

## CS 412/413

Introduction to  
Compilers and Translators  
Spring '00

Lecture 10: Static Semantics

## Administration

- Programming Assignment 2 due in 1 week

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2

## Static Semantics

- Can describe the types used in a program.  
How to describe type checking?
- Formal description: *static semantics* for the programming language
- Is to type-checking as grammar is to parsing
- Static semantics defines types for all legal language ASTs
- We will write ordinary language syntax to mean the corresponding AST

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3

## Type Judgements

- Static semantics defines how to derive *type judgments*

$E : T$  means “E is a well-typed expression of type T”

$2 : \text{int}$                      $2 * (3 + 4) : \text{int}$   
 $\text{true} : \text{bool}$                  $\text{"Hello"} : \text{string}$   
 $\text{if } (b) 2 \text{ else } 3 : \text{int}$

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4

## Deriving a judgment

$\text{if } (b) 2 \text{ else } 3 : \text{int}$

- What do we need to decide that this is a well-typed expression of type **int**?
- b must be an bool ( $b : \text{bool}$ )
- 2 must be an int ( $2 : \text{int}$ )
- 3 must be an int ( $3 : \text{int}$ )

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5

## Type Judgments

- Type judgment:  $A \vdash E : T$   
– means “In the environment A (symbol table), the expression E is a well-typed expression with the type T”
- Environment is set of  $id : T$   
 $\{b : \text{bool}, x : \text{int}\} \vdash b : \text{bool}$   
 $\{b : \text{bool}, x : \text{int}\} \vdash \text{if } (b) 2 \text{ else } x : \text{int}$   
 $\{\} \vdash 2 + 2 : \text{int}$

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6

## Deriving a judgement

- To show

$\{b: \text{bool}, x: \text{int}\} \vdash \text{if } (b) 2 \text{ else } x : \text{int}$

- Need to show:

$\{b: \text{bool}, x: \text{int}\} \vdash b: \text{bool}$   
 $\{b: \text{bool}, x: \text{int}\} \vdash 2: \text{int}$   
 $\{b: \text{bool}, x: \text{int}\} \vdash x: \text{int}$

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7

## General Rule

- For *any* environment A, expression E, statements S<sub>1</sub> and S<sub>2</sub>, the judgment

$A \vdash \text{if } (E) S_1 \text{ else } S_2 : T$

is true if

$A \vdash E : \text{bool}$   
 $A \vdash S_1 : T$   
 $A \vdash S_2 : T$

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8

## As an Inference Rule

$$\frac{\overbrace{A \vdash E : \text{bool} \quad A \vdash S_1 : T \quad A \vdash S_2 : T}^{\text{Premises}}}{A \vdash \text{if } (E) S_1 \text{ else } S_2 : T} \text{ (name)}$$

*Conclusion*

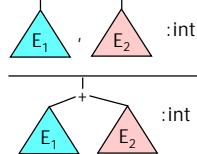
- Holds for any choice of the syntactic variables E, S<sub>1</sub>, S<sub>2</sub>, T

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9

## Meaning of Inference Rule

- Inference rule says: given that antecedent judgments are true
  - with some substitution for meta-variables A, E<sub>1</sub>, E<sub>2</sub>
- Then, consequent judgment is true
  - with a consistent substitution

$$\frac{\begin{array}{c} A \vdash E_1 : \text{int} \\ A \vdash E_2 : \text{int} \end{array}}{A \vdash E_1 + E_2 : \text{int}} (+)$$


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10

## Implementing a rule

- Work backward from goal:
- |                    |
|--------------------|
| T = E.typeCheck(A) |
| $\Leftrightarrow$  |
| A $\vdash E : T$   |
- ```
class Add extends Expr {
    Expr e1, e2;
    Type typeCheck(SymTab A) {
        Type t1 = e1.typeCheck(A),
            t2 = e2.typeCheck(A);
        if (t1 == Int && t2 == Int) return Int;
        else throw new TypeCheckError("+");
    }
}
```
- |                                                                                                         |
|---------------------------------------------------------------------------------------------------------|
| $A \vdash E_1 : \text{int}$                                                                             |
| $A \vdash E_2 : \text{int}$                                                                             |
| $\frac{A \vdash E_1 : \text{int} \quad A \vdash E_2 : \text{int}}{A \vdash E_1 + E_2 : \text{int}}$ (+) |

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11

## Why inference rules?

- Inference rules: compact, precise language for specifying static semantics (can specify Java in ~10 pages vs. 100's of pages of Java Language Specification)
- Inference rules correspond directly to recursive AST traversal that implements them
- Type checking is attempt to prove type judgments  $A \vdash E : T$  true by walking backward through rules

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12

## Proof = Call Graph

let A1 = {b: bool, x: int}

$$\frac{\begin{array}{c} A1 \vdash b : \text{bool} \\ A1 \vdash !b : \text{bool} \end{array} \quad \begin{array}{c} A1 \vdash 2 : \text{int} \\ A1 \vdash 2+3 : \text{int} \end{array} \quad \begin{array}{c} A1 \vdash 3 : \text{int} \\ A1 \vdash x : \text{int} \end{array}}{\{ b: \text{bool}, x: \text{int} \} \vdash \text{if } (!b) \ 2+3 \ \text{else } x : \text{int}}$$

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13

## More about Inference Rules

- Rules are implicitly universally quantified over free variables
- No premises: *axiom*  $\frac{}{A \vdash \text{true} : \text{bool}}$
- Same goal judgment may be provable in more than one way:

$$\frac{\begin{array}{c} A \vdash E_1 : \text{float} \\ A \vdash E_2 : \text{float} \end{array}}{A \vdash E_1 + E_2 : \text{float}} \quad \frac{\begin{array}{c} A \vdash E_1 : \text{float} \\ A \vdash E_2 : \text{int} \end{array}}{A \vdash E_1 + E_2 : \text{float}}$$

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14

## While

- For statements that do not have a value, use the type **unit** to represent their result type (**unit** = completed successfully)

$$\frac{\begin{array}{c} A \vdash E : \text{bool} \\ A \vdash S : T \end{array}}{A \vdash \text{while } (E) \ S : \text{unit}} \quad (\text{while})$$

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15

## If statements

- Iota: the value of an if statement (if any) is the value of the arm that is executed.
- If no else clause, no value:

$$\frac{\begin{array}{c} A \vdash E : \text{bool} \\ A \vdash S : T \end{array}}{A \vdash \text{if } (E) \ S : \text{unit}} \quad (\text{if})$$

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16

## Assignment

$$\frac{id : T \in A \quad A \vdash E : T}{A \vdash id = E : T} \quad (\text{assign})$$

$$\frac{\begin{array}{c} A \vdash E_3 : T \\ A \vdash E_2 : \text{int} \end{array} \quad A \vdash E_1 : \text{array}[T]}{A \vdash E_1[E_2] = E_3 : T} \quad (\text{array-assign})$$

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17

## Sequence

- Rule: A sequence of statements is type-safe if the first statement is type-safe, and the remaining are type-safe too:

$$\frac{A \vdash S_1 : T_1 \quad A \vdash S_2 ; S_3 ; \dots ; S_n : T_n}{A \vdash S_1 ; S_2 ; \dots ; S_n : T_n} \quad (\text{block})$$

- What about variable declarations ?

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18

## Declarations

$$\frac{A \vdash id : T [ = E ] : T_1 \quad \text{=} \text{unit if no } E}{A \cup \{ id : T \} \vdash S_2 ; \dots ; S_n : T_n} \quad (\text{decl})$$

- This formally describes the type-checking code from two lectures ago!

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19

## Implementation

```
class Block { Stmt stmts[]; }
Type typeCheck(SymTab s) { Type t;
    for (int i = 0; i < stmts.length; i++) {
        t = stmts[i].typeCheck(s);
        if (stmts[i] instanceof Decl)
            s = s.add(Decl.id, Decl.typeExpr.evaluate());
    }
    return t;
}
```

$$\frac{A \vdash id : T [ = E ] : T_1 \quad S_1 \text{ not a decl.}}{A \cup \{ id : T \} \vdash S_2 ; \dots ; S_n : T_n} \quad \frac{A \vdash S_2 ; S_3 ; \dots ; S_n : T_n}{A \vdash id : T [ = E ] ; S_2 ; \dots ; S_n : T_n} \quad \frac{A \vdash S_1 ; S_2 ; \dots ; S_n : T_n}{A \vdash id : T [ = E ] ; S_1 ; S_2 ; \dots ; S_n : T_n}$$

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20

## Function application

- If expression E is a function value, it has a type  $T_1 \times T_2 \times \dots \times T_n \rightarrow T_r$
- $T_i$  are argument types;  $T_r$  is return type
- How to typecheck  $E(E_1, \dots, E_n)$ ?

$$\frac{A \vdash E : T_1 \times T_2 \times \dots \times T_n \rightarrow T_r \quad A \vdash E_i : T_i \quad (i \in 1..n)}{A \vdash E(E_1, \dots, E_n) : T_r} \quad (\text{fnapp})$$

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21

## Function-checking rule

- Iota function syntax

$$id(a_1 : T_1, \dots, a_n : T_n) : T_r = E$$

- Type of  $E$  must match declared return type of function ( $E : T$ ), but in what environment?

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22

## Add arguments to environment!

- Let A be the environment surrounding the function declaration. Function  $id(a_1 : T_1, \dots, a_n : T_n) : T_r = E$  is type-safe if
- $$A \cup \{ a_1 : T_1, \dots, a_n : T_n \} \vdash E : T_r$$
- What about recursion?

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23

## Example

```
fact(x: int) : int = {
    if (x==0) 1; else x * fact(x - 1); }
```

$$\frac{\begin{array}{c} A2 \vdash x : int \quad A2 \vdash 1 : int \\ A2 \vdash \text{fact} : \text{int} \rightarrow \text{int} \end{array}}{A2 \vdash x-1 : int}$$

$$\frac{\begin{array}{c} A2 \vdash x : int \quad A2 \vdash 0 : int \\ A2 \vdash x == 0 : \text{bool} \end{array}}{A2 \vdash \text{if } (x == 0) \dots; \text{else} \dots : \text{int}}$$

$$\frac{\begin{array}{c} A2 \vdash x : int \quad A2 \vdash 1 : int \quad A2 \vdash \text{fact}(x - 1) : \text{int} \\ A2 \vdash x * \text{fact}(x - 1) : \text{int} \end{array}}{\{ \text{fact} : \text{int} \rightarrow \text{int}, x : \text{int} \} \vdash \text{if } (x == 0) \dots; \text{else} \dots : \text{int}}$$

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24

## How to check return?

$$\frac{A \vdash E : T}{A \vdash \text{return } E : \mathbf{none}} \quad (\text{return1})$$

- A return statement has no value and does not even give control back to enclosing context: special type **none**
- Not the same thing as **unit**!
- But... how do make sure the return type of the current function is  $T$ ?

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25

## Put it in the symbol table

- Add entry  $\{\mathbf{return} : \text{int}\}$  when we start checking the function, look up this entry when we hit a return statement.
- To check  $\mathit{id}(a_1 : T_1, \dots, a_n : T_n) : T_r = E$ , in environment  $A$ , check

$$A \cup \{a_1 : T_1, \dots, a_n : T_n, \mathbf{return} : T\} \vdash E : T$$

$$\frac{A \vdash E : T \quad \text{return} : T \in A}{A \vdash \text{return } E : \mathbf{none}} \quad (\text{return})$$

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26

## Completing static semantics

- Rest of static semantics written in this style
- Provides complete recipe for how to show a program type-safe
- Induction on size of expressions
  - have axioms for atoms:  $\overline{A \vdash \text{true} : \mathbf{bool}}$
  - for every valid syntactic construct in language, have a rule showing how to prove it type-safe in terms of smaller exprs
- Therefore, have rules for checking all syntactically valid programs for type safety
- & Type checker always terminates!

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27