

Review

- First, operating systems solves **time-sharing** multi-tasking
 - **context** = memory address space + stack pointer + instruction pointer
 - IBM360 uses **context-switch** for time-sharing multi-tasking
- Second, operating systems solves **interprocess communication (IPC)**
 - AT&T UNIX V provides message queue, shared memory and **semaphore**
- Third, operating systems handles **exception control flow** (today's lecture).

Exception Control Flow (ECF)

```
[→ tmp cat tmp.c
```

```
#include <stdio.h>
```

```
int main() {  
    int a = 8, b = 2;  
    printf("%d / %d = %d\n", a, b, a/b);  
    b = 0;  
    printf("%d / %d = %d\n", a, b, a/b);  
    return 0;  
}
```

```
[→ tmp gcc tmp.c
```

```
[→ tmp ./a.out
```

```
8 / 2 = 4
```

```
[1] 24859 floating point exception ./a.out
```

```
→ tmp
```

Exception happens due to divide 0!

make

+

BLOCK SERVER (layered block storage): pid=8

BFS: 4096 inodes

BLOCK FILE SERVER (BFS): pid=9

BFS: existing file system: 4096 FCBs

DIRECTORY SERVER: pid=10

grass_main: initialization completed

INIT SERVER (initializes file system, runs login process): pid=11

SYNC SERVER (periodically synchronizes file system caches): pid=12

PASSWORD SERVER: pid=13

[login: yunhao

[password:

Welcome to the EGOS operating system!

[15\$ **loop 300000000000**

16: start looping

<ctrl>C

Exception happens due to Ctrl-C!

shell: process 16 terminated with status -3

15\$

More examples of exception

Who initiates exception?	Who handles exception?	Examples
CPU / Hardware	Operating System	Timer interrupt, I/O interrupt
User Application	Operating System	Divide zero, Ctrl-C interrupt, kill a process
User Application	User Application	Try-catch in C++ or Java


Control flow is the sequence of instructions executed by one CPU.

CPU executes instructions sequentially: $I_1, I_2, I_3, I_4, \dots$

Normal control flow

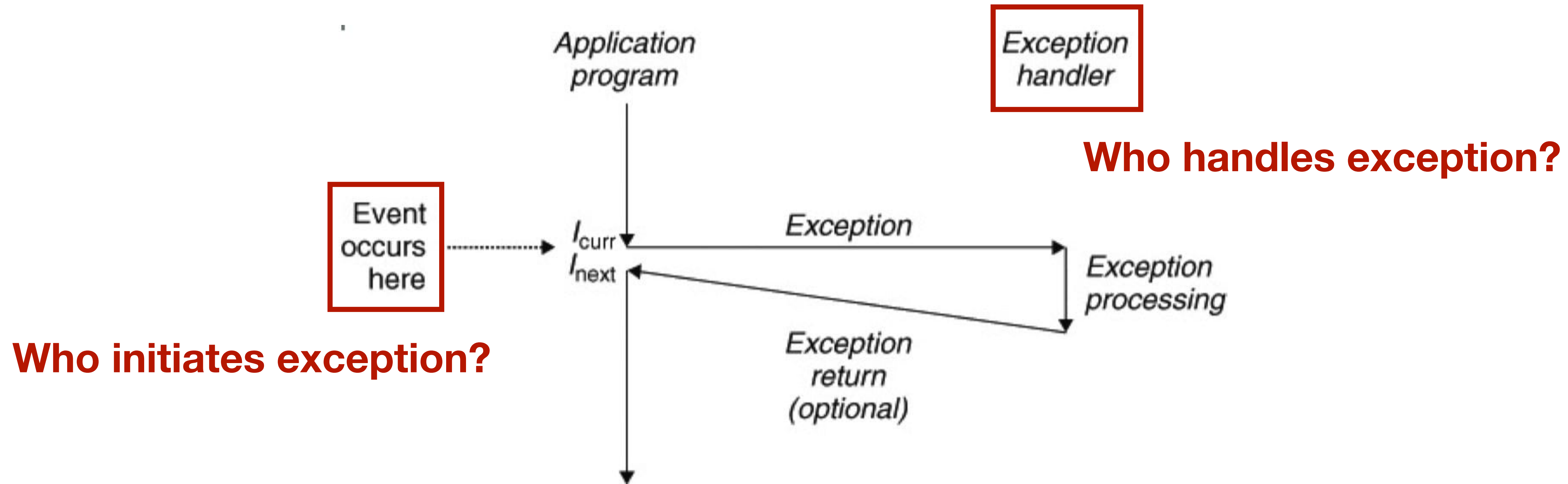
Application
program

I_{curr}
 I_{next}



I_{curr} is the current CPU instruction, I_{next} is the expected next CPU instruction

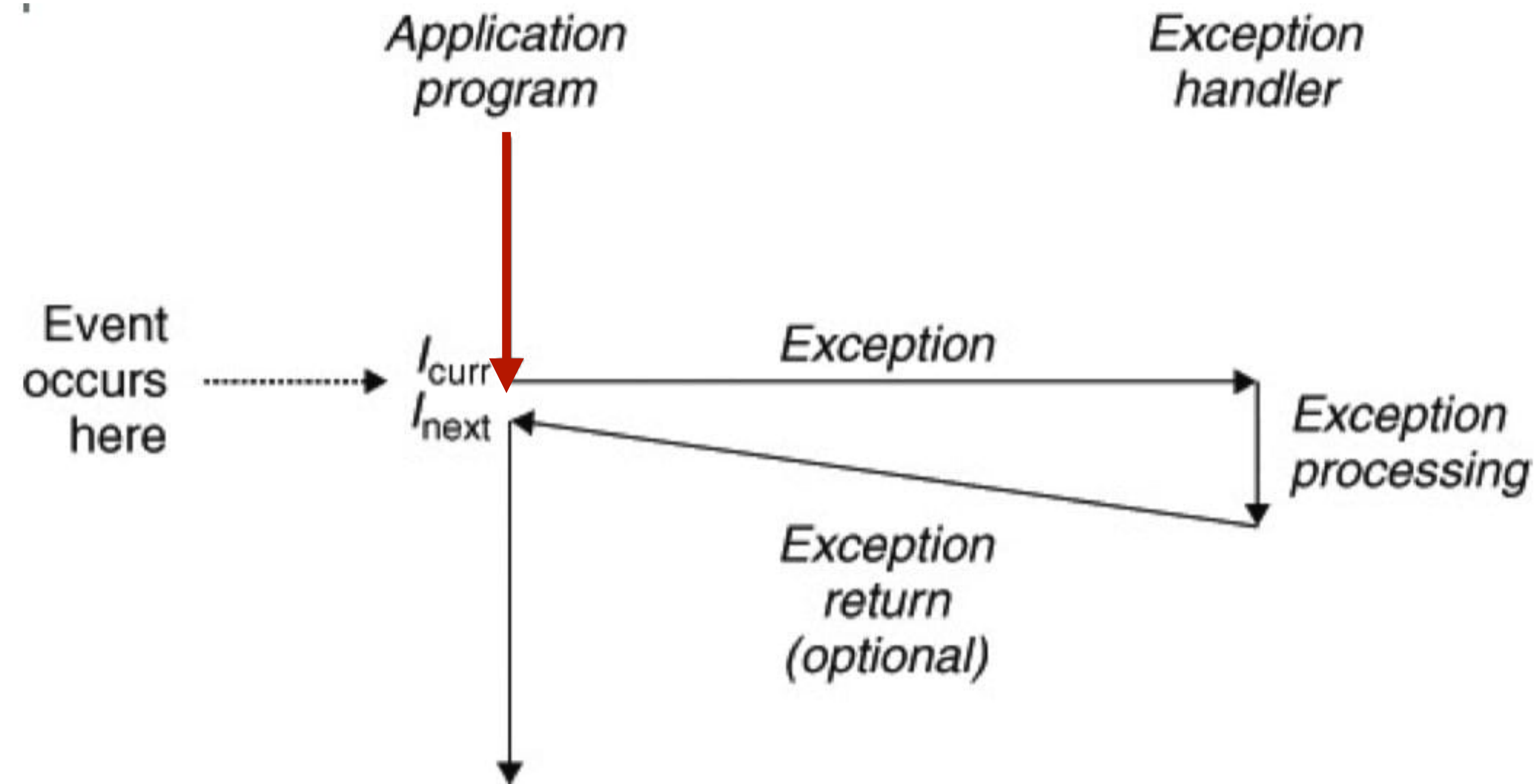
General picture of **exception control flow**



Who initiates exception?

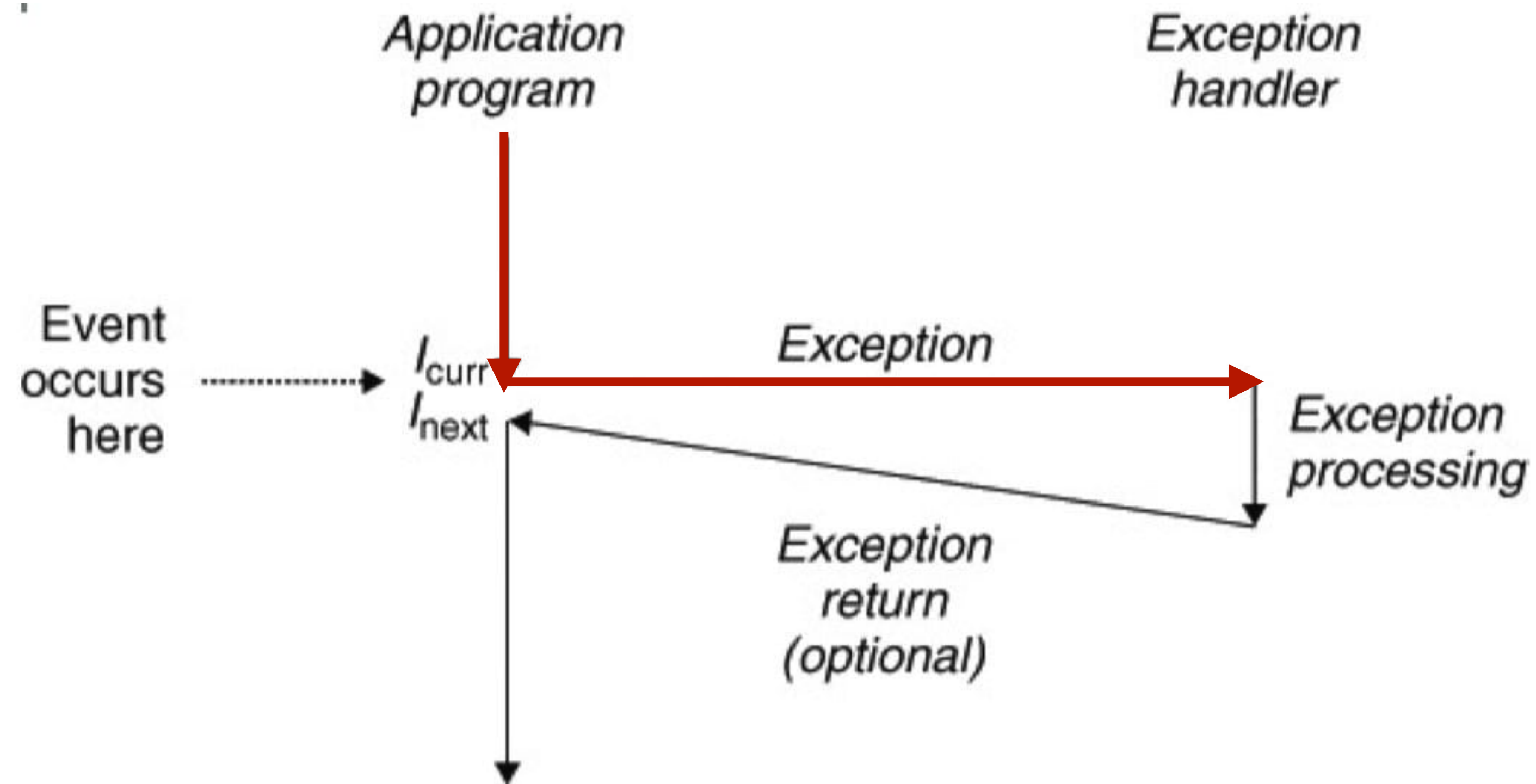
Key of ECF: an **event occurs between I_{curr} and I_{next} !**

Step1: CPU executes normally till I_{curr}



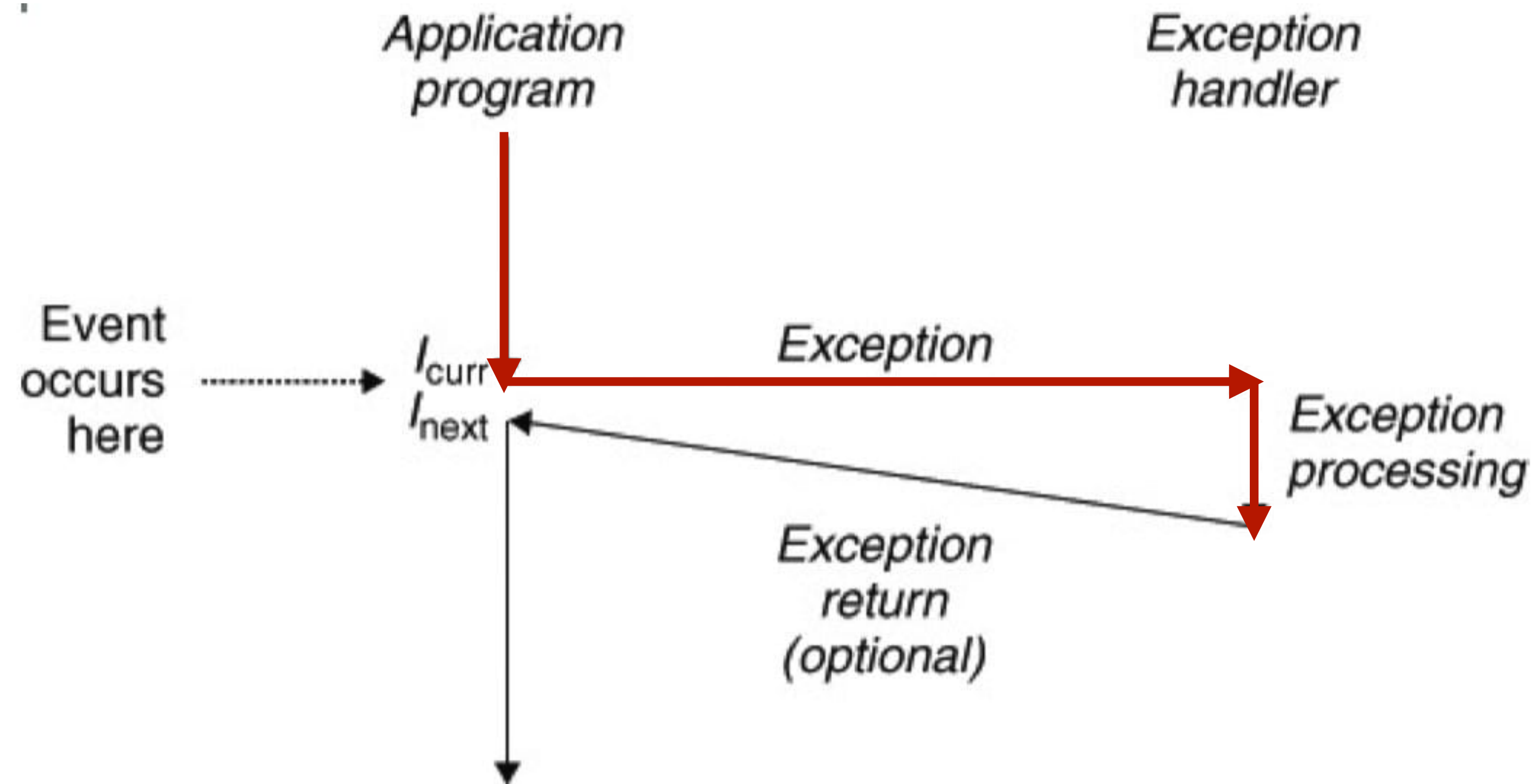
I_{curr} is the current CPU instruction, I_{next} is the expected next CPU instruction

Step2: an exception is initiated at I_{curr}



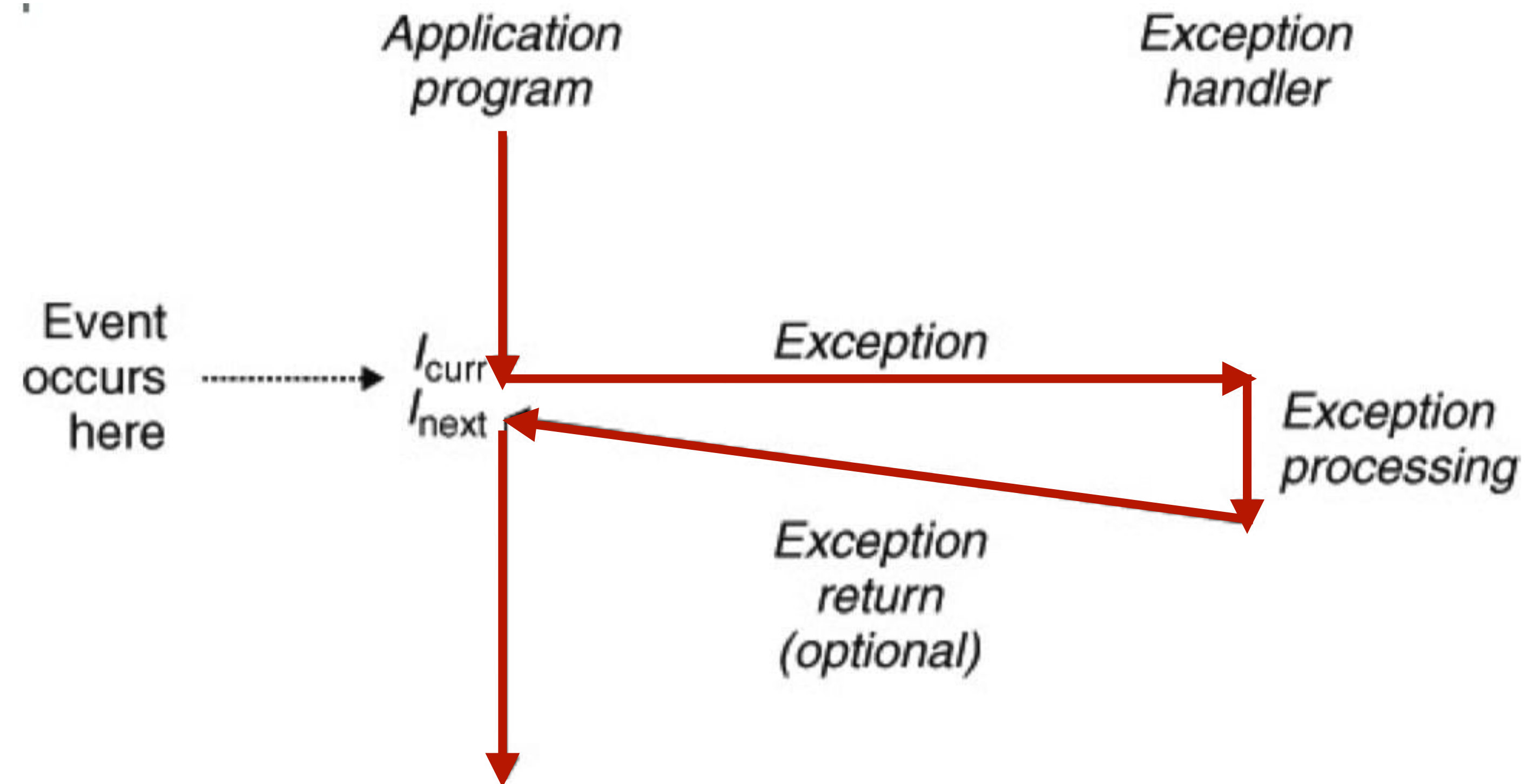
I_{curr} is the current CPU instruction, I_{next} is the expected next CPU instruction

Step3: exception is being handled



I_{curr} is the current CPU instruction, I_{next} is the expected next CPU instruction

Step4: CPU (may) switch back to I_{next}



I_{curr} is the current CPU instruction, I_{next} is the expected next CPU instruction

General steps of exception control flow

- Step1: CPU executes normally (normal control flow).
- Step2: An event occurs between I_{curr} and I_{next} , the CPU control flow transfers to an exception handler.
- Step3: Exception is being handled.
- Step4: CPU may switch control flow back to I_{next}

Exception control flow enables
preemptive context-switch.

Who **initiates**
exception?

CPU / Hardware

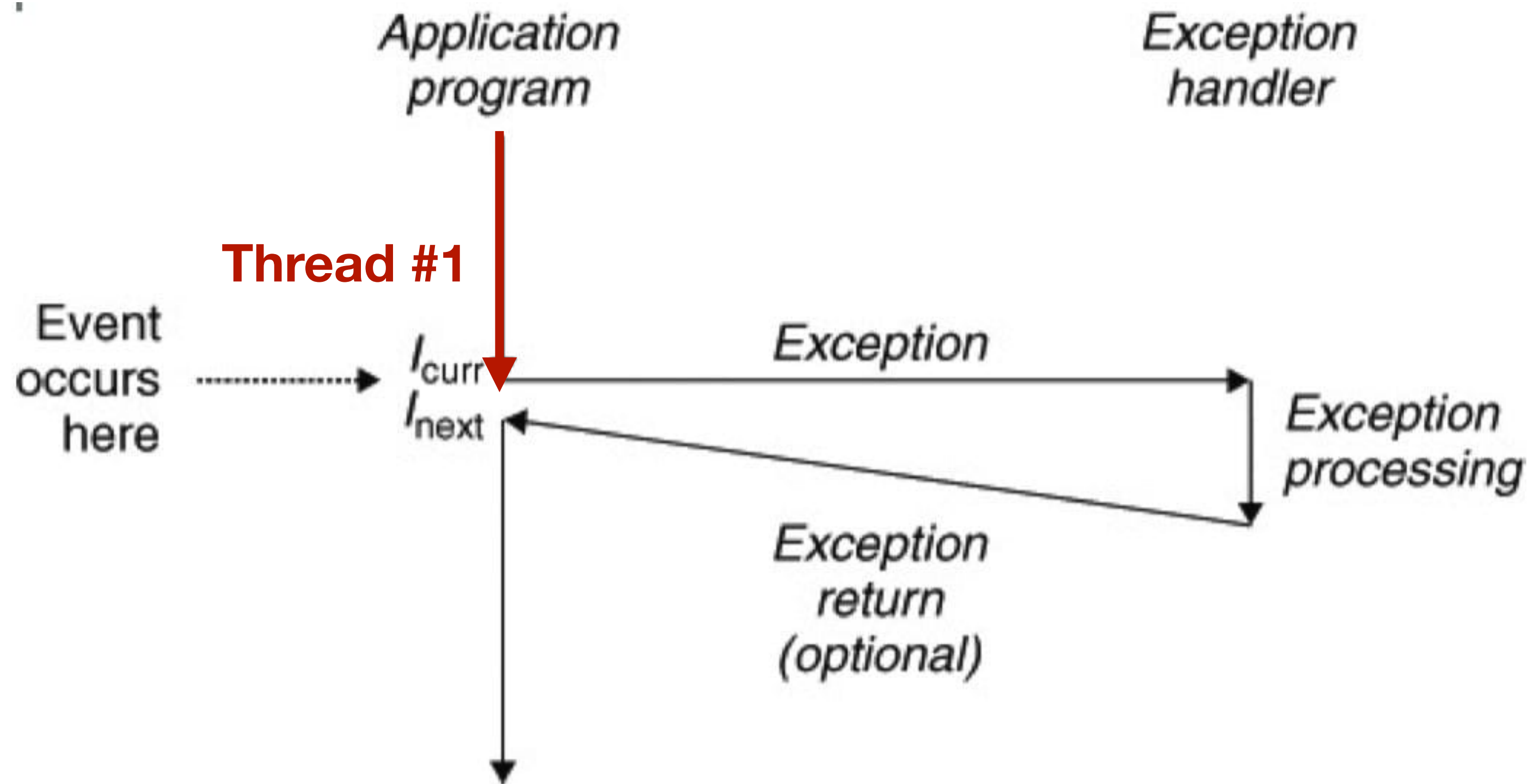
Who **handles**
exception?

Operating System

Examples

Timer interrupt

CPU executes thread #1



Who **initiates** exception?

CPU / Hardware

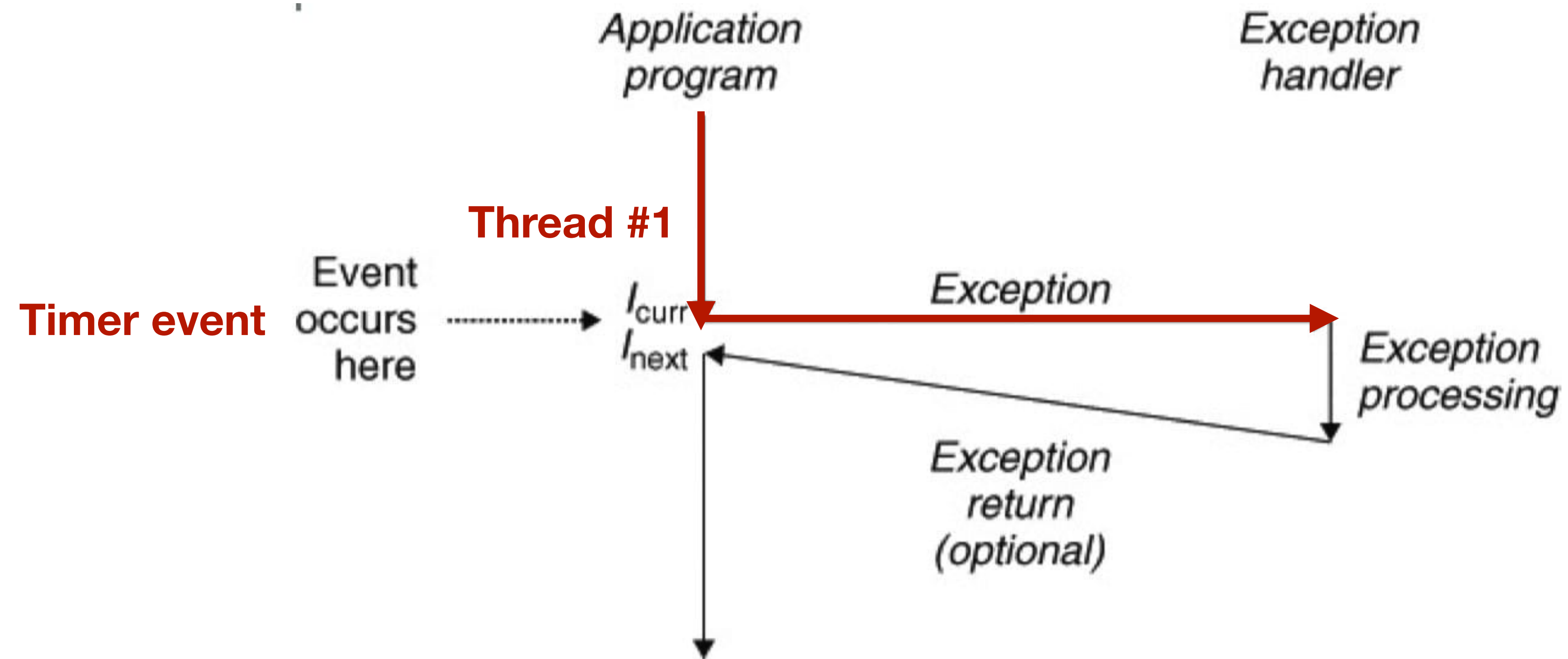
Who **handles** exception?

Operating System

Examples

Timer interrupt

Timer hardware sends an interrupt to CPU



Who **initiates** exception?

CPU / Hardware

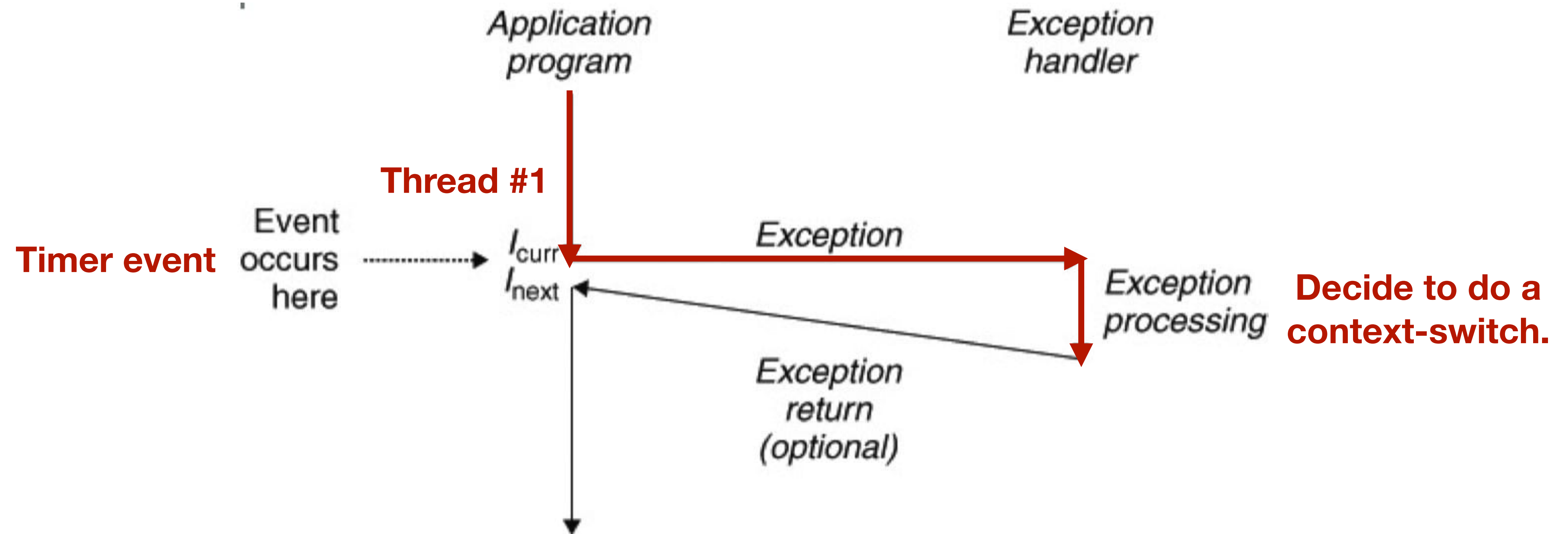
Who **handles** exception?

Operating System

Examples

Timer interrupt

OS can decide to do context-switch



Who **initiates** exception?

CPU / Hardware

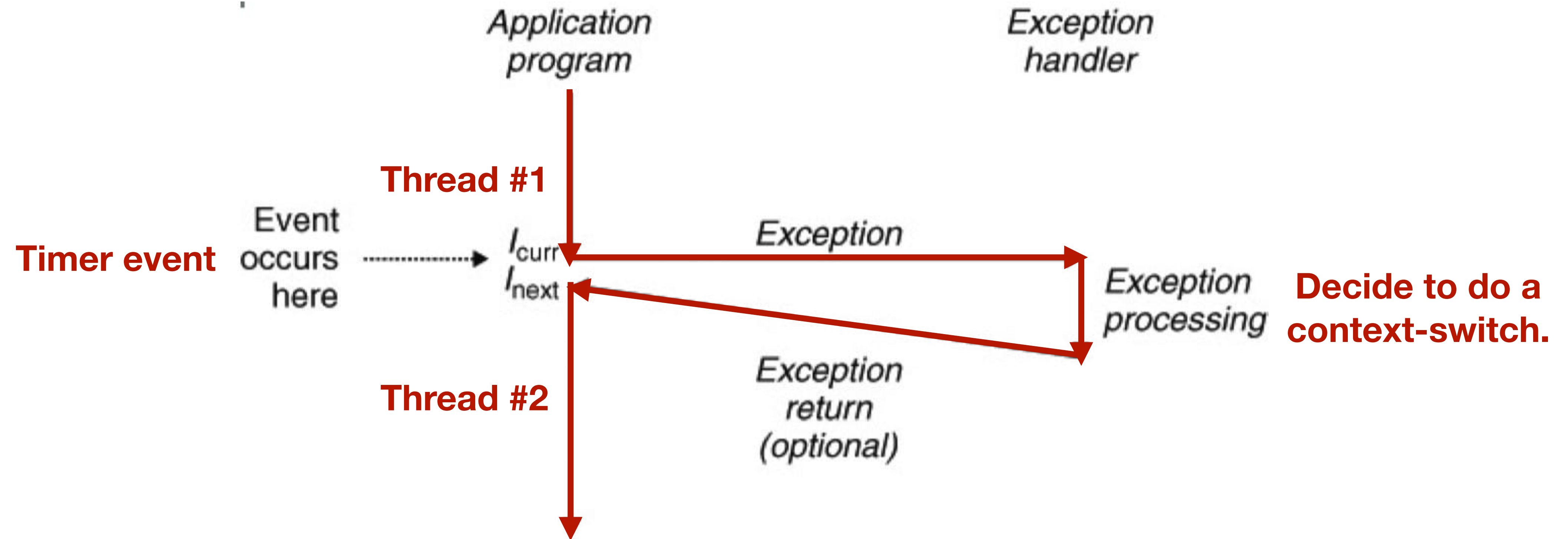
Who **handles** exception?

Operating System

Examples

Timer interrupt

OS switches context to thread #2



Who **initiates** exception?

CPU / Hardware

Who **handles** exception?

Operating System

Examples

Timer interrupt

The two “Yes” is due to exception control flow

		Switching stack pointer?	Switching instruction pointer?	Switching memory address space?	Switching kernel/user mode?
4411 P1	User-level Threads	Yes	No	No	No
	Kernel-level Threads	Yes	Yes	No	Yes
Beyond 4411 P1	Processes	Yes	Yes	Yes	Yes

**Exception control flow enables
preemptive context-switch and also
system calls.**


```
[→ tmp cat tmp.c
```

```
#include <stdio.h>
```

```
int main() {
```

```
    int a = 8, b = 2;
```

```
    printf("%d / %d = %d\n", a, b, a/b);
```

```
    b = 0;
```

```
    printf("%d / %d = %d\n", a, b, a/b);
```

```
    return 0;
```

```
}
```

```
[→ tmp gcc tmp.c
```

```
[→ tmp ./a.out
```

```
8 / 2 = 4
```

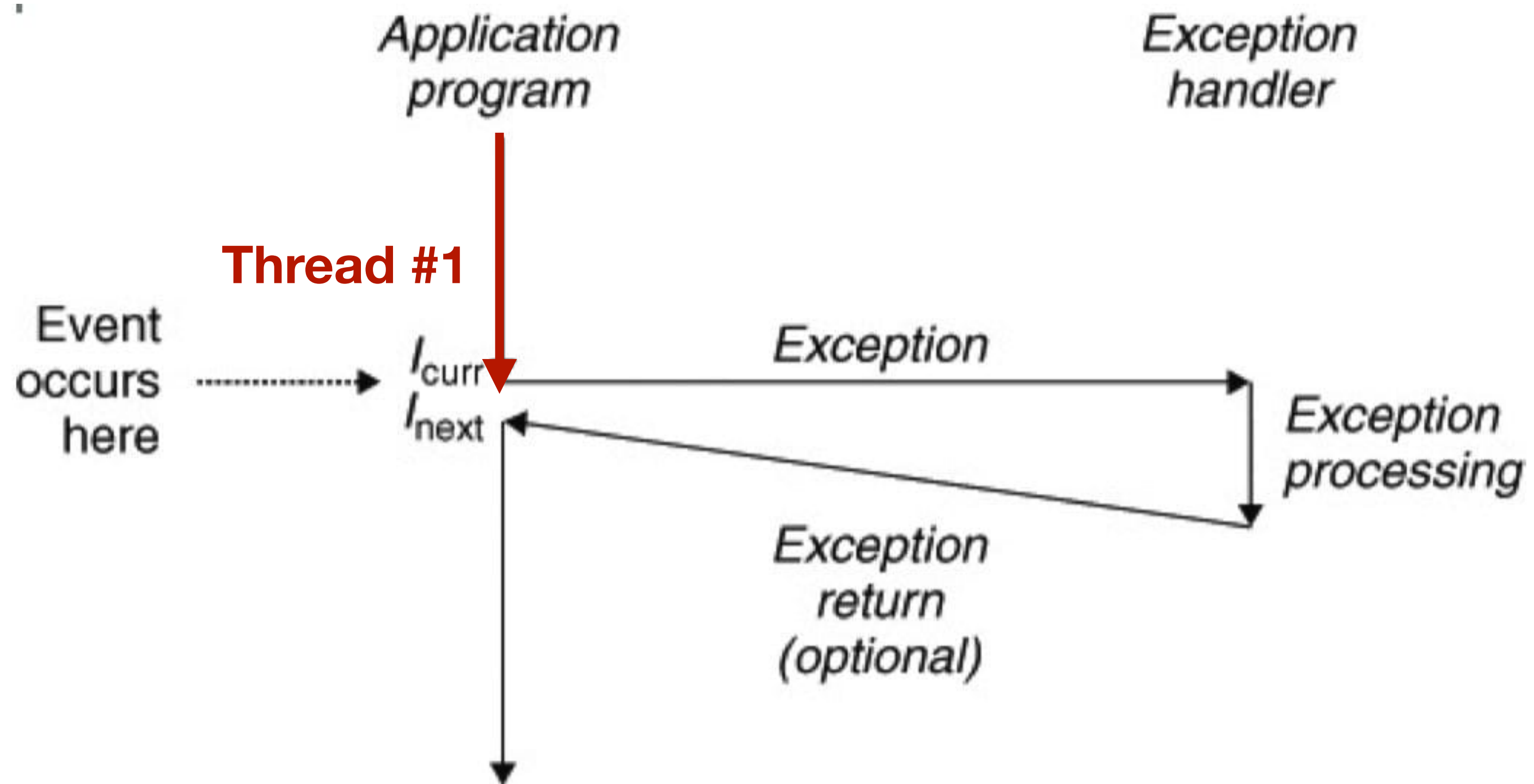
```
[1] 24859 floating point exception ./a.out
```

```
→ tmp █
```

Exception also happens here! Surprise?

System calls also incur exception control flow

CPU executes thread #1 till I_{curr}



Who **initiates** exception?

User Application

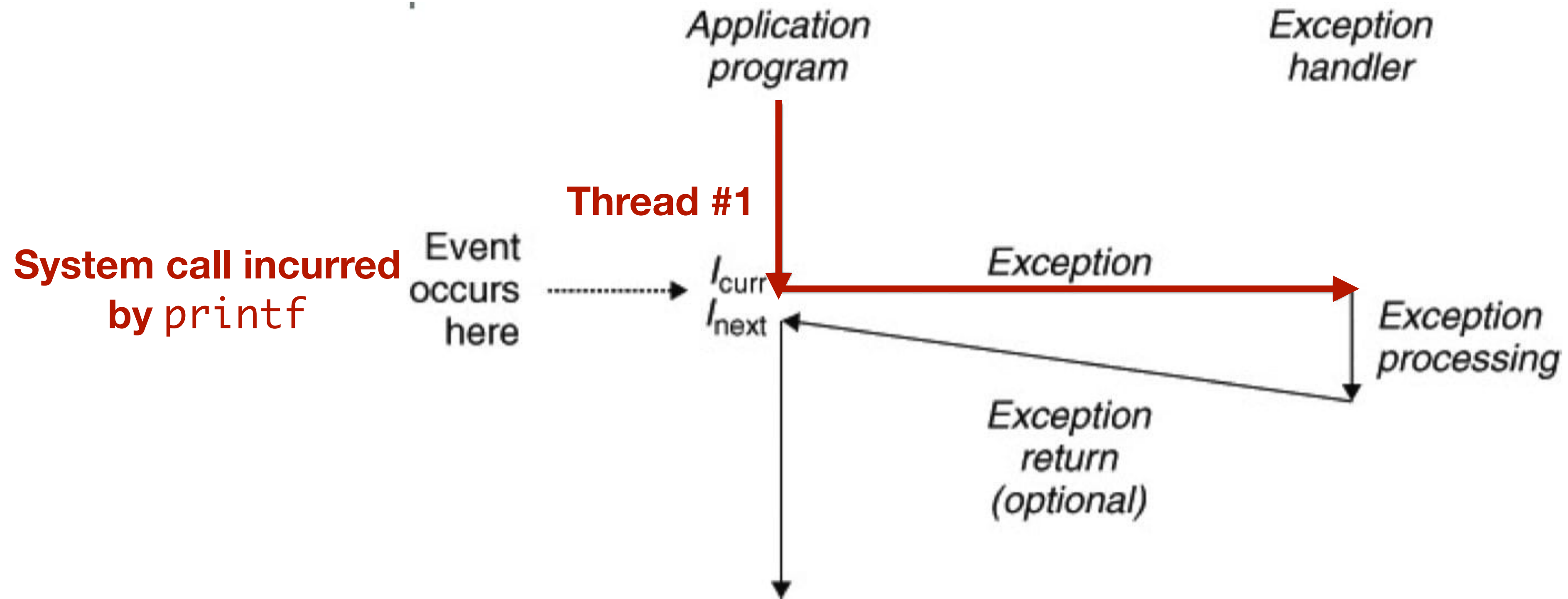
Who **handles** exception?

Operating System

Examples

System Call

I_{curr} is a syscall instruction within printf



Who **initiates** exception?

User Application

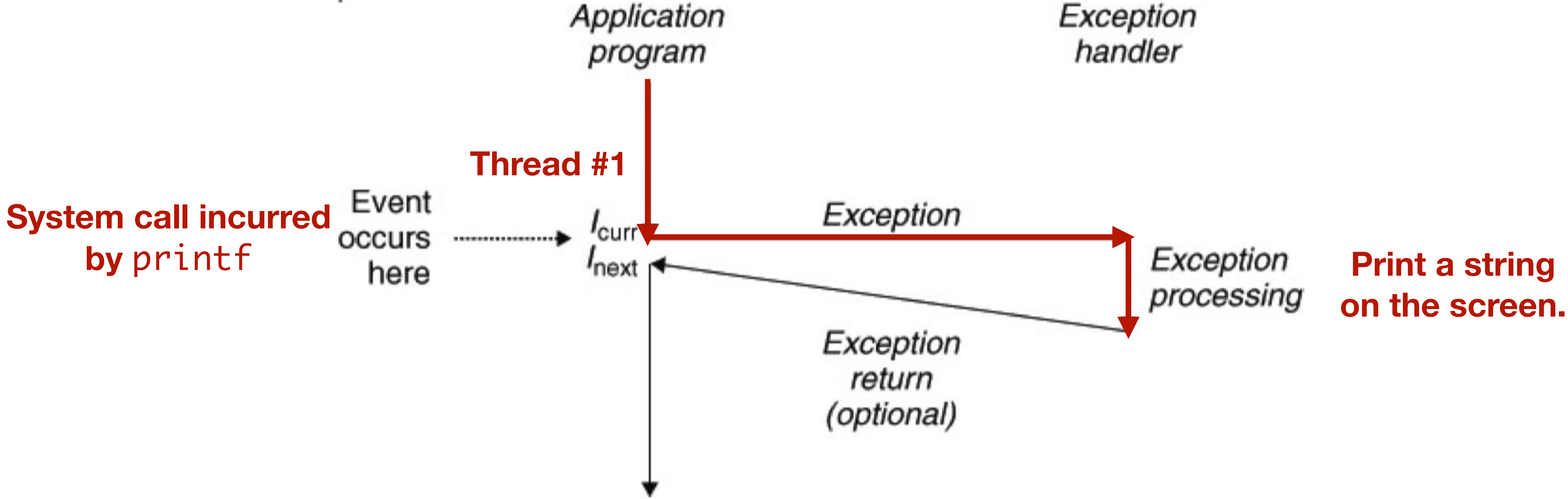
Who **handles** exception?

Operating System

Examples

System Call

OS helps thread #1 print on screen



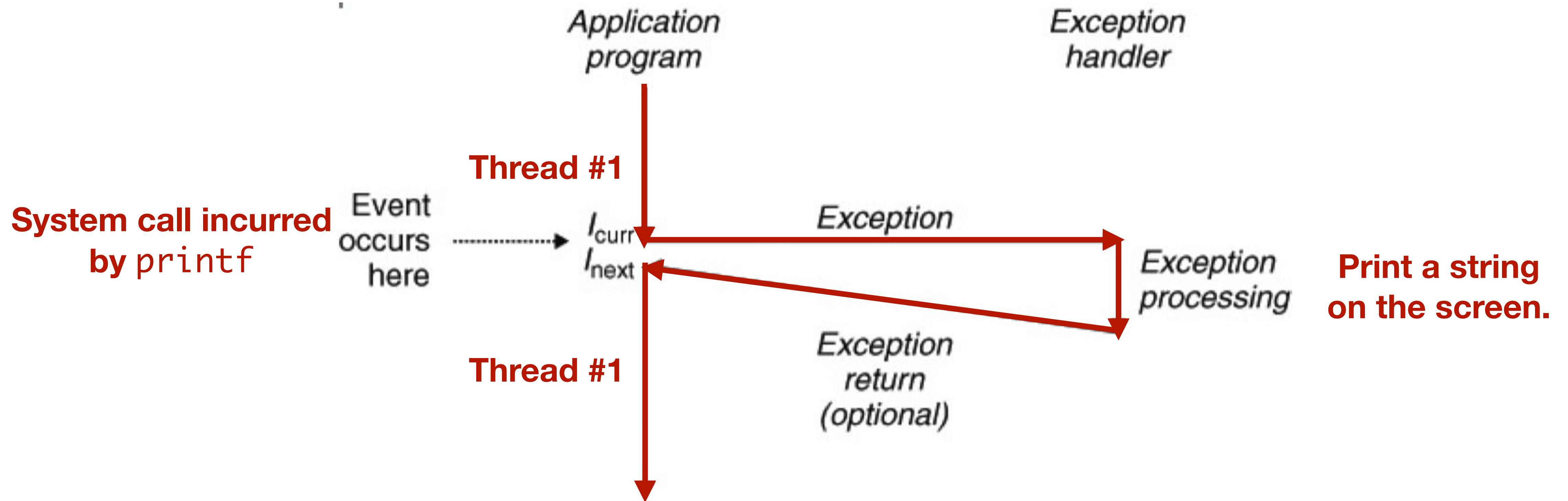
Who initiates exception?	Who handles exception?	Examples
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User Application

Operating System

System Call

Thread #1 continues to execute I_{next}



Who **initiates** exception?

Who **handles** exception?

Examples

User Application

Operating System

System Call

Exception control flow enables preemptive context-switch, system calls and also **safe crash of user application.**

```
[→ tmp cat tmp.c
```

```
#include <stdio.h>
```

```
int main() {
```

```
    int a = 8, b = 2;
```

```
    printf("%d / %d = %d\n", a, b, a/b);
```

```
    b = 0;
```

```
    printf("%d / %d = %d\n", a, b, a/b);
```

```
    return 0;
```

```
}
```

```
[→ tmp gcc tmp.c
```

```
[→ tmp ./a.out
```

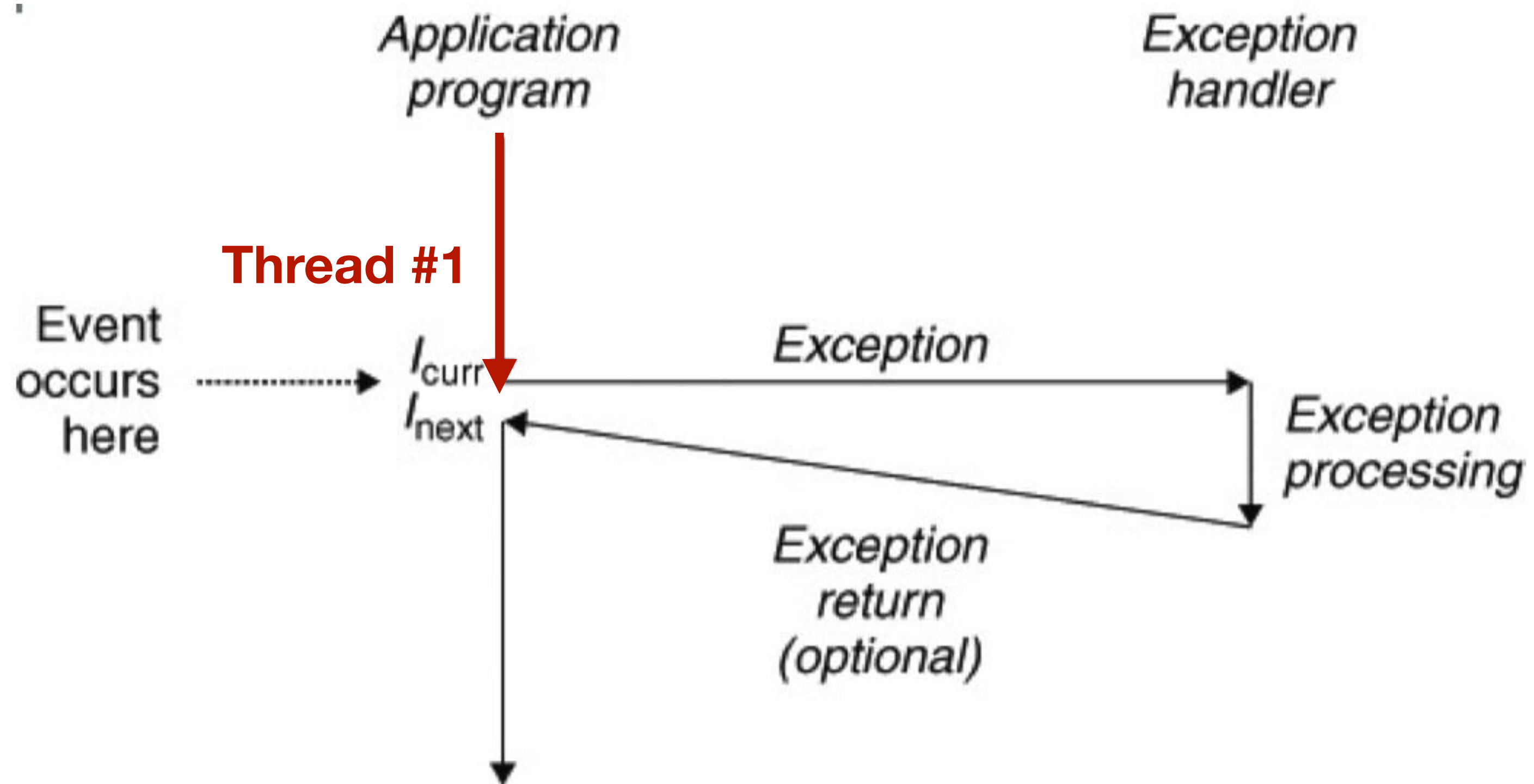
```
8 / 2 = 4
```

```
[1] 24859 floating point exception ./a.out
```

```
→ tmp
```

Exception happens due to divide 0!

CPU executes thread #1 till I_{curr}



Who **initiates** exception?

User Application

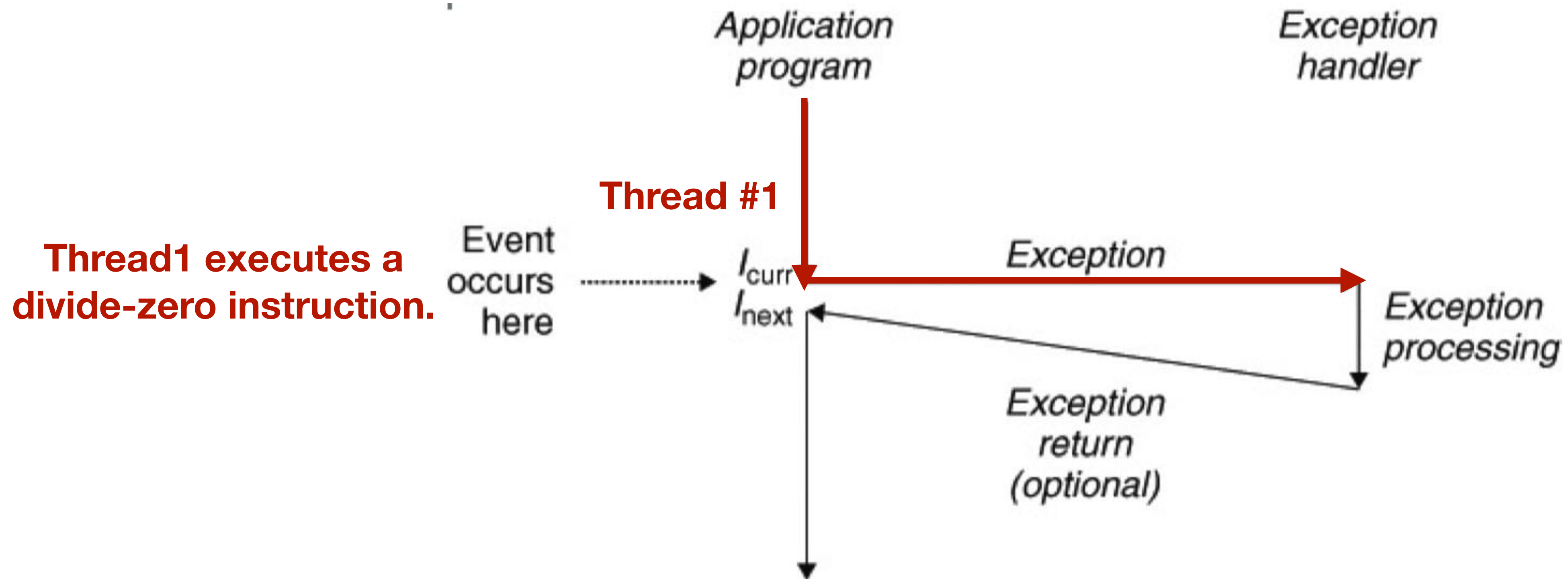
Who **handles** exception?

Operating System

Examples

Divide-zero

I_{curr} is a divide-zero instruction



Who **initiates** exception?

User Application

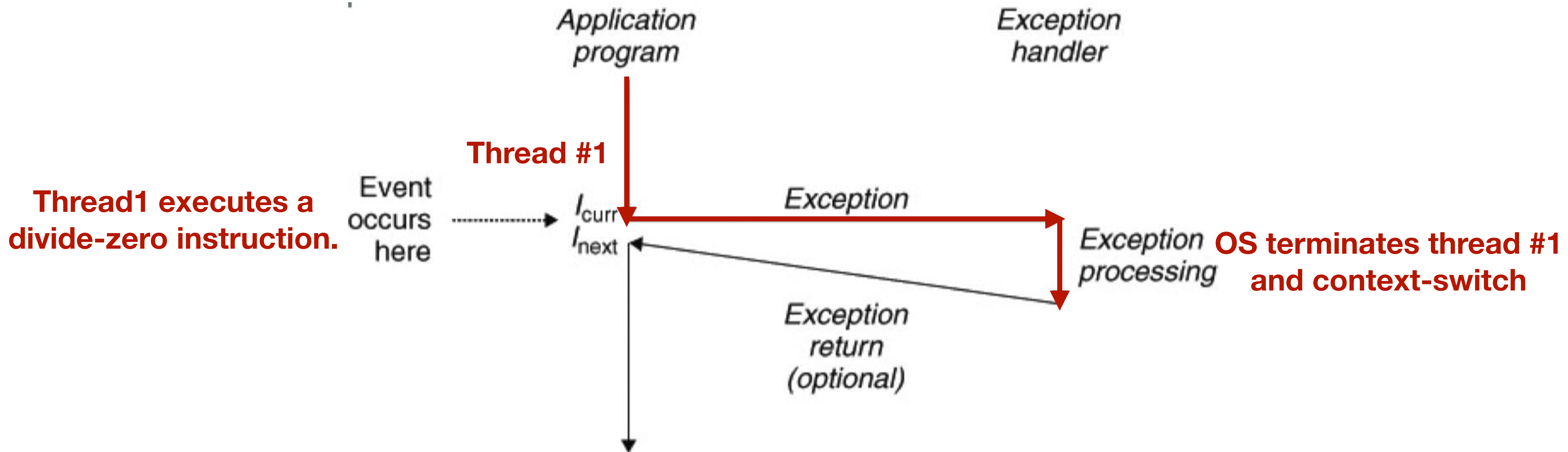
Who **handles** exception?

Operating System

Examples

Divide-zero

OS terminates thread #1



Who **initiates** exception?

Who **handles** exception?

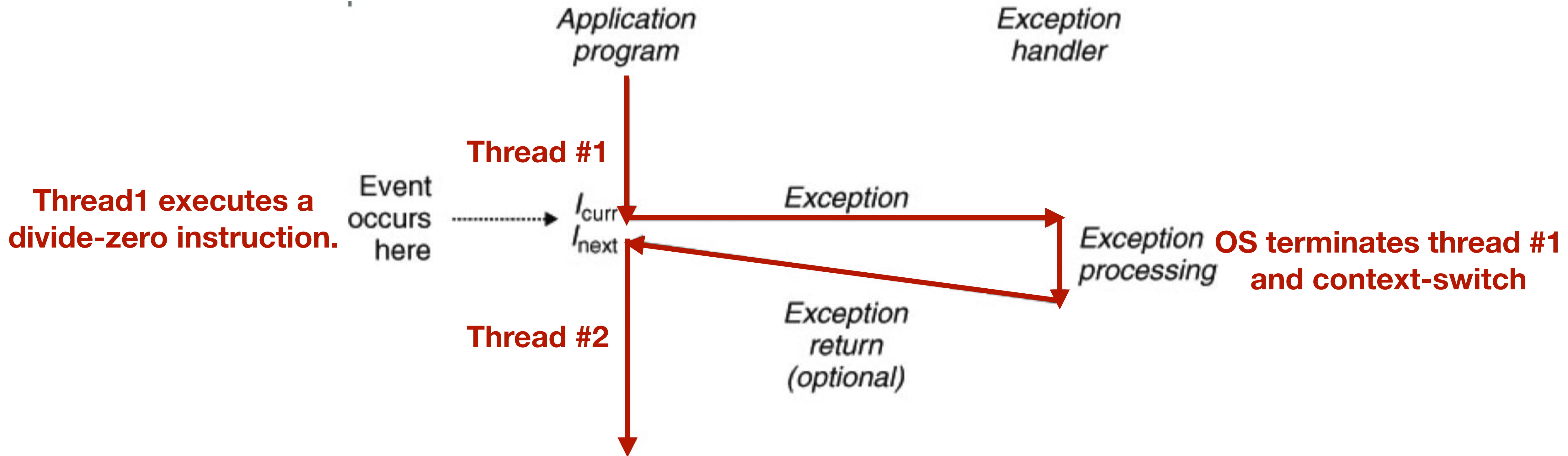
Examples

User Application

Operating System

Divide-zero

CPU executes some other thread



Who **initiates** exception?

Who **handles** exception?

Examples

User Application

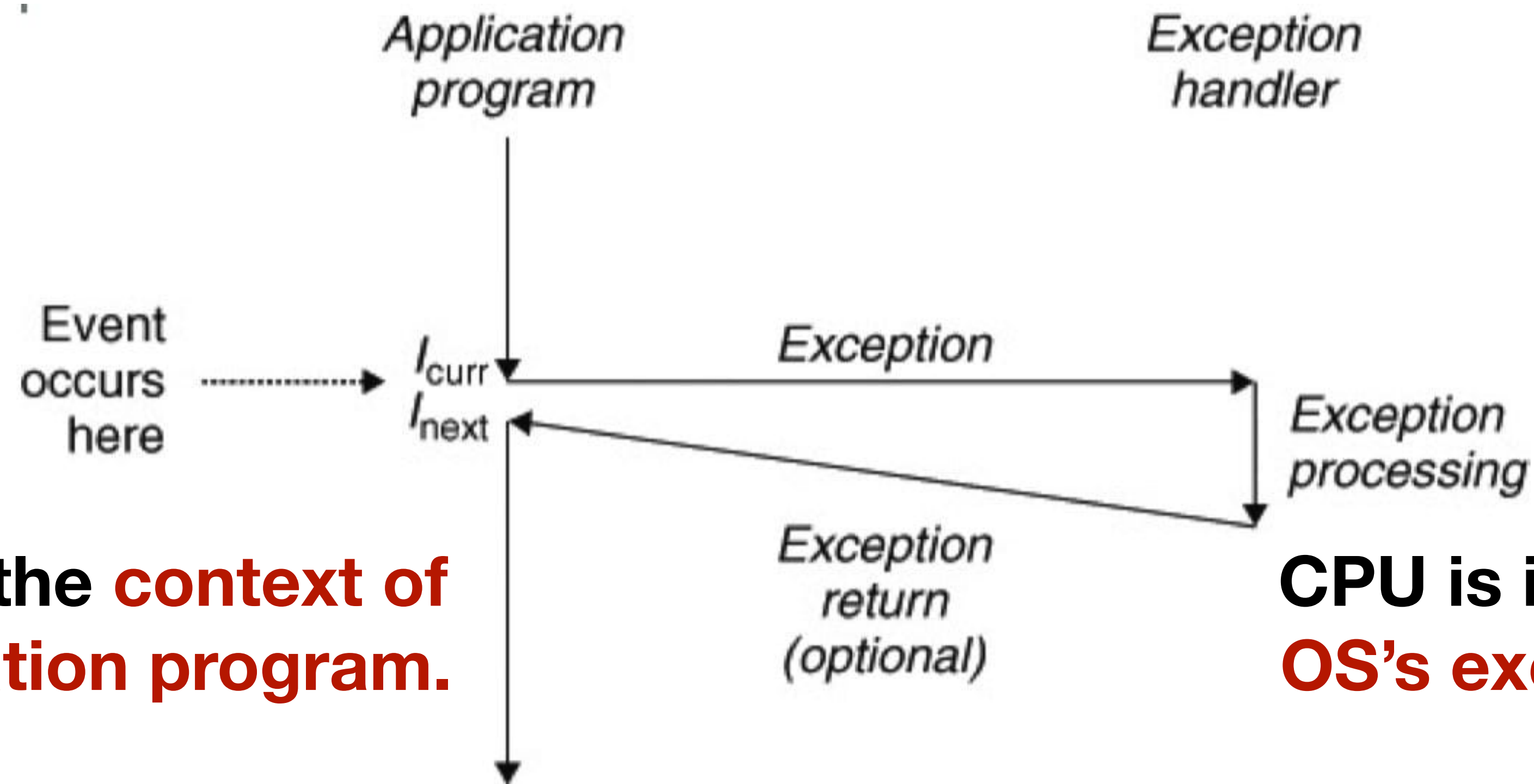
Operating System

Divide-zero

Lesson: exception control flow enables preemptive context-switch, system calls and safe crash of user application.

These exceptions are handled by a handler function in the OS.

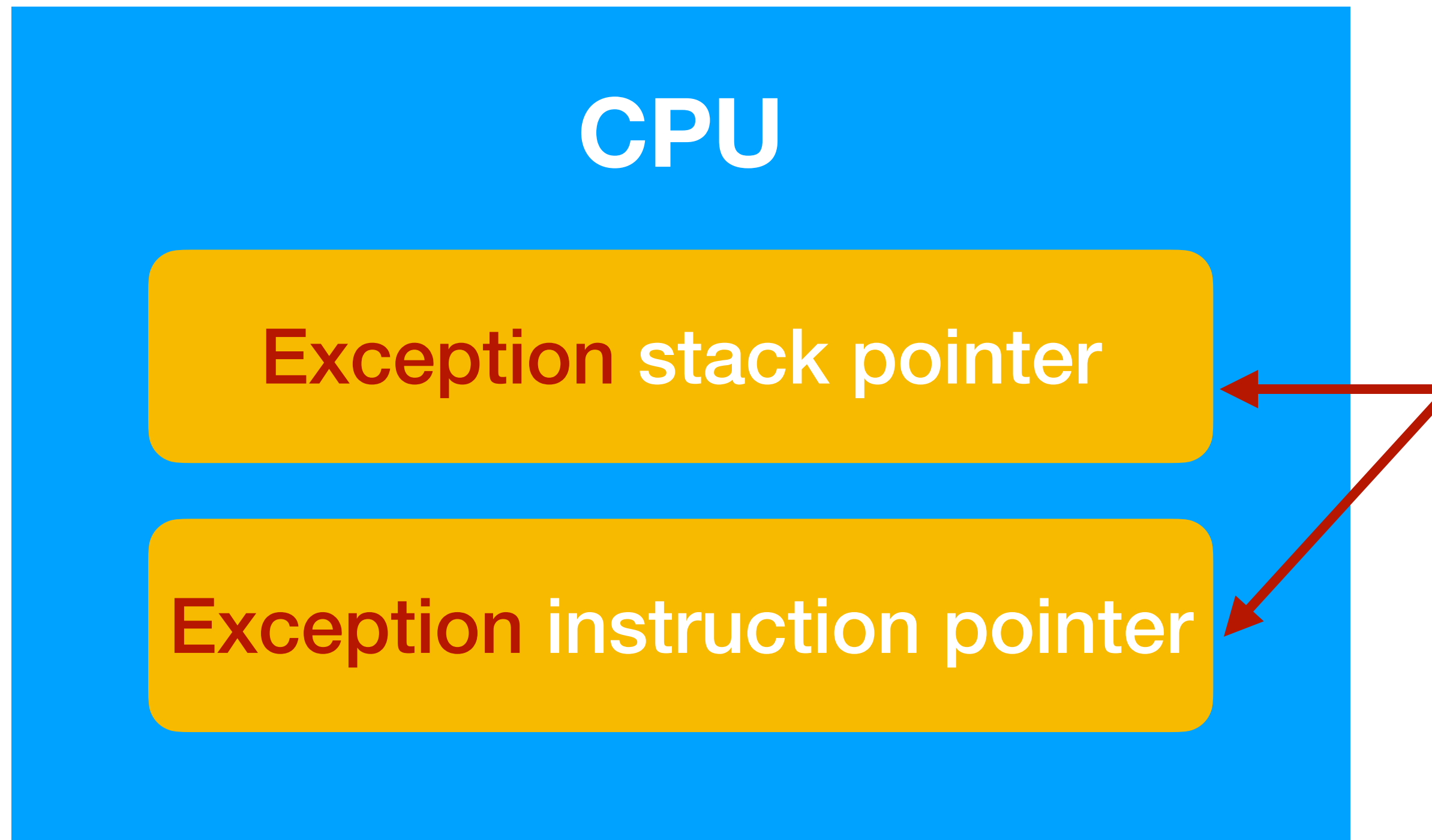
Question: how does the CPU know the context of exception handler?



CPU is in the context of an application program.

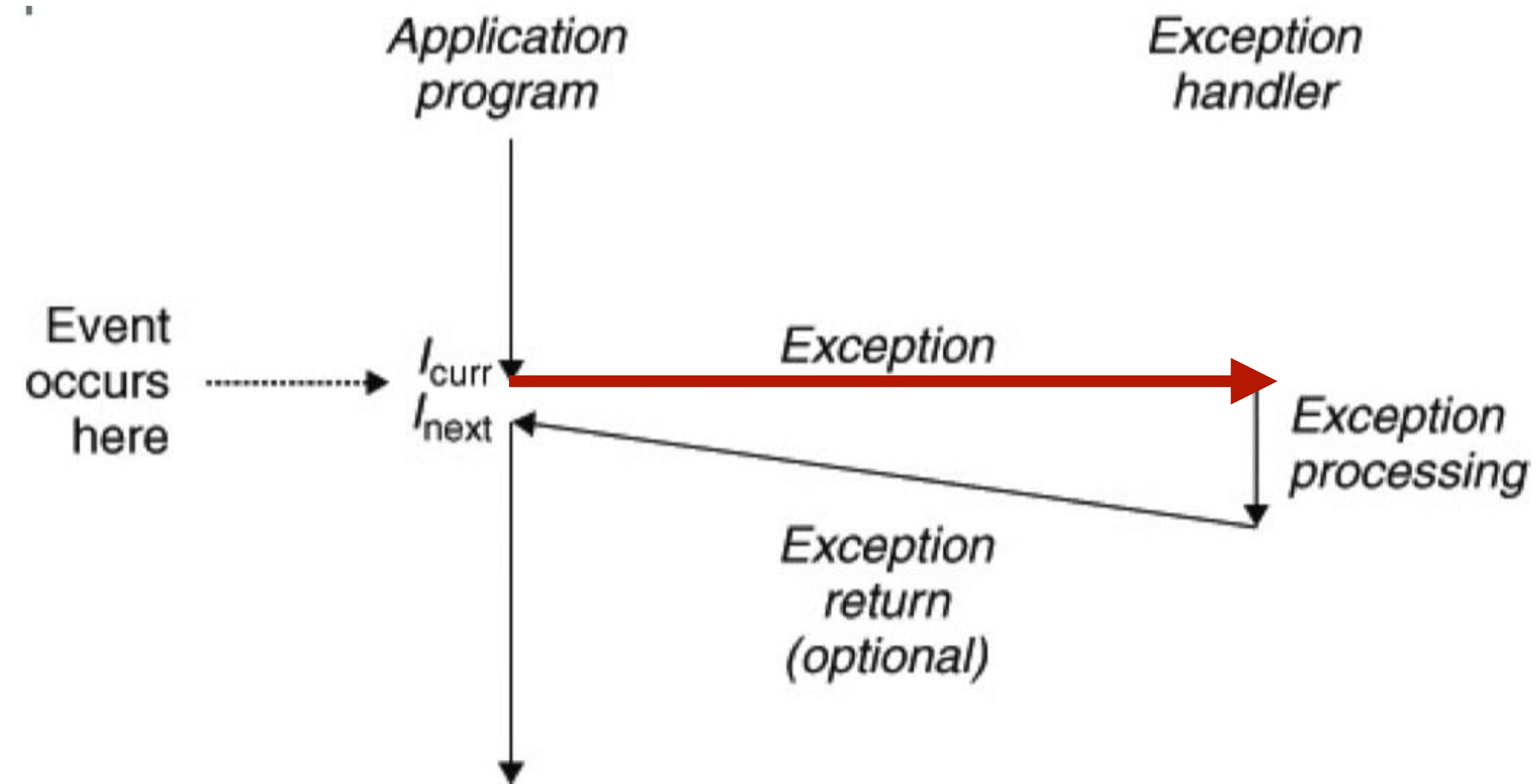
CPU is in the context of OS's exception handler.

CPU has special registers for exception

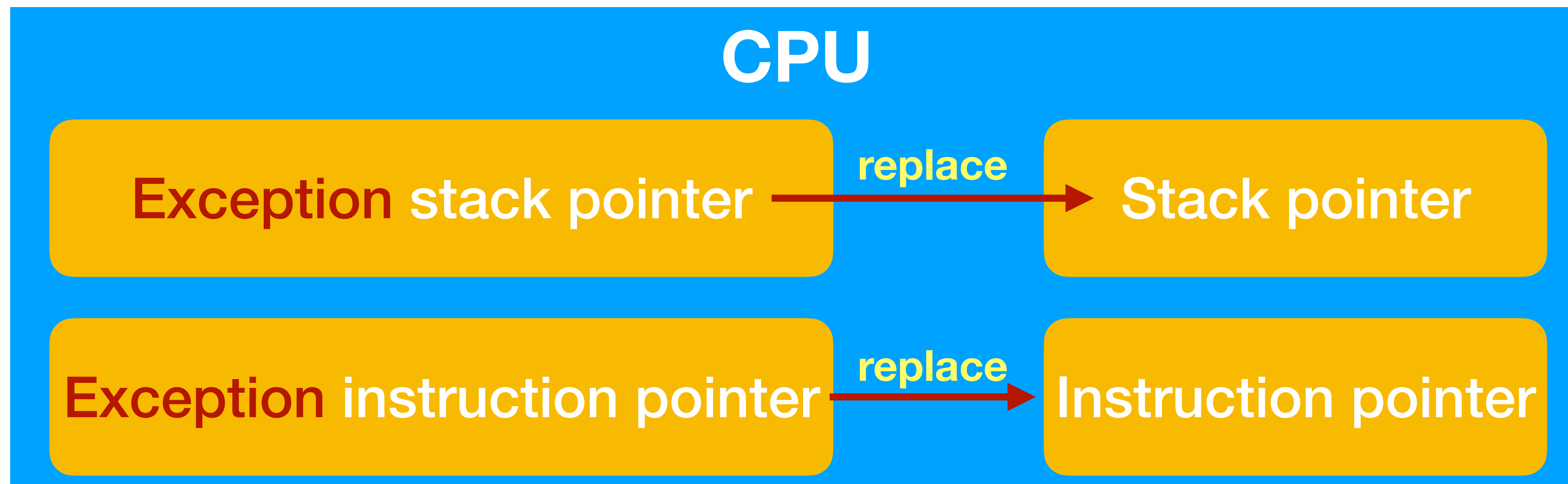


During initialization, OS record in **these registers** the pointers to the code & stack of its exception handler function.

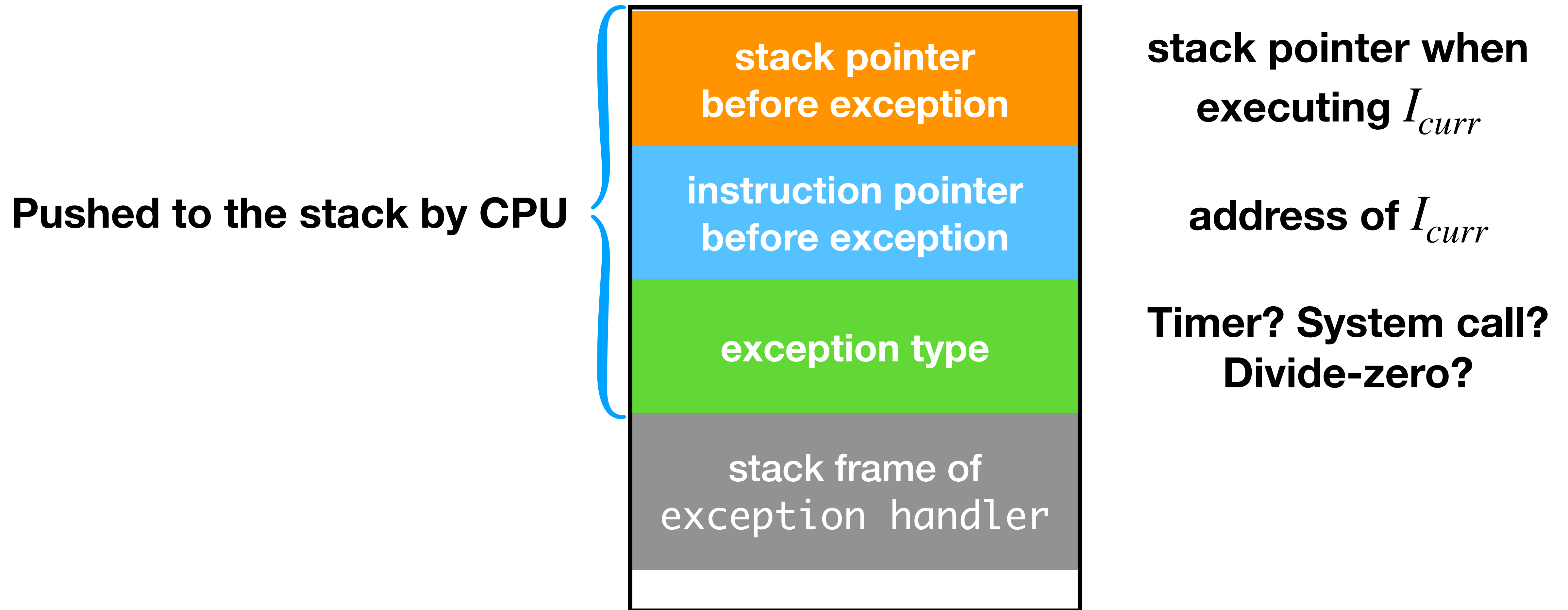
Transfer to exception handler



Transfer to the exception handler (the **red arrow** in left picture) is done by the two "replace" in the below picture.



Exception handler stack

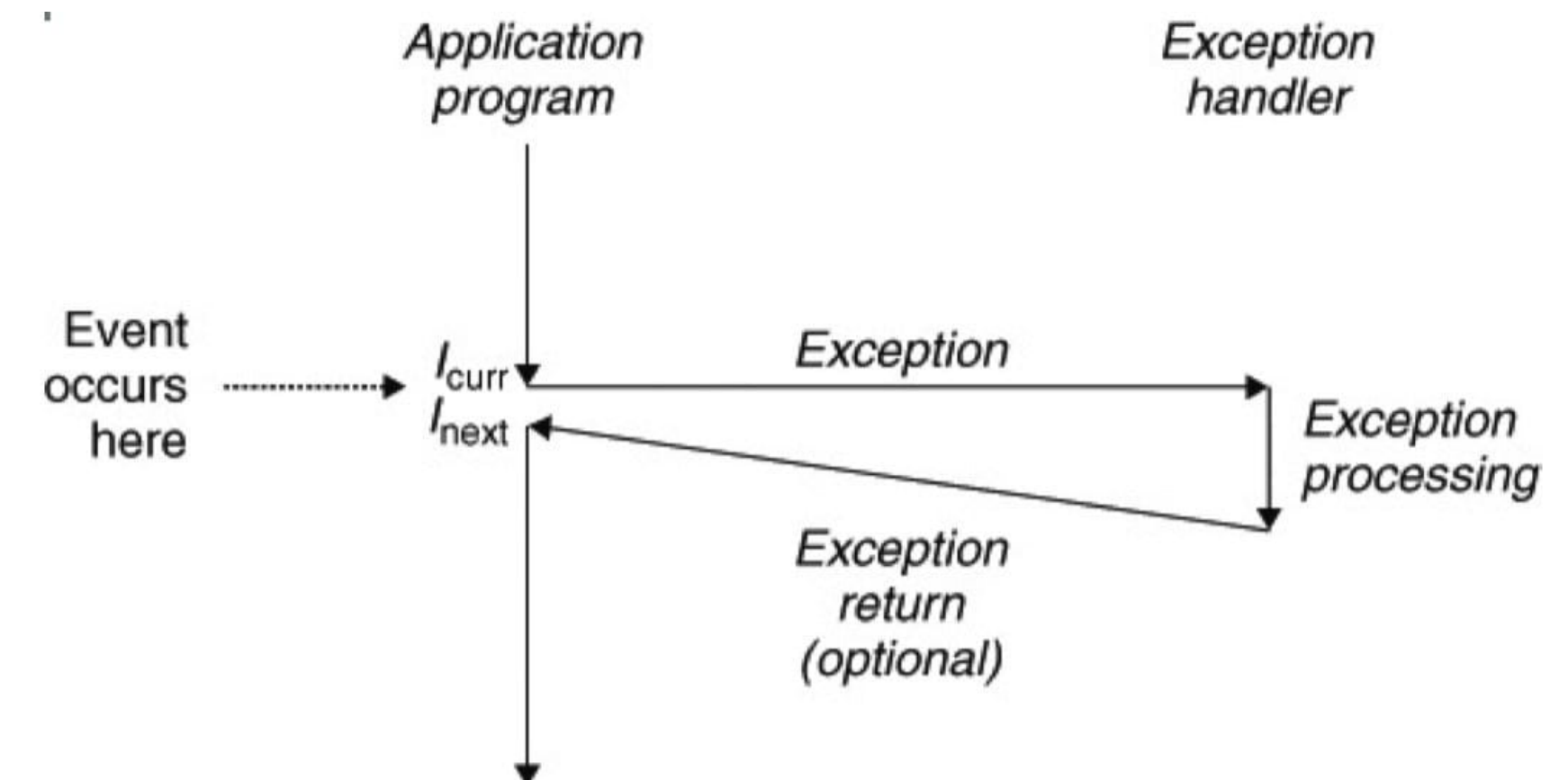


Exception handler in EGOS

```
/* This function is in src/grass/process.c */
void proc_got_interrupt(){
    switch (proc_current->intr_type) {
        case INTR_PAGE_FAULT:
            proc_pagefault((address_t) proc_current->intr_arg, true);
            break;
        case INTR_SYSCALL:
            proc_syscall();
            break;
        case INTR_CLOCK:
            proc_yield();
            break;
        case INTR_IO:
            proc_yield();
            break;
        default:
            assert(0);
    }
}
```

Summary

- **Control flow** is a sequence of instructions.
- An event can cause a CPU to switch from normal control flow to **exception control flow**, which looks like the picture below.
- Exception control flow enables **preemptive context-switch**, **system calls** and **safe crash of user application**.
- Exception control flow is made possible by both the **OS exception handler function** and the **related CPU registers**.



Homework

- P1 is due on Oct 2.
- P2 will be released today and due on Oct 23. Implement the concepts of preemptive context-switch and the MLFQ scheduling algorithm (**next lecture**).
- Further reading: the concept of IRQ: [https://en.wikipedia.org/wiki/Interrupt_request_\(PC_architecture\)](https://en.wikipedia.org/wiki/Interrupt_request_(PC_architecture))