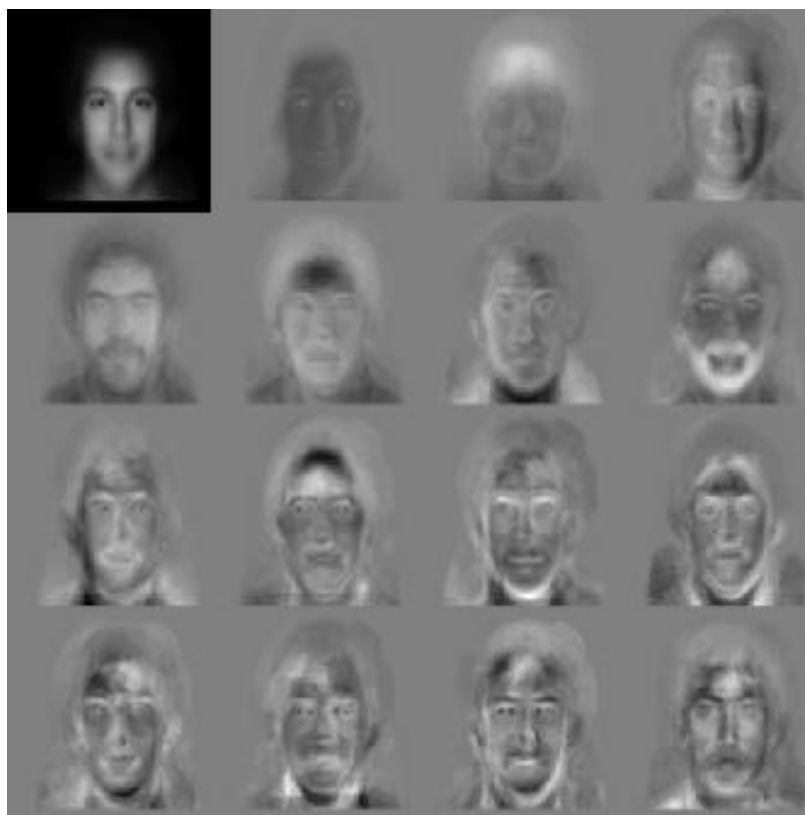


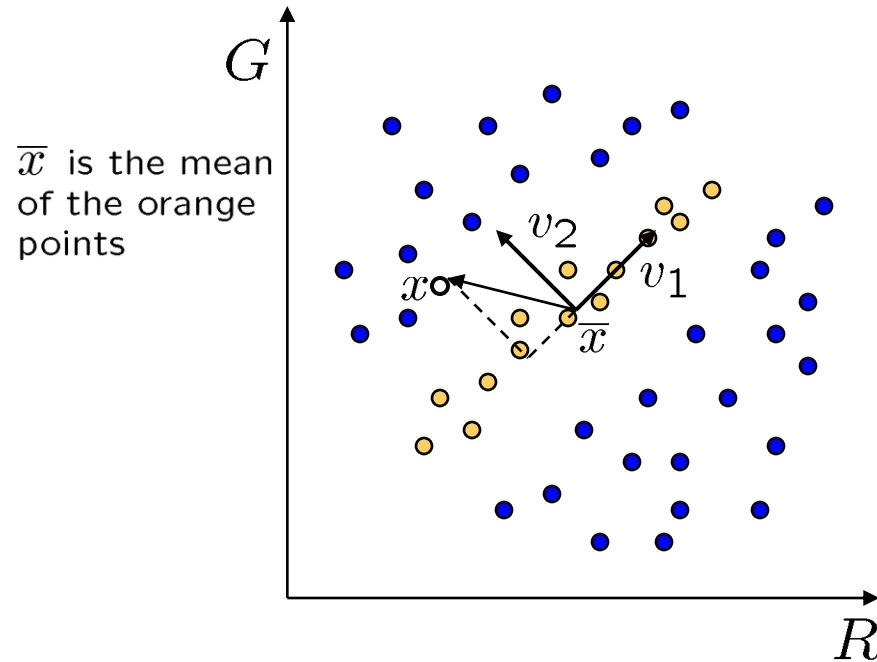
# CS4670: Intro to Computer Vision

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## Lecture 27: Eigenfaces



# Linear subspaces



convert  $\mathbf{x}$  into  $\mathbf{v}_1, \mathbf{v}_2$  coordinates

$$\mathbf{x} \rightarrow ((\mathbf{x} - \bar{\mathbf{x}}) \cdot \mathbf{v}_1, (\mathbf{x} - \bar{\mathbf{x}}) \cdot \mathbf{v}_2)$$

What does the  $\mathbf{v}_2$  coordinate measure?

- distance to line
- use it for classification—near 0 for orange pts

What does the  $\mathbf{v}_1$  coordinate measure?

- position along line
- use it to specify which orange point it is

Classification can be expensive

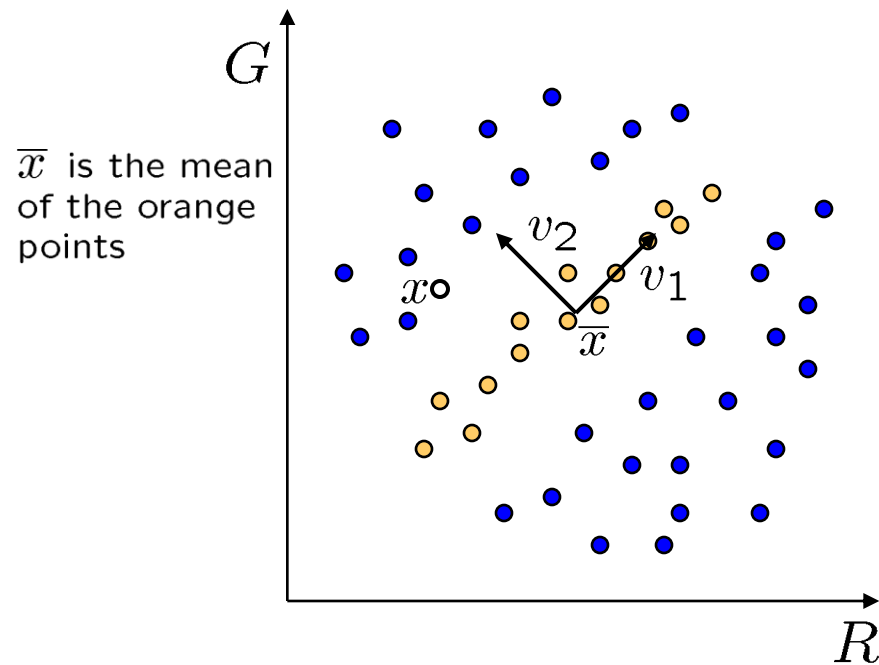
- Must either search (e.g., nearest neighbors) or store large PDF's

Suppose the data points are arranged as above

- Idea—fit a line, classifier measures distance to line

# Dimensionality reduction

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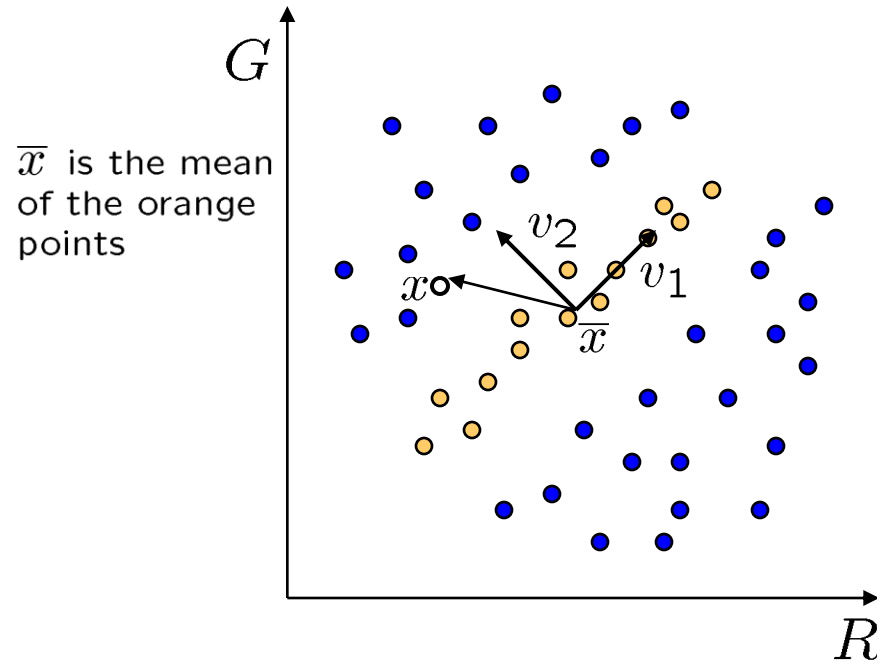


How to find  $\mathbf{v}_1$  and  $\mathbf{v}_2$  ?

## Dimensionality reduction

- We can represent the orange points with *only* their  $\mathbf{v}_1$  coordinates
  - since  $\mathbf{v}_2$  coordinates are all essentially 0
- This makes it much cheaper to store and compare points
- A bigger deal for higher dimensional problems

# Linear subspaces



Consider the variation along direction  $\mathbf{v}$  among all of the orange points:

$$\text{var}(\mathbf{v}) = \sum_{\text{orange point } \mathbf{x}} \|(\mathbf{x} - \bar{\mathbf{x}})^T \cdot \mathbf{v}\|^2$$

What unit vector  $\mathbf{v}$  minimizes  $\text{var}$ ?

$$\mathbf{v}_2 = \min_{\mathbf{v}} \{\text{var}(\mathbf{v})\}$$

What unit vector  $\mathbf{v}$  maximizes  $\text{var}$ ?

$$\mathbf{v}_1 = \max_{\mathbf{v}} \{\text{var}(\mathbf{v})\}$$

$$\begin{aligned} \text{var}(\mathbf{v}) &= \sum_{\mathbf{x}} \|(\mathbf{x} - \bar{\mathbf{x}})^T \cdot \mathbf{v}\|^2 \\ &= \sum_{\mathbf{x}} \mathbf{v}^T (\mathbf{x} - \bar{\mathbf{x}}) (\mathbf{x} - \bar{\mathbf{x}})^T \mathbf{v} \\ &= \mathbf{v}^T \left[ \sum_{\mathbf{x}} (\mathbf{x} - \bar{\mathbf{x}}) (\mathbf{x} - \bar{\mathbf{x}})^T \right] \mathbf{v} \\ &= \mathbf{v}^T \mathbf{A} \mathbf{v} \quad \text{where } \mathbf{A} = \sum_{\mathbf{x}} (\mathbf{x} - \bar{\mathbf{x}}) (\mathbf{x} - \bar{\mathbf{x}})^T \end{aligned}$$

Solution:  $\mathbf{v}_1$  is eigenvector of  $\mathbf{A}$  with *largest* eigenvalue  
 $\mathbf{v}_2$  is eigenvector of  $\mathbf{A}$  with *smallest* eigenvalue

# Principal component analysis

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Suppose each data point is N-dimensional

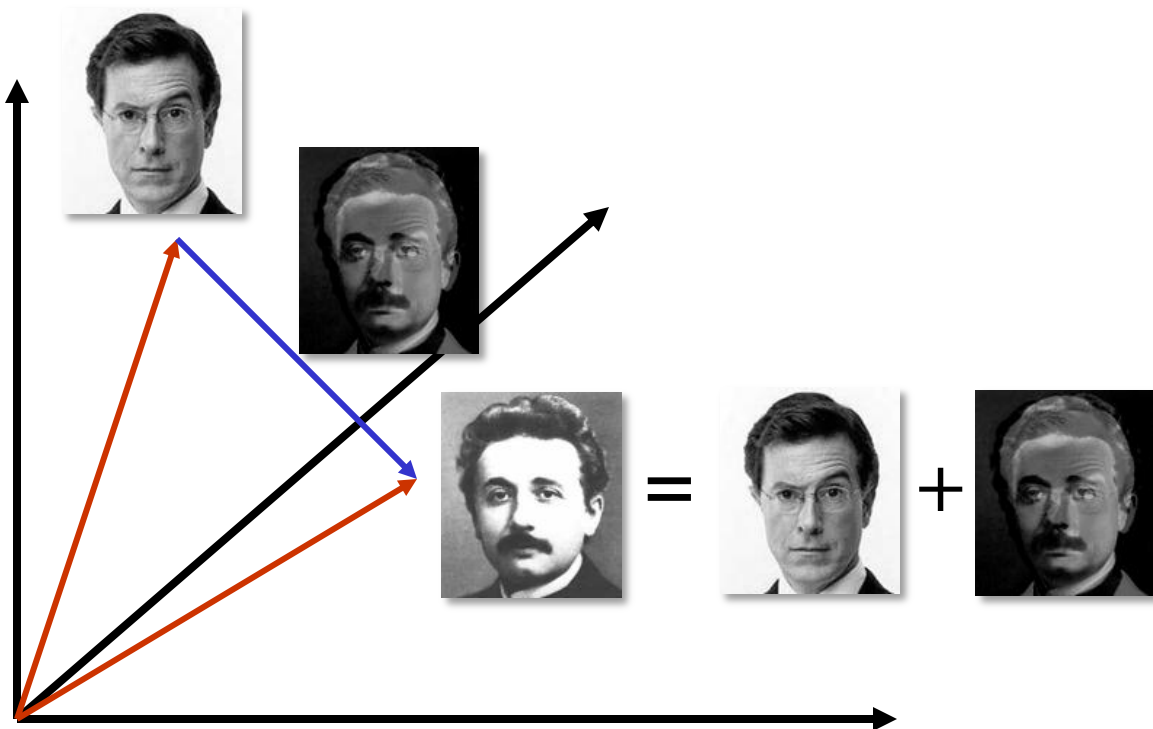
- Same procedure applies:

$$\begin{aligned} \text{var}(\mathbf{v}) &= \sum_{\mathbf{x}} \|(\mathbf{x} - \bar{\mathbf{x}})^T \cdot \mathbf{v}\|^2 \\ &= \mathbf{v}^T \mathbf{A} \mathbf{v} \quad \text{where } \mathbf{A} = \sum_{\mathbf{x}} (\mathbf{x} - \bar{\mathbf{x}})(\mathbf{x} - \bar{\mathbf{x}})^T \end{aligned}$$

- The eigenvectors of  $\mathbf{A}$  define a new coordinate system
  - eigenvector with largest eigenvalue captures the most variation among training vectors  $\mathbf{x}$
  - eigenvector with smallest eigenvalue has least variation
- We can compress the data by only using the top few eigenvectors
  - corresponds to choosing a “linear subspace”
    - » represent points on a line, plane, or “hyper-plane”
  - these eigenvectors are known as the ***principal components***

# The space of faces

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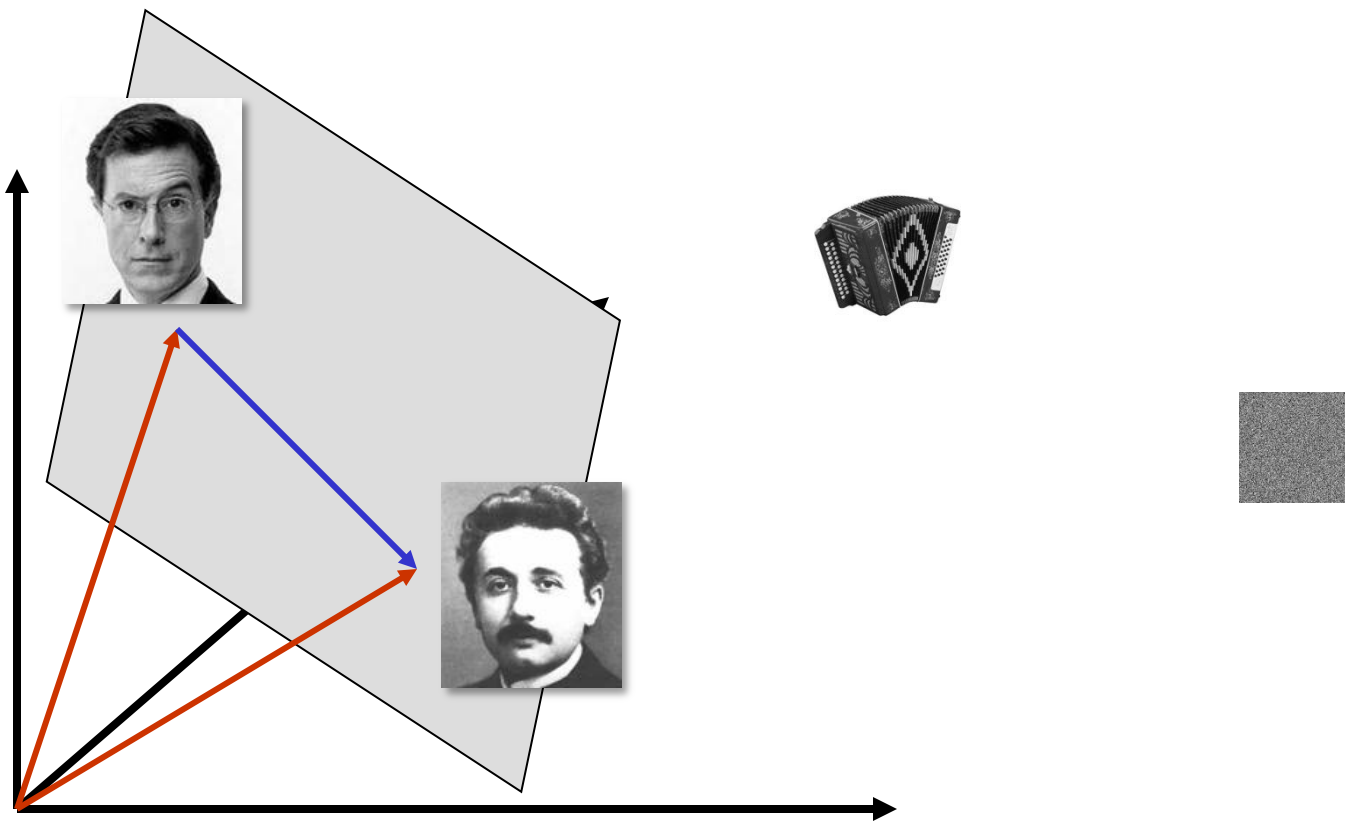


An image is a point in a high dimensional space

- An  $N \times M$  intensity image is a point in  $\mathbb{R}^{NM}$
- We can define vectors in this space as we did in the 2D case

# Dimensionality reduction

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The set of faces is a “subspace” of the set of images

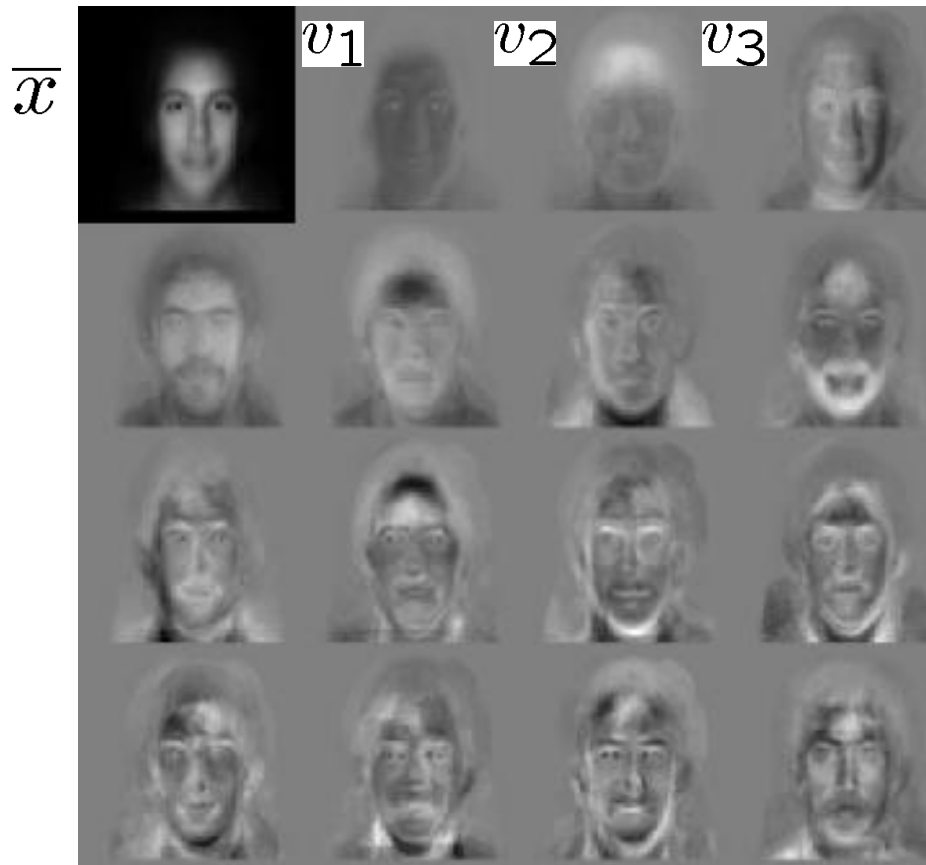
- Suppose it is  $K$  dimensional
- We can find the best subspace using PCA
- This is like fitting a “hyper-plane” to the set of faces
  - spanned by vectors  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_K$
  - any face  $\mathbf{x} \approx \bar{\mathbf{x}} + a_1\mathbf{v}_1 + a_2\mathbf{v}_2 + \dots + a_k\mathbf{v}_k$

# Eigenfaces

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PCA extracts the eigenvectors of  $\mathbf{A}$

- Gives a set of vectors  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \dots$
- Each one of these vectors is a direction in face space
  - what do these look like?





# Projecting onto the eigenfaces

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The eigenfaces  $\mathbf{v}_1, \dots, \mathbf{v}_K$  span the space of faces

- A face is converted to eigenface coordinates by

$$\mathbf{x} \rightarrow \left( \underbrace{(\mathbf{x} - \bar{\mathbf{x}}) \cdot \mathbf{v}_1}_{a_1}, \underbrace{(\mathbf{x} - \bar{\mathbf{x}}) \cdot \mathbf{v}_2}_{a_2}, \dots, \underbrace{(\mathbf{x} - \bar{\mathbf{x}}) \cdot \mathbf{v}_K}_{a_K} \right)$$

$$\mathbf{x} \approx \bar{\mathbf{x}} + a_1 \mathbf{v}_1 + a_2 \mathbf{v}_2 + \dots + a_K \mathbf{v}_K$$



$\mathbf{X}$



$a_1 \mathbf{v}_1$   $a_2 \mathbf{v}_2$   $a_3 \mathbf{v}_3$   $a_4 \mathbf{v}_4$   $a_5 \mathbf{v}_5$   $a_6 \mathbf{v}_6$   $a_7 \mathbf{v}_7$   $a_8 \mathbf{v}_8$



# Detection and recognition with eigenfaces

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## Algorithm

1. Process the image database (set of images with labels)
  - Run PCA—compute eigenfaces
  - Calculate the  $K$  coefficients for each image
2. Given a new image (to be recognized)  $\mathbf{x}$ , calculate  $K$  coefficients

$$\mathbf{x} \rightarrow (a_1, a_2, \dots, a_K)$$

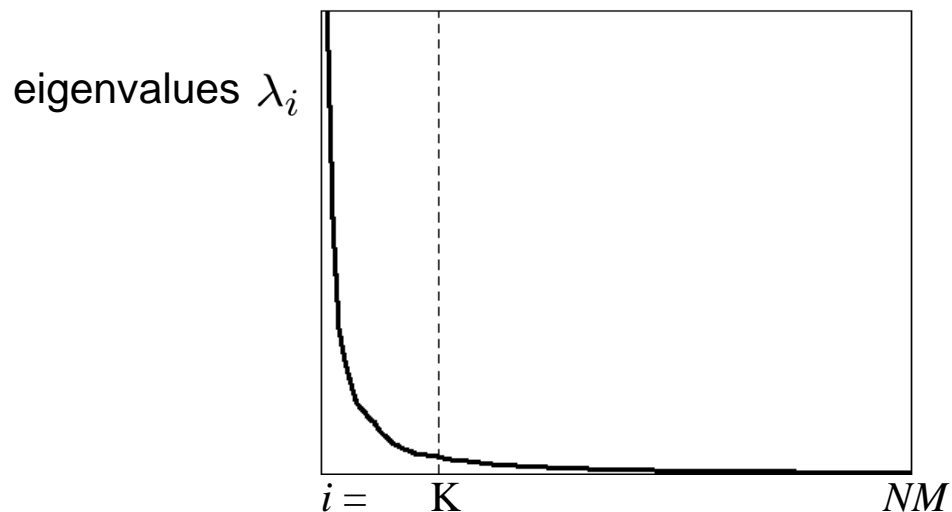
3. Detect if  $\mathbf{x}$  is a face

$$\|\mathbf{x} - (\bar{\mathbf{x}} + a_1\mathbf{v}_1 + a_2\mathbf{v}_2 + \dots + a_K\mathbf{v}_K)\| < \text{threshold}$$

4. If it is a face, who is it?
  - Find closest labeled face in database
    - nearest-neighbor in  $K$ -dimensional space

# Choosing the dimension $K$

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How many eigenfaces to use?

Look at the decay of the eigenvalues

- the eigenvalue tells you the amount of variance “in the direction” of that eigenface
- ignore eigenfaces with low variance

# Issues: metrics

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What's the best way to compare images?

- need to define appropriate features
- depends on goal of recognition task



**exact matching**  
complex features work well  
(SIFT, MOPS, etc.)



**classification/detection**  
simple features work well  
(Viola/Jones, etc.)

# Metrics

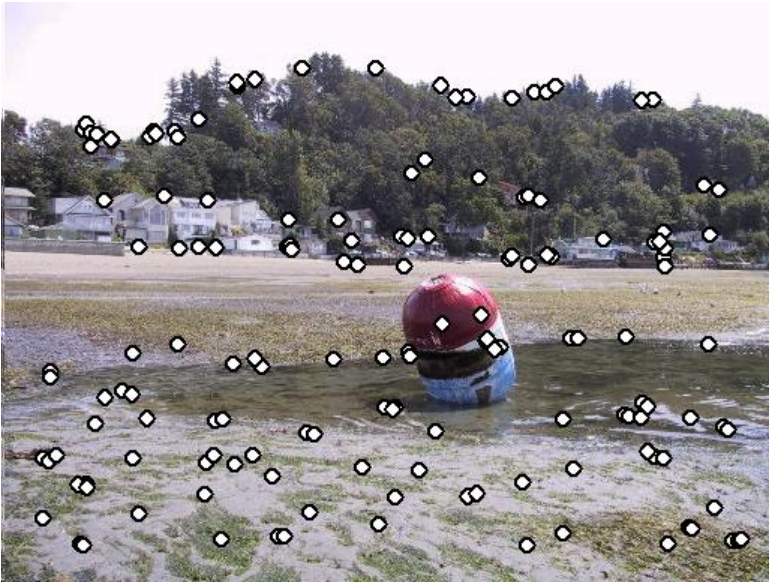
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Lots more feature types that we haven't mentioned

- moments, statistics
  - metrics: Earth mover's distance, ...
- edges, curves
  - metrics: Hausdorff, shape context, ...
- 3D: surfaces, spin images
  - metrics: chamfer (ICP)
- ...

# Issues: feature selection

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If all you have is one image:  
non-maximum suppression, etc.



If you have a training set of images:  
AdaBoost, etc.

# Issues: data modeling

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## Generative methods

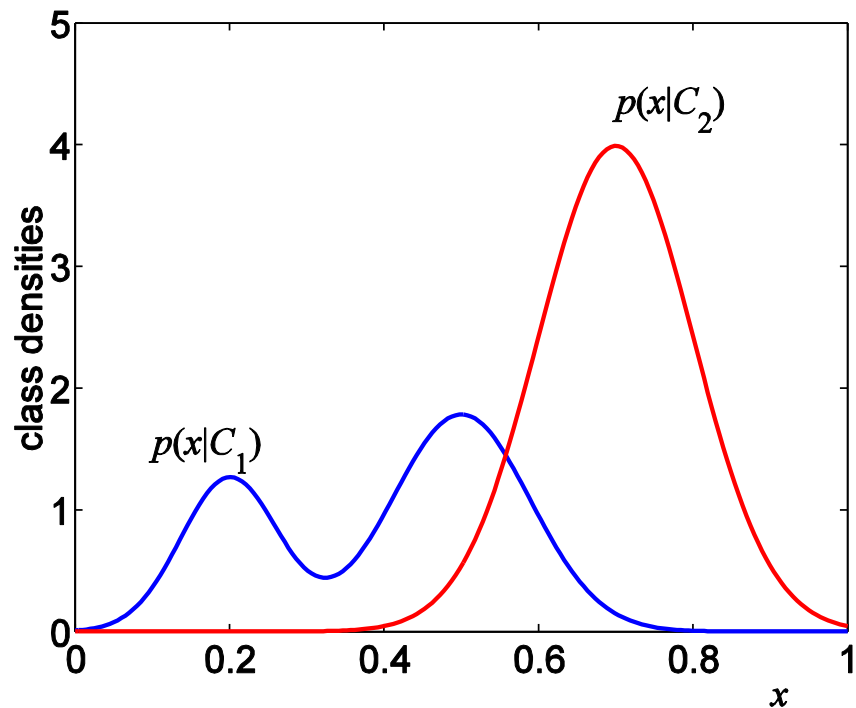
- model the “shape” of each class
  - histograms, PCA, mixtures of Gaussians
  - graphical models (HMM’s, belief networks, etc.)
  - ...

## Discriminative methods

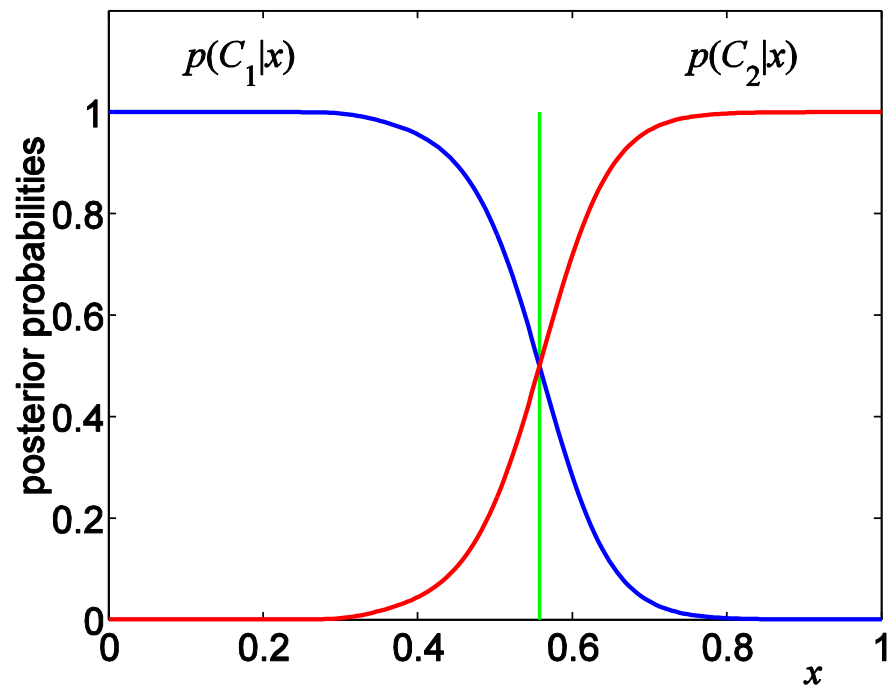
- model boundaries between classes
  - perceptrons, neural networks
  - support vector machines (SVM’s)

# Generative vs. Discriminative

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**Generative Approach**  
model individual classes, priors



**Discriminative Approach**  
model posterior directly

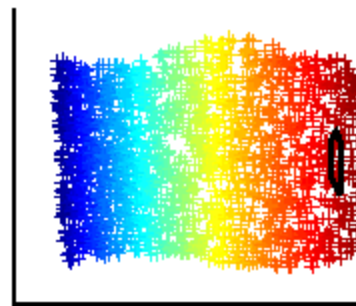
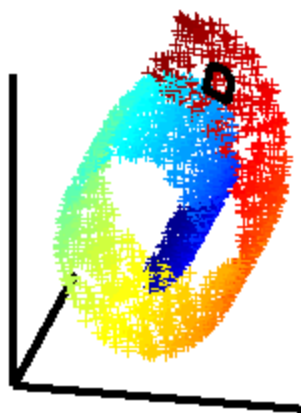
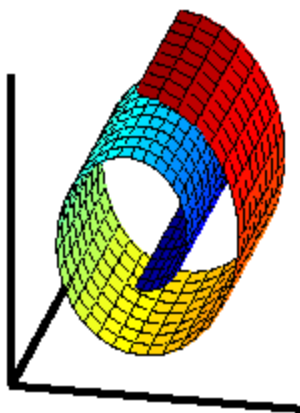


# Issues: dimensionality

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What if your space isn't *flat*?

- PCA may not help



**Nonlinear methods**

LLE, MDS, etc.

# Moving forward

- Faces are pretty well-behaved
  - Mostly the same basic shape
  - Lie close to a low-dimensional subspace
- Not all objects are as nice

# Different appearance, similar parts

