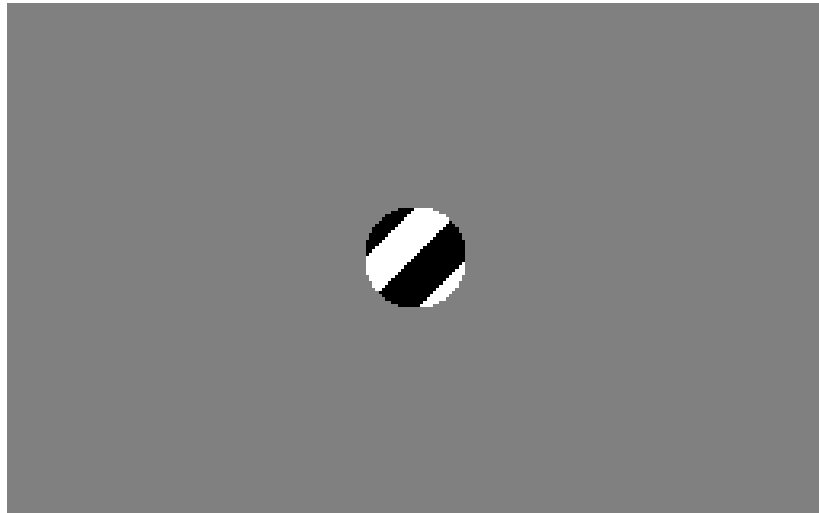


# CS6670: Computer Vision

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## Lecture 19: Optical flow



[http://en.wikipedia.org/wiki/Barberpole\\_illusion](http://en.wikipedia.org/wiki/Barberpole_illusion)

# Readings

- Szeliski, Chapter 8.4 - 8.5

# Announcements

- Project 2b due Tuesday, Nov 2
  - Please sign up to check out a phone!
- Final projects
  - Form a group, write a proposal by next Friday, Oct 29

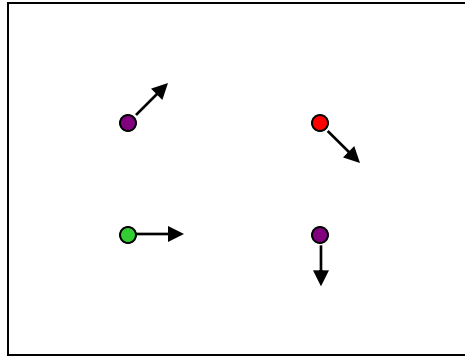
# Optical flow



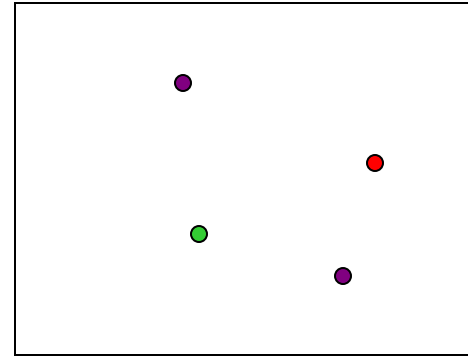
- Why would we want to do this?

# Optical flow applications

# Problem definition: optical flow



$H(x, y)$



$I(x, y)$

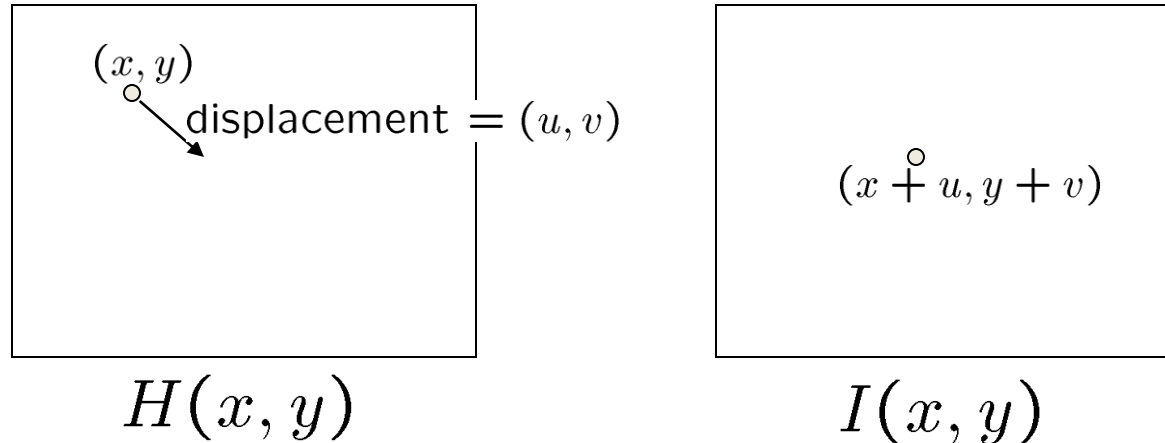
- How to estimate pixel motion from image  $H$  to image  $I$ ?
  - A more general pixel correspondence problem than stereo
    - given a pixel in  $H$ , look for **nearby** pixels of the **same color** in  $I$

Key assumptions

- **color constancy**: a point in  $H$  looks the same in  $I$ 
  - For grayscale images, this is **brightness constancy**
- **small motion**: points do not move very far

This is called the **optical flow** problem

# Optical flow constraints (grayscale images)



- Let's look at these constraints more closely
  - brightness constancy: Q: what's the equation?
  - small motion: (u and v are less than 1 pixel)
    - suppose we take the Taylor series expansion of I:

$$I(x+u, y+v) = I(x, y) + \frac{\partial I}{\partial x}u + \frac{\partial I}{\partial y}v + \text{higher order terms}$$
$$\approx I(x, y) + \frac{\partial I}{\partial x}u + \frac{\partial I}{\partial y}v$$

# Optical flow equation

- Combining these two equations

shorthand:  $I_x = \frac{\partial I}{\partial x}$



# Optical flow equation

- Combining these two equations

$$\begin{aligned}0 &= I(x + u, y + v) - H(x, y) && \text{shorthand: } I_x = \frac{\partial I}{\partial x} \\ &\approx I(x, y) + I_x u + I_y v - H(x, y) \\ &\approx (I(x, y) - H(x, y)) + I_x u + I_y v \\ &\approx I_t + I_x u + I_y v \\ &\approx I_t + \nabla I \cdot [u \ v]\end{aligned}$$

In the limit as  $u$  and  $v$  go to zero, this becomes exact

$$0 = I_t + \nabla I \cdot \left[ \frac{\partial x}{\partial t} \ \frac{\partial y}{\partial t} \right]$$

# Optical flow equation

$$0 = I_t + \nabla I \cdot [u \ v]$$

- Q: how many unknowns and equations per pixel?

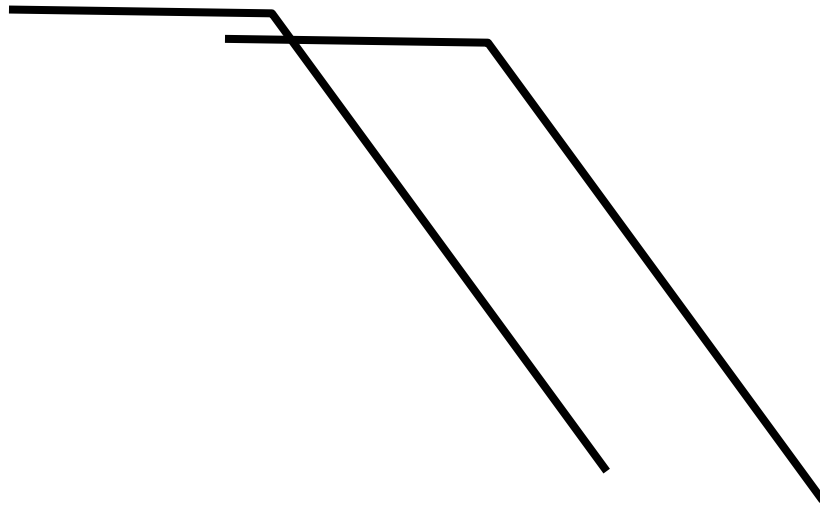
Intuitively, what does this constraint mean?

- The component of the flow in the gradient direction is determined
- The component of the flow parallel to an edge is unknown

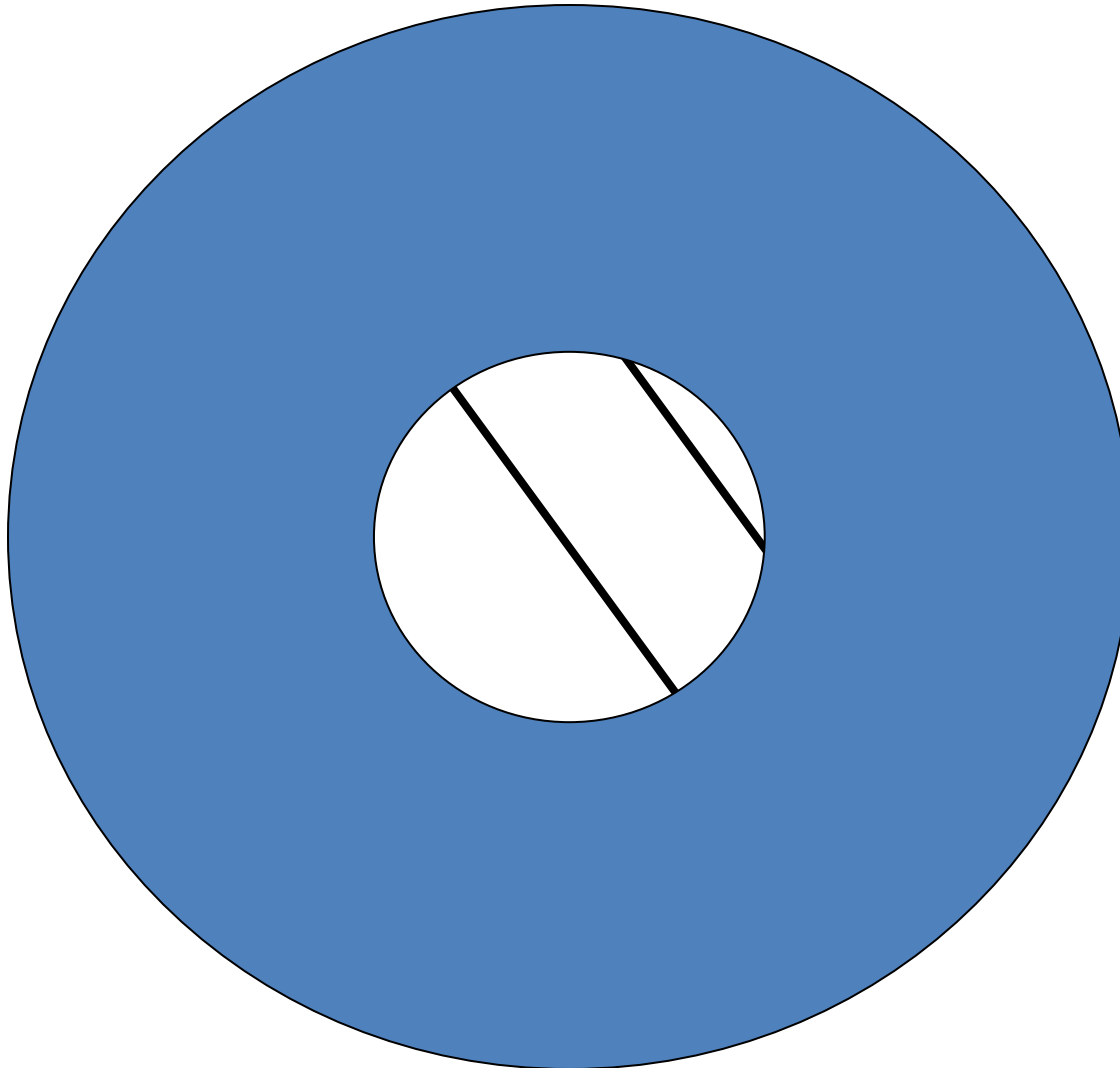
This explains the Barber Pole illusion

[http://www.sandlotscience.com/Ambiguous/Barberpole\\_Illusion.htm](http://www.sandlotscience.com/Ambiguous/Barberpole_Illusion.htm)

# Aperture problem



# Aperture problem



# Solving the aperture problem

- Basic idea: assume motion field is smooth
- Horn & Schunk [1981]: add smoothness term

$$\int \int (I_t + \nabla I \cdot [u \ v])^2 + \lambda^2 (\|\nabla u\|^2 + \|\nabla v\|^2) \, dx \, dy$$

- Lucas & Kanade [1981]: assume locally constant motion
  - pretend the pixel's neighbors have the same (u,v)
- Many other methods exist. Here's an overview:
  - S. Baker, M. Black, J. P. Lewis, S. Roth, D. Scharstein, and R. Szeliski. *A database and evaluation methodology for optical flow*. In Proc. ICCV, 2007
  - <http://vision.middlebury.edu/flow/>

# Lucas-Kanade flow

- How to get more equations for a pixel?
  - Basic idea: impose additional constraints
    - Lucas-Kanade: pretend the pixel's neighbors have the same  $(u,v)$ 
      - If we use a 5x5 window, that gives us 25 equations per pixel!

$$0 = I_t(\mathbf{p}_i) + \nabla I(\mathbf{p}_i) \cdot [u \ v]$$

$$\begin{bmatrix} I_x(\mathbf{p}_1) & I_y(\mathbf{p}_1) \\ I_x(\mathbf{p}_2) & I_y(\mathbf{p}_2) \\ \vdots & \vdots \\ I_x(\mathbf{p}_{25}) & I_y(\mathbf{p}_{25}) \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = - \begin{bmatrix} I_t(\mathbf{p}_1) \\ I_t(\mathbf{p}_2) \\ \vdots \\ I_t(\mathbf{p}_{25}) \end{bmatrix}$$

$A$                        $d$                        $b$   
 $25 \times 2$                        $2 \times 1$                        $25 \times 1$

# Lucas-Kanade flow

- Prob: we have more equations than unknowns

$$\begin{array}{ccc} A & d = & b \\ 25 \times 2 & 2 \times 1 & 25 \times 1 \end{array} \longrightarrow \text{minimize } \|Ad - b\|^2$$

Solution: solve least squares problem

- minimum least squares solution given by solution (in d) of:

$$\begin{array}{ccc} (A^T A) & d = & A^T b \\ 2 \times 2 & 2 \times 1 & 2 \times 1 \end{array}$$

$$\begin{array}{c} \left[ \begin{array}{cc} \sum I_x I_x & \sum I_x I_y \\ \sum I_x I_y & \sum I_y I_y \end{array} \right] \left[ \begin{array}{c} u \\ v \end{array} \right] = - \left[ \begin{array}{c} \sum I_x I_t \\ \sum I_y I_t \end{array} \right] \\ A^T A \qquad \qquad \qquad A^T b \end{array}$$

- The summations are over all pixels in the K x K window

# Conditions for solvability

– Optimal  $(u, v)$  satisfies Lucas-Kanade equation

$$\begin{bmatrix} \sum I_x I_x & \sum I_x I_y \\ \sum I_x I_y & \sum I_y I_y \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = - \begin{bmatrix} \sum I_x I_t \\ \sum I_y I_t \end{bmatrix}$$

$A^T A$   $A^T b$

When is this solvable?

- $A^T A$  should be invertible
- $A^T A$  should not be too small due to noise
  - eigenvalues  $\lambda_1$  and  $\lambda_2$  of  $A^T A$  should not be too small
- $A^T A$  should be well-conditioned
  - $\lambda_1 / \lambda_2$  should not be too large ( $\lambda_1 =$  larger eigenvalue)



# Observation

- This is a two image problem BUT
  - Can measure sensitivity by just looking at one of the images!
  - This tells us which pixels are easy to track, which are hard
    - very useful for feature tracking...

# Errors in Lucas-Kanade

- What are the potential causes of errors in this procedure?
  - Suppose  $A^T A$  is easily invertible
  - Suppose there is not much noise in the image

When our assumptions are violated

- Brightness constancy is **not** satisfied
- The motion is **not** small
- A point does **not** move like its neighbors
  - window size is too large
  - what is the ideal window size?

# Iterative Refinement

## Iterative Lucas-Kanade Algorithm

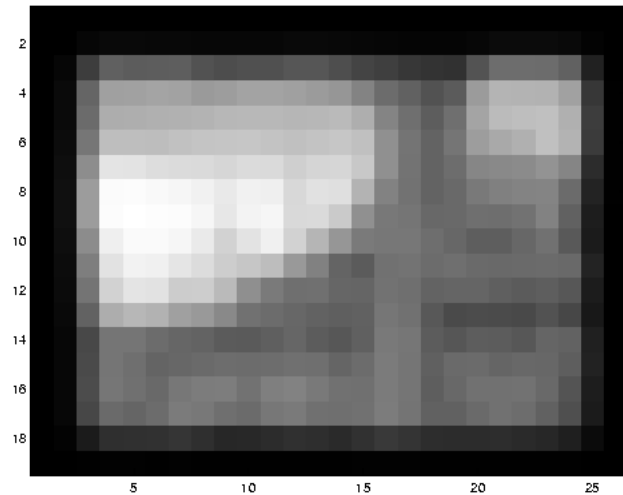
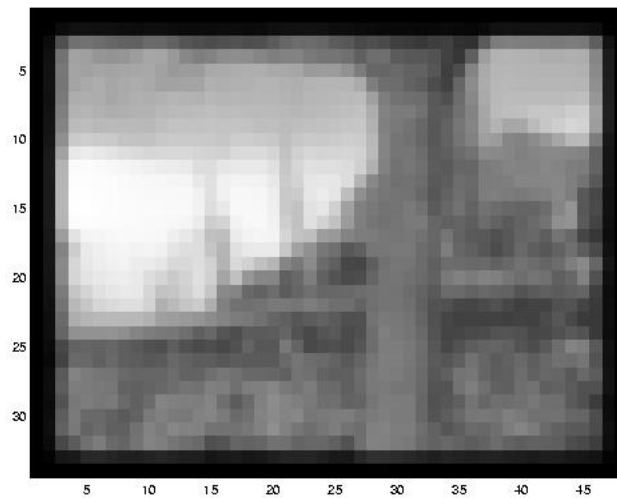
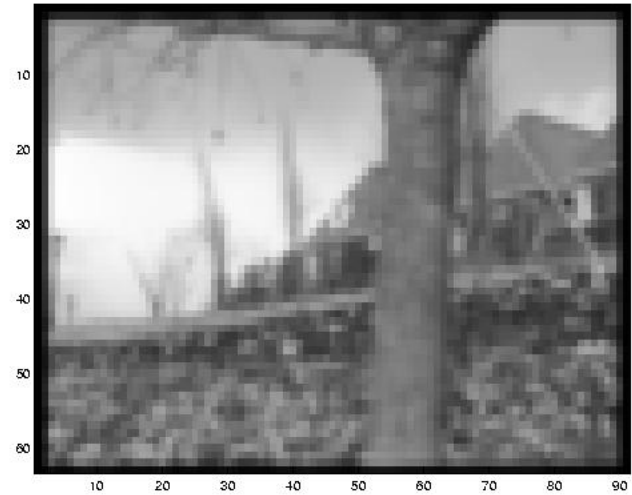
1. Estimate velocity at each pixel by solving Lucas-Kanade equations
2. Warp H towards I using the estimated flow field
  - *use image warping techniques*
3. Repeat until convergence

# Revisiting the small motion assumption

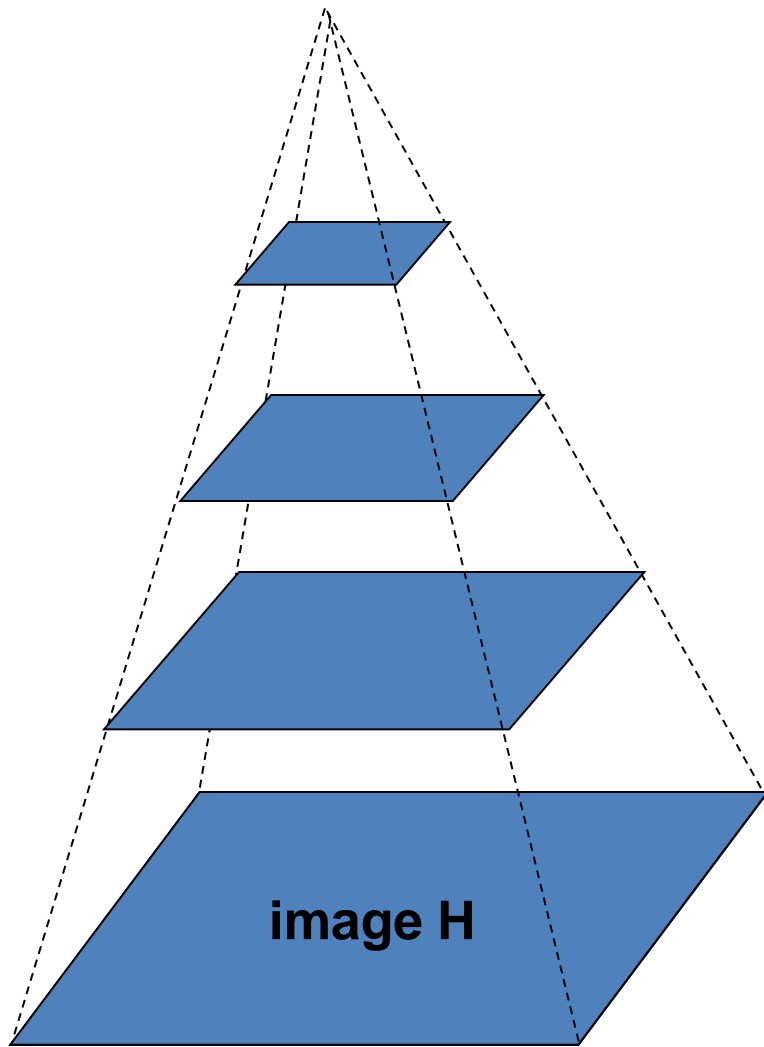


- Is this motion small enough?
  - Probably not—it's much larger than one pixel (2<sup>nd</sup> order terms dominate)
  - How might we solve this problem?

# Reduce the resolution!



# Coarse-to-fine optical flow estimation



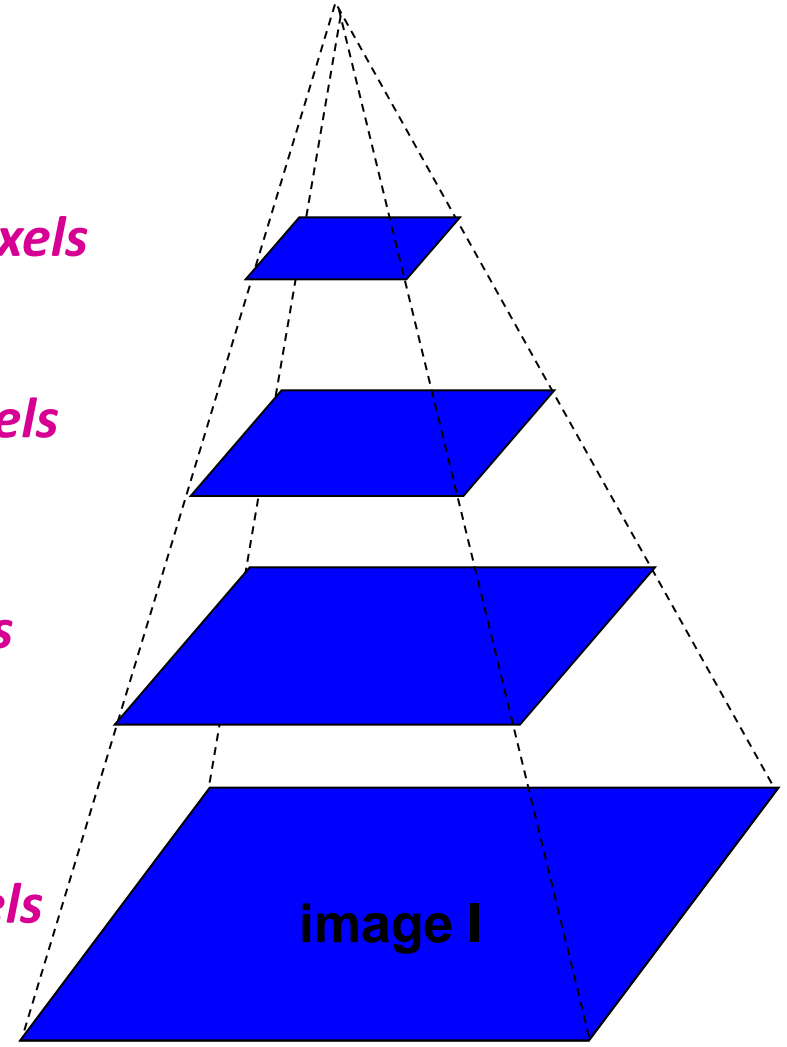
**Gaussian pyramid of image H**

*$u=1.25$  pixels*

*$u=2.5$  pixels*

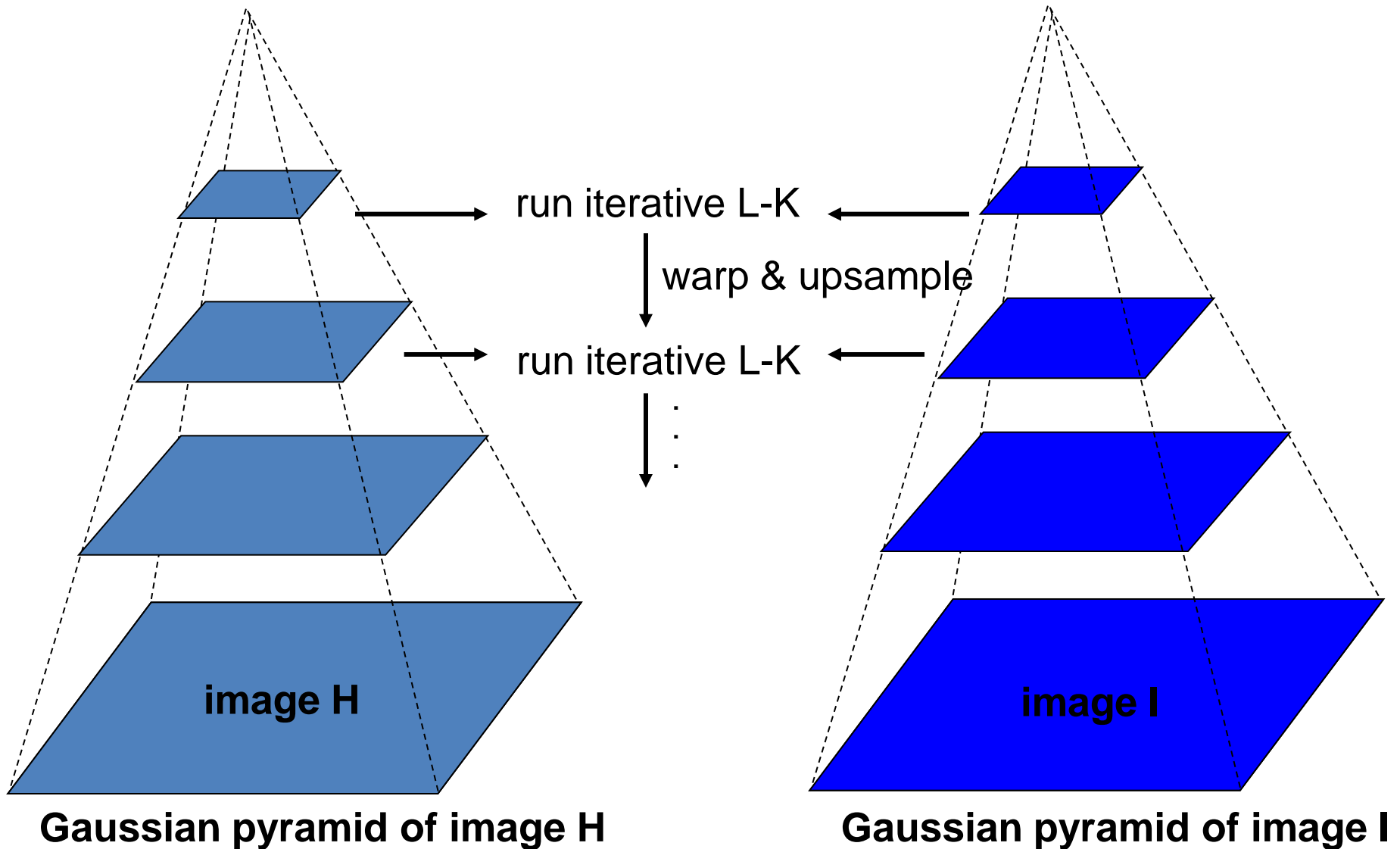
*$u=5$  pixels*

*$u=10$  pixels*



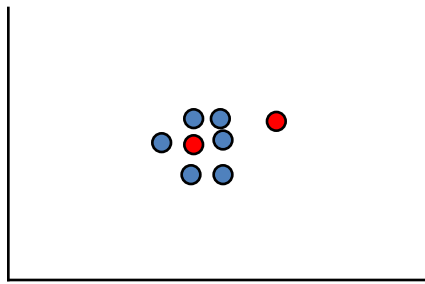
**Gaussian pyramid of image I**

# Coarse-to-fine optical flow estimation

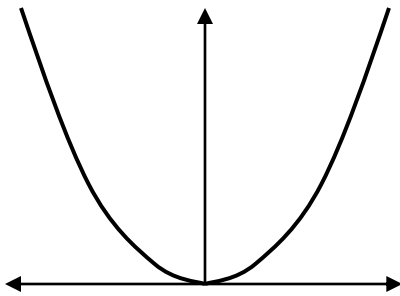


# Robust methods

- L-K minimizes a sum-of-squares error metric
  - least squares techniques overly sensitive to outliers

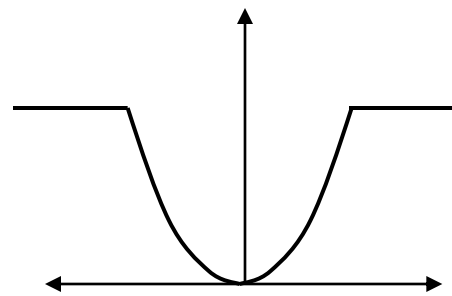


Error metrics



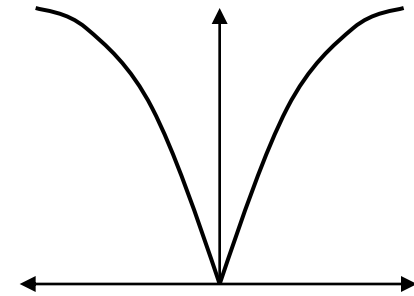
quadratic

$$\rho(x) = x^2$$



truncated quadratic

$$\rho_{\alpha,\lambda}(x) = \begin{cases} \lambda x^2 & \text{if } |x| < \frac{\sqrt{\alpha}}{\sqrt{\lambda}} \\ \alpha & \text{otherwise} \end{cases}$$



lorentzian

$$\rho_{\sigma}(x) = \log \left( 1 + \frac{1}{2} \left( \frac{x}{\sigma} \right)^2 \right)$$



# Robust optical flow

- Robust Horn & Schunk

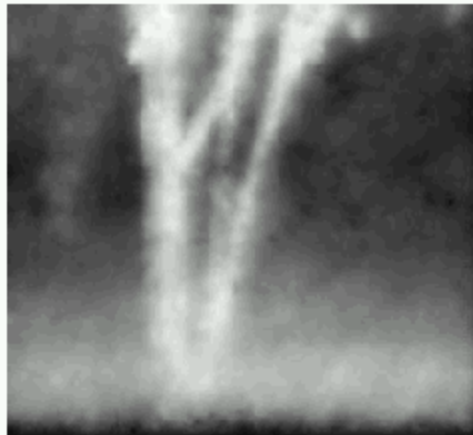
$$\int \int \rho(I_t + \nabla I \cdot [u \ v]) + \lambda^2 \rho(\|\nabla u\|^2 + \|\nabla v\|^2) \, dx \, dy$$

- Robust Lucas-Kanade

$$\sum_{(x,y) \in W} \rho(I_t + \nabla I \cdot [u \ v])$$



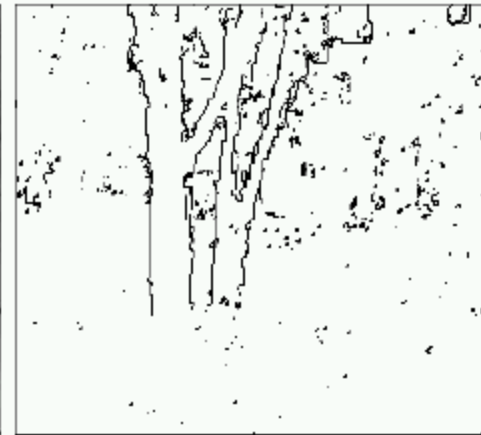
first image



quadratic flow



lorentzian flow



detected outliers

## Reference

- Black, M. J. and Anandan, P., A framework for the robust estimation of optical flow, *Fourth International Conf. on Computer Vision (ICCV)*, 1993, pp. 231-236  
<http://www.cs.washington.edu/education/courses/576/03sp/readings/black93.pdf>

# Benchmarking optical flow algorithms

- Middlebury flow page
  - <http://vision.middlebury.edu/flow/>

Questions?