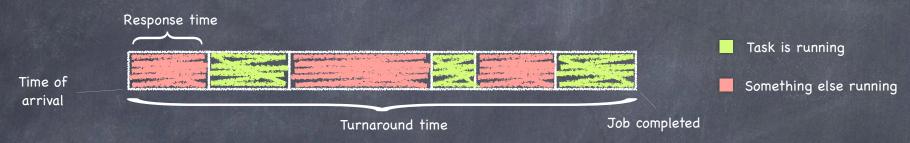
#### Metrics



- Response time
  - □ How long between job's arrival and first time job runs?
- Total waiting time
  - □ How much time on ready queue but not running?
    - sum of "red" intervals above
- Execution time: sum of "green" intervals
- Turnaround time: "red" + "green"
  - □ Time between a job's arrival and its completion
- Throughput: jobs completed/unit of time (e.g. 10 jobs/sec)

#### Other Concerns

- Fairness: Who get the resources?
  - Equitable division of resources
- Starvation: How bad can it get?
  - Lack of progress by some job
- Overhead: How much useless work?
  - Time wasted switching between jobs
- Predictability: How consistent?
  - Low variance in response time for repeated requests

# When does the Scheduler Run?

#### Non-preemptive

- job runs until it voluntarily yields the CPU
  - process blocks on an event (e.g., I/O or P(sem))
  - process explicitly yields
  - process terminates

#### Preemptive

- all of the above, plus timer and other interrupts
  - when processes can't be trusted
- incurs some context switching overhead

### Context switch overhead

- © Cost of saving registers (including, if appropriate, page table register)
- © Cost of scheduler determining which process/ thread to run next
- Cost of restoring registers (including, if appropriate, page table register)
- © Cost of flushing caches□ L1, L2, L3, TLB

# The Perfect Scheduler

- Minimizes response time and turnaround time for each job
- Maximizes overall throughput
- Maximizes resource utilization ("work conserving")
- Meets all deadlines
- Is fair: everyone makes progress, no one starves
- Is envy-free: no core envies the schedule assigned to another core
- Has zero overhead

Alas, no such scheduler exists...

# Basic Scheduling Algorithms

- FIFO (First In First Out) a.k.a. FCFS
- SJF (Shortest Job First)
- EDF (Earliest Deadline First)
  - □ preemptive
- Round Robin
  - □ preemptive
- Shortest Remaining Time First (SRTF)
  - □ preemptive

#### FIFO

- - $\square$  Scenario 1: Schedule order  $J_1,J_2,J_3$



Average Turnaround Time: (12+15+18)/3 = 15

#### FIFO

- arrival time (so can be scheduled in any order)
  - $\square$  Scenario 1: Schedule order  $J_1,J_2,J_3$



Turnaround Time: (12+15+18)/3 = 15

 $\square$  Scenario 2: Schedule order  $J_2,J_3,J_1$ 



Average Turnaround Time: (3+6+18)/3 = 9

Average turnaround time very sensitive to schedule order!

# FIFO Roundup



Simple Low overhead No starvation



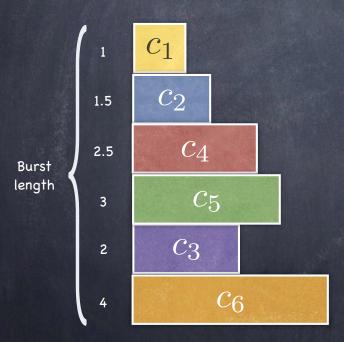
Average turnaround time very sensitive to order/ arrival time



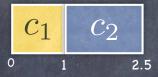
Not responsive to interactive tasks

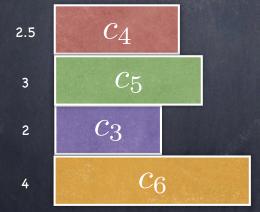
# How to minimize average turnaround time?

Schedule jobs in order of estimated completion time (or, better, shortest length of next CPU burst!)



Schedule jobs in order of estimated completion time





Schedule jobs in order of estimated completion time



- Average Turnaround time (att): 39/6 = 6.5
- Would a different schedule produce a lower turnaround time?

Consider 
$$c_j$$
  $c_i$  where  $c_i < c_j$ 

$$\mathbf{att} = (c_j + (c_i + c_j))/2$$

Schedule jobs in order of estimated completion time



- Average Turnaround time (att): 39/6 = 6.5
- Would a different schedule produce a lower turnaround time?

$$c_i$$
  $c_j$ 

where  $c_i < c_j$ 

$$\mathbf{att} = (c_i + (c_i + c_j))/2$$

$$\mathsf{att} = (c_j + (c_i + c_j))/2$$

# SJF Roundup



Optimal average turnaround time

Job's turnaround time depends on length of other jobs



Pessimal variance in turnaround time for a given task

Need to estimate execution time



Can starve long jobs

# SJF Roundup



Optimal average turnaround time

Job's turnaround time depends on length of other jobs



Pessimal variance in turnaround time for a given task

Need to estimate execution time



Can starve long jobs

# Shortest Process Next (SJF for interactive jobs)

- Enqueue in order of estimated completion time
  - □ Exponential moving average (EMA): Use recent history as indicator of near future
- Let  $t_n=$  duration of  $n^{th}$  CPU burst  $au_n=$  estimated duration of  $n^{th}$  CPU burst  $au_{n+1}=$  estimated duration of next CPU burst

$$\tau_{n+1} = \alpha \tau_n + (1 - \alpha)t_n$$

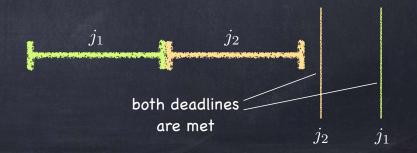
 $0 \le \alpha \le 1$  determines weight placed on past behavior

# Earliest Deadline First (EDF)

- Schedule in order of earliest deadline; preemptive
- If a schedule exists that meets all deadlines, then EDF will generate that schedule!
  - does not even need to know the execution times of the jobs!

#### Informal Proof

- □ Let S be a schedule of a set of jobs that meets all deadlines
- $\square$  Let  $j_1$  and  $j_2$  be two neighboring jobs in S so that  $j_1$ .deadline >  $j_2$ .deadline
- $\square$  Let S' be S with  $j_1$  and  $j_2$  switched
  - S' also meets all deadlines!
- □ Repeat until sorted (i.e., bubblesort)
  - Resulting schedule is EDF



# Earliest Deadline First (EDF)

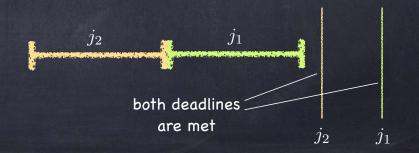
Schedule in order of earliest deadline; preemptive



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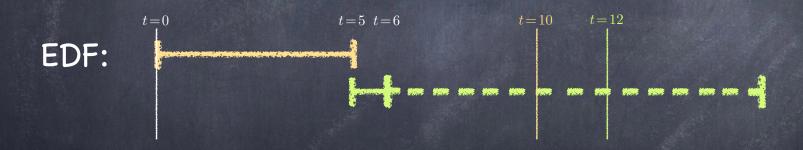
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### When EDF fails

#### Two jobs:

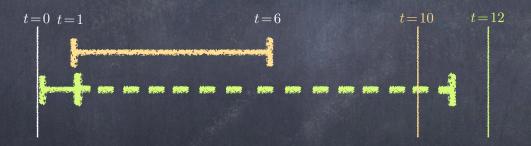
- $\square$   $j_1$ : deadline at t=12; 1 unit of computation, 10 of I/O
- $\square$   $j_2$ : deadline at t=10; 5 units of computation



but...

#### When EDF fails

- Two jobs:
  - $\square$   $j_1$ : deadline at t=12; 1 unit of computation, 10 of I/O
  - $\square$   $j_2$ : deadline at t=10; 5 units of computation



- Need to think of jobs at a finer granularity:
  - $\square$  Real deadline for the computing portion of  $j_1$  is 2!

# EDF Roundup



Meets deadlines if possible (but beware...)
Free of starvation



CPU-bound jobs will make I/O-bound jobs wait



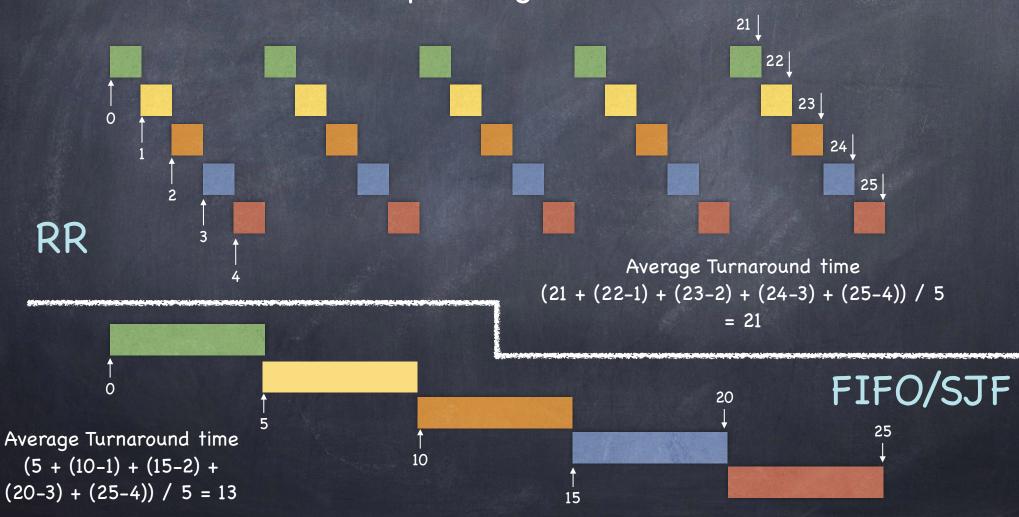
Cannot decide when to run jobs without deadlines

#### Round Robin

- Each process is allowed to run for a quantum
- Context is switched (at the latest) at the end of the quantum — preemption!
- Next job to run is the one that hasn't run for the longest amount of time
- What is a good quantum size?
  - □ Too long, and it morphs into FIFO
  - Too short, and too much time lost context switching
  - Typical quantum: about 100X cost of context switch (~100ms vs. << 1ms)</li>

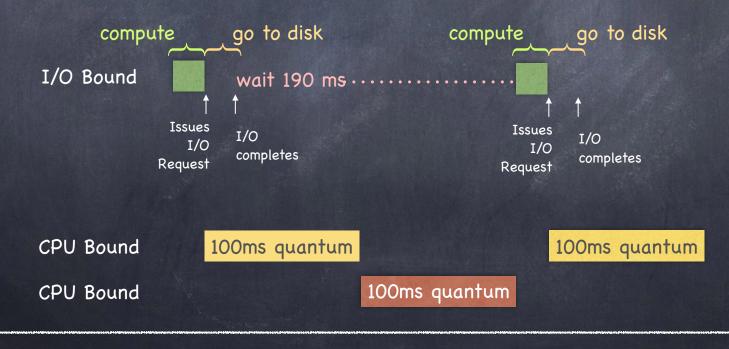
### Round Robin vs FIFO

5 jobs of about equal length (5 units of time)



## At least it is fair.?

- Mix of one I/O-bound and two CPU-bound jobs
  - □ I/O-bound: compute; go to disk; repeat



Time

# Round Robin Roundup



No starvation

Can reduce response time



Overhead of context switching Mix of I/O and CPU bound



Particularly bad average turnaround for close-in arrival-time, equal length jobs

## SJF



# SJF + Preemption



With a preemptive scheduler — SRTF Shortest Remaining Time First

At end of each quantum, scheduler selects job with the least remaining time to run next



- Often same job is selected, avoiding a context switch...
- ...but new short jobs see improved response time

Average Turnaround Time: (120-0)+(20-10)+(30-10)/3 = 50

# SRTF Roundup



Good response time and turnaround time of I/O bound processes



Bad turnaround time and response time for CPU bound processes Need estimate of execution for each job



Starvation