Networking

CS 4410
Operating Systems

[R. Agarwal, L. Alvisi, A. Bracy, M. George, Kurose, Ross, E. Sirer, R. Van Renesse]
Introduction
Application Layer
Transport Layer
Network Layer
Remote Procedure Calls

These slides are being posted far before the actual lecture dates and are therefore subject to tweaks until this message is removed.
Basic Network Abstraction

- A process can create “endpoints”
- Each endpoint has a unique address
- A message is a byte array
- Processes can:
  - receive messages on endpoints
  - send messages to endpoints
Agreement between processes about the content of messages

**Syntax:** Layout of bits, bytes, fields, etc.
- message format

**Semantics:** what fields, messages mean

**Examples:**
- HTTP “get” requests and responses
- HTML is part of the format
- IRL: *Excuse me, please, thank you, etc.*
Network abstraction is usually *layered*

- Like Object Oriented-style inheritance
- Also like the hw/sw stack

**Proposed 7-Layer ISO/OSI reference model (1970’s)**

- Application
- Presentation
- Session
- Transport
- Network
- Link
- Physical

**Actual 5-Layer Internet Protocol Stack**

- Application
- Transport
- Network
- Link
- Physical
<table>
<thead>
<tr>
<th>OSI Layer</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Application</td>
<td>Network-aware applications, clients &amp; servers</td>
</tr>
<tr>
<td>Presentation</td>
<td>Translation between network and application formats (e.g., RPC packages, sockets)</td>
</tr>
<tr>
<td>Session</td>
<td>Connection management</td>
</tr>
<tr>
<td>Transport</td>
<td>Data transfer, reliability, packetization, retransmission. Lets multiple apps share 1 network connection</td>
</tr>
<tr>
<td>Network</td>
<td>Path determination across multiple network segments, routing, logical addressing.</td>
</tr>
<tr>
<td>Link</td>
<td>Decides whose turn it is to talk, finds physical device on network.</td>
</tr>
<tr>
<td>Physical</td>
<td>Exchanges bits on the media (electrical, optical, etc.)</td>
</tr>
</tbody>
</table>
## Internet Protocol Stack

<table>
<thead>
<tr>
<th>Layer</th>
<th>Function</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Application</strong></td>
<td>Exchanges <em>messages</em></td>
<td>HTTP, FTP, DNS</td>
</tr>
<tr>
<td><strong>Transport</strong></td>
<td>Transports messages; exchanges <em>segments</em></td>
<td>TCP, UDP</td>
</tr>
<tr>
<td><strong>Network</strong></td>
<td>Transports segments; exchanges <em>datagrams</em></td>
<td>IP, ICMP (ping)</td>
</tr>
<tr>
<td><strong>Link</strong></td>
<td>Transports datagrams; exchanges <em>frames</em></td>
<td>Ethernet, WiFi</td>
</tr>
<tr>
<td><strong>Physical</strong></td>
<td>Transports frames; exchanges <em>bits</em></td>
<td>Wires, signal encoding</td>
</tr>
</tbody>
</table>
Who does what?

<table>
<thead>
<tr>
<th>Application</th>
<th>HTTP, FTP, DNS (these are usually in libraries)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transport</td>
<td>TCP, UDP</td>
</tr>
<tr>
<td>Network</td>
<td>IP, ICMP (ping)</td>
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<td>Link</td>
<td>Ethernet, WiFi</td>
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<tr>
<td>Physical</td>
<td>wires, signal encoding</td>
</tr>
</tbody>
</table>

(Hard to draw firm lines here)

- Each host has 1+ Network Interface Cards (NIC)
- Attaches into host’s system buses
- Combination of hardware, software, firmware
Layers support **Modularity**

Each layer:
- relies on services from layer below
- exports services to layer above
Can identify the relationship between distinct pieces of complex system.

Interfaces between layers:
- Hide implementation details
- Ease maintenance, updates
  - change of implementation of layer’s service transparent to rest of system
Internet, The Big Picture

How about an analogy?

Endpoints

Routers
The Big Picture

Application \(\xrightarrow{messages} \) Transport \(\xleftarrow{segments} \) Ports (http: 80, DNS: 53, Telnet: 23) \\
Transport \(\xrightarrow{datagrams} \) Network \(\xleftarrow{IP \, addresses \, (192.168.100.254)} \) or packets \\
Network \(\xrightarrow{frames} \) Data Link \(\xleftarrow{MAC \, Addresses \, (00.12.F4.AB.0C.82)} \) \\
Data Link \(\xrightarrow{bits} \) Physical \\
Physical
The Big Picture

Application → Transport → Network → Data Link → Physical

Transport → Application

Application messages Transport segments Network datagrams Data Link frames Physical bits

Router1 → Router2
Encapsulation

**source**
- message
- segment
- datagram
- frame

**destination**
- application
- transport
- network
- link
- physical

**Headers**
- Transport: src & dst ports + ...
- Network: src & dest IP addr + ...
- Link: src & dest MAC addr + ...

**router**
End-to-End Argument

• Occam’s Razor for Internet architecture
• Application-specific properties are best provided by the applications, **not the network**
  – Guaranteed, or ordered, packet delivery, duplicate suppression, security, *etc.*

• Internet performs the simplest packet routing and delivery service it can
  – Packets are sent on a best-effort basis
  – Higher-level applications do the rest
End-to-End Example

Should the network guarantee packet delivery?

**Consider:** a file transfer program (read file from disk, send it, receiver reads packets & writes them to disk)

- **Q:** If network guarantees delivery, wouldn’t applications be simpler? (no retransmissions!)
- **A:** no, still need to check that file was written to remote disk intact

A check is necessary if nodes can fail.

- Applications need to be written to perform their own retransmits

*Why burden the network with properties that can, and must, be implemented at the periphery?*
Application Layer

Several figures in this section come from “Computer Networking: A Top Down Approach” by Jim Kurose, Keith Ross
Naming

**People**
• SSN, NetID, Passport #

**Internet Hosts, Routers**

1. IP address (32 bit), 151.101.117.67
   - For now, 32-bit descriptor, like a phone number
   - Longer addresses in the works…
   - Assigned to hosts by their internet service providers
   - **Not physical:** does not identify a single node, can swap machines and reuse the same IP address
   - **Not entirely virtual:** determines how packets get to you, changes when you change your ISP

2. Virtual: “name” www.cnn.com
   - Used by humans (no one wants to remember a bunch of #s)

How to convert hostname to IP address?
Domain Name System (DNS)

Distributed, Hierarchical Database

- Application-Layer Protocol: hosts & name servers communicate to resolve names
- Names are separated by dots into components
  
  *Not to be confused with dots in IP addresses (in which the order of least significant to most significant is reversed)*
- Components are looked up from the right to the left
- All siblings must have unique names
- Lookup occurs from the top down
DNS: root name servers

Contacted by local name server that can not resolve name
- owned by Internet Corporation for Assigned Names & Numbers (ICANN)
- contacts authoritative name server if name mapping not known
- gets mapping
- returns mapping to local name server

13 root name “servers” worldwide

- a. Verisign, Los Angeles CA (5 other sites)
- b. USC-ISI Marina del Rey, CA
- c. Cogent, Herndon, VA (5 other sites)
- d. U Maryland College Park, MD
- e. NASA Mt View, CA
- f. Internet Software C. Palo Alto, CA (and 48 other sites)
- g. US DoD Columbus, OH (5 other sites)
- h. ARL Aberdeen, MD
- i. Netnod, Stockholm (37 other sites)
- j. Verisign, Dulles VA (69 other sites)
- k. RIPE London (17 other sites)
- l. ICANN Los Angeles, CA (41 other sites)
- m. WIDE Tokyo (5 other sites)
DNS Lookup

1. the client asks its local nameserver
2. the local nameserver asks one of the root nameservers
3. the root nameserver replies with the address of the authoritative nameserver
4. the server then queries that nameserver
5. repeat until host is reached, cache result.

Example: Client wants IP addr of www.amazon.com

1. Queries root server to find com DNS server
2. Queries .com DNS server to get amazon.com DNS server
3. Queries amazon.com DNS server to get IP address for www.amazon.com
DNS Services

Simple, hierarchical namespace works well
- Can name anything
- Can alias hosts
- Can cache results
- Can share names (replicate web servers by having 1 name correspond to many IP addresses)

Q: Why not centralize?
- Single point of failure
- Traffic volume
- Distant Centralized Database
- Maintenance

A: Does not scale!
What about security? (don’t ask!)
Application Layer

• Network-aware applications
• Clients & Servers
• Peer-to-Peer
Sockets

“Door” between application process and end-end-transport protocol

Sending process:

• shoves message out door
• relies on transport infrastructure on other side of door to deliver message to socket at receiving process
Socket programming

Two socket types for two transport services:
- UDP: unreliable datagram
- TCP: reliable, byte stream-oriented

Host could be running many network applications at once. Distinguish them by binding the socket to a port number:
- 16 bit unsigned number
- 0-1023 are well-known
  (web server = 80, mail = 25, telnet = 23)
- the rest are up for grabs (see A3)
Application Example

1. Client reads a line of characters (data) from its keyboard and sends data to server
2. Server receives the data and converts characters to uppercase
3. Server sends modified data to client
4. Client receives modified data and displays line on its screen
Socket programming with UDP

No “connection” between client & server
- no handshaking before sending data
- **Sender:** explicitly attaches destination IP address & port # to each packet
- **Receiver:** extracts sender IP address and port # from received packet

Data may be lost, received out-of-order

**Application viewpoint:** UDP provides unreliable transfer of groups of bytes (“datagrams”) between client and server
Client/server socket interaction: UDP

**Server** (running on serverIP)  
create `serversocket`, bind to port `x`

**Client**
create `clientsocket`
create message
send message to (serverIP, port `x`) via `clientsocket`

read data (and clientAddr) from `serversocket`
modify data
send modified data to clientAddr via `serversocket`

receive message (and serverAddr) from `clientsocket`
close `clientsocket`
import socket  # include Python’s socket library
serverName = 'servername'
serverPort = 12000

#create UDP socket
clientSocket = socket.socket(socket.AF_INET, socket.SOCK_DGRAM)

#get user input
message = input('Input lowercase sentence: ‘

# send with server name + port
clientSocket.sendto(message.encode(), (serverName, serverPort))

# get reply from socket and print it
modifiedMessage, serverAddress = clientSocket.recvfrom(2048)
print(modifiedMessage.decode())

clientSocket.close()
import socket  # include Python’s socket library
serverPort = 12000

# create UDP socket & bind to local port 12000
serverSocket = socket.socket(socket.AF_INET, socket.SOCK_DGRAM)
serverSocket.bind(('', serverPort))
print("The server is ready to receive")

while True:
    # Read from serverSocket into message, 
    # getting client’s address (client IP and port) 
    message, clientAddress = serverSocket.recvfrom(2048)
    print("received message: "+message.decode())
    modifiedMsg = message.decode().upper()
    print("sending back to client")

    # send uppercase string back to client 
    serverSocket.sendto(modifiedMsg.encode(), clientAddress)
Socket programming w/ TCP (A3)

Client must contact server

Server:
- already running
- server already created “welcoming socket”

Client:
- Creates TCP socket w/ IP address, port # of server
- Client TCP establishes connection to server TCP

- when contacted by client, server TCP creates new socket to communicate with that particular client
- allows server to talk with multiple clients
- source port #s used to distinguish clients

Application viewpoint: TCP provides reliable, in-order byte-stream transfer between client & server
Client/server socket interaction: TCP

**Server** (running on hostID)

create welcoming *serversocket*, bind to port $x$

in response to connection request, create *connectionsocket*

read data from *connectionsocket*

modify data

send modified data to *clientAddr* via *connectionsocket*

close *connectionsocket*

**Client**

create *clientsocket*

connect to (hostID, port $x$)

create message

send message via *clientsocket*

receive message from *clientsocket*

close *clientsocket*
import socket  # include Python’s socket library
serverName = ‘servername’
serverPort = 12000

#create TCP socket for server on port 12000
clientSocket = socket.socket(socket.AF_INET, socket.SOCK_STREAM)
clientSocket.connect((serverName, serverPort))

#get user input
message = input('Input lowercase sentence: ‘

# send (no need for server name + port)
clientSocket.send(message.encode())

# get reply from socket and print it
modifiedMessage, serverAddress = clientSocket.recvfrom(1024)
print(modifiedMessage.decode())

clientSocket.close()
import socket  # include Python’s socket library
serverPort = 12000

# create TCP welcoming socket & bind to server port 12000
serverSocket = socket.socket(socket.AF_INET, socket.SOCK_STREAM)
serverSocket.bind(('', serverPort))
# server begins listening for incoming TCP requests
serverSocket.listen(1)
print("The server is ready to receive")

while True:
    # server waits on accept() for incoming requests
    # new socket created on return
    connectionSocket, addr = serverSocket.accept()
    message = connectionSocket.recv(1024).decode()
    print("received message: "+message)
    modifiedMsg = message.upper()

    # send uppercase string back to client
    connectionSocket.send(modifiedMsg.encode())

    # close connection to this client, but not welcoming socket
    connectionSocket.close()
Transport Layer: UDP & TCP

Several figures in this section come from “Computer Networking: A Top Down Approach” by Jim Kurose, Keith Ross
Transport services and protocols

• Provide **logical** communication between processes on different hosts

• Run in end systems
  
  • **Sender**: packages messages into segments, passes to network layer
  
  • **Receiver**: reassembles segments into messages, passes to application layer

App chooses protocol it wants (e.g., TCP or UDP)
Transport services and protocols

User Datagram Protocol (UDP)
- unreliable, unordered delivery
- no-frills extension of best-effort IP

Transmission Control Protocol (TCP)
- reliable, in-order delivery
- congestion control
- flow control
- connection setup

Services not available:
- delay guarantees
- bandwidth guarantees
**How to create a segment**

**Sending application:**
- specifies IP address and destination port
- uses socket bound to a source port

**Transport Layer:**
- breaks application message into smaller chunks
- adds transport-layer header to each

**Network Layer:**
- adds network-layer header (with IP address)

<table>
<thead>
<tr>
<th>src port #</th>
<th>dest port #</th>
</tr>
</thead>
<tbody>
<tr>
<td>src IP addr</td>
<td>dst IP addr</td>
</tr>
</tbody>
</table>

| other header fields |
| application message (payload) |

TCP/UDP segment format:
Multiplexing at Sender

- handles data from multiple sockets
- adds transport header (later used for demultiplexing)

sources

destination

- host: IP address A
- application P3
- transport
- network
- link
- physical
- src dst: B, A
- 80 9157

destination

- server: IP address B
- application P4
- transport
- network
- link
- physical
- src dst: B, C
- 53 5775

destination

- host: IP address C
- application
- transport
- network
- link
- physical
- src dst

• handles data from multiple sockets
• adds transport header (later used for demultiplexing)
Demultiplexing at Receiver

- use header information to deliver received segments to correct socket
User Datagram Protocol (UDP)

- no frills, bare bones transport protocol
- **best effort** service, UDP segments may be:
  - lost
  - delivered out-of-order, duplicated to app
- **connectionless:**
  - no handshaking between UDP sender, receiver
  - each UDP segment handled independently of others
- reliable transfer still possible:
  - **add reliability at application layer**
  - application-specific error recovery!

*I was gonna tell you guys a joke about UDP…
But you might not get it*
Connectionless demux: example

Host receives 2 UDP segments:
- checks **dst port**, directs segment to socket w/that port
- **different src IP or port** but **same dst port** → **same socket**
- application must sort it out
UDP Segment Format

- Length (in bytes) of UDP segment, including header

<table>
<thead>
<tr>
<th>Source Port #</th>
<th>Destination Port #</th>
</tr>
</thead>
<tbody>
<tr>
<td>Length</td>
<td>Checksum</td>
</tr>
<tr>
<td>Application Message (payload)</td>
<td></td>
</tr>
</tbody>
</table>

UDP header size: 8 bytes

(IP address will be added when the segment is turned into a datagram/packet at the Network Layer)
UDP Advantages & Disadvantages

**Speed:**
- no connection establishment (which can add delay)
- no congestion control: UDP can blast away as fast as desired

**Simplicity:**
- no connection state at sender, receiver
- small header size (8 bytes)

*(Possibly) Extra work for applications:*
Need to handle reordering, duplicate suppression, missing packets

*Not all applications will care about these!*
Who uses UDP?

**Target Users:** streaming multimedia apps
- loss tolerant (*occasional packet drop OK*)
- rate sensitive (*want constant, fast speeds*)

UDP is good to build on
# Applications & their transport protocols

<table>
<thead>
<tr>
<th>Application</th>
<th>Application-Layer Protocol</th>
<th>Underlying Transport Protocol</th>
</tr>
</thead>
<tbody>
<tr>
<td>Electronic mail</td>
<td>SMTP</td>
<td>TCP</td>
</tr>
<tr>
<td>Remote terminal access</td>
<td>Telnet</td>
<td>TCP</td>
</tr>
<tr>
<td>Web</td>
<td>HTTP</td>
<td>TCP</td>
</tr>
<tr>
<td>File transfer</td>
<td>FTP</td>
<td>TCP</td>
</tr>
<tr>
<td>Remote file server</td>
<td>NFS</td>
<td>Typically UDP</td>
</tr>
<tr>
<td>Streaming multimedia</td>
<td>typically proprietary</td>
<td>UDP or TCP</td>
</tr>
<tr>
<td>Internet telephony</td>
<td>typically proprietary</td>
<td>UDP or TCP</td>
</tr>
<tr>
<td>Network management</td>
<td>SNMP</td>
<td>Typically UDP</td>
</tr>
<tr>
<td>Routing protocol</td>
<td>RIP</td>
<td>Typically UDP</td>
</tr>
<tr>
<td>Name translation</td>
<td>DNS</td>
<td>Typically UDP</td>
</tr>
</tbody>
</table>
Transmission Control Protocol (TCP)

• Reliable, ordered communication
• Standard, adaptive protocol that delivers good-enough performance and deals well with congestion
• All web traffic travels over TCP/IP

• Why? enough applications demand reliable ordered delivery that they should not have to implement their own protocol
TCP Segment Format

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>HL: header len</td>
<td>Number of bytes in the header</td>
</tr>
<tr>
<td>U: urgent data</td>
<td>URG (urgent) flag set</td>
</tr>
<tr>
<td>A: ACK # valid</td>
<td>ACK # valid flag set</td>
</tr>
<tr>
<td>P: push data now</td>
<td>PSH (push) flag set</td>
</tr>
<tr>
<td>RST, SYN, FIN:</td>
<td>Connection commands (setup, teardown)</td>
</tr>
<tr>
<td># bytes receiver willing to accept</td>
<td>Number of bytes the receiver is willing to accept</td>
</tr>
</tbody>
</table>

TCP header size: 20-60 bytes

(IP address will be added when the segment is turned into a datagram/packet at the Network Layer)
TCP Connections

- TCP is *connection* oriented
- A connection is initiated with a three-way handshake
- Three-way handshake ensures against duplicate SYN packets
- Takes 3 packets, 1.5 RTT ([Round Trip Time](#))

**SYN** = Synchronize
**ACK** = Acknowledgement

*I would tell you a joke about TCP... If only to be acknowledged*
TCP Handshakes

3-way handshake establishes common state on both sides of a connection. Both sides will:

- have seen one packet from the other side
  - know what the first seq# ought to be
- know that the other side is ready to receive

Server will typically create a new socket for the client upon connection.
TCP Sockets

Server host may support many simultaneous TCP sockets
Each socket identified by its own 4-tuple

- source IP address
- source port number
- dest IP address
- dest port number

Connection-oriented demux: receiver uses all 4 values to direct segment to appropriate socket
Connection-oriented demux: example

Host receives 3 TCP segments:
- all destined to IP addr B, port 80
- demuxed to different sockets with socket’s 4-tuple

**Sources**

- P4:
  - Application: A | 915
  - Transport: 915
  - Network: 80
  - Link: 915
  - Physical: B

- P5:
  - Application: 915
  - Transport: 80
  - Network: 80
  - Link: 915
  - Physical: B

- P6:
  - Application: 517
  - Transport: 80
  - Network: 80
  - Link: 915
  - Physical: B

**Destination**

- P1:
  - Application: B | 80
  - Transport: 915
  - Network: 80
  - Link: 915
  - Physical: B

- P2:
  - Application: B | 80
  - Transport: 915
  - Network: 80
  - Link: 915
  - Physical: B

- P3:
  - Application: B | 80
  - Transport: 915
  - Network: 80
  - Link: 915
  - Physical: B

**Networks**

- Host: IP address A
- Server: IP address B
- Host: IP address C
TCP Packets

Each packet carries a unique sequence #
- The initial number is chosen randomly
- The SEQ is incremented by the data length

4410 simplification: just increment by 1

Each packet carries an acknowledgement
- Acknowledge a set of packets by ACK-ing the latest SEQ received

Reliable transport is implemented using these identifiers
TCP Usage Pattern

3 round-trips:
1. set up a connection
2. send data & receive a response
3. tear down connection

FINs work (mostly) like SYNs to tear down connection

Need to wait after a FIN for straggling packets
**Reliable transport**

- Sender-side: TCP keeps a copy of all sent, but unacknowledged packets
- If acknowledgement does not arrive within a “send timeout” period, packet is resent
- Send timeout adjusts to the round-trip delay

Here's a joke about TCP. Did you get it?
Did you get it?
Did you get it?
Did you get it?
TCP timeouts

What is a good timeout period?
- Goal: improve throughput without unnecessary transmissions

NewAverageRTT = \((1 - \alpha)\) OldAverageRTT + \(\alpha\) LatestRTT
NewAverageVar = \((1 - \beta)\) OldAverageVar + \(\beta\) LatestVar

where LatestRTT = \((\text{ack} \_ \text{receive} \_ \text{time} - \text{send} \_ \text{time})\),
LatestVar = \(|\text{LatestRTT} - \text{AverageRTT}|\),
\(\alpha = 1/8\), \(\beta = 1/4\) typically.

Timeout = AverageRTT + 4*AverageVar

\(\rightarrow\) Timeout is a function of RTT and variance
**Pipelined Protocols**

**Pipelining:** sender allows multiple, “in-flight”, yet-to-be-acknowledged packets
- increases throughput
- need buffering at sender and receiver
- How big should the window be?
- What if a packet in the middle goes missing?
Example: TCP Window Size = 4

When first item in window is acknowledged, sender can send the 5th item.
How much data “fits” in a pipe?

Suppose:
• b/w is $b$ bytes / second
• RTT is $r$ seconds
• ACK is a small message

→ you can send $b \times r$ bytes before receiving an ACK for the first byte

(but b/w and RTT are both variable….)
TCP Fast Retransmit

Also called **Selective Repeat**

Receiver detects a lost packet (i.e., a missing seq), ACKs the last id it successfully received

Sender can detect the loss without waiting for timeout
TCP Congestion Control

Additive-Increase/Multiplicative-Decrease (AIMD):
• window size++ every RTT if no packets dropped
• window size/2 if packet is dropped
  – drop evident from the acknowledgements

→ slowly builds up to max bandwidth, and hover there
  – Does not achieve the max possible
+ Shares bandwidth well with other TCP connections

This linear-increase, exponential backoff in the face of congestion is termed \textit{TCP-friendliness}
TCP Window Size

- Linear increase
- Exponential backoff

(Assuming no other losses in the network except those due to bandwidth)

Window Sizes:
1,2,3,4,5,6,7,8,9,10,
5,6,7,8,9,10,
5,6,7,8,9,10,
...
TCP Fairness

*Fairness goal:* if $k$ TCP sessions share same bottleneck link of bandwidth $R$, each should have average rate of $R/k$
Why is TCP fair?

Two competing sessions:

• additive increase gives slope of 1, as throughout increases
• multiplicative decrease decreases throughput proportionally

equal bandwidth share

loss: decrease window by factor of 2
congestion avoidance: additive increase
loss: decrease window by factor of 2
congestion avoidance: additive increase
TCP Slow Start (horrible name)

Problem:
• linear increase takes a long time to build up a window size that matches the link bandwidth*delay
• most file transactions are short → TCP spends a lot of time with small windows, never reaching large window size

Solution: Allow TCP to increase window size by doubling until first loss

Initial rate is slow but ramps up exponentially fast
TCP Slow Start

- Initial phase: **exponential increase**
- Assuming no other losses in the network except those due to bandwidth
A word about A3

AIMD is a technique independent of TCP
• In A3 you are asked to implement AIMD at the application layer in response to a server’s limited buffer size
• In A3, you are not throttling the TCP window size (# of outstanding packets allowed) but **the size of the message itself**
• If you are not clear about this distinction, you will have difficulties with Part 2. 😞
TCP Summary

• Reliable ordered message delivery
  – Connection oriented, 3-way handshake
• Transmission window for better throughput
  – Timeouts based on link parameters
• Congestion control
  – Linear increase, exponential backoff
• Fast adaptation
  – Exponential increase in the initial phase
Network Layer: Forwarding & Routing

Several figures in this section come from “Computer Networking: A Top Down Approach” by Jim Kurose, Keith Ross
Network layer

- transport segment from sending to receiving host
- on sending side, encapsulates segments into datagrams
- on receiving side, delivers segments to transport layer
- network layer protocols in *every* host, router
- router examines header fields in all IP datagrams passing through it
Forwarding

Routing algorithms determine values in forwarding tables.
**Input port functions**

- **Physical layer:** bit-level reception
- **Data link layer:** e.g., Ethernet

**Decentralized switching:**
- Using header field values, lookup output port using forwarding table in input port memory ("match plus action")
- Traditionally: forward based on destination IP address
Output ports

- **Buffering** required when datagrams arrive from fabric faster than the transmission rate.

  Datagram (packets) can be lost due to congestion, lack of buffers.

- **Scheduling discipline** chooses among queued datagrams for transmission.

The Internet network layer

host, router network layer functions:

- **routing protocols**
  - path selection
  - RIP, OSPF, BGP

- **IP protocol**
  - addressing conventions
  - datagram format
  - packet handling conventions

- **ICMP protocol**
  - error reporting
  - router “signaling”
### IP datagram format

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>ver</td>
<td>IP protocol version number</td>
</tr>
<tr>
<td>head.</td>
<td>Header length (bytes)</td>
</tr>
<tr>
<td>type of</td>
<td>“Type” of data</td>
</tr>
<tr>
<td>service</td>
<td>Length</td>
</tr>
<tr>
<td>16-bit</td>
<td>16-bit identifier</td>
</tr>
<tr>
<td>identifier</td>
<td>Flags (flgs)</td>
</tr>
<tr>
<td>time to</td>
<td>Time to live</td>
</tr>
<tr>
<td>live</td>
<td>Upper layer protocol</td>
</tr>
<tr>
<td>upper layer</td>
<td>Header checksum</td>
</tr>
<tr>
<td></td>
<td>Fragment offset</td>
</tr>
<tr>
<td>32 bit</td>
<td>32 bit source IP address</td>
</tr>
<tr>
<td>source IP</td>
<td>32 bit destination IP address</td>
</tr>
<tr>
<td>address</td>
<td>Options (if any)</td>
</tr>
<tr>
<td></td>
<td>Data (variable length, typically a TCP or UDP segment)</td>
</tr>
</tbody>
</table>

**How much overhead?**

- 20 bytes of TCP
- 20 bytes of IP
- = 40 bytes + app layer overhead

**Network Layer: Data Plane 4-82**
IP fragmentation, reassembly

- Network links have MTU (max. transfer size) - largest possible link-level frame
  - Different link types, different MTUs
- Large IP datagram divided (“fragmented”) within net
  - One datagram becomes several datagrams
  - “Reassembled” only at final destination
  - IP header bits used to identify, order related fragments

fragmentation: in: one large datagram
out: 3 smaller datagrams

Reassembly

Network Layer: Data Plane 4-83
The Internet is Big....

How do we route messages from one machine to another?
**Transport Layer vs. Network Layer**

Logical communication between *processes* on hosts

TCP & UDP: relies on & enhances network layer services

Logical communication between *hosts*

IP: best-effort delivery
Routing Challenge

Discover and maintain paths through the network between communicating endpoints.

• Metrics of importance
  • Latency
  • Bandwidth
  • Packet Overhead ("Goodput")
  • Jitter (packet delay variation)
  • Memory space per node
  • Computational overhead per node
Domains

• Wired networks
  • Stable, administered, lots of infrastructure
    – e.g., the Internet
• Wireless networks
  • Wireless, dynamic, self-organizing
  • Infrastructure-based wireless networks
    – A.k.a. cell-based, access-point-based
    – e.g., Cornell’s “rover”
• Infrastructure-less wireless networks
  – A.k.a. ad hoc
Algorithm Classifications

Route discovery, selection and usage

- **Reactive** vs. **Proactive**
- **Single path** vs. Multipath
- Centralized vs. **Distributed**
Reactive Routing

• Routes discovered on the fly, as needed
  • Discovery often involves network-wide query
  • Used on many wireless ad hoc networks

• Examples
  • Dynamic source routing (DSR)
  • Ad hoc on-demand distance vector (AODV)
Dynamic Source Routing (DSR) Protocol

Route Discovery:
(1) Source sends neighbors RouteRequest
   “I’m Source X looking for Dest Y”
   • Path to Y generated as neighbors add themselves to the path & pass RREQ to their neighbors
   • Nodes drop redundant RREQs

(2) Destination sends back a RouteReply
   “I’m Dest Y responding to Source X”
   • Source X caches path to Y
   • future data packets specify path in header

Route Maintenance:
• Broken links reported
• Affected paths removed from caches
Reactive Routing

• **Pros**
  - Routers require no state
  - State proportional to # of used routes
  - Communication proportional to # of used routes and failure rate

• **Cons**
  - Route discovery latency is high
  - Jitter (variance of packet interarrival times) is high
Algorithm Classifications

Route discovery, selection and usage

• Reactive vs. **Proactive**
• **Single path** vs. Multipath
• Centralized vs. **Distributed**
Proactive Routing

- Routes are disseminated from each node to all others, periodically
- Every host has routes available to every other host, regardless of need
- Used on the internet, some wireless ad hoc networks
Graph Abstraction of the Network

graph $G = (V,E)$

set of routers $V = \{ u, v, w, x, y, z \}$

set of links $E = \{ (u,v), (u,x),(u,w) \ldots \}$

cost of link $c(x,x')$ e.g., $c(w,z) = 5$

(cost could always be 1, or inversely related to b/w or congestion)

**key question:** what is the least-cost path between $u$ and $z$?

**routing algorithm:** algorithm that finds that least cost path
Link State (LS) Routing Algorithm

- iterative, centralized
- network topology, all link costs known up front
  - accomplished via “link state broadcast”
  - all nodes have same info
- based on Dijkstra’s (shortest path algorithm)
  - computes least cost paths from one node (‘source”) to all other nodes
- Example: Open Shortest Path First (OSPF) Protocol

\[ c(x,y) \]: link cost from node x to y; 
  \( (\infty \text{ for non-neighbors}) \)

\[ D(v) \]: current cost of path from source to v
\[ N' \]: set of nodes whose least cost path definitively known
Dijsktra’s algorithm

1 Initialization:
2 $N' = \{u\}$
3 for all nodes $v$
4 if $v$ adjacent to $u$
5 then $D(v) = c(u,v)$
6 else $D(v) = \infty$
7
8 Loop
9 find $w$ not in $N'$ such that $D(w)$ is a minimum
10 add $w$ to $N'$
11 update $D(v)$ for all $v$ adjacent to $w$ & not in $N'$ :
12 $D(v) = \min( D(v), D(w) + c(w,v) )$
13 /* new cost to $v$ either: old cost to $v$ or known
14 shortest path cost to $w$ plus cost from $w$ to $v */$
15 until all nodes in $N'$
# Dijsktra’s in Action

D(v): current cost of path from source to v

<table>
<thead>
<tr>
<th>Step</th>
<th>N'</th>
<th>D(v), p(v)</th>
<th>D(w), p(w)</th>
<th>D(x), p(x)</th>
<th>D(y), p(y)</th>
<th>D(z), p(z)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>u</td>
<td>7, u</td>
<td>3, u</td>
<td>5, u</td>
<td>∞</td>
<td>∞</td>
</tr>
<tr>
<td>1</td>
<td>uw</td>
<td>6, w</td>
<td>5, u</td>
<td>11, w</td>
<td>∞</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>uwx</td>
<td>6, w</td>
<td></td>
<td>11, w</td>
<td>14, x</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>uwxv</td>
<td></td>
<td></td>
<td>10, y</td>
<td>14, x</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>uwxvy</td>
<td></td>
<td></td>
<td></td>
<td>12, y</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>uwxvzy</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

\[
p(x): \text{predecessor node along path from source to node } x
\]
Algorithm Classifications

Route discovery, selection and usage

• Reactive vs. **Proactive**
• **Single path** vs. Multipath
• Centralized vs. **Distributed**
Distance Vector (DV) Routing Algorithm

- iterative, asynchronous, distributed
- based on Bellman-Ford (shortest path algorithm)
- Example: Routing Information Protocol (RIP)

let
\[ d_x(y) := \text{cost of least-cost path from } x \text{ to } y \]

then
\[ d_x(y) = \min \{ c(x,v) + d_v(y) \} \]

for all neighbors \( v \) of \( x \)
Shortest path from u to z?
Who are u’s neighbors? \{v, x, w\}
What are their shortest paths to z?
\[d_v(z) = 5, \quad d_x(z) = 3, \quad d_w(z) = 3\]

\[d_u(z) = \min\{c(u, v) + d_v(z), \]
\[\quad c(u, x) + d_x(z), \]
\[\quad c(u, w) + d_w(z)\}\]

= \min \{2 + 5, \]
\[\quad 1 + 3, \]
\[\quad 5 + 3\}\]

= 4
Each node $x$:
- knows cost to each neighbor $v$: $c(x,v)$
- maintains its neighbors’ distance vectors

From time to time (esp. when a change occurs), each node sends its own distance vector estimate to neighbors.
When $x$ receives new DV estimate from neighbor, it updates its own DV using B-F equation.
**DV Algorithm In Action**

<table>
<thead>
<tr>
<th></th>
<th><strong>X, t=0</strong></th>
<th><strong>cost to</strong></th>
<th></th>
<th><strong>Y, t=0</strong></th>
<th><strong>cost to</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>from</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>x</td>
<td></td>
<td>x</td>
<td>y</td>
<td></td>
<td>x</td>
</tr>
<tr>
<td></td>
<td>0</td>
<td>2</td>
<td>z</td>
<td></td>
<td>∞</td>
</tr>
<tr>
<td>y</td>
<td>∞</td>
<td>∞</td>
<td>∞</td>
<td></td>
<td>∞</td>
</tr>
<tr>
<td>z</td>
<td>∞</td>
<td>∞</td>
<td>∞</td>
<td></td>
<td>∞</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th><strong>X, t=1</strong></th>
<th><strong>cost to</strong></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>from</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>x</td>
<td></td>
<td>x</td>
<td>y</td>
<td></td>
<td>x</td>
</tr>
<tr>
<td></td>
<td>0</td>
<td>2</td>
<td>z</td>
<td></td>
<td>∞</td>
</tr>
<tr>
<td>y</td>
<td>2</td>
<td>0</td>
<td>1</td>
<td></td>
<td>2</td>
</tr>
<tr>
<td>z</td>
<td>∞</td>
<td>∞</td>
<td>∞</td>
<td></td>
<td>∞</td>
</tr>
</tbody>
</table>

**Y sends X its DV**

**X updates its own DV**

"If Y can get to Z in 1, then *I* can get to Z in 3!"
DV Algorithm when costs decrease

<table>
<thead>
<tr>
<th>X, t=0</th>
<th>cost to</th>
<th>Y, t=0</th>
<th>cost to</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>x y z</td>
<td></td>
<td>x y z</td>
</tr>
<tr>
<td>from</td>
<td></td>
<td>from</td>
<td></td>
</tr>
<tr>
<td>x</td>
<td>0 2 3</td>
<td>x</td>
<td>0 2 3</td>
</tr>
<tr>
<td>y</td>
<td>2 0 1</td>
<td>y</td>
<td>1 x 0 1</td>
</tr>
<tr>
<td>z</td>
<td>3 1 0</td>
<td>z</td>
<td>3 1 0</td>
</tr>
</tbody>
</table>

- Y detects link-cost changes 2 → 1
- Updates DV, broadcasts

- X updates its own DV, broadcasts
Counting to Infinity…

What if connections to z are lost?

“Well, I can’t reach Z anymore, but Y can do that in 1, so I can still get to Z in 3.”

“Well, I can’t reach Z anymore, but X can do that in 3, so I can still get to Z in 5.”

<table>
<thead>
<tr>
<th>X, t=n</th>
<th>cost to</th>
</tr>
</thead>
<tbody>
<tr>
<td>x</td>
<td>y</td>
</tr>
<tr>
<td>x</td>
<td>0</td>
</tr>
<tr>
<td>y</td>
<td>2</td>
</tr>
<tr>
<td>z</td>
<td>∞</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Y, t=n</th>
<th>cost to</th>
</tr>
</thead>
<tbody>
<tr>
<td>x</td>
<td>y</td>
</tr>
<tr>
<td>x</td>
<td>0</td>
</tr>
<tr>
<td>y</td>
<td>2</td>
</tr>
<tr>
<td>z</td>
<td>∞</td>
</tr>
</tbody>
</table>

Next: Y sends X its new DV, X updates Y’s DV, reruns BF, x → z increases from 3 → 7 … Next…!!
Path Vector (PV) Routing Algorithm

- Distance Vector with paths
- Example: Border Gateway Protocol (BGP)
  “glue that holds the Internet together”

High level:
- Each node x sends its distance vector with the actual path
- Nodes can filter out broken paths

Instead of just shortest path, BGP uses other considerations to select which route is best.
Why BGP?

• Shortest path algorithms insufficient to handle myriad of operational (e.g., loop handling), economic, and political considerations

• Policy categories (Caesar and Rexford):
  • business relationships
  • traffic engineering
  • scalability (improving stability, aggregation)
  • Security
Routing Gone Wrong

• Pakistan, 2008: “I’ll take you to youtube!”
  • “How Pakistan knocked YouTube offline”
  • “Insecure routing redirects YouTube to Pakistan"

• China, 2010: “I’ll take you to .gov and .mil”
  • “How China swallowed 15% of ‘Net traffic for 18 minutes”
  • “China Hijacks 15% of Internet Traffic?”
Algorithm Classifications

Route discovery, selection and usage

- Reactive vs. **Proactive**
- **Single path** vs. Multipath
- Centralized vs. **Distributed**
Proactive Routing

• Pros
  • Route discovery latency is very low

• Cons
  • $O(N)$ state in every router
  • Constant background communication
Hybrid Routing

- Proactive & Reactive routing have drawbacks
  - Work best under different network conditions
  - Many parameters to pick to get optimal performance

- Perform hybrid routing
- Some routes are disseminated proactively, others discovered reactively
  - Can outperform reactive and proactive across many scenarios

SHARP [Mobihoc 2003]
Remote Procedure Call

Several figures in this section come from “Distributed Systems: Principles and Paradigms” by Andrew Tanenbaum & Maarten van Steen
Client/Server Paradigm

Common model for structuring distributed computation

• **Server**: program (or collection of programs) that provide some *service*, e.g., file service, name service
  • may exist on one or more nodes
• **Client**: a program that uses the service

**Typical Pattern:**

1. Client first *binds* to the server: locates it in the network & establishes a connection
2. Client sends *requests*: messages that indicate which service is desiredth parameters
3. Server returns *response*
Pros and Cons of Messages

+ Very flexible communication
  • Want a certain message format? *Go for it!*

— Problems with messages:
  • programmer must worry about message formats
  • must be packed and unpacked
  • server must decode to determined request
  • may require special error handling functions

Messages are not a natural programming model for most programmers.
Procedure Call

A more natural way to communicate:

• every language supports it
• semantics are well defined and understood
• natural for programmers to use

Idea: Let clients call servers like they do procedures
Remote Procedure Call (RPC)

**Goal:** design RPC to look like a local PC

- A model for distributed communication
- Uses computer/language support
- 3 components on each side:
  - user program (client or server)
  - set of *stub* procedures
  - RPC runtime support

*Birrell & Nelson @ Xerox PARC*  
How does a function call work?

`read(int fd, char* buf, int nbytes)`

- File descriptor
- Character array
- How much to read

- Linker inserts read implementation into obj file
- Implementation usually invokes a system call

[Tanenbaum & van Steen, Fig 4-5]
How does a RPC work?

**Basic idea:**
- Server *exports* a set of procedures
- Client calls these procedures, as if they were local functions

- Message passing details hidden from client & server (like system call details are hidden in libraries)

![Diagram of RPC](image)

[Tanenbaum & van Steen, Fig 4-6]
RPC Stubs

Client-side stub:
- Looks (to the client) like a callable server procedure
- Client program thinks it is calling the server

Server-side stub:
- Server program thinks it is called by the client
- \texttt{foo} actually called by the server stub

Stubs send messages to each other to make RPC happen
RPC Call Structure

1. The client program calls `foo(x,y)`.
2. The client stub builds the message and calls OS.
3. The message is sent to the remote node.
4. The message is received by the server stub.
5. The message is unpacked, and the parameters are made available for the call.
6. The server program does the work inside the `foo(a,b)` procedure, which begins `foo...` and ends `...foo`.

The process involves multiple steps, including building the message, sending it, receiving it, unpacking the parameters, and making a call to the server program.
RPC Return Structure

1. **Client Program**
   - call foo(x,y)
   - client continues

2. **Server Program**
   - proc foo(a,b) begin foo...
   - end foo
   - return

3. **Client Stub**
   - proc foo(a,b) msg, returns to client
   - msg received
   - (3) unpacks msg, returns to client
   - return

4. **Server Stub**
   - call foo(x,y)
   - send msg
   - (2) packs result in msg, calls OS
   - return

5. **RPC Runtime**
   - (1) returns result to stub
   - (3) responds to original msg
   - (4) receives msg, gives to stub
   - Return
Example RPC system:

Distributed Computing Environment (DCE)

**Stub compiler**
- reads IDL
- produces 2 stub procedures for each server procedure
  (1) client-side stub
  (2) a server-side stub
Example RPC system:

Distributed Computing Environment (DCE)

Server writer:
- writes server
- links it with server-side stubs
Binding: Connecting Client & Server

**Server exports** its interface:
- identifying itself to a network name server
- telling the local runtime its dispatcher address

**Client imports** the server. RPC runtime:
- looks up the server through the name service
- contacts requested server to set up a connection

*Import* and *export* are explicit calls in the code.
RPC Concerns

• Parameter Passing
• Failure Cases
• Performance

Your function call has been secretly replaced with a remote function call. Is this okay?
RPC Marshalling

Packing parameters into a message packet

- RPC stubs call type-specific procedures to marshall (or unmarshall) all of the parameters to the call

On Call:
- **Client stub marshall**s parameters into the call packet
- **Server stub unmarshall**s parameters to call server’s fn

On return:
- **Server stub marshall**s return values into return packet
- **Client stub unmarshall**s return values, returns to client
What could go wrong?

[Tanenbaum & van Steen, Fig 4-7]
RPC Concerns

• Parameter Passing
  • Data Representation
  • Passing Pointers
  • Global Variables
• Failure Cases
• Performance
Data Representation

*Data representation?*
ASCII vs. Unicode, structure alignment, n-bit machines, floating-point representations, endian-ness

→ Server program defines interface using an *interface definition language* (IDL)

For all client-callable functions, IDL specifies:
• names
• parameters
• types
Passing Pointers

- Forbid pointers? (breaks transparency)
- Have server call client and ask it to modify when needed (breaks transparency)
- Have stubs replace call-by-reference semantics with Copy/Restore
  - Optimization: if stub knows that a reference is exclusively input/output copy only on call/return
  - Only works for simple arrays & structures
    - Union types? YUCK
    - Multi-linked structures? YUCK
    - Raw pointers? YUCK
RPC Concerns

• Parameter Passing
• Failure Cases
• Performance
RPC Failure Cases

Function call failure cases:
  • Called fn crashes → so does the caller

RPC Failure cases:
  • server fine, client crashes? (orphans)
  • client fine, server crashes?
    • Client just hangs?
  • Stub supports a timeout, error after n tries?
  • Client deals w/failure (breaks transparency)
Aside: Idempotency

Multiple calls yields the same result

What’s idempotent?
• read block 50

What’s not?
• appending a file
• most I/O
How many times will a function be executed?

A calls B. B never responds… Should A resend or not?

2 Possibilities:

(1) B never got the call:
• Resend → B executes the procedure once
• Don’t resend → B executes the procedure zero times

(2) B performed the call then crashed:
• Resend → B executes the procedure twice
• Don’t resend → B executes the procedure once

Can we even promise transparency?
What semantics will RPC support?

A calls B. B responds… What does A assume about how many times the function was executed?

**Exactly once:**
- system guarantees local semantics
- at best expensive, at worst, impossible

**At-least-once:**
+ easy: no response? A re-sends
- only works for idempotent functions
- server operations must be stateless

**At-most-once:**
- requires server to detect duplicate packets
+ works for non-idempotent functions
RPC Concerns

- Parameter Passing
- Failure Cases
- Performance
  - Remote is not cheap
  - Lack of parallelism (on both sides)
  - Lack of streaming (for passing data)
RPC Concluding Remarks

RPC:

• Common model for distributed application communication
• **language support** for distributed programming
• relies on a *stub compiler* & IDL server description
• commonly used, even on a single node, for communication between applications running in different address spaces (most RPCs are intra-node!)

“Distributed objects are different from local objects, and keeping that difference visible will keep the programmer from forgetting the difference and making mistakes.”