

Spline Curves

CS 4620
Chapter 15

Motivation: smoothness

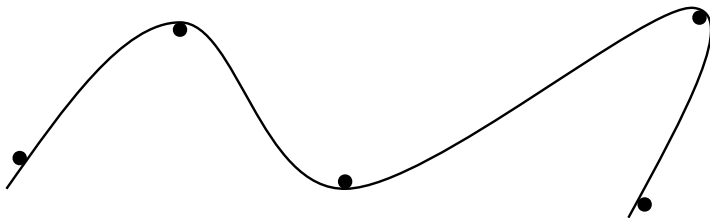
- In many applications we need smooth shapes
 - that is, without discontinuities



- So far we can make
 - things with corners (lines, squares, triangles, ...)
 - circles and ellipses (only get you so far!)

Classical approach

- Pencil-and-paper draftsmen also needed smooth curves
- Origin of “spline:” strip of flexible metal
 - held in place by pegs or weights to constrain shape
 - traced to produce smooth contour



Translating into usable math

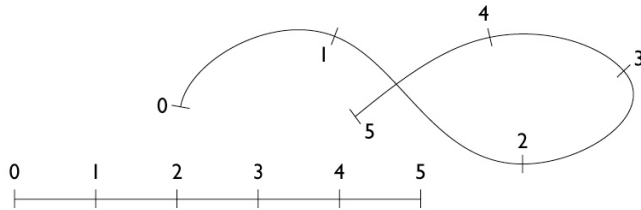
- Smoothness
 - in drafting spline, comes from physical curvature minimization
 - in CG spline, comes from choosing smooth functions
 - usually low-order polynomials
- Control
 - in drafting spline, comes from fixed pegs
 - in CG spline, comes from user-specified *control points*

Defining spline curves

- At the most general they are parametric curves

$$S = \{\mathbf{p}(t) \mid t \in [0, N]\}$$

- Generally $f(t)$ is a piecewise polynomial
 - for this lecture, the discontinuities are at the integers

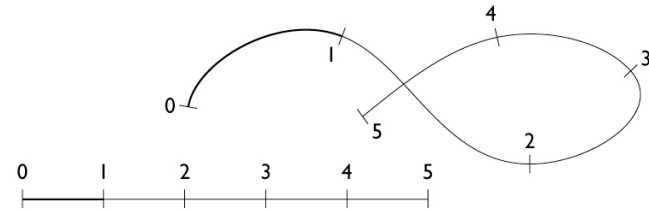


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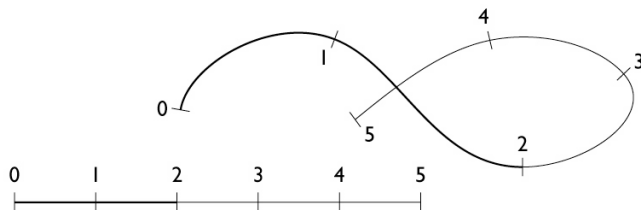


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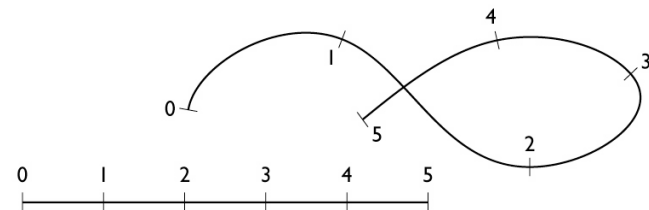


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Defining spline curves

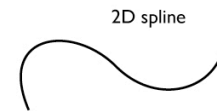
- Generally $f(t)$ is a piecewise polynomial
 - for this lecture, the discontinuities are at the integers
 - e.g., a cubic spline has the following form over $[k, k + 1]$:

$$x(t) = a_x t^3 + b_x t^2 + c_x t + d_x$$

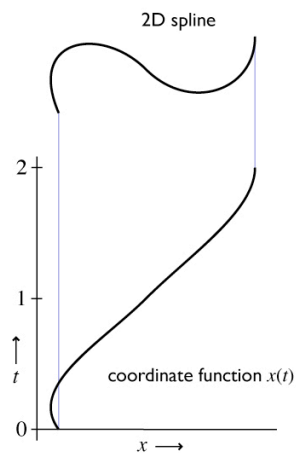
$$y(t) = a_y t^3 + b_y t^2 + c_y t + d_y$$

- Coefficients are different for every interval

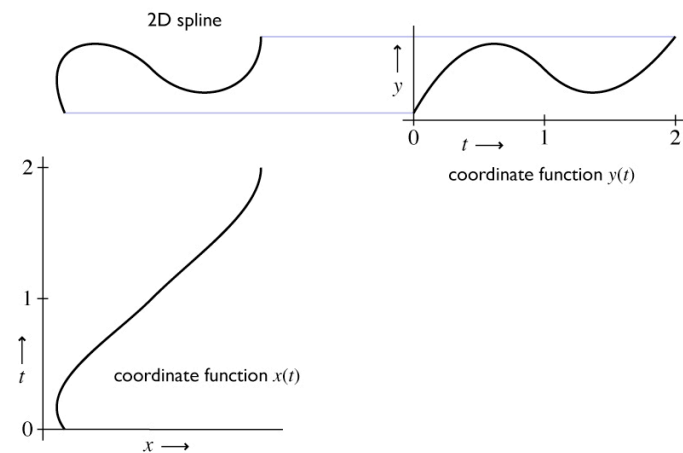
Coordinate functions



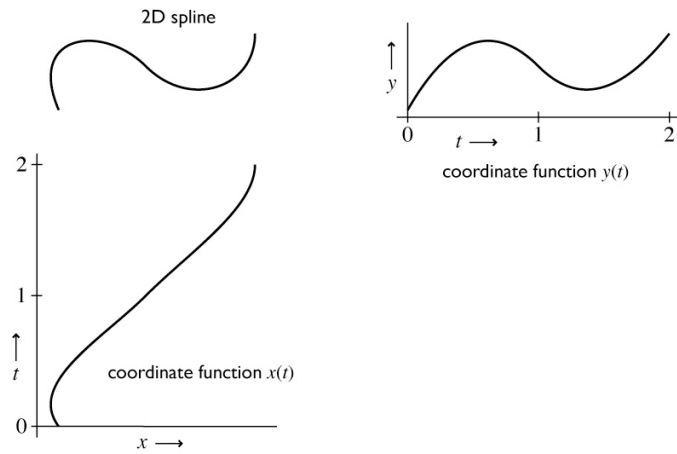
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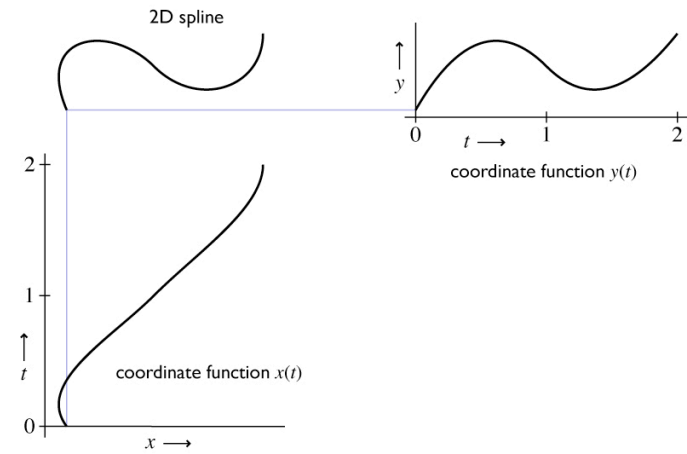
Coordinate functions



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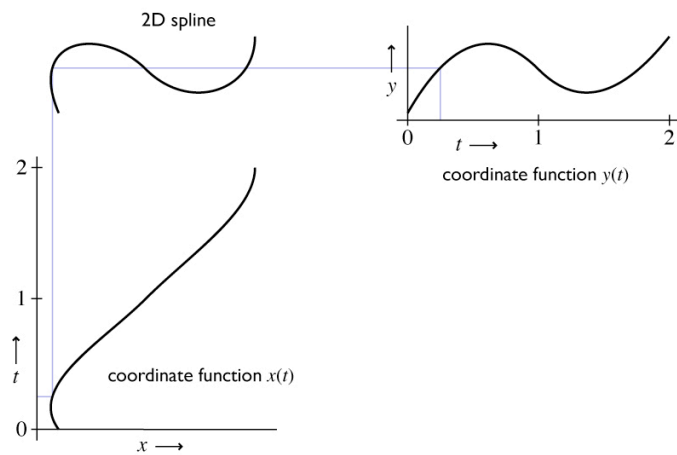
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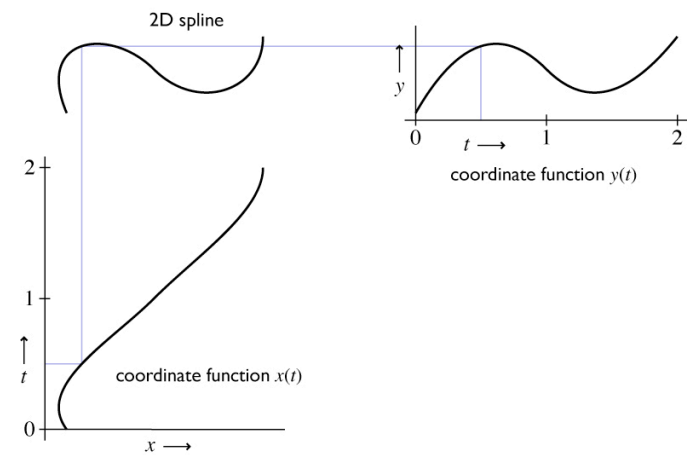
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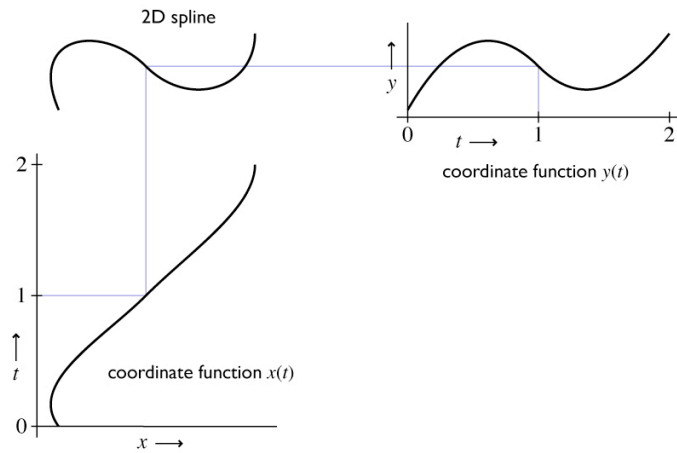
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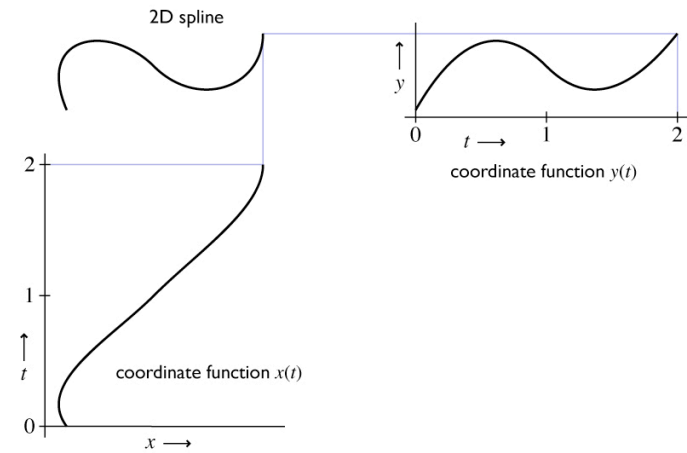
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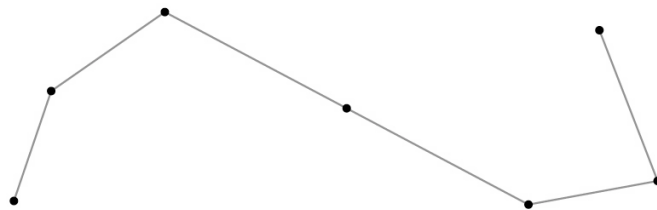


Coordinate functions



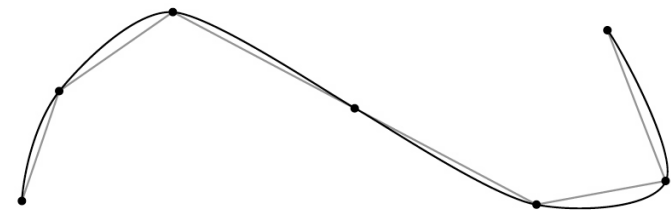
Control of spline curves

- Specified by a sequence of control points
- Shape is guided by control points (aka control polygon)
 - interpolating: passes through points
 - approximating: merely guided by points



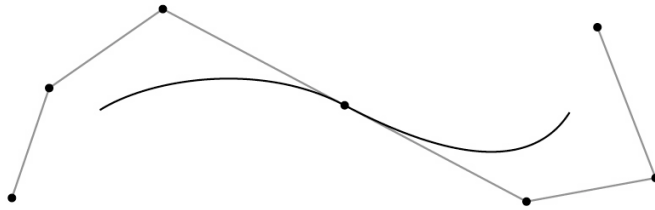
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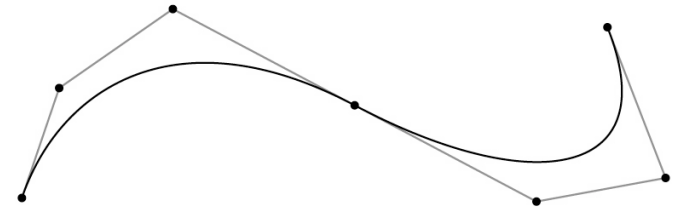
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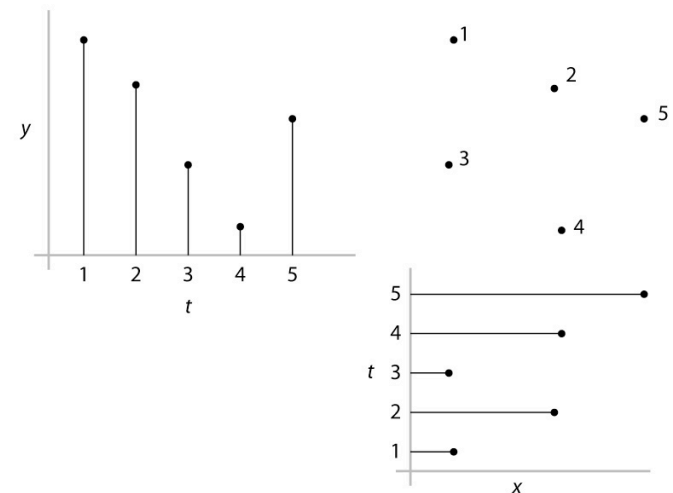
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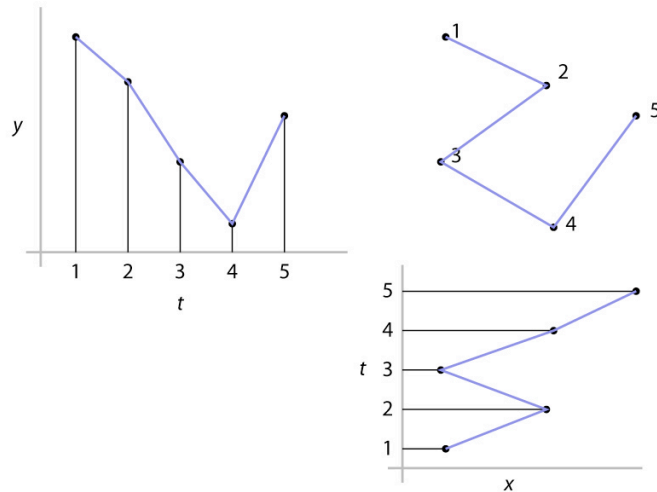
How splines depend on their controls

- Each coordinate is separate
 - the function $x(t)$ is determined solely by the x coordinates of the control points
 - this means 1D, 2D, 3D, ... curves are all really the same
- Spline curves are **linear** functions of their controls
 - moving a control point two inches to the right moves $x(t)$ twice as far as moving it by one inch
 - $x(t)$, for fixed t , is a linear combination (weighted sum) of the control points' x coordinates
 - $\mathbf{p}(t)$, for fixed t , is a linear combination (weighted sum) of the control points

Splines as reconstruction

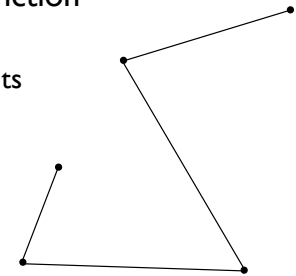


Splines as reconstruction



Trivial example: piecewise linear

- This spline is just a polygon
 - control points are the vertices
- But we can derive it anyway as an illustration
- Each interval will be a linear function
 - $x(t) = at + b$
 - constraints are values at endpoints
 - $b = x_0$; $a = x_1 - x_0$
 - this is linear interpolation



Trivial example: piecewise linear

- Vector formulation

$$x(t) = (x_1 - x_0)t + x_0$$

$$y(t) = (y_1 - y_0)t + y_0$$

$$\mathbf{p}(t) = (\mathbf{p}_1 - \mathbf{p}_0)t + \mathbf{p}_0$$

- Matrix formulation

$$\mathbf{p}(t) = \begin{bmatrix} t & 1 \end{bmatrix} \begin{bmatrix} -1 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} \mathbf{p}_0 \\ \mathbf{p}_1 \end{bmatrix}$$

Trivial example: piecewise linear

- Basis function formulation
 - regroup expression by \mathbf{p} rather than t

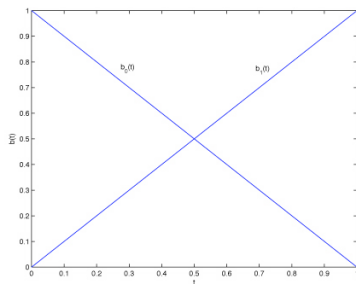
$$\begin{aligned} \mathbf{p}(t) &= (\mathbf{p}_1 - \mathbf{p}_0)t + \mathbf{p}_0 \\ &= (1 - t)\mathbf{p}_0 + t\mathbf{p}_1 \end{aligned}$$

- interpretation in matrix viewpoint

$$\mathbf{p}(t) = \left(\begin{bmatrix} t & 1 \end{bmatrix} \begin{bmatrix} -1 & 1 \\ 1 & 0 \end{bmatrix} \right) \begin{bmatrix} \mathbf{p}_0 \\ \mathbf{p}_1 \end{bmatrix}$$

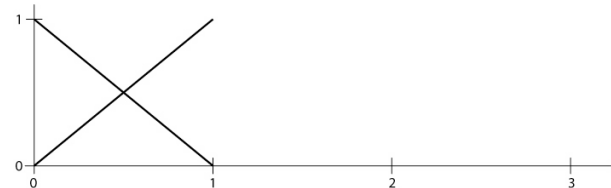
Trivial example: piecewise linear

- Vector blending formulation: “average of points”
 - blending functions: contribution of each point as t changes



Trivial example: piecewise linear

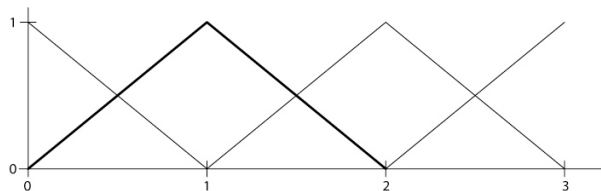
- Basis function formulation: “function times point”
 - basis functions: contribution of each point as t changes



- can think of them as blending functions glued together
- this is just like a reconstruction filter!

Trivial example: piecewise linear

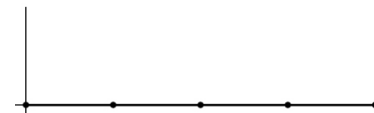
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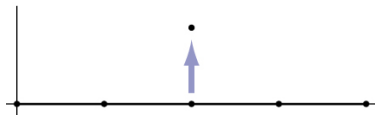
Seeing the basis functions

- Basis functions of a spline are revealed by how the curve changes in response to a change in one control
 - to get a graph of the basis function, start with the curve laid out in a straight, constant-speed line
 - what are $x(t)$ and $y(t)$?
 - then move one control straight up



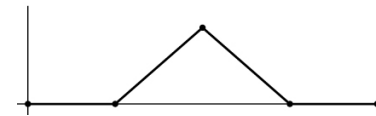
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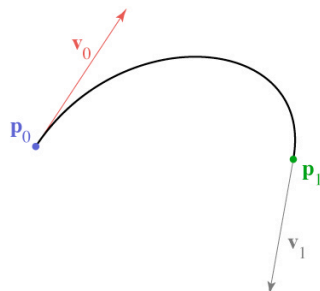
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Hermite splines

- Less trivial example
- Form of curve: piecewise cubic
- Constraints: endpoints and tangents (derivatives)



Hermite splines

- Solve constraints to find coefficients

$$x(t) = at^3 + bt^2 + ct + d$$

$$x'(t) = 3at^2 + 2bt + c$$

$$x(0) = x_0 = d$$

$$x(1) = x_1 = a + b + c + d$$

$$x'(0) = x'_0 = c$$

$$x'(1) = x'_1 = 3a + 2b + c$$

$$d = x_0$$

$$c = x'_0$$

$$a = 2x_0 - 2x_1 + x'_0 + x'_1$$

$$b = -3x_0 + 3x_1 - 2x'_0 - x'_1$$

Hermite splines

- Matrix form is much simpler

$$\mathbf{p}(t) = \begin{bmatrix} t^3 & t^2 & t & 1 \end{bmatrix} \begin{bmatrix} 2 & -2 & 1 & 1 \\ -3 & 3 & -2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \mathbf{p}_0 \\ \mathbf{p}_1 \\ \mathbf{v}_0 \\ \mathbf{v}_1 \end{bmatrix}$$

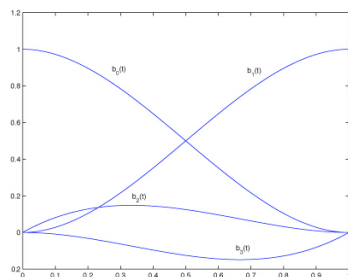
- coefficients = rows
- basis functions = columns
 - note \mathbf{p} columns sum to $[0 \ 0 \ 0 \ 1]^T$

Longer Hermite splines

- Can only do so much with one Hermite spline
- Can use these splines as segments of a longer curve
 - curve from $t = 0$ to $t = 1$ defined by first segment
 - curve from $t = 1$ to $t = 2$ defined by second segment
- To avoid discontinuity, match derivatives at junctions
 - this produces a C^1 curve

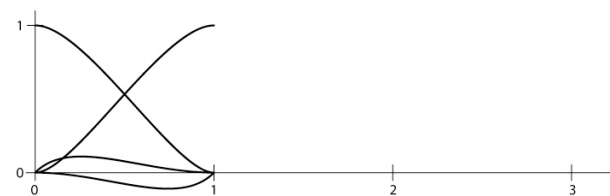
Hermite splines

- Hermite blending functions



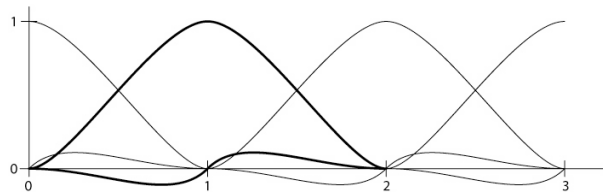
Hermite splines

- Hermite basis functions



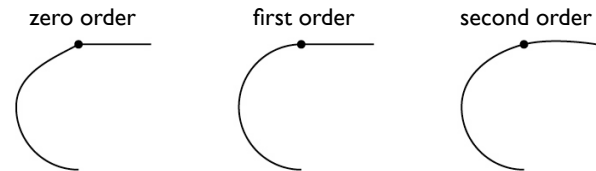
Hermite splines

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Continuity

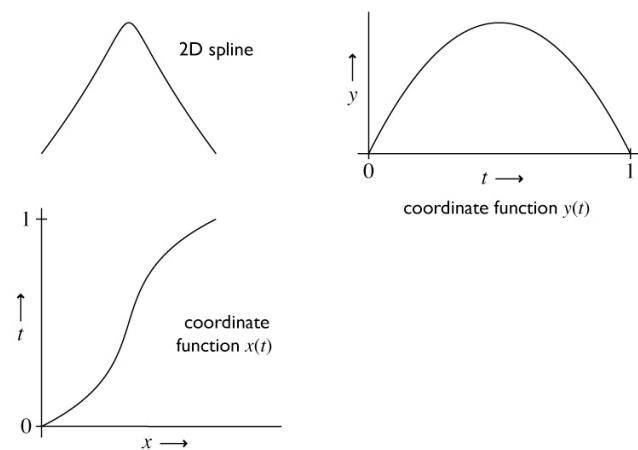
- Smoothness can be described by degree of continuity
 - zero-order (G^0): position matches from both sides
 - first-order (G^1): tangent also matches from both sides
 - second-order (G^2): curvature also matches from both sides
 - G^n vs. C^n



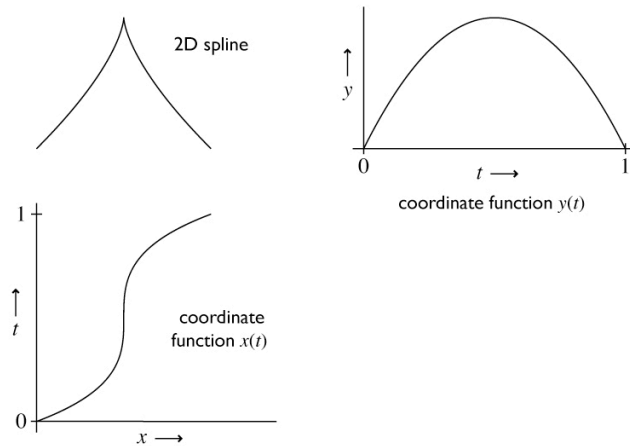
Continuity

- Parametric continuity (C)
 - is continuity of coordinate functions, e.g., $x(t)$, $y(t)$, $z(t)$
- Geometric continuity (G)
 - is continuity of the geometric curve itself
- Neither form of continuity is guaranteed by the other
 - Can be C^1 but not G^1 when $\mathbf{p}(t)$ comes to a halt (next slide)
 - Can be G^1 but not C^1 when the tangent vector changes length abruptly

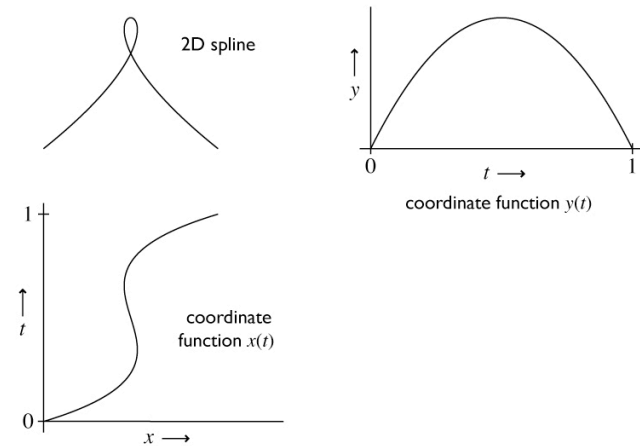
Geometric vs. parametric continuity



Geometric vs. parametric continuity



Geometric vs. parametric continuity



Continuity

$$\mathbf{p}^{(n)}(t) = \frac{d^n \mathbf{p}(t)}{dt^n}$$

- A curve is said to be C^n continuous if $\mathbf{p}(t)$ is continuous, and all derivatives of $\mathbf{p}(t)$ up to and including degree n have the same direction and magnitude:

$$\lim_{x \rightarrow t_-} \mathbf{p}^{(m)}(x) = \lim_{x \rightarrow t_+} \mathbf{p}^{(m)}(x), \quad m = 0 \dots n$$

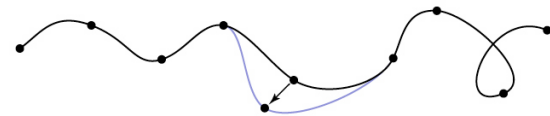
- G^n continuity is like C^n but only requires the derivatives to have the same direction:

$$\lim_{x \rightarrow t_-} \mathbf{p}^{(n)}(x) = k \lim_{x \rightarrow t_+} \mathbf{p}^{(n)}(x), \quad \text{for some } k > 0$$

- Alternately, a curve is G^n continuous if it can be reparameterized to be C^n continuous
 - i.e., there exists $t=a(\tau)$, such that $\mathbf{q}(\tau)=\mathbf{p}(a(\tau))$ is C^n continuous

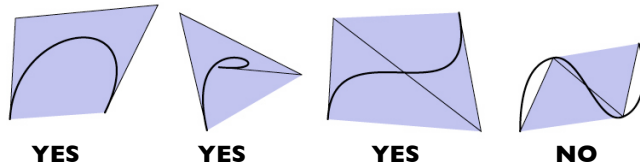
Control

- Local control
 - changing control point only affects a limited part of spline
 - without this, splines are very difficult to use
 - many likely formulations lack this
 - polynomial fits
 - natural cubic spline (e.g., see [Cheney and Kincaid])
 - Continuous \mathbf{p} , $\mathbf{p}^{(1)}$, $\mathbf{p}^{(2)}$, with $\mathbf{p}^{(2)}=0$ at endpoints
 - Global tridiagonal solve for coefficients



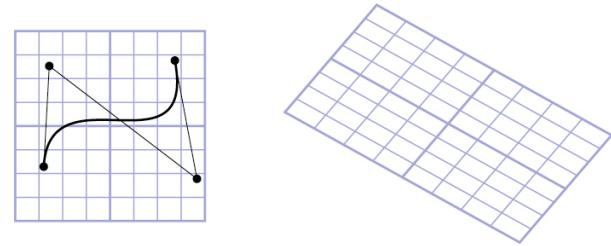
Control

- Convex hull property
 - convex hull = smallest convex region containing points
 - think of a rubber band around some pins
 - some splines stay inside convex hull of control points
 - simplifies clipping, culling, picking, etc.



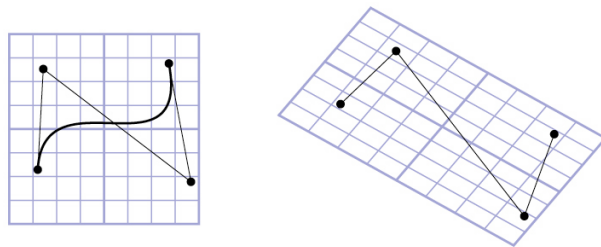
Affine invariance

- Transforming the control points is the same as transforming the curve
 - true for all commonly used splines
 - extremely convenient in practice...



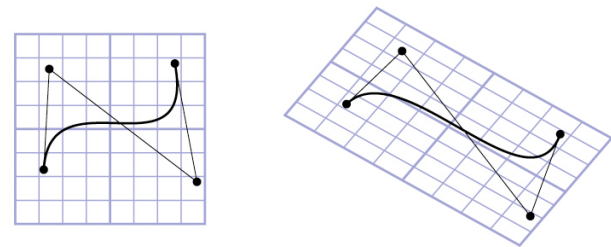
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Matrix form of spline

$$\mathbf{p}(t) = at^3 + bt^2 + ct + d$$

$$\begin{bmatrix} t^3 & t^2 & t & 1 \end{bmatrix} \begin{bmatrix} \times & \times & \times & \times \\ \times & \times & \times & \times \\ \times & \times & \times & \times \\ \times & \times & \times & \times \end{bmatrix} \begin{bmatrix} \mathbf{p}_0 \\ \mathbf{p}_1 \\ \mathbf{p}_2 \\ \mathbf{p}_3 \end{bmatrix}$$

$$\mathbf{p}(t) = b_0(t)\mathbf{p}_0 + b_1(t)\mathbf{p}_1 + b_2(t)\mathbf{p}_2 + b_3(t)\mathbf{p}_3$$

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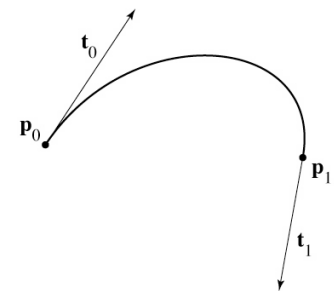
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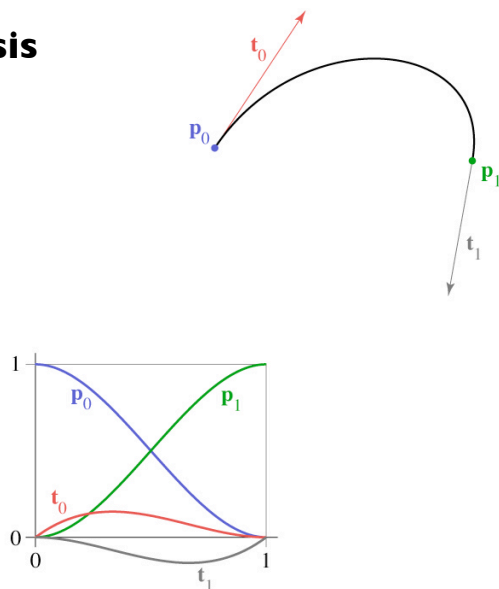
Hermite splines

- Constraints are endpoints and endpoint tangents



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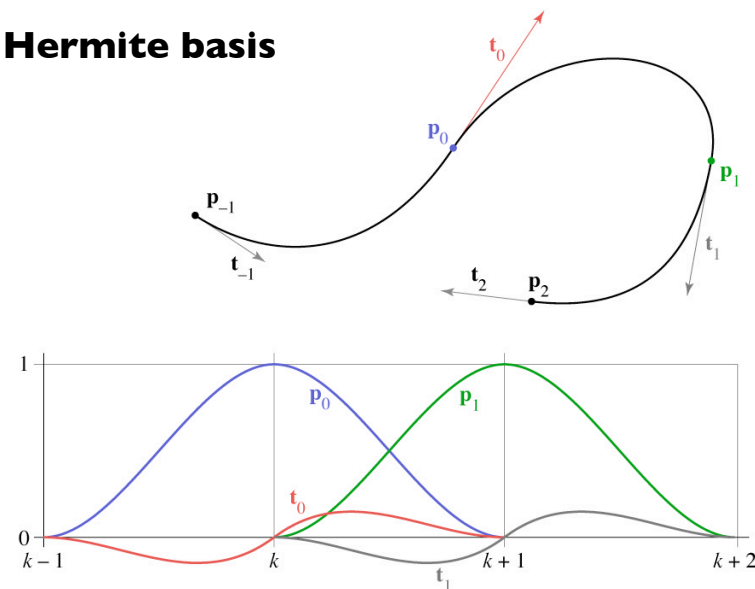
Hermite basis



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Hermite basis

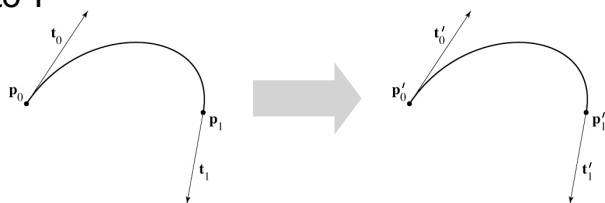


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Affine invariance

- Basis functions associated with points should always sum to 1



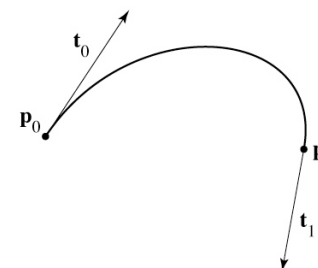
$$\begin{aligned} p(t) &= b_0 p_0 + b_1 p_1 + b_2 v_0 + b_3 v_1 \\ p'(t) &= b_0(p_0 + u) + b_1(p_1 + u) + b_2 v_0 + b_3 v_1 \\ &= b_0 p_0 + b_1 p_1 + b_2 v_0 + b_3 v_1 + (b_0 + b_1)u \\ &= p(t) + u \end{aligned}$$

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Hermite to Bézier

- Mixture of points and vectors is awkward
- Specify tangents as differences of points

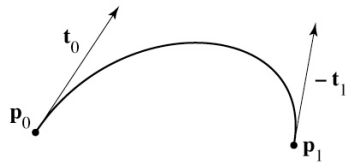


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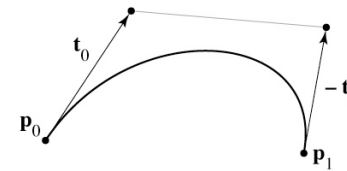
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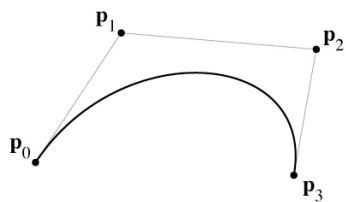
Hermite to Bézier

- Mixture of points and vectors is awkward
- Specify tangents as differences of points



Hermite to Bézier

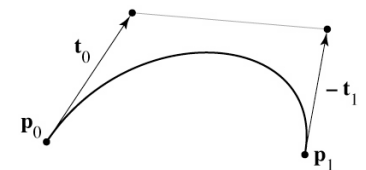
- Mixture of points and vectors is awkward
- Specify tangents as differences of points



- note derivative is defined as 3 times offset
 - reason is illustrated by linear case

Hermite to Bézier

$$\begin{aligned} p_0 &= q_0 \\ p_1 &= q_3 \\ v_0 &= 3(q_1 - q_0) \\ v_1 &= 3(q_3 - q_2) \end{aligned}$$



$$\begin{bmatrix} p_0 \\ p_1 \\ v_0 \\ v_1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ -3 & 3 & 0 & 0 \\ 0 & 0 & -3 & 3 \end{bmatrix} \begin{bmatrix} q_0 \\ q_1 \\ q_2 \\ q_3 \end{bmatrix}$$

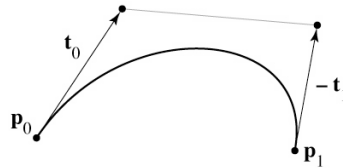
Hermite to Bézier

$$\mathbf{p}_0 = \mathbf{q}_0$$

$$\mathbf{p}_1 = \mathbf{q}_3$$

$$\mathbf{v}_0 = 3(\mathbf{q}_1 - \mathbf{q}_0)$$

$$\mathbf{v}_1 = 3(\mathbf{q}_3 - \mathbf{q}_2)$$



$$\begin{bmatrix} \mathbf{a} \\ \mathbf{b} \\ \mathbf{c} \\ \mathbf{d} \end{bmatrix} = \begin{bmatrix} 2 & -2 & 1 & 1 \\ -3 & 3 & -2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ -3 & 3 & 0 & 0 \\ 0 & 0 & -3 & 3 \end{bmatrix} \begin{bmatrix} \mathbf{q}_0 \\ \mathbf{q}_1 \\ \mathbf{q}_2 \\ \mathbf{q}_3 \end{bmatrix}$$

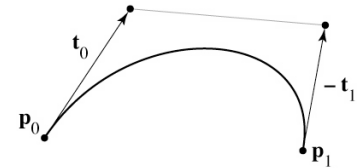
Hermite to Bézier

$$\mathbf{p}_0 = \mathbf{q}_0$$

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$$\mathbf{v}_0 = 3(\mathbf{q}_1 - \mathbf{q}_0)$$

$$\mathbf{v}_1 = 3(\mathbf{q}_3 - \mathbf{q}_2)$$



$$\begin{bmatrix} \mathbf{a} \\ \mathbf{b} \\ \mathbf{c} \\ \mathbf{d} \end{bmatrix} = \begin{bmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 3 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \mathbf{q}_0 \\ \mathbf{q}_1 \\ \mathbf{q}_2 \\ \mathbf{q}_3 \end{bmatrix}$$

Bézier matrix

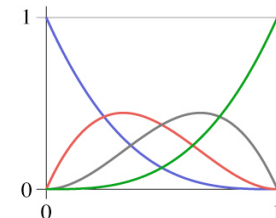
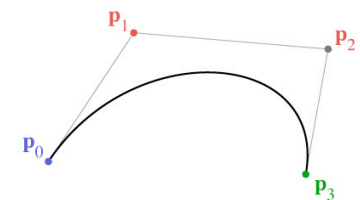
$$\mathbf{p}(t) = \begin{bmatrix} t^3 & t^2 & t & 1 \end{bmatrix} \begin{bmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 3 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \mathbf{p}_0 \\ \mathbf{p}_1 \\ \mathbf{p}_2 \\ \mathbf{p}_3 \end{bmatrix}$$

– note that these are the Bernstein polynomials

$$C(n,k) t^k (1-t)^{n-k}$$

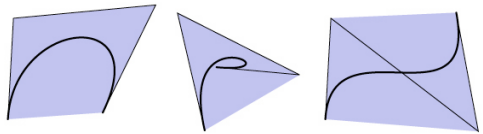
and that defines Bézier curves for any degree

Bézier basis



Convex hull

- If basis functions are all positive, the spline has the convex hull property
 - we're still requiring them to sum to 1



- if any basis function is ever negative, no convex hull prop.
 - proof: take the other three points at the same place

Chaining spline segments

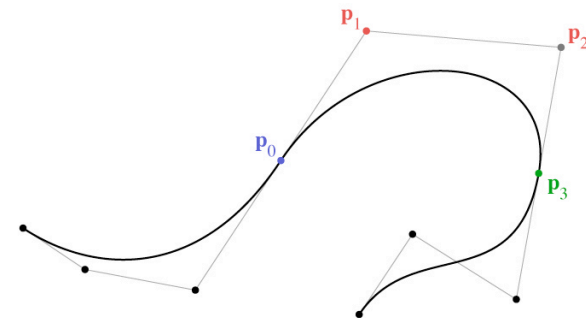
- Hermite curves are convenient because they can be made long easily
- Bézier curves are convenient because their controls are all points and they have nice properties
 - and they interpolate every 4th point, which is a little odd
- We derived Bézier from Hermite by defining tangents from control points
 - a similar construction leads to the interpolating *Catmull-Rom* spline

Chaining Bézier splines

- No continuity built in
- Achieve C^1 using collinear control points

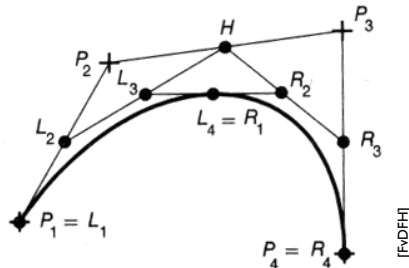
Chaining Bézier splines

- No continuity built in
- Achieve C^1 using collinear control points



Subdivision

- A Bézier spline segment can be split into a two-segment curve:



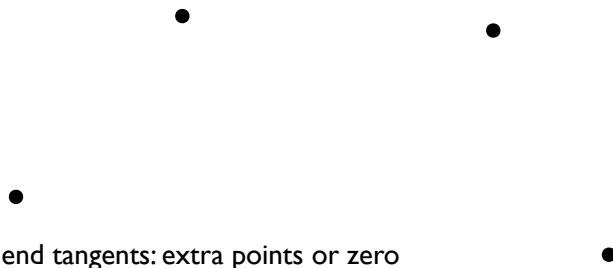
- de Casteljau's algorithm
- also works for arbitrary t

Cubic Bézier splines

- Very widely used type, especially in 2D
 - e.g. it is a primitive in PostScript/PDF
- Can represent C^1 and/or G^1 curves with corners
- Can easily add points at any position
- Illustrator demo

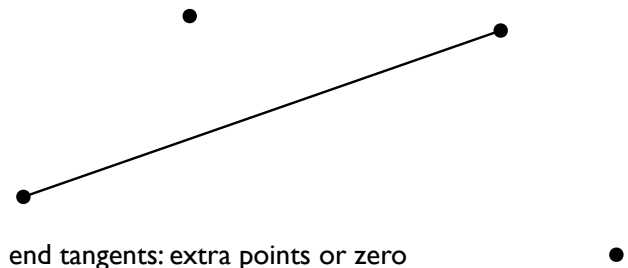
Hermite to Catmull-Rom

- Have not yet seen any interpolating splines
- Would like to define tangents automatically
 - use adjacent control points



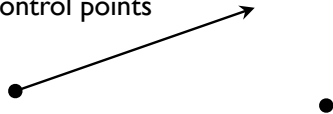
Hermite to Catmull-Rom

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Hermite to Catmull-Rom

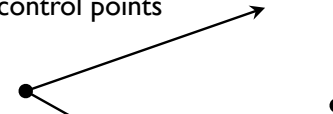
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- end tangents: extra points or zero

Hermite to Catmull-Rom

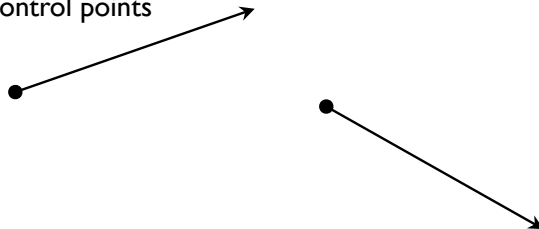
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- end tangents: extra points or zero

Hermite to Catmull-Rom

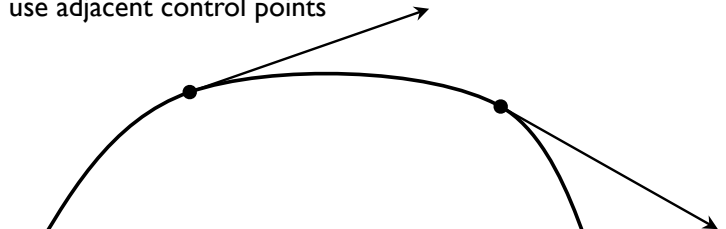
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Hermite to Catmull-Rom

- Have not yet seen any interpolating splines
- Would like to define tangents automatically
 - use adjacent control points



- end tangents: extra points or zero

Hermite to Catmull-Rom

- Tangents are $(\mathbf{p}_{k+1} - \mathbf{p}_{k-1}) / 2$
 - scaling based on same argument about collinear case

$$\mathbf{p}_0 = \mathbf{q}_k$$

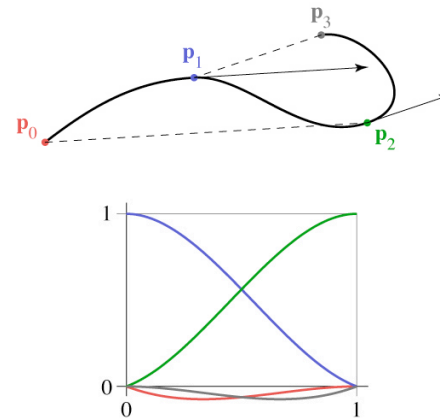
$$\mathbf{p}_1 = \mathbf{q}_{k+1}$$

$$\mathbf{v}_0 = 0.5(\mathbf{q}_{k+1} - \mathbf{q}_{k-1})$$

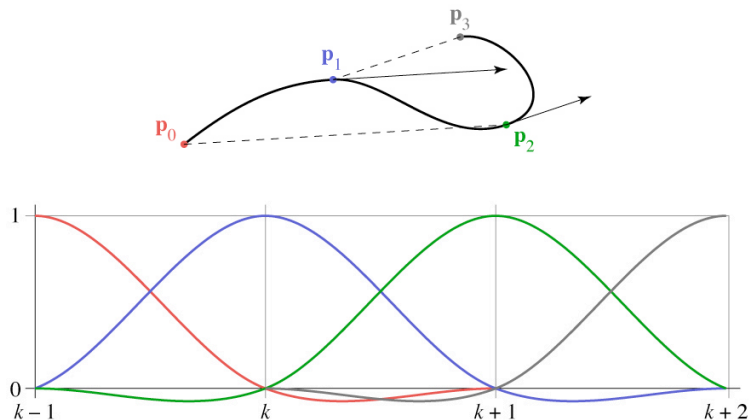
$$\mathbf{v}_1 = 0.5(\mathbf{q}_{k+2} - \mathbf{q}_k)$$

$$\begin{bmatrix} \mathbf{a} \\ \mathbf{b} \\ \mathbf{c} \\ \mathbf{d} \end{bmatrix} = \begin{bmatrix} 2 & -2 & 1 & 1 \\ -3 & 3 & -2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -.5 & 0 & .5 & 0 \\ 0 & -.5 & 0 & .5 \end{bmatrix} \begin{bmatrix} \mathbf{q}_{k-1} \\ \mathbf{q}_k \\ \mathbf{q}_{k+1} \\ \mathbf{q}_{k+2} \end{bmatrix}$$

Catmull-Rom basis



Catmull-Rom basis



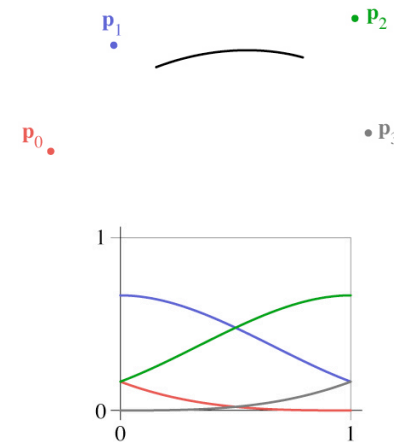
Catmull-Rom splines

- Our first example of an interpolating spline
- Like Bézier, equivalent to Hermite
 - in fact, all splines of this form are equivalent
- First example of a spline based on just a control point sequence
- Does not have convex hull property

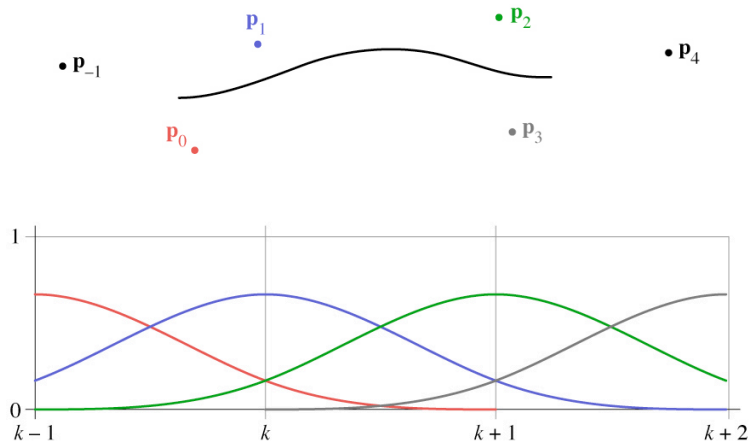
B-splines

- We may want more continuity than C^1
- We may not need an interpolating spline
- B-splines are a clean, flexible way of making long splines with arbitrary order of continuity
- Various ways to think of construction
 - a simple one is convolution
 - relationship to sampling and reconstruction

Cubic B-spline basis



Cubic B-spline basis



Deriving the B-Spline

- Approached from a different tack than Hermite-style constraints
 - Want a cubic spline; therefore 4 active control points
 - Want C^2 continuity
 - Turns out that is enough to determine everything

Efficient construction of any B-spline

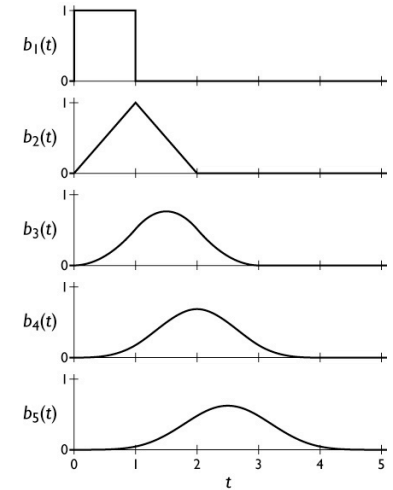
- B-splines defined for all orders
 - order d : degree $d - 1$
 - order d : d points contribute to value
- One definition: Cox-deBoor recurrence

$$b_1 = \begin{cases} 1 & 0 \leq u < 1 \\ 0 & \text{otherwise} \end{cases}$$

$$b_d = \frac{t}{d-1} b_{d-1}(t) + \frac{d-t}{d-1} b_{d-1}(t-1)$$

B-spline construction, alternate view

- Recurrence
 - ramp up/down
- Convolution
 - smoothing of basis fn
 - smoothing of curve



Cubic B-spline matrix

$$\mathbf{p}(t) = \begin{bmatrix} t^3 & t^2 & t & 1 \end{bmatrix} \cdot \frac{1}{6} \begin{bmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 0 & 3 & 0 \\ 1 & 4 & 1 & 0 \end{bmatrix} \begin{bmatrix} \mathbf{p}_{k-1} \\ \mathbf{p}_k \\ \mathbf{p}_{k+1} \\ \mathbf{p}_{k+2} \end{bmatrix}$$

Other types of B-splines

- Nonuniform B-splines
 - discontinuities not evenly spaced
 - allows control over continuity or interpolation at certain points
 - e.g. interpolate endpoints (commonly used case)
- Nonuniform Rational B-splines (NURBS)
 - ratios of nonuniform B-splines: $x(t) / w(t); y(t) / w(t)$
 - key properties:
 - invariance under perspective as well as affine
 - ability to represent conic sections exactly

Converting spline representations

- All the splines we have seen so far are equivalent
 - all represented by geometry matrices

$$\mathbf{p}_S(t) = T(t)M_S P_S$$

- where S represents the type of spline
 - therefore the control points may be transformed from one type to another using matrix multiplication

$$P_1 = M_1^{-1} M_2 P_2$$

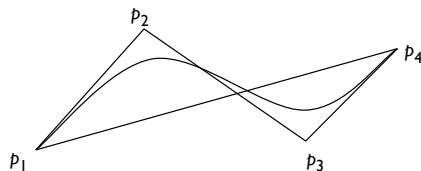
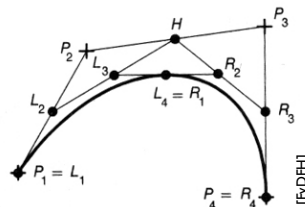
$$\begin{aligned} \mathbf{p}_1(t) &= T(t)M_1(M_1^{-1}M_2P_2) \\ &= T(t)M_2P_2 = \mathbf{p}_2(t) \end{aligned}$$

Evaluating splines for display

- Need to generate a list of line segments to draw
 - generate efficiently
 - use as few as possible
 - guarantee approximation accuracy
- Approaches
 - recursive subdivision (easy to do adaptively)
 - uniform sampling (easy to do efficiently)

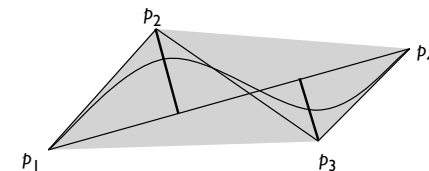
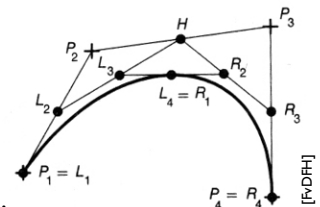
Evaluating by subdivision

- Recursively split spline
 - stop when polygon is within epsilon of curve
- Termination criteria
 - distance between control points
 - distance of control points from line



Evaluating by subdivision

- Recursively split spline
 - stop when polygon is within epsilon of curve
- Termination criteria
 - distance between control points
 - distance of control points from line



Evaluating with uniform spacing

- Forward differencing
 - efficiently generate points for uniformly spaced t values
 - evaluate polynomials using repeated differences