Announcements

- Homework #2 due tonight at 11:59pm
- Homework #3 out today
Review

Operational Semantics
- Describes *how* programs compute
- Relatively easy to define
- Close connection to implementations
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- Describes what programs compute
- Solid mathematical foundation
- Simplifies equational reasoning
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Denotational Semantics
- Describes *what* programs compute
- Solid mathematical foundation
- Simplifies equational reasoning

Axiomatic Semantics
- Describes the *properties* programs satisfy
- Useful for reasoning about correctness
- History: Pioneered by Floyd & Hoare
- Further refined by Djikstra & Gries
Axiomatic Semantics

To define an axiomatic semantics we need:

- A language for expressing program properties
- Proof rules for establishing the validity of particular properties with respect to specific programs
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- The value of $y$ is even
- The value of $z$ is prime
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Assertion Languages:

- First-order logic: $\forall, \exists, \land, \lor, x=y, R(x), \ldots$
- Temporal or modal logic: $\square, \diamond, X, U, F, \ldots$
- Special-purpose logics: Alloy, Sugar, Z3, etc.
Applications

- Proving correctness
- Documentation
- Test generation
- Symbolic execution
- Translation validation
- Bug finding
- Malware detection
Pre-Conditions and Post-conditions

Assertions are often used (informally) in code

```java
/* Precondition: 0 <= i < A.length */
/* Postcondition: returns A[i] */
public int get(int i) {
    return A[i];
}
```

These assertions are useful as documentation, but there is no guarantee they are correct.

Idea: make this rigorous by defining the semantics of the language in terms of pre-conditions and post-conditions!
Partial Correctness

Recall the syntax of IMP:

\[ a \in A_{exp} \quad a ::= x \mid n \mid a_1 + a_2 \mid a_1 \times a_2 \]

\[ b \in B_{exp} \quad b ::= \text{true} \mid \text{false} \mid a_1 < a_2 \]

\[ c \in C_{om} \quad c ::= \text{skip} \mid x ::= a \mid c_1 ; c_2 \]

\[ \mid \text{if } b \text{ then } c_1 \text{ else } c_2 \mid \text{while } b \text{ do } c \]

A partial correctness statement is a triple:

\[ \{ P \} \; c \; \{ Q \} \]

Meaning: if \( P \) holds and execution of \( c \) terminates, then \( Q \) holds.
Question

Given the following partial correctness specification,

\[
\{P\} \textbf{while} \ x < 0 \ \textbf{do} \ x := x + 1 \ \{x \geq 0\}
\]

which \( P \) makes it valid?

A. true
B. false
C. \( x \geq 0 \)
D. All of the above.
E. None of the above.
Question

Given the following partial correctness specification,

\[
\{P\} \text{ while } x < 0 \text{ do } x := x + 1 \{\text{false}\}
\]

which \( P \) makes it valid?

A. true
B. false
C. \( x \geq 0 \)
D. All of the above.
E. None of the above.
Total Correctness

Note that partial correctness specifications don’t ensure that the program will terminate—this is why they are called “partial.”

Sometimes we need to know that the program will terminate.

A total correctness statement is a triple:

\[
[ P ] c [ Q ]
\]

Meaning: if \( P \) holds, then \( c \) will terminate and \( Q \) holds after \( c \).

We’ll focus mostly on partial correctness.
Example: Partial Correctness

\[
\begin{align*}
\{\text{foo} = 0 \land \text{bar} = i\} \\
\text{baz} := 0; \\
\textbf{while} \; \text{foo} \neq \text{bar} \\
\textbf{do} \\
\quad \text{baz} := \text{baz} - 2; \\
\quad \text{foo} := \text{foo} + 1 \\
\{\text{baz} = -2 \times i\}
\end{align*}
\]

Intuition: if we start with a store \(\sigma\) that maps foo to 0 and bar to an integer \(i\), and if the execution of the command terminates, then the final store \(\sigma'\) will map baz to \(-2i\)
Example: Total Correctness

\[ \text{foo} = 0 \land \text{bar} = i \land i \geq 0 \]

\text{baz} := 0;
\textbf{while} \; \text{foo} \neq \text{bar} \; \textbf{do}
\begin{align*}
\text{baz} & := \text{baz} - 2; \\
\text{foo} & := \text{foo} + 1
\end{align*}

\[ \text{baz} = -2 \times i \]

\textbf{Intuition:} if we start with a store \( \sigma \) that maps \text{foo} to 0 and \text{bar} to a non-negative integer \( i \), then the execution of the command will terminate in a final store \( \sigma' \) will map \text{baz} to \(-2i\).
Another Example

\{
foo = 0 \land bar = i
\}

baz := 0;
while foo \neq bar
do
baz := baz + foo;
foo := foo + 1
\{
baz = i
\}

Question: is this partial correctness statement valid?
Assertions

We’ll use the following language to write assertions:

\[ i, j \in \text{LVar} \]

\[ a \in \text{Aexp} ::= x \mid i \mid n \mid a_1 + a_2 \mid a_1 \times a_2 \]

\[ P, Q \in \text{Assn} ::= \text{true} \mid \text{false} \mid a_1 < a_2 \mid P_1 \land P_2 \mid P_1 \lor P_2 \mid P_1 \Rightarrow P_2 \mid \neg P \mid \forall i. P \mid \exists i. P \]

Note that every boolean expression \( b \) is also an assertion.
Next we’ll define what it means for a store $\sigma$ to satisfy an assertion. To do this, we need an interpretation for the logical variables:

$$l : \text{LVar} \rightarrow \text{Int},$$
Next we’ll define what it means for a store \( \sigma \) to satisfy an assertion. To do this, we need an interpretation for the logical variables

\[
I : \text{LVar} \rightarrow \text{Int},
\]

\[
\begin{align*}
A_i[n](\sigma, I) &= n \\
A_i[x](\sigma, I) &= \sigma(x) \\
A_i[i](\sigma, I) &= I(i) \\
A_i[a_1 + a_2](\sigma, I) &= A_i[a_1](\sigma, I) + A_i[a_2](\sigma, I)
\end{align*}
\]
Next we define the satisfaction relation for assertions

**Definition (Assertion satisfaction)**

- \( \sigma \models_I \text{true} \) (always)
- \( \sigma \models_I a_1 < a_2 \) if \( \mathcal{A}_i[a_1](\sigma, l) < \mathcal{A}_i[a_2](\sigma, l) \)
- \( \sigma \models_I P_1 \land P_2 \) if \( \sigma \models_I P_1 \) and \( \sigma \models_I P_2 \)
- \( \sigma \models_I P_1 \lor P_2 \) if \( \sigma \models_I P_1 \) or \( \sigma \models_I P_2 \)
- \( \sigma \models_I P_1 \Rightarrow P_2 \) if \( \sigma \not\models_I P_1 \) or \( \sigma \models_I P_2 \)
- \( \sigma \models_I \neg P \) if \( \sigma \not\models_I P \)
- \( \sigma \models_I \forall i. P \) if \( \forall k \in \text{Int. } \sigma \models_{[i \mapsto k]} P \)
- \( \sigma \models_I \exists i. P \) if \( \exists k \in \text{Int. } \sigma \models_{[i \mapsto k]} P \)
Next we define what it means for a command \( c \) to satisfy a partial correctness statement.

**Definition (Partial correctness statement satisfiability)**

A partial correctness statement \( \{ P \} c \{ Q \} \) is satisfied in store \( \sigma \) and interpretation \( I \), written \( \sigma 
\models_I \{ P \} c \{ Q \} \), if:

\[
\forall \sigma'. \text{ if } \sigma 
\models_I P \text{ and } C[c]\sigma = \sigma' \text{ then } \sigma' 
\models_I Q
\]
Validity

Definition (Assertion validity)

An assertion $P$ is valid (written $\models P$) if it is valid in any store, under any interpretation: $\forall \sigma, I. \sigma \models I P$

Definition (Partial correctness statement validity)

A partial correctness triple is valid (written $\models \{P\} c \{Q\}$), if it is valid in any store and interpretation: $\forall \sigma, I. \sigma \models I \{P\} c \{Q\}$.

Now we know what we mean when we say “assertion $P$ holds” or “partial correctness statement $\{P\} c \{Q\}$ is valid.”
How do we show that \( \{P\} \ c \ \{Q\} \) holds?

We know that \( \{P\} \ c \ \{Q\} \) is valid if it holds for all stores and interpretations: \( \forall \sigma, I. \sigma \models_I \{P\} \ c \ \{Q\} \).

Furthermore, showing that \( \sigma \models_I \{P\} \ c \ \{Q\} \) requires reasoning about the denotation of \( c \), as specified by the definition of satisfaction.

We can do this manually, but it turns out that there is a better way.

We can use a set of inference rules and axioms, called Hoare rules, to directly derive valid partial correctness statements without having to reason about stores, interpretations, and the execution of \( c \).
Question

Is it decidable whether \( \{P\} \subset \{Q\} \)?

1. Yes
2. No