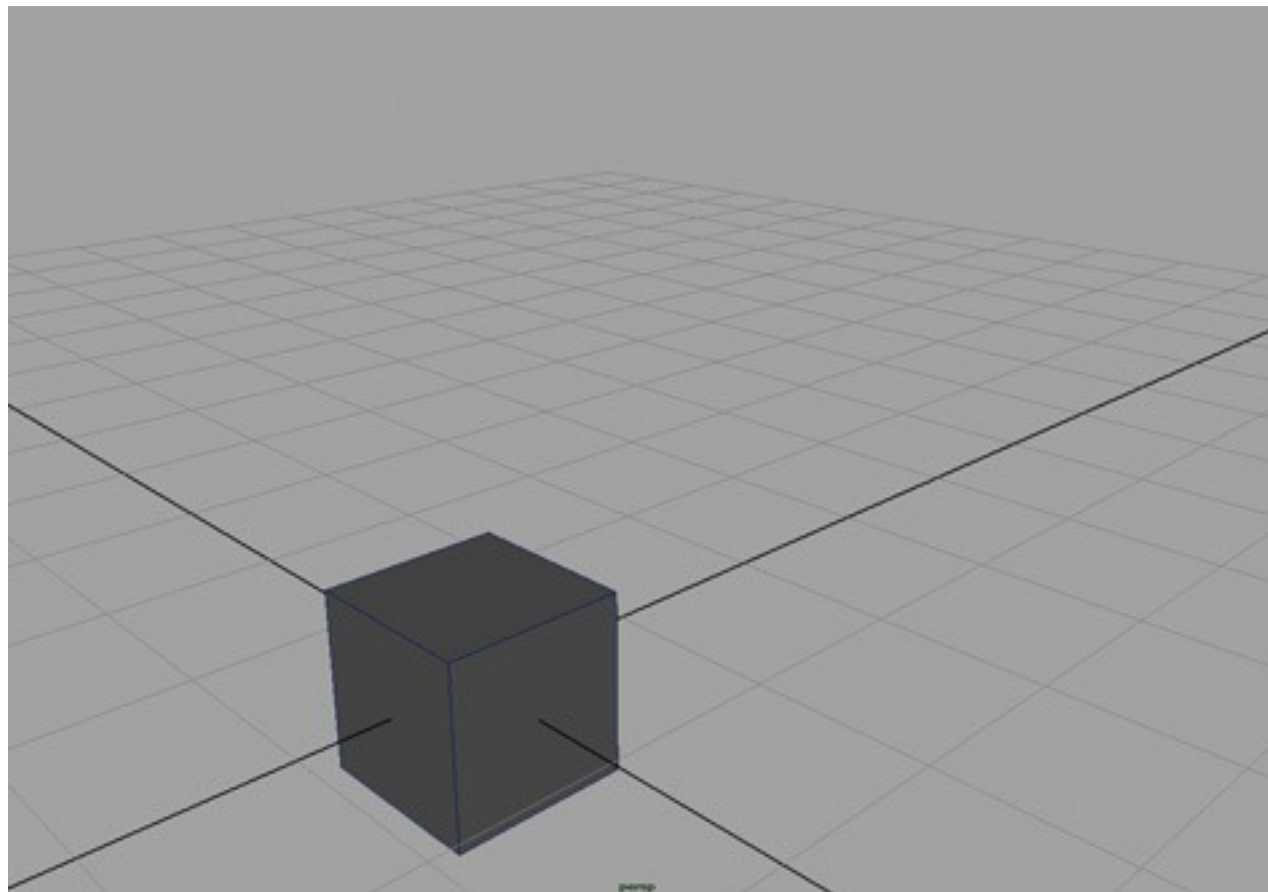


3D Transformations

CS 4620 Lecture 6

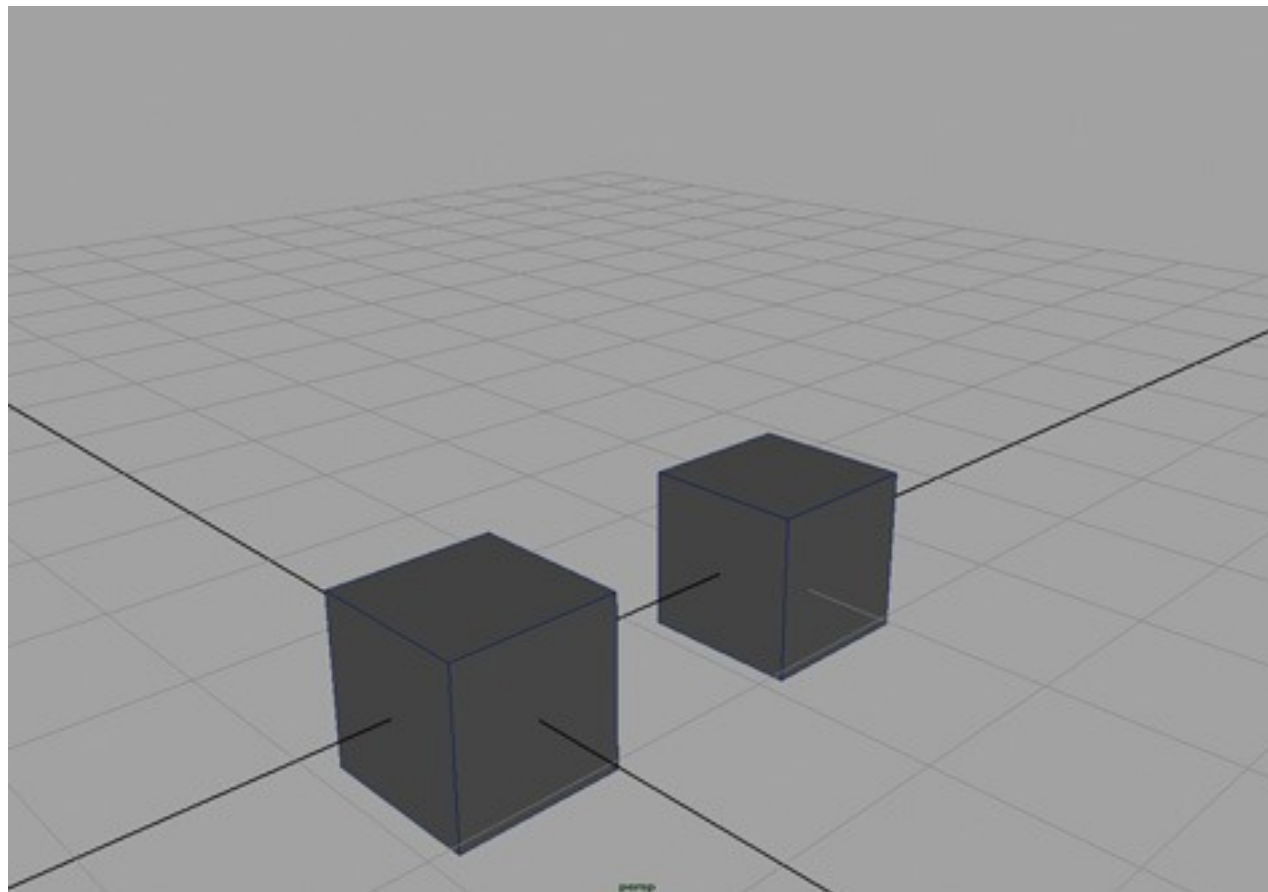
Translation

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



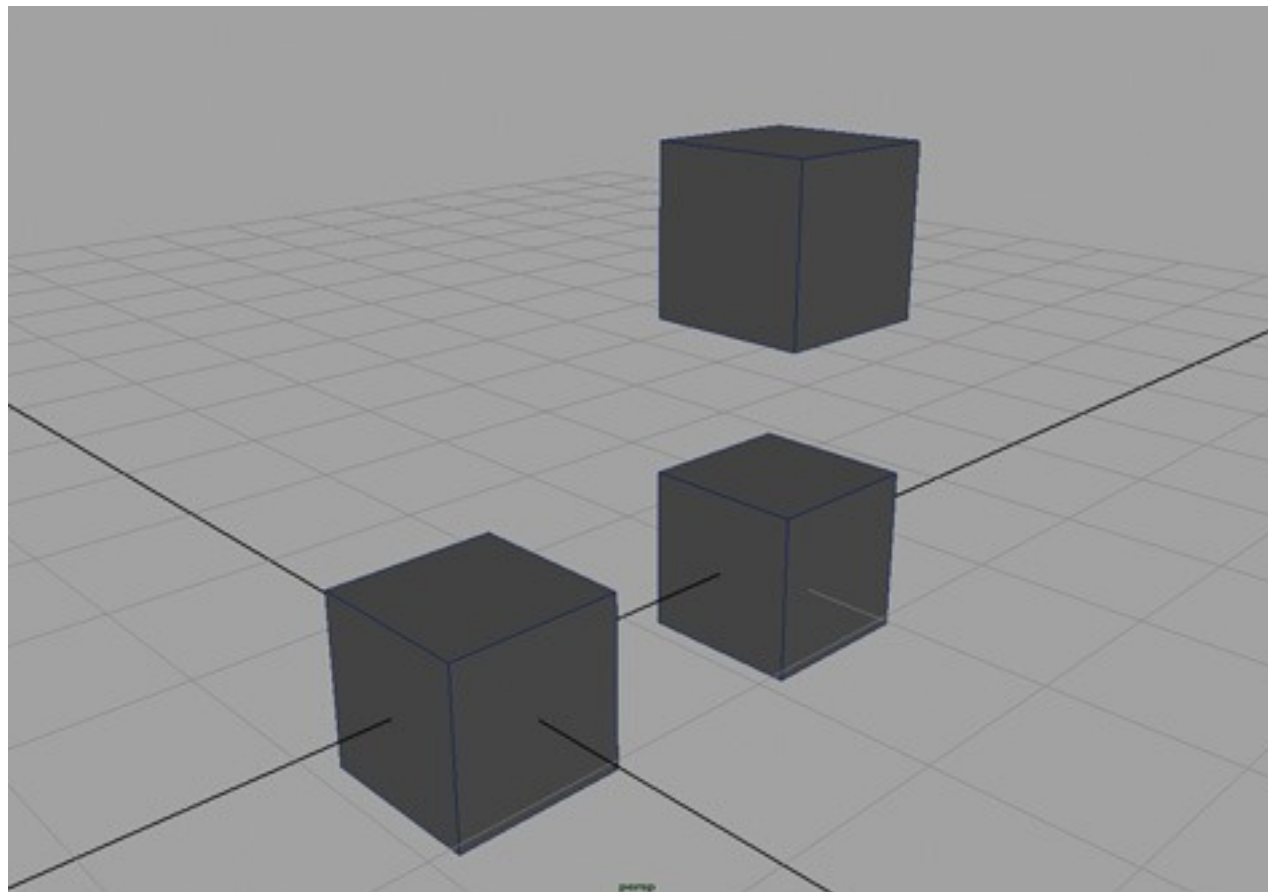
Translation

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



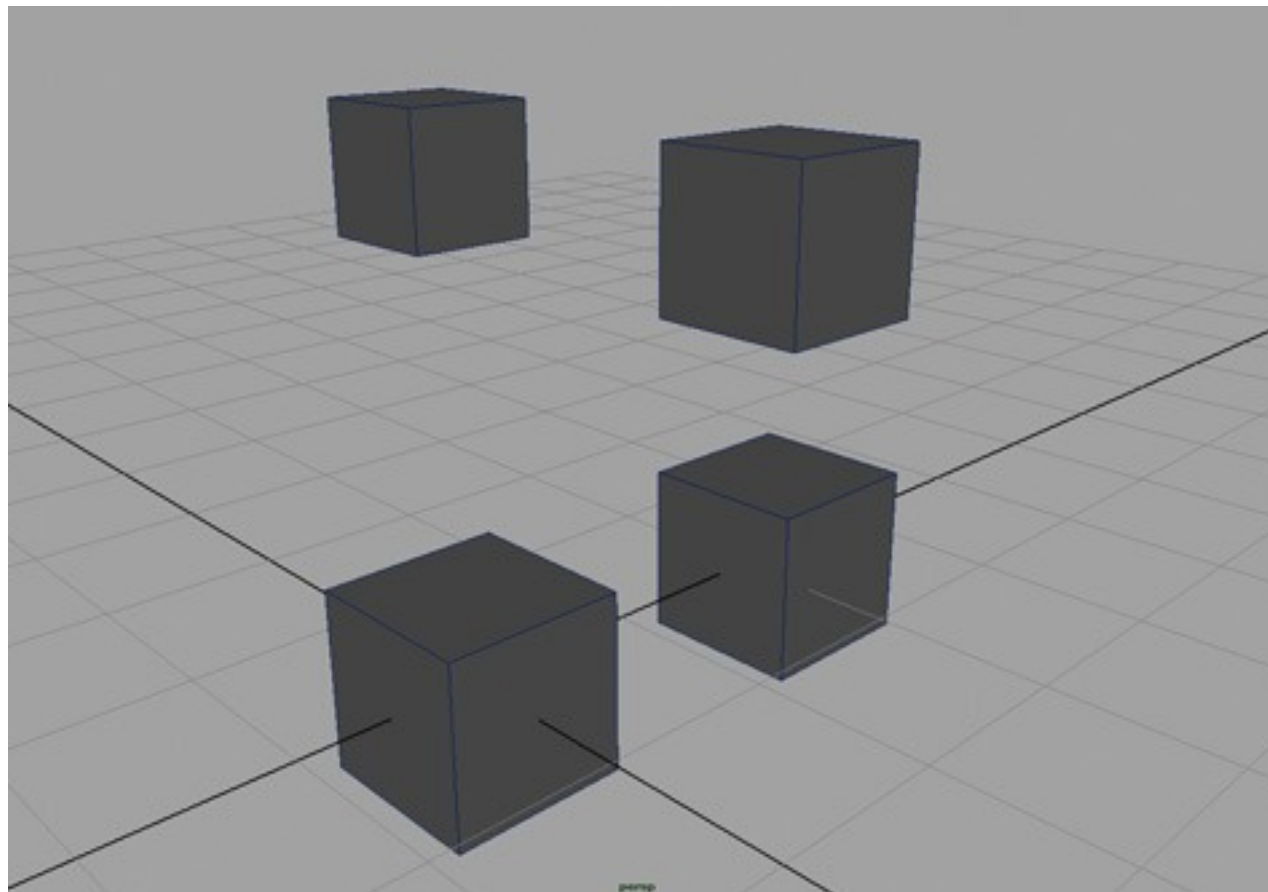
Translation

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



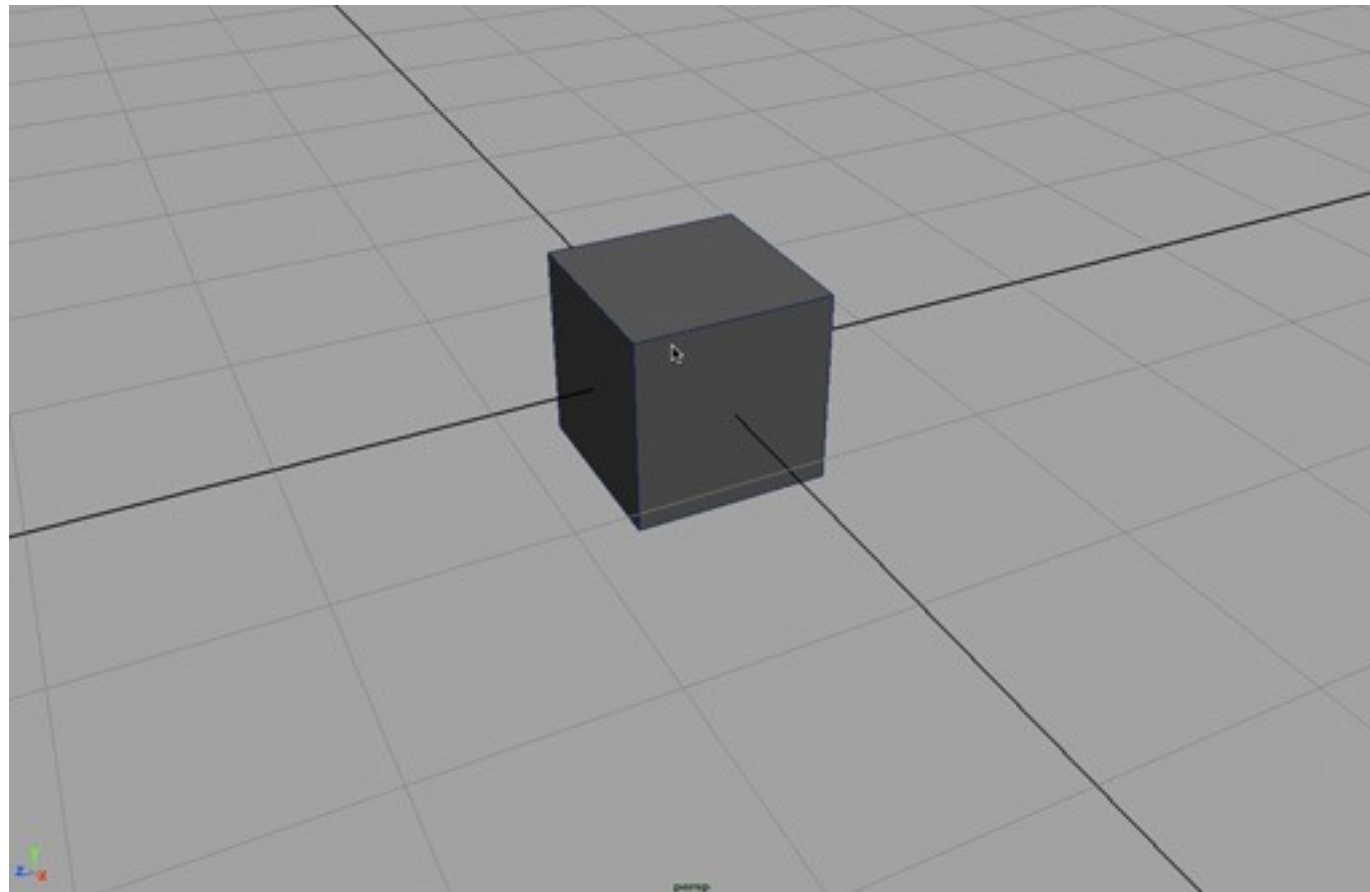
Translation

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



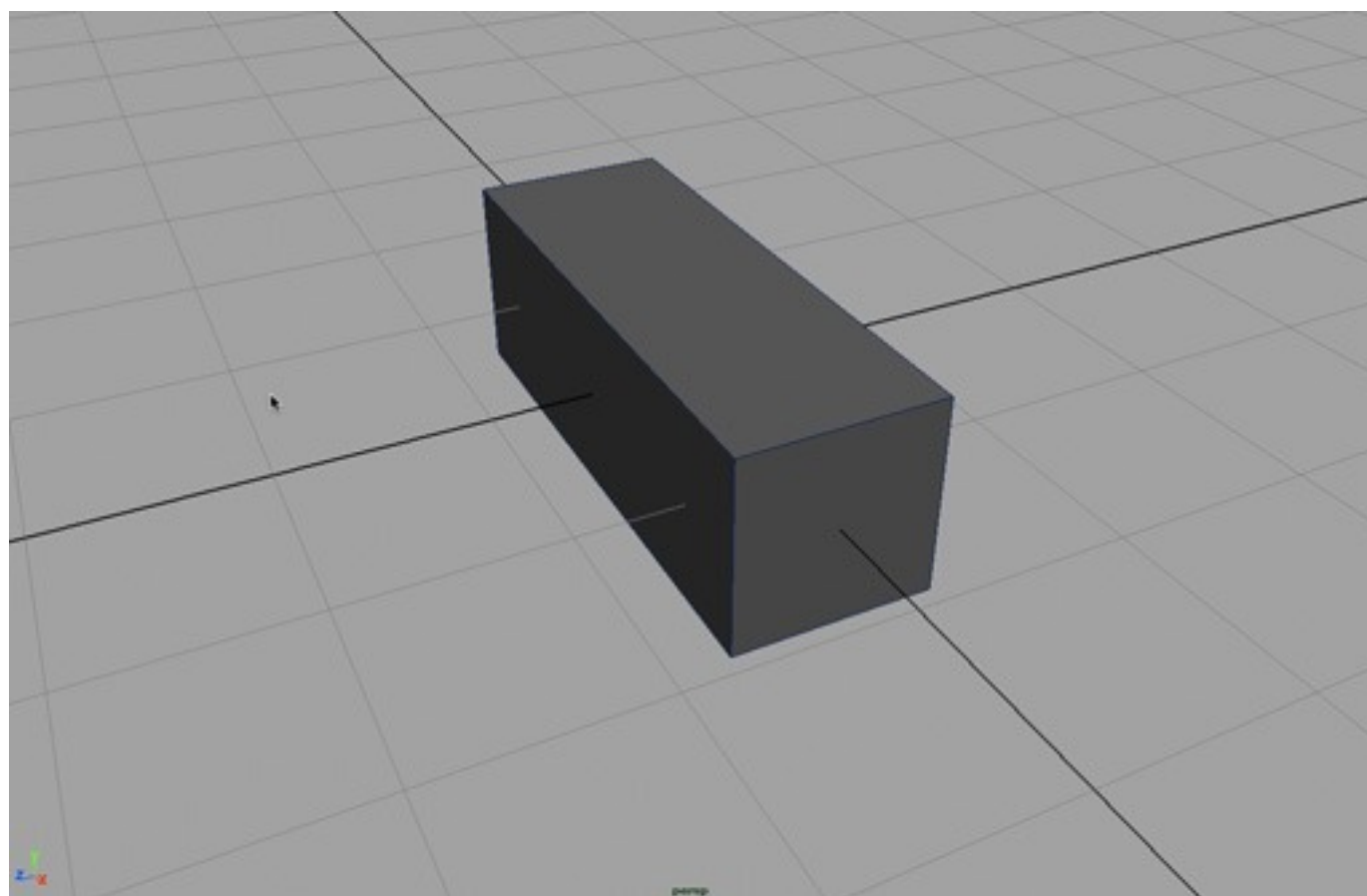
Scaling

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



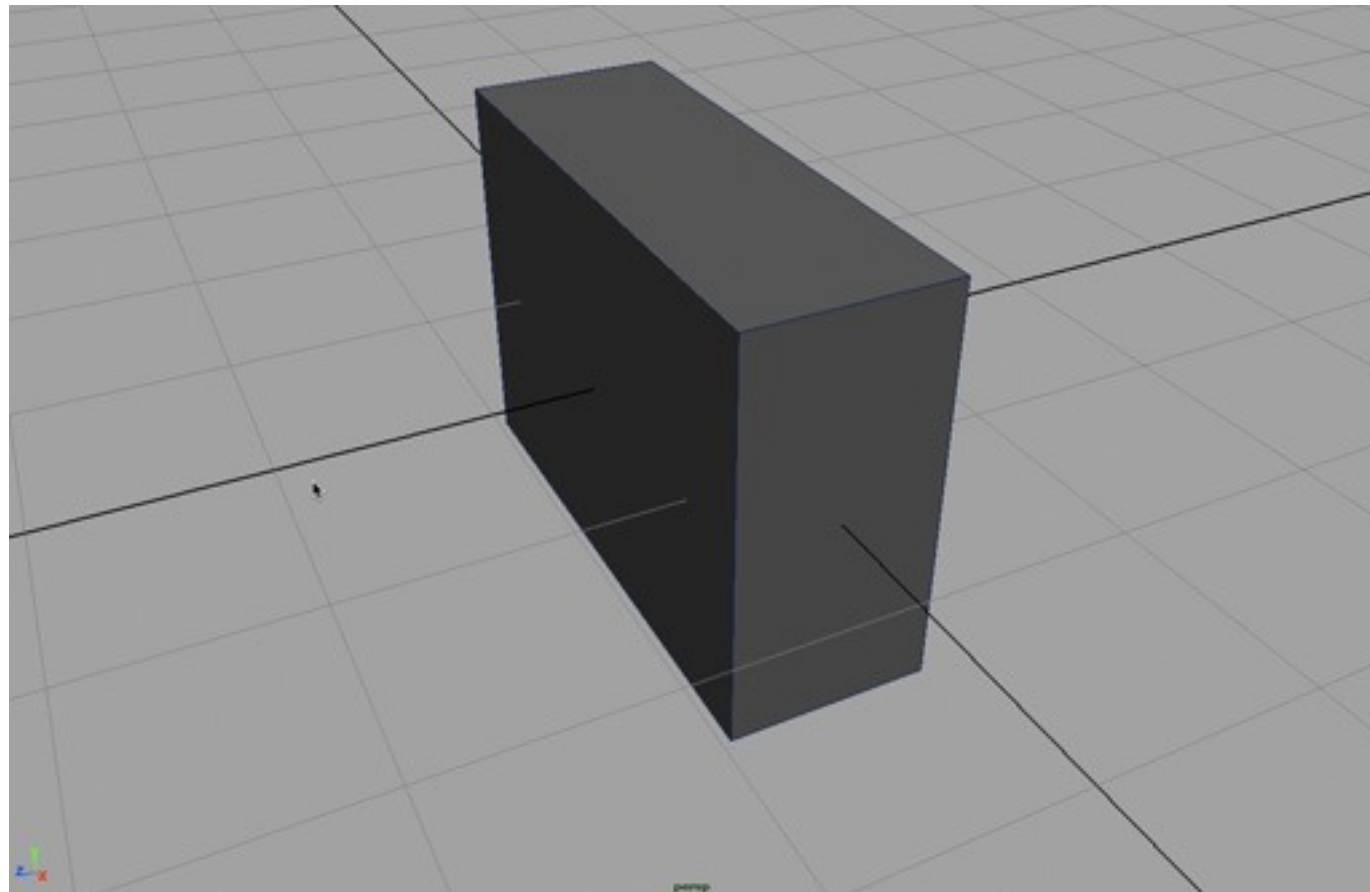
Scaling

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



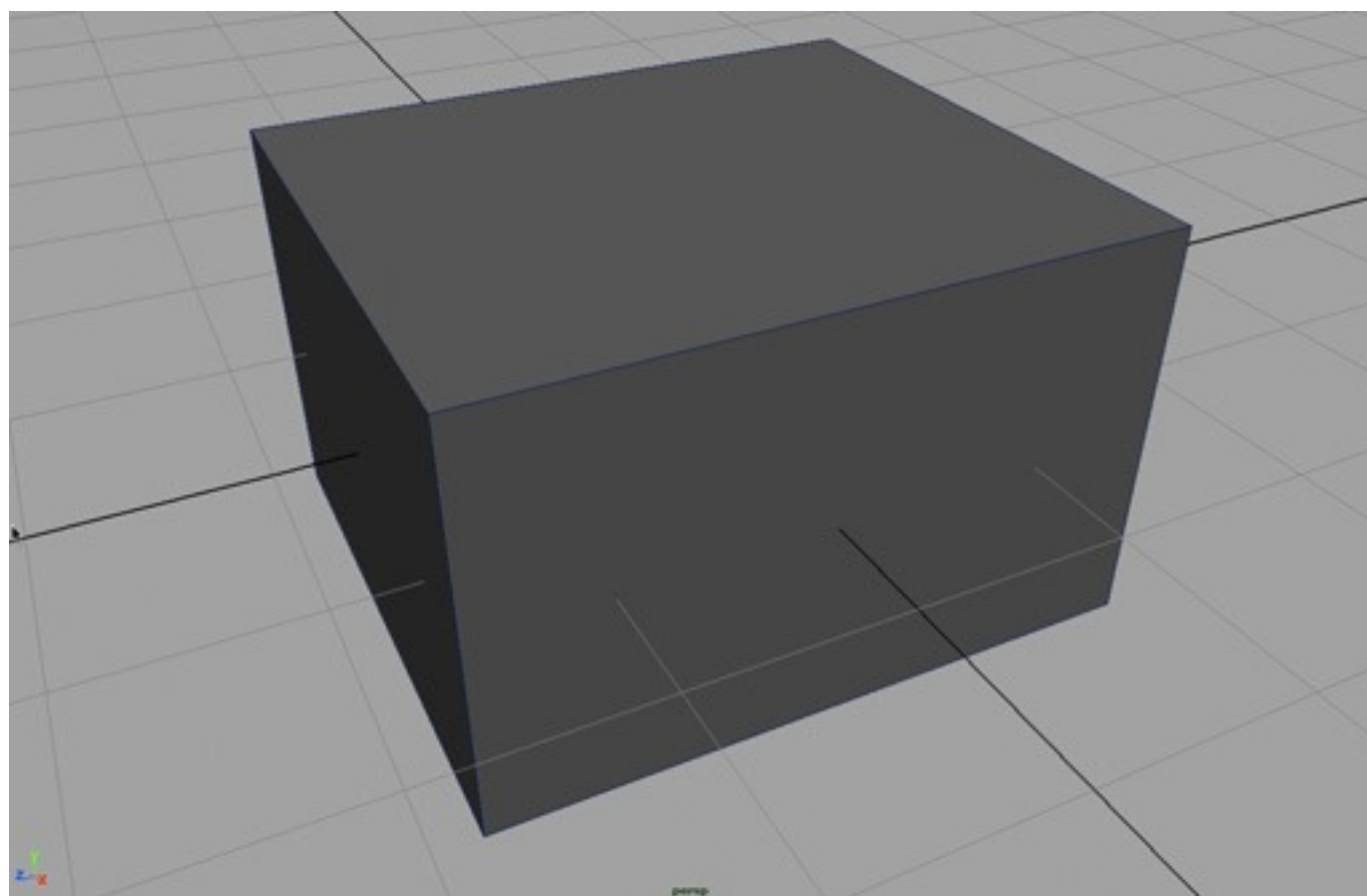
Scaling

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



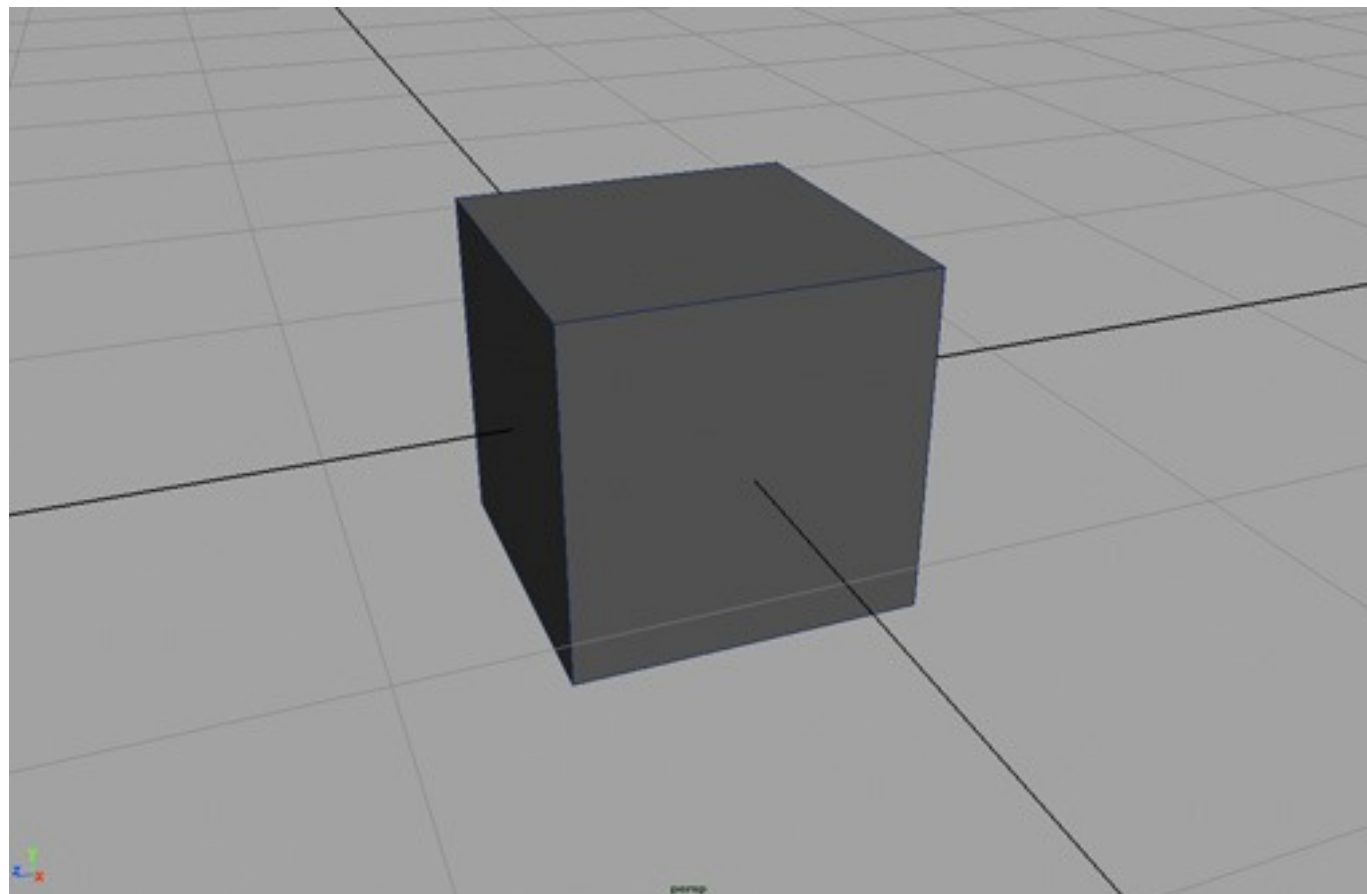
Scaling

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



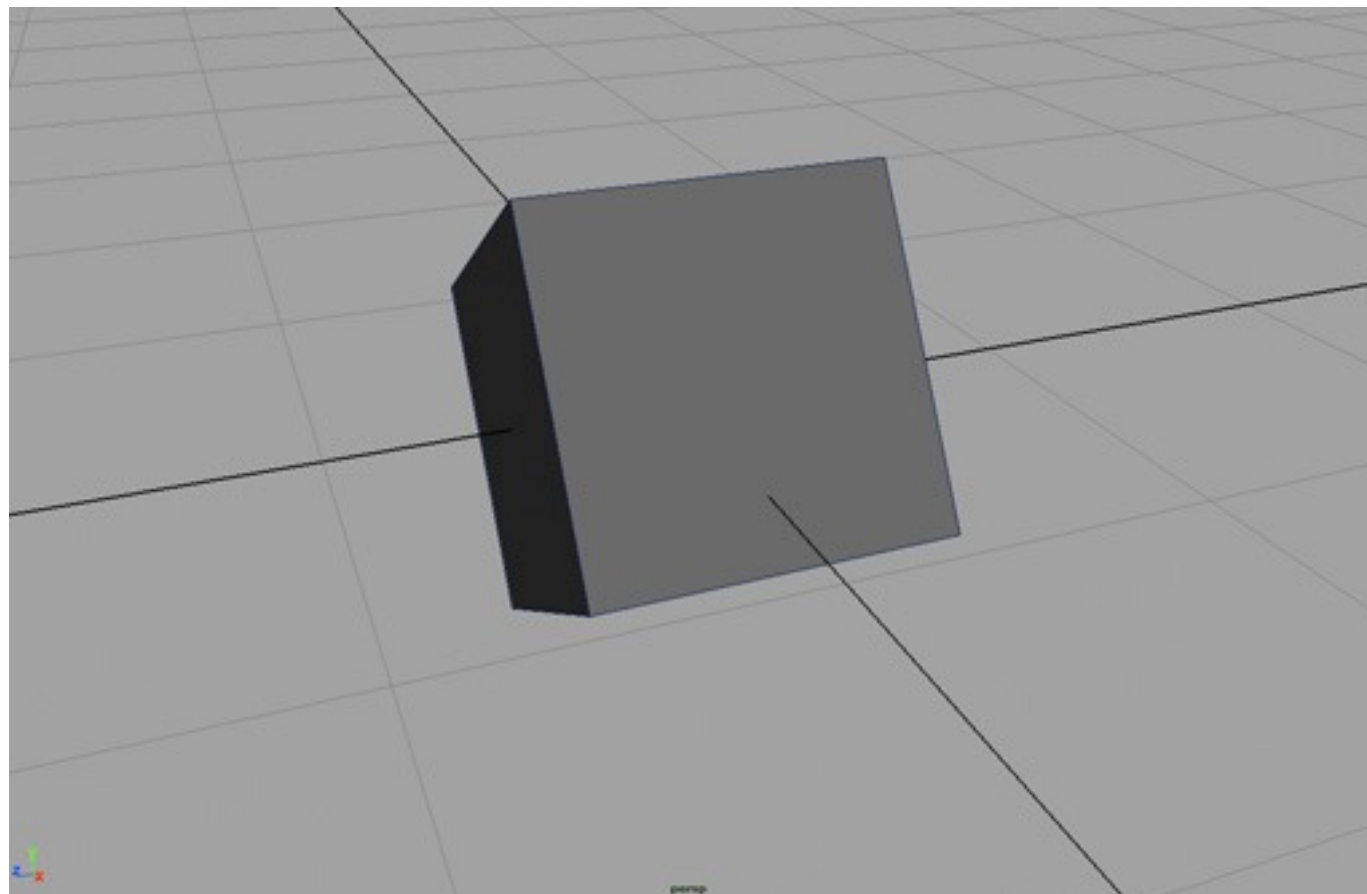
Rotation about z axis

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



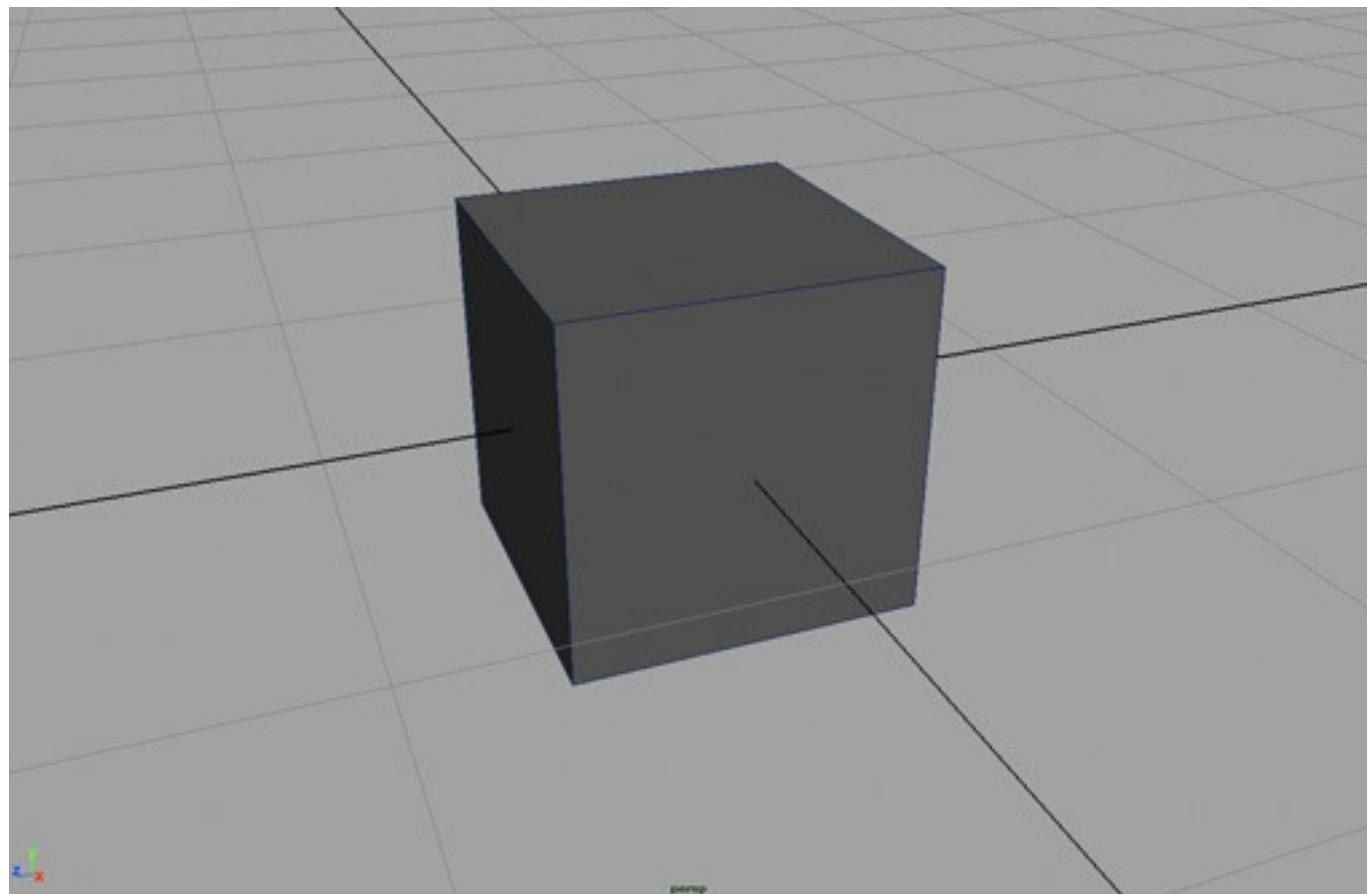
Rotation about z axis

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



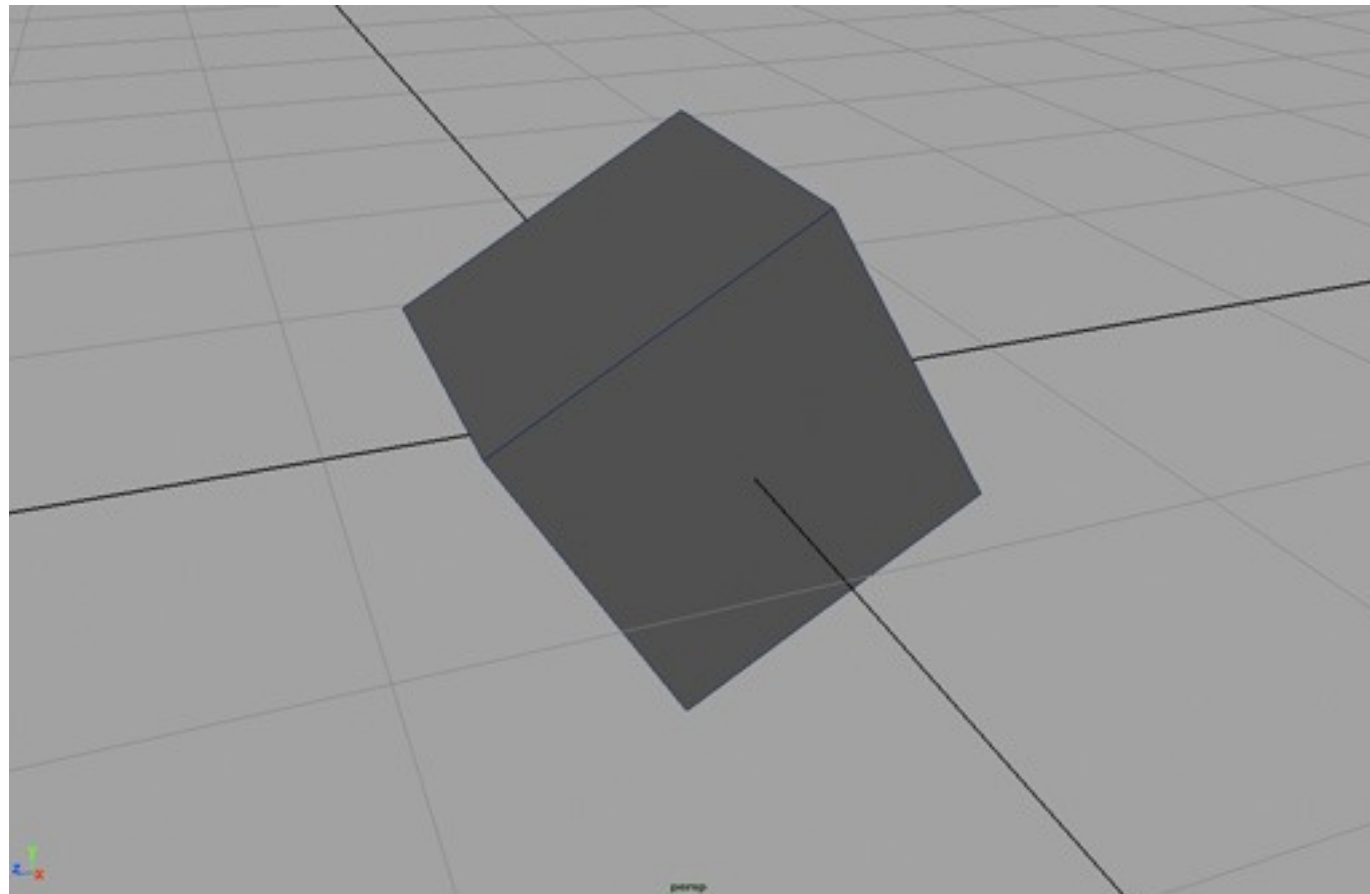
Rotation about x axis

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



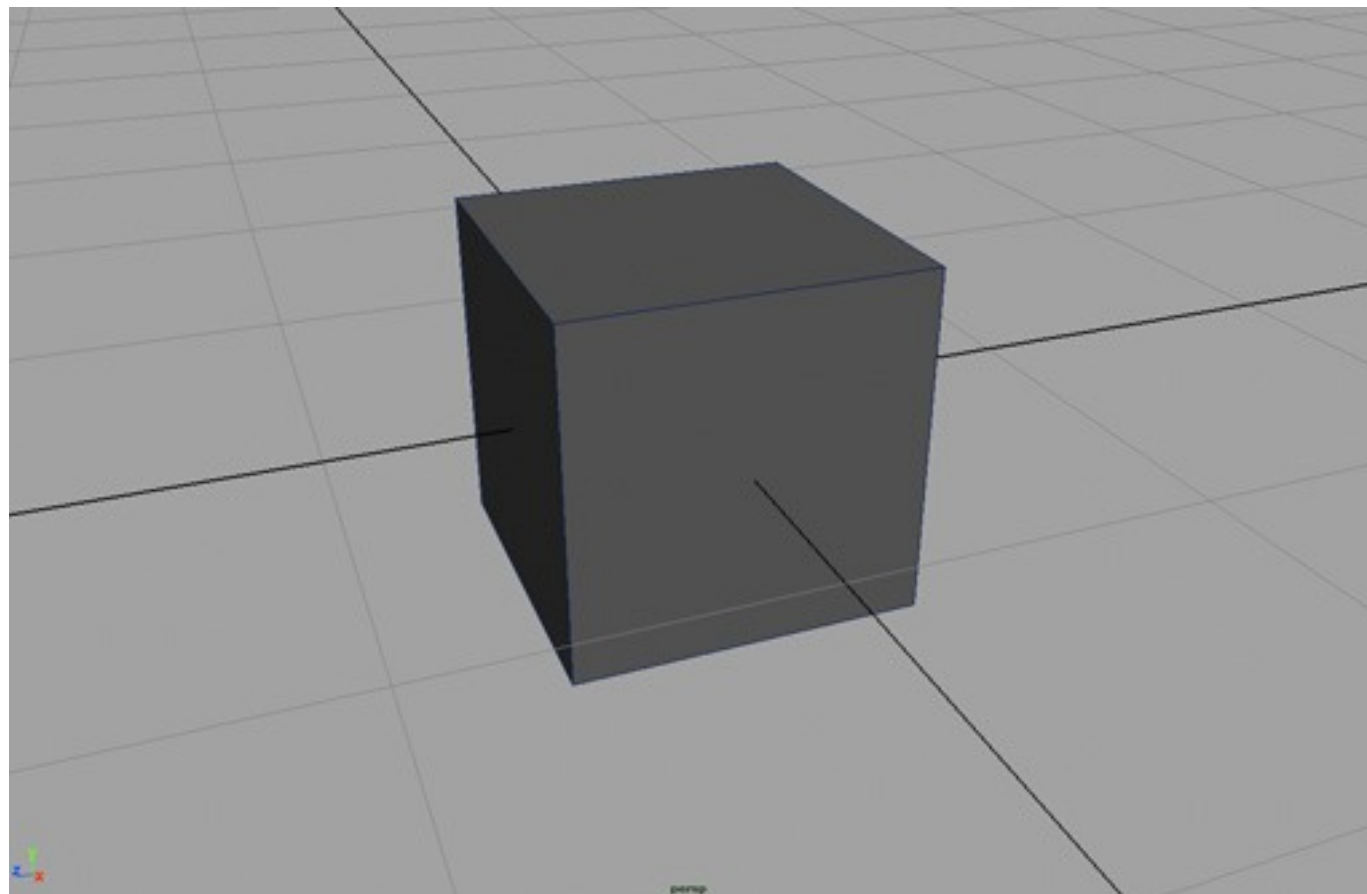
Rotation about x axis

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



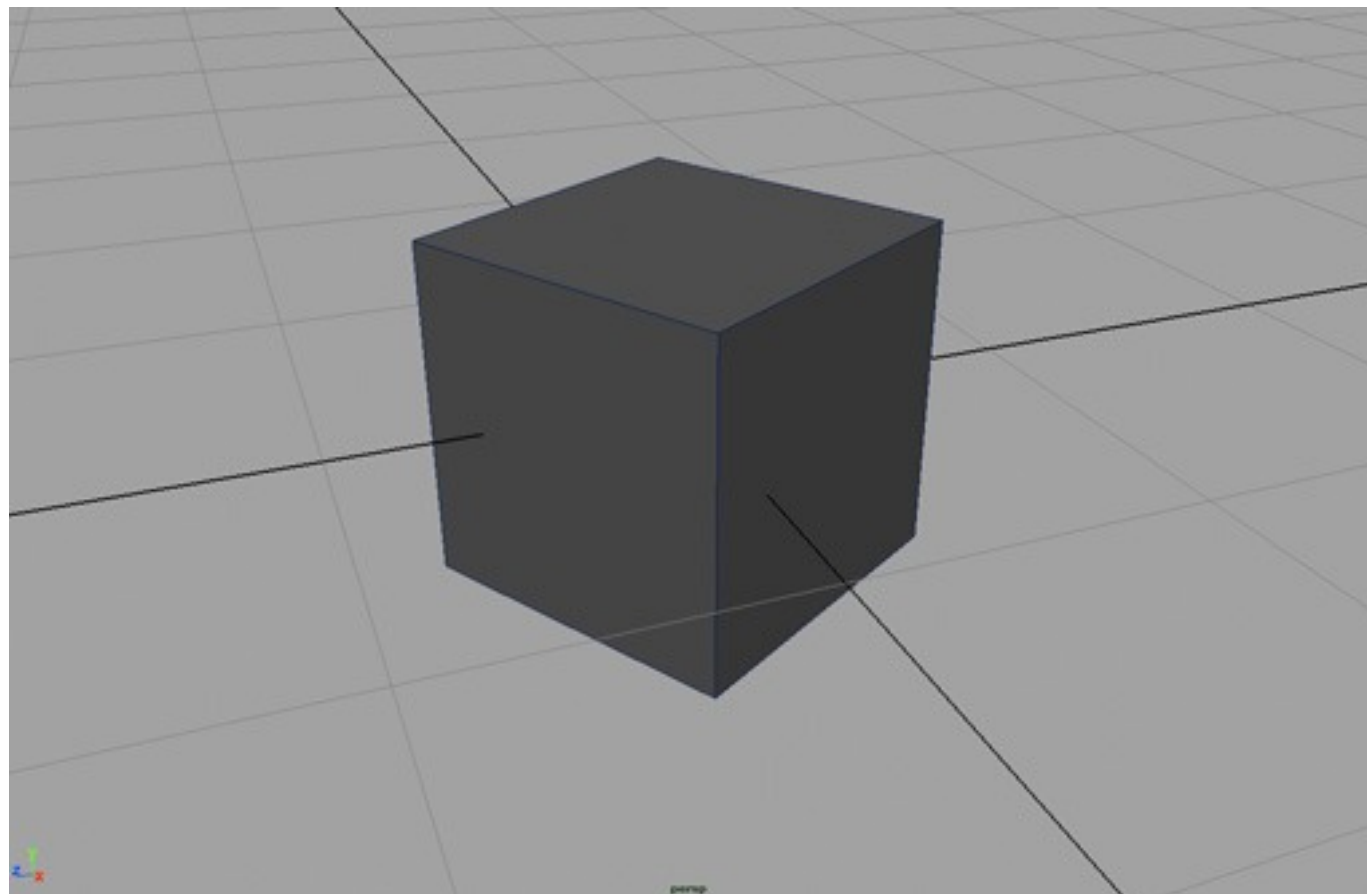
Rotation about y axis

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



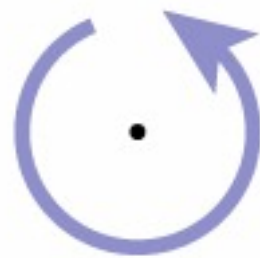
Rotation about y axis

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

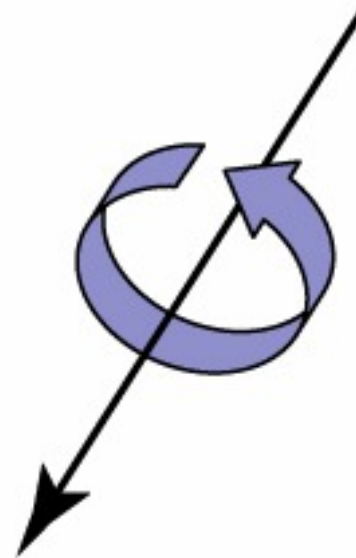


General Rotation Matrices

- A rotation in 2D is around a point
- A rotation in 3D is around an axis
 - so 3D rotation is w.r.t a line, not just a point
 - there are many more 3D rotations than 2D
 - a 3D space around a given point, not just 1D



2D



3D

Properties of Rotation Matrices

- Columns of R are mutually orthonormal: $RR^T = R^T R = I$
- Right-handed coordinate systems: $\det(R) = 1$

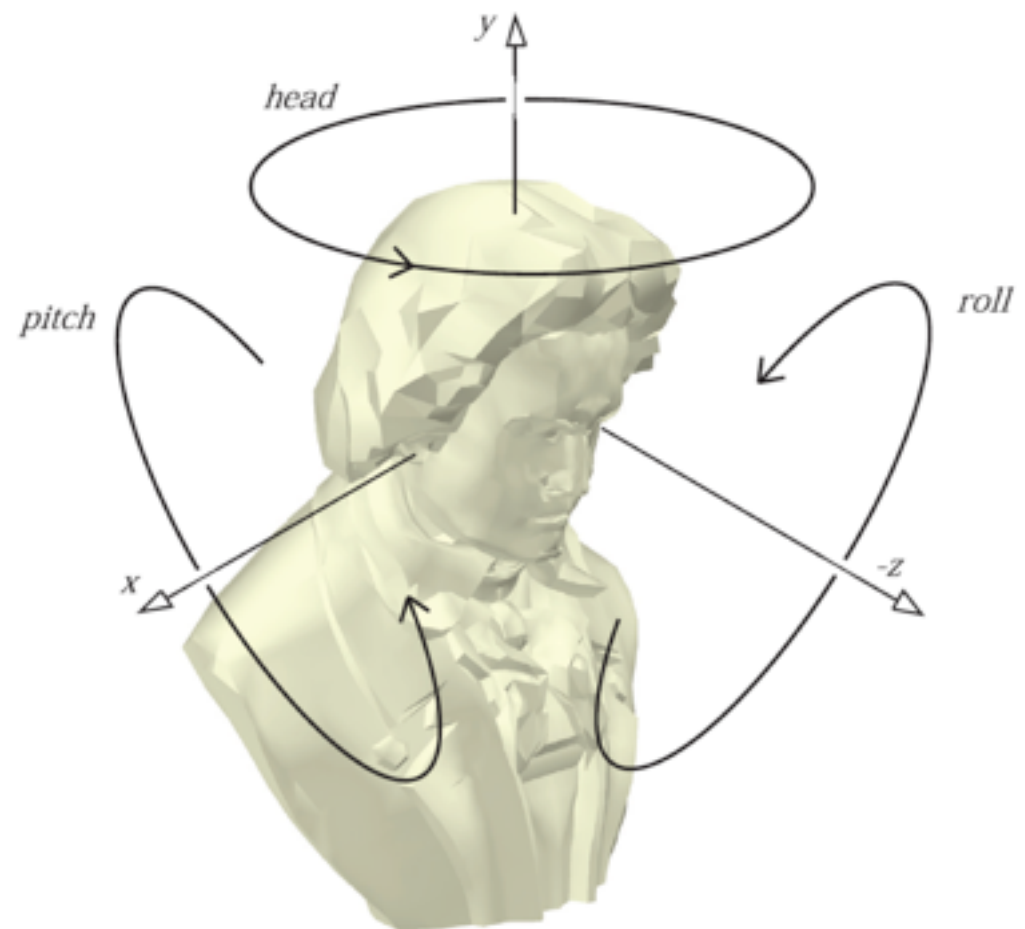
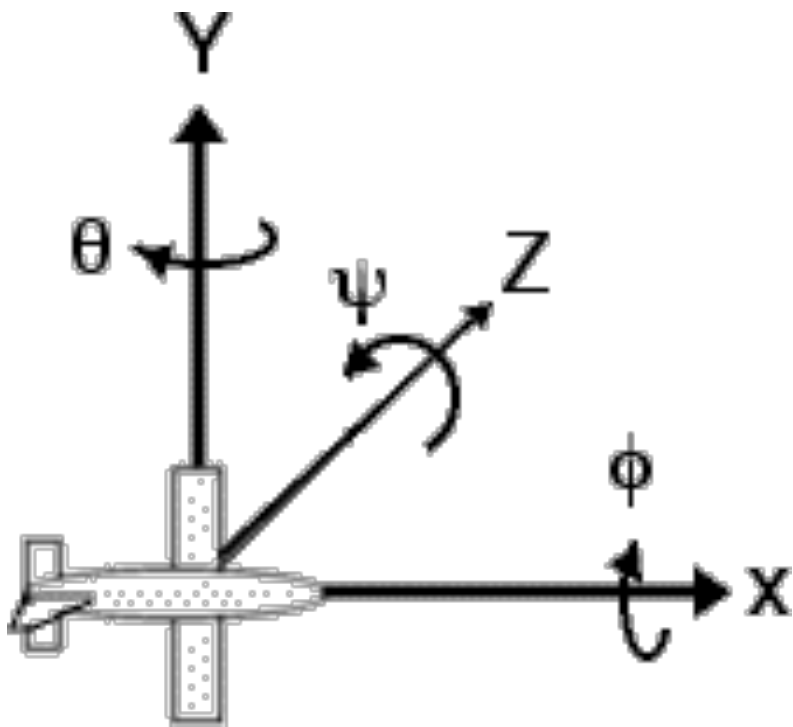
$$\begin{vmatrix} a & b & c \\ d & e & f \\ g & h & k \end{vmatrix} = a \begin{vmatrix} e & f \\ h & k \end{vmatrix} - b \begin{vmatrix} d & f \\ g & k \end{vmatrix} + c \begin{vmatrix} d & e \\ g & h \end{vmatrix}$$

Specifying rotations

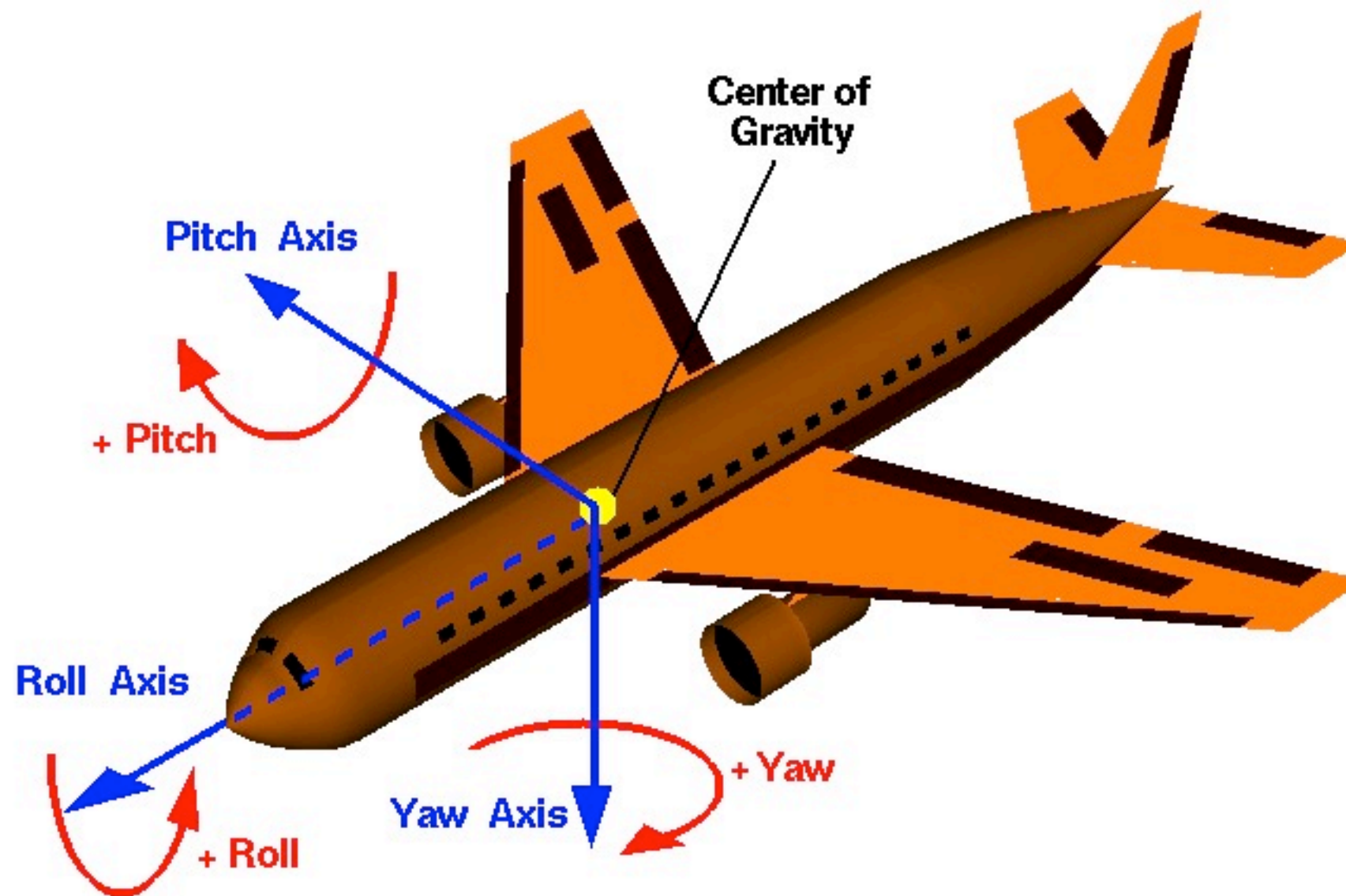
- In 2D, a rotation just has an angle
- In 3D, specifying a rotation is more complex
 - basic rotation about origin: unit vector (axis) and angle
 - convention: positive rotation is CCW when vector is pointing at you
- Many ways to specify rotation
 - Indirectly through frame transformations
 - Directly through
 - Euler angles: 3 angles about 3 axes
 - (Axis, angle) rotation
 - Quaternions

Euler angles

- An object can be oriented arbitrarily
- Euler angles: stack up three coord axis rotations
 - ZYX case: $R_z(\text{thetaz}) * R_y(\text{thetay}) * R_x(\text{thetax})$
 - heading, attitude, bank (NASA standard airplane coordinates)
 - pitch, yaw, roll

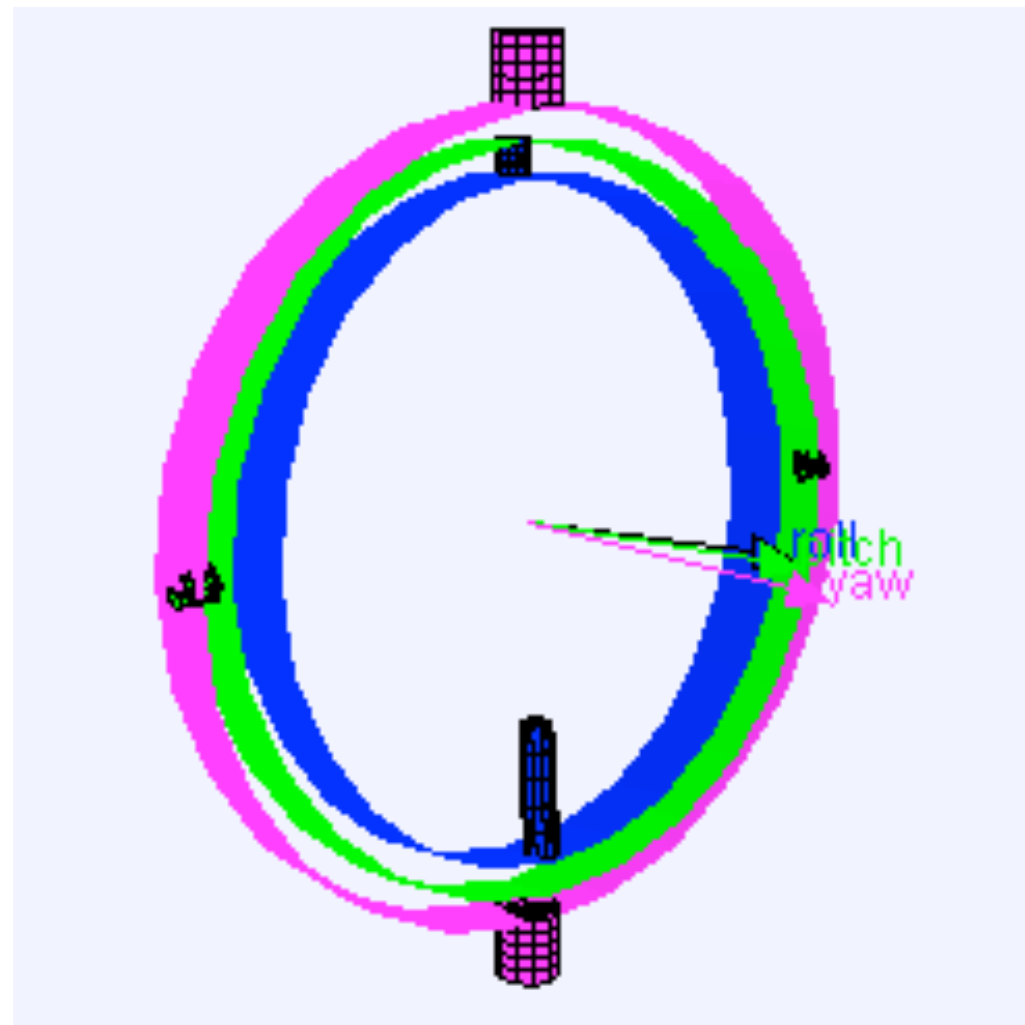
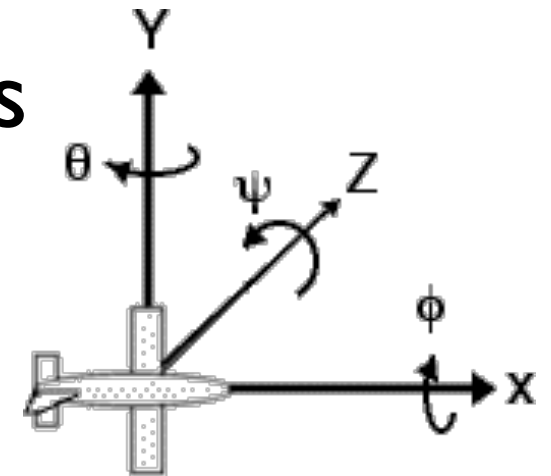


Roll, yaw, Pitch



3D rotations

- NASA standard
- Euler angles: stack up three coord axis rotations
 - ZYX case: $R_z(\text{thetaz}) * R_y(\text{thetay}) * R_x(\text{thetax})$



Specifying rotations: Euler rotations

- Euler angles

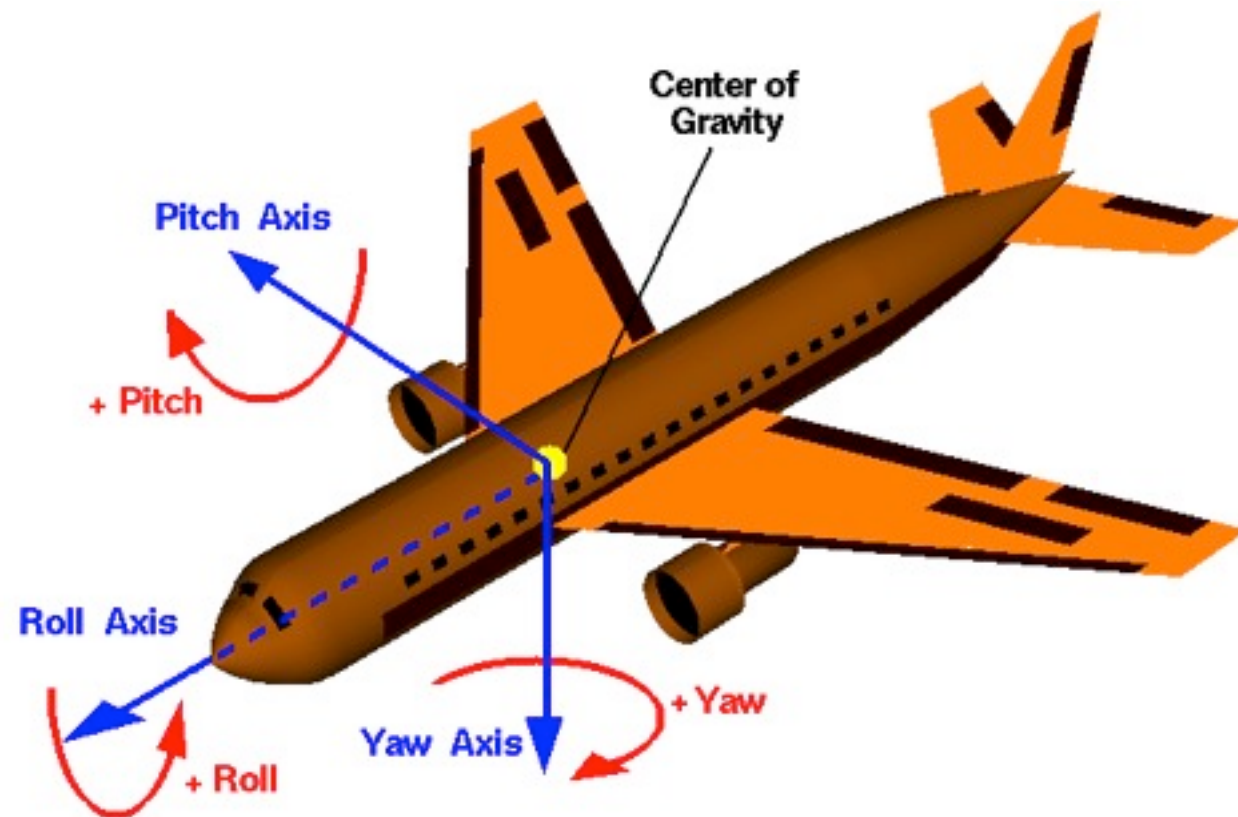
$$R(\theta_x, \theta_y, \theta_z) = R_z(\theta_z)R_y(\theta_y)R_x(\theta_x)$$

$$R(\theta_x, \theta_y, \theta_z) = \begin{bmatrix} c_y c_z & s_x s_y c_z - c_x s_z & c_x s_y s_z - s_x c_z & 0 \\ c_y s_z & s_x s_y s_z + c_x c_z & c_x s_y c_z - s_x s_z & 0 \\ -s_y & s_x c_y & c_x c_y & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$c_i = \cos(\theta_i)$$

$$s_i = \sin(\theta_i)$$

Gimbal Lock



Euler angles

- Gimbal lock removes one degree of freedom

$$R(\theta_x, \theta_y, \theta_z) = \begin{bmatrix} 0 & \sin(\theta_x - \theta_z) & \cos(\theta_x - \theta_z) & 0 \\ 0 & \cos(\theta_x - \theta_z) & \sin(\theta_x - \theta_z) & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

worth a look:

<http://www.youtube.com/watch?v=zc8b2Jo7mno>

(also <http://www.youtube.com/watch?v=rrUCBOIjdt4>)

Matrices for axis-angle rotations

- Showed matrices for coordinate axis rotations
 - but what if we want rotation about some random axis?
- Compute by composing elementary transforms
 - transform rotation axis to align with x axis
 - apply rotation
 - inverse transform back into position
- Just as in 2D this can be interpreted as a similarity transform

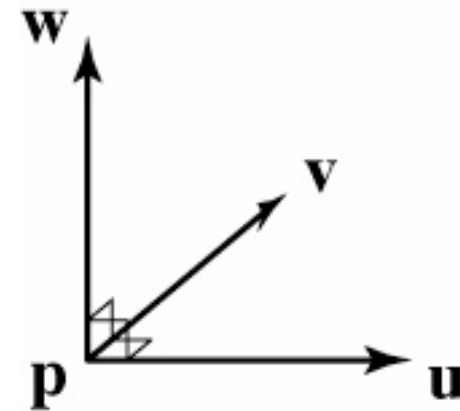
Building general rotations

- Using elementary transforms you need three
 - translate axis to pass through origin
 - rotate about y to get into x - y plane
 - rotate about z to align with x axis
- Alternative: construct frame and change coordinates
 - choose p, u, v, w to be orthonormal frame with p and u matching the rotation axis
 - apply similarity transform $T = F R_x(\theta) F^{-1}$

Orthonormal frames in 3D

- Useful tools for constructing transformations
- Recall rigid motions
 - affine transforms with pure rotation
 - columns (and rows) form right handed ONB
 - that is, an **orthonormal basis**

$$F = \begin{bmatrix} \mathbf{u} & \mathbf{v} & \mathbf{w} & \mathbf{p} \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



Building 3D frames

- Given a vector **a** and a secondary vector **b**
 - The **u** axis should be parallel to **a**; the **u–v** plane should contain **b**
 - $\mathbf{u} = \mathbf{a} / \|\mathbf{a}\|$
 - $\mathbf{w} = \mathbf{u} \times \mathbf{b}$; $\mathbf{w} = \mathbf{w} / \|\mathbf{w}\|$
 - $\mathbf{v} = \mathbf{w} \times \mathbf{u}$
- Given just a vector **a**
 - The **u** axis should be parallel to **a**; don't care about orientation about that axis
 - Same process but choose arbitrary **b** first
 - Good choice is not near **a**: e.g. set smallest entry to 1

Building general rotations

- Alternative: construct frame and change coordinates
 - choose p, u, v, w to be orthonormal frame with p and u matching the rotation axis
 - apply similarity transform $T = F R_x(\theta) F^{-1}$
 - interpretation: move to x axis, rotate, move back
 - interpretation: rewrite u -axis rotation in new coordinates
 - (each is equally valid)

$$\begin{bmatrix} u_x & v_x & w_x \\ u_y & v_y & w_y \\ u_z & v_z & w_z \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos(\theta) & -\sin(\theta) \\ 0 & \sin(\theta) & \cos(\theta) \end{bmatrix} \begin{bmatrix} u_x & u_y & u_z \\ v_x & v_y & v_z \\ w_x & w_y & w_z \end{bmatrix}$$

- (note above is linear transform; add affine coordinate)

Building general rotations

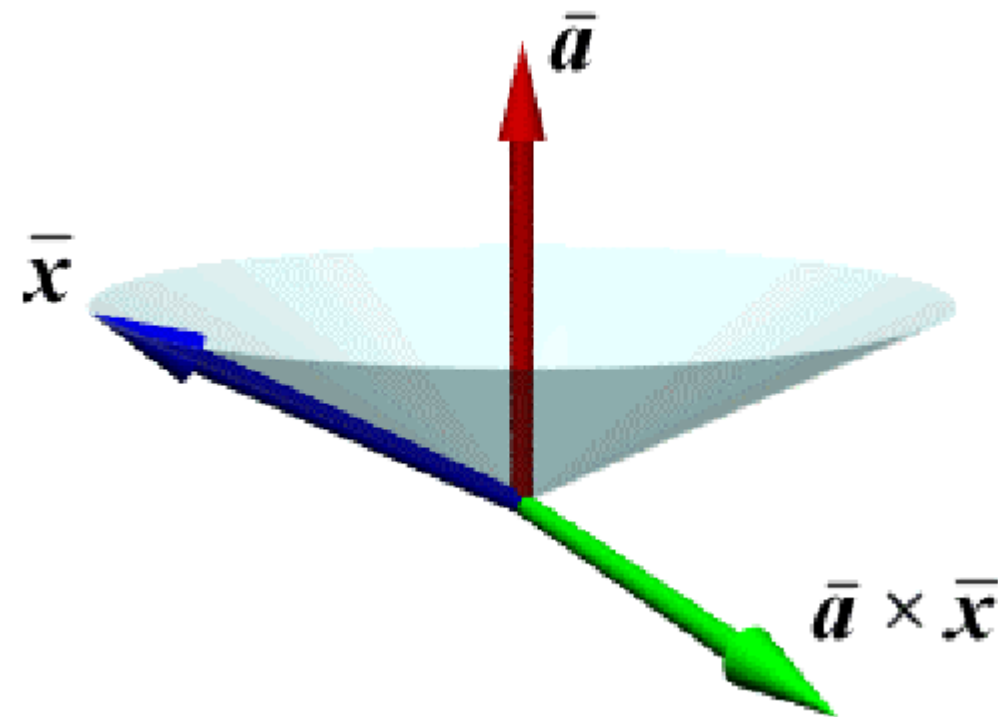
- Alternative: construct frame and change coordinates
 - choose p, u, v, w to be orthonormal frame with p and u matching the rotation axis
 - apply similarity transform $T = F R_x(\theta) F^{-1}$
 - interpretation: move to x axis, rotate, move back
 - interpretation: rewrite u -axis rotation in new coordinates
 - (each is equally valid)
- Sleeker alternative: Rodrigues' formula

Specifying Rotations

- Many ways to specify rotation
 - Indirectly through frame transformations
 - Directly through
 - Euler angles: 3 angles about 3 axes
 - (Axis, angle) rotation: based on Euler's theorem
 - Quaternions

Derivation of General Rotation Matrix

- Axis angle rotation



Axis-angle ONB

$$\vec{x}_{\parallel} = (\vec{a} \cdot \vec{x}) \vec{a}$$

$$\vec{x}_{\perp} = (\vec{x} - \vec{x}_{\parallel}) = (\vec{x} - (\vec{a} \cdot \vec{x}) \vec{a})$$

$$\vec{a} \times \vec{x}_{\perp} = \vec{a} \times (\vec{x} - \vec{x}_{\parallel}) = \vec{a} \times (\vec{x} - (\vec{a} \cdot \vec{x}) \vec{a}) = \vec{a} \times \vec{x}$$

Axis-angle rotation

$$\vec{x}_{rotated} = \vec{x}_{\parallel} + \vec{v}$$

$$\vec{x}_{rotated} = \alpha \vec{a} + \beta \vec{x}_{\perp} + \gamma \vec{a} \times \vec{x}$$

$$\vec{v} = \cos \theta \vec{x}_{\perp} + \sin \theta \vec{a} \times \vec{x}$$

$$\vec{x}_{rotated} = \vec{x}_{\parallel} + \cos \theta \vec{x}_{\perp} + \sin \theta \vec{a} \times \vec{x}$$

$$\vec{x}_{rotated} = (\vec{a} \cdot \vec{x}) \vec{a} + \cos \theta (\vec{x} - (\vec{a} \cdot \vec{x}) \vec{a}) + \sin \theta \vec{a} \times \vec{x}$$

$$\vec{x}_{rotated} = (\vec{a} \cdot \vec{x})(1 - \cos \theta) \vec{a} + \cos \theta \vec{x} + \sin \theta \vec{a} \times \vec{x}$$

$$\mathbf{x}_{rotated} = (\vec{a} \cdot \vec{x})(1 - \cos \theta) \vec{a} + \cos \theta \vec{x} + \sin \theta \vec{a} \times \vec{x}$$

$$\mathbf{x}_{rotated} = (\text{Sym}(\vec{a})(1 - \cos \theta) + I \cos \theta + \text{Skew}(\vec{a}) \sin \theta) \vec{x}$$

Rotation Matrix for Axis-Angle

$$\vec{x}_{rotated} = (\vec{a} \cdot \vec{x})(1 - \cos \theta) \vec{a} + \cos \theta \vec{x} + \sin \theta \vec{a} \times \vec{x}$$

$$\vec{x}_{rotated} = (Sym(\vec{a})(1 - \cos \theta) + I \cos \theta + Skew(\vec{a}) \sin \theta) \vec{x}$$

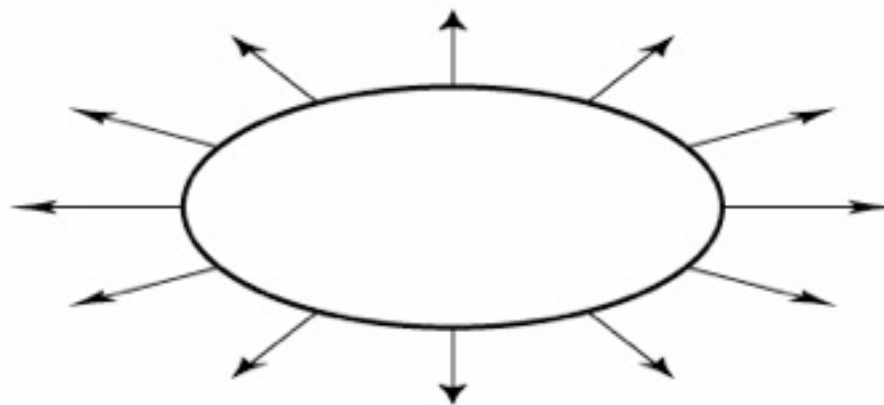
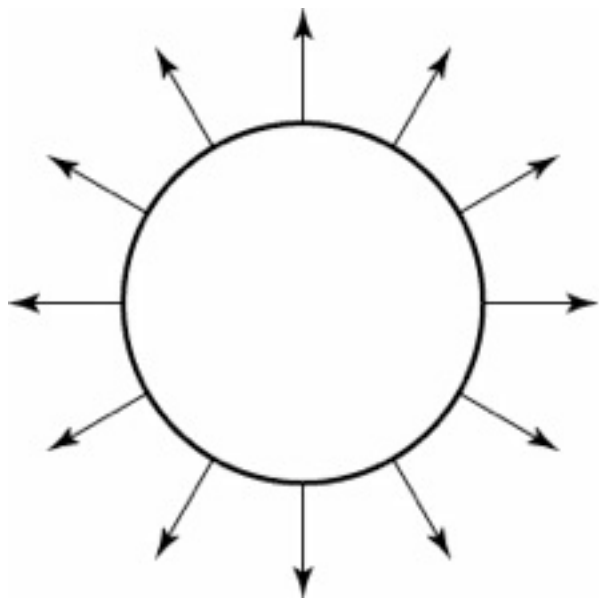
$$Sym(\vec{a}) = \begin{bmatrix} a_x \\ a_y \\ a_z \\ 0 \end{bmatrix} \begin{bmatrix} a_x & a_y & a_z & 0 \end{bmatrix} = \begin{bmatrix} a_x^2 & a_x a_y & a_x a_z & 0 \\ a_x a_y & a_y^2 & a_y a_z & 0 \\ a_x a_z & a_y a_z & a_z^2 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$Skew(\vec{a}) = \begin{bmatrix} 0 & -a_z & a_y & 0 \\ a_z & 0 & -a_x & 0 \\ -a_y & a_x & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$Skew(\vec{a}) \vec{x} = \vec{a} \times \vec{x}$$

Transforming normal vectors

- Transforming surface normals
 - differences of points (and therefore tangents) transform OK
 - normals do not --> use inverse transpose matrix



have: $\mathbf{t} \cdot \mathbf{n} = \mathbf{t}^T \mathbf{n} = 0$

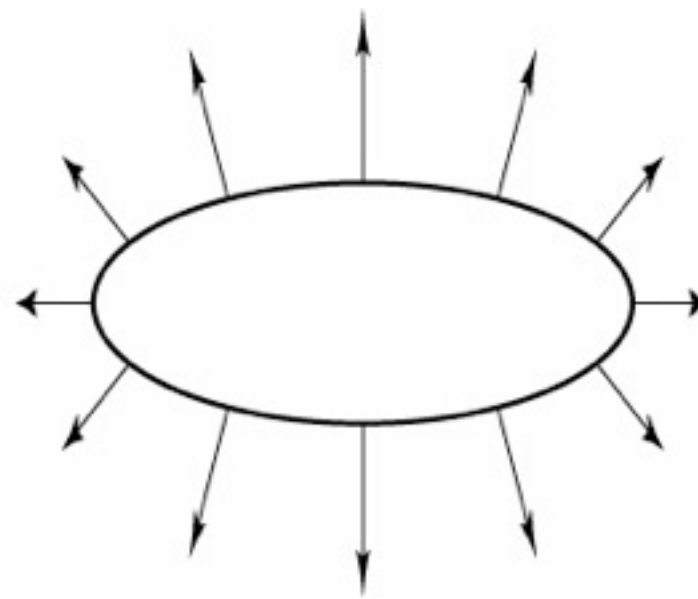
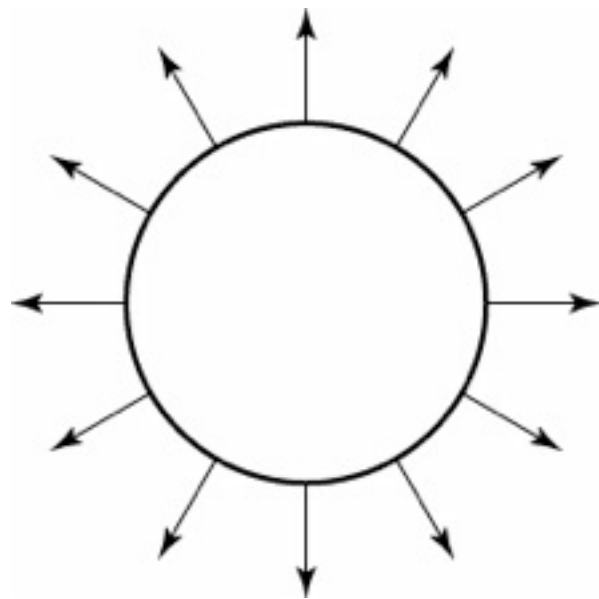
want: $M\mathbf{t} \cdot X\mathbf{n} = \mathbf{t}^T M^T X\mathbf{n} = 0$

so set $X = (M^T)^{-1}$

then: $M\mathbf{t} \cdot X\mathbf{n} = \mathbf{t}^T M^T (M^T)^{-1} \mathbf{n} = \mathbf{t}^T \mathbf{n} = 0$

Transforming normal vectors

- Transforming surface normals
 - differences of points (and therefore tangents) transform OK
 - normals do not --> use inverse transpose matrix



have: $\mathbf{t} \cdot \mathbf{n} = \mathbf{t}^T \mathbf{n} = 0$

want: $M\mathbf{t} \cdot X\mathbf{n} = \mathbf{t}^T M^T X\mathbf{n} = 0$

so set $X = (M^T)^{-1}$

then: $M\mathbf{t} \cdot X\mathbf{n} = \mathbf{t}^T M^T (M^T)^{-1} \mathbf{n} = \mathbf{t}^T \mathbf{n} = 0$

Building transforms from points

- 2D affine transformation has 6 degrees of freedom (DOFs)
 - this is the number of “knobs” we have to set to define one
- So, 6 constraints suffice to define the transformation
 - handy kind of constraint: point **p** maps to point **q** (2 constraints at once)
 - three point constraints add up to constrain all 6 DOFs (i.e. can map any triangle to any other triangle)
- 3D affine transformation has 12 degrees of freedom
 - count them from the matrix entries we’re allowed to change
- So, 12 constraints suffice to define the transformation
 - in 3D, this is 4 point constraints (i.e. can map any tetrahedron to any other tetrahedron)