# CS 4700: Foundations of Artificial Intelligence

Spring 2020 Prof. Haym Hirsh

Lecture 6 February 3, 2020

# Reminder: Technology Policy

No technology except for first four rows of left and right sides

# Jupyter Notebooks

"Primer": Friday 5pm in Gates G01

### "Informed" Search

#### To formulate a problem:

- States: S
- Operators: Ops
- Initial state
- Goal condition: goal(s)
- Heuristic Evaluation Function  $f(s): S \to \mathbb{R}$  (usually  $\geq 0$ )
  - f is an estimate of the merit of s
  - Typically  $f(s_1) < f(s_2)$  means  $s_1$  is "better" than  $s_2$

### A\* Search

Best-first search using

$$f(s) = g(s) + h(s)$$

g(s) = sum of costs from initial state to s h(s) = estimate of cost from s to nearest goal

#### A\* Search

```
Initial call: A*(initialstate,ops,{},{})
A*(s,ops,open,closed) =
         If goal(s) Then return(s);
         Else If not(s \in closed)
            Then successors \leftarrow {}; add(s,closed);
                   For each o \in ops that applies to s add apply(o,s) to successors
                   For each s \in successors
                            If s \in \text{open and } g(s) of current path is less, update g(s) in open
                            Else If s \in \text{closed} and g(s) of current path is less, add s to open w/ new g(s)
                            Else If s ∉ open and s ∉ closed, add s to open
         If not(empty(open))
                   s' \leftarrow \operatorname{argmin}(f(s));
                         s \in open
                   open \leftarrow remove(s',open);
                  A*(s',ops,open,closed)
            Else return(FAIL)
```

- If
  - search space is a finite graph and
  - all operator costs are *positive*
- Then
  - A\* is guaranteed to terminate and
  - if there is a solution, A\* will find a solution (not necessarily an optimal one)

- If
  - search space is an *infinite* graph (but branching factor is finite) and
  - all operator costs are positive and are never less than some number &
     (in other words, they cannot get arbitrarily close to 0)
- Then
  - if there is a solution, A\* will terminate with a solution (not necessarily an optimal one)

(no guarantee of termination if there is no solution)

- If, in addition,
  - h(s) is admissible (for all states s,  $0 \le h(s) \le h^*(s)$ )
- Then
  - If A\* terminates with a solution it will be optimal

- If, in addition,
  - h(s) is consistent (for all states s, h(s) ≤ h(apply(a,s)) + cost(apply(a,s))
- Then
  - h(s) is admissible,
  - the first path found to any state is guaranteed to have the lowest cost (do not need to check for this in the algorithm), and
  - A\* is "optimal" no other algorithm using the same h(s) and the same tiebreaking rules will expand fewer nodes than A\*

- If
  - the search space is a tree,
  - there is a single goal state, and
  - for all states s, |h\*(s) h(s)| = O(log(h\*(s))
     (the error of h(s) is never more than a logarithmic factor of h\*(s))
- Then
  - A\* runs in time polynomial in b (branching factor)

And many others

(Extremely widely used, so well-understood)

#### Weighted A\*:

- If
  - h(s) is admissible and
  - A\* is used with  $h'(s) = c \times h(s)$  where c > 1
- Then
  - Any goal state that A\* terminates with will have cost no more than c times the cost of an optimal solution

#### IDA\*:

- Use cost-bounded depth-first search with h(initial state) as the bound
- Any time a successor is greater than the bound don't expand it
  - But store the lowest cost C of any such state that you reach that exceeds the cost bound
- If you terminate without a goal state run cost-bounded depth-first search with depth bound C

(= Depth-first search emulation of A\* search)

#### SMA\*:

- A\* search with a memory bound
- If you would generate a node but don't have space to add it to Open, remove from open the node s on Open with greatest f(s) but keep track of its parent s' and the cost of the removed node f(s)
- If you reach a node on Open whose cost is worse than this value, you re-expand s'

And many others

(Extremely widely used, so explored)

### Search Methods Thus Far

DFS

**BFS** 

IDS

Best-First/A\*

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DFS

**BFS** 

IDS

Best-First/A\*

Focus is on optimality

What if We're OK with Suboptimal Solutions?

What if We're OK with Suboptimal Solutions?

(A\* variants, but what else?)

#### Idea 1: Beam Search

Best-first search, but only keep the k best on Open

k is called the "beam width"

# Search Algorithm Template

```
Initial call: Search(initialstate,ops,{},{})
Search(s,ops,open,closed) =
         If goal(s) Then return(s);
         Else If not(s \in closed)
           Then
                  successors \leftarrow {}; add(s,closed);
                  For each o \in ops that applies to s
                           add apply(o,s) to successors
                  open \leftarrow add successors to open;
         If not(empty(open))
                  s' \leftarrow select(open);
                  open \leftarrow remove(s',open);
                  search(s',ops,open,closed)
            Else return(FAIL)
```

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                  s' \leftarrow select(open);
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                  search(s',ops,open,closed)
            Else return(FAIL)
```

#### Beam Search

```
Initial call: BeamSearch(initialstate,ops,{},{},width)
BeamSearch(s,ops,open,closed) =
        If goal(s) Then return(s);
        Else If not(s \in closed)
           Then
                  successors \leftarrow {}; add(s,closed);
                  For each o \in ops that applies to s
                           add apply(o,s) to successors
                  open \leftarrow add successors to open;
        open \leftarrow top-k_f(open, width)
        If not(empty(open))
                 s' \leftarrow best_f(open);
                  open \leftarrow remove(s',open);
                  BeamSearch(s',ops,open,closed)
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                           add apply(o,s) to successors
                  open \leftarrow add successors to open;
        open \leftarrow best-k<sub>f</sub>(open,width) best-k<sub>f</sub>(x,k) = the k best items on x according to f
         If not(empty(open))
                 s' \leftarrow best_f(open);
                  open \leftarrow remove(s',open);
                   BeamSearch(s',ops,open,closed)
            Else return(FAIL)
```

### Beam Search

Lose the guarantees, gain a bounded memory size, simple algorithm

Loosely, beam search with width 1

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(For historical reasons seeking to maximize rather than minimize, hence the name hill *climbing*)

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(Just to confuse things, it includes gradient descent, where you're minimizing)

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(Just to confuse things even further textbook example minimizes f)

# Hill Climbing Example: 8 Queens

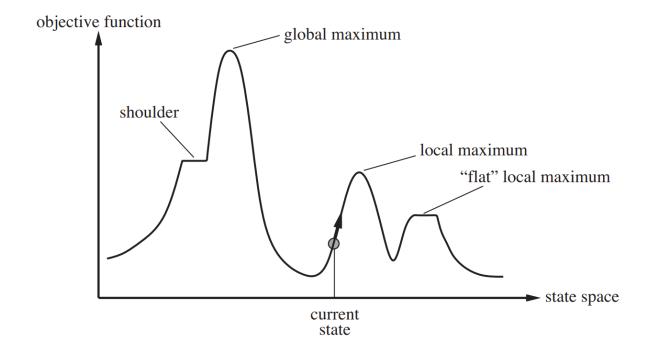
- Initial state = random placement of 8 queens, 1 per column
- Operators = pick a column and move its queen
- f(s) = # of attacked queens
- Want f(s) = 0

# Hill Climbing

```
\begin{aligned} & \text{current} \leftarrow s; \\ & \text{loop} \\ & \text{new} \leftarrow \text{lowest-valued successor of } s; \\ & \text{if } f(\text{new}) < f(s) \\ & \text{then } \text{current} \leftarrow \text{new} \\ & \text{else } \text{return}(\text{current}) \end{aligned} \end{aligned}
```

# Problems for Hill Climbing

- Local optima
- Plateau problem: no direction looks good (flat vs shoulder)
- Ridges: increases not aligned with axes

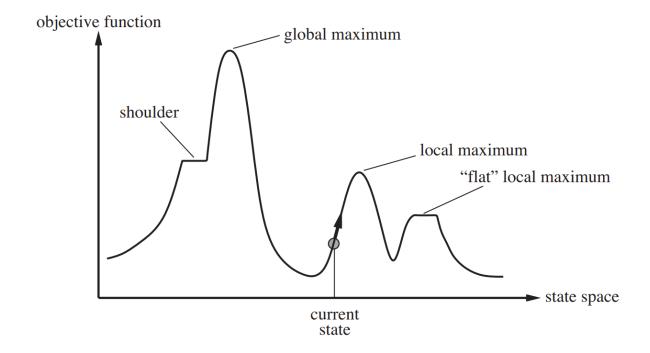


# Hill Climbing

This is *not* ≤

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# Hill Climbing Variants

- Stochastic hill climbing:
  - Pick successor of a state probabilistically "proportional" to f values of successors
  - Can use a weighting scheme where early on you do this, but as you progress you become more and more likely to pick the best successor
  - Slower than pure hill-climbing, but can find better solutions, such as due to ridges

- Sideways moves:
  - Allow the algorithm to pick a successor with equal value if there is none with a better value
  - Do this at most some bounded number of times in a row
  - Good for plateaus

- First-choice hill-climbing:
  - Generate successors, stop and move ahead with the first successor that's better than the current state
  - Good for problems with high branching factor

- Random restart:
  - If initial state is random or there are often ties that are broken randomly you can rerun hill climbing with different starting states
  - Good for local optima

Combinations of the above

• Usually thought of as a tool kit and you try various options

#### Simulated Annealing

Stochastic Hill Climbing Search with a small, decreasing probability of doing a bad move

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Stochastic Hill Climbing Search with a small, decreasing probability of doing a bad move

Intuition: To avoid getting stuck in local optima, let yourself wander a little, less so as time progresses

Vocabulary: the farther into the search you go the lower the "temperature"

### Sample Simulated Annealing Algorithm

```
SA(s,ops):
       current \leftarrow s; T \leftarrow initial T value; [For example, T=1]
        loop
               op ← random element of ops;
               new ← apply(op,current);
               delta \leftarrow f(new) - f(current);
               if delta < 0 then current \leftarrow new
               else with probability e^{-T} current \leftarrow new;
                            [For example, T = \frac{1}{\text{iteration#}}]
               update T
       until <stopping criterion> [For example, some max # of iterations]
```

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  - Example: N Queens (position queen 1, ..., position queen N) [n-tuples]

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- f(s): "fitness function"

#### Algorithm sketch:

- Create an initial population of individuals (states) [population size]
- On each generation (iteration) create a new population by a combination of
  - Crossover:
    - Take two elements of the population biased by fitness function
    - Create a new individual (state) by taking pieces of each
  - Mutation:
    - Take an element of the population biased by fitness function
    - Create a new individual (state) by perturbing it

There are MANY variants

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One that's distinctive enough to get its own mention:

#### Genetic programming:

- States are programs in a structured language
- Crossover and mutation create new programs from old ones