELETE, READVAL, READMETAVAL, WRITEVAL, or WRITEMETAVAL. The operation to invoke is specified by the field operation, which is a field of the doOperation field of the request.
Return AUTHENTICATION_FAILURE if the request cannot be authenticated as being on behalf of user userId; return AUTHORIZATION_FAILURE if the request does not satisfy the authorization requirements given below; otherwise, return one of SUCCESS, NOSUCHELEMENT, or ILLEGALARGUMENT according to the semantics of the key-value store operations defined in Phase 0.
CREATE: No authorization privileges are required if the key does not already exist. If the key does exist, then the invoker must be the owner of that key.
DELETE: The invoker must be the owner of the key.
READVAL: The invoker must be authorized to read that key.
READMETAVAL: The invoker must be the owner of the key.
WRITEVAL: The invoker must be authorized to write that key.
WRITEMETAVAL: The invoker must be the owner of the key.
```
We have updated the outcome field of `DoOperationOutcome` so that it now includes `AUTHORIZATION_FAILURE` as a possible value for this field.

**System To Be Built.** As before, we provide a code skeleton, as follows. And, as before, you modify this code skeleton in order to implement a system that enforces DAC authorization.

- **client**: This Java object (named `Phase2AppImpl.java`) makes requests to the key-value store. For our purposes, the client is providing a sequence of tests that exercise the rest of the system. *You write the code for this object.*
- **client stub**: This Java object (named `Phase2StubImpl.java`) implements an interface named `Phase2Stub.java`. The client invokes methods defined by this interface in order to (i) register at the server, (ii) set authorization, and (iii) perform key-value store operations at the server. The client stub invokes methods provided by the network in order to cause the invocation of a methods that the server is providing. *We provide a skeleton for `Phase2StubImpl.java`, and you add code to this skeleton in order to implement the required functionality of the client stub methods.*
- **network simulator**: As with phase 1, this Java object (named `NetworkImpl.java`) simulates a network that connects the client stub to the server. *You should not make modifications to this code.*
- **server**: This Java object (named `Phase2ServerImpl.java`) authenticates and authorizes each requested operation to ascertain that it was issued for a registered user that has appropriate privileges. `Phase2ServerImpl.java` implements an interface named `Phase2Server.java`. *We provide a skeleton for `Phase2ServerImpl.java`, and you add code to this skeleton in order to implement the desired functionality of the server methods.*

You may use the code for any group’s Phase 1 in building Phase 2. The skeleton we are providing has a place where you can copy this code, so that it is incorporated into your Phase 2.

### Grading and Submissions

Download from CMS the zip file `Phase2.zip`. It contains:

- **phase2.jar** which contains the definitions for the interfaces and abstract classes that are discussed below. You may inspect the contents of this Jar file by executing:

  ```bash
  jar -xf phase2.jar
  ```

- **src** which is a folder containing skeleton implementations for all of the Java interfaces and abstract classes that are described below. Your assignment is to add to that skeleton code and produce a secure authenticated key-value store.

- **build.sh** which is a script that compiles the contents of `phase2_impl` by linking it with `phase2.jar` and outputs a Jar file named `phase2_impl.jar`. 
• run.sh which is a script that executes your program by using the main method located in phase2_impl.jar as the entry point. Note that run.sh also performs the necessary RMI setup before executing Phase2App.java.
• README.txt which describes any changes you made to the build.sh or run.sh scripts that we provided.
• testRationale.txt which describes the functionality and/or defense that is being checked by each step of the test program in your Phase2App.java. Include as part of this discussion a listing of the outputs that a correct system should produce for the test program in Phase2App.java.

Grading. Project grades will be based on the following grading rubric

• 20% -- system operates correctly on tests you provided in Phase2App.java
• 20% -- how well the tests provided in Phase2App.java exercise the functionality and security of the system.
• 10% -- the quality of the explanations in testRationale.txt: Does testRationale.txt explain the checks in Phase2App.java and are all of the right things being checked.
• 40% -- system operates correctly on tests provided by course staff to exercise functionality
• 10% -- code quality, readability, and documentation in the form of comments

Automatic deduction of up to 50% if the program does not compile using build.sh or does not run using run.sh on the uclinux computers. Be smart: Try your system on the uclinux computers before you submit it.