

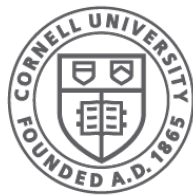
# The RISC-V Processor

**Hakim Weatherspoon**

**CS 3410**

Computer Science

Cornell University



**Cornell CIS**  
COMPUTING AND INFORMATION SCIENCE

[Weatherspoon, Bala, Bracy, and Sirer]

# Announcements

- Make sure to go to **your** Lab Section this week
- Completed **Proj1** due Friday, Feb 15th
- Note, a Design Document is due when you submit Proj1 final circuit
- Work **alone**

**BUT** use your resources

- Lab Section, Piazza.com, Office Hours
- Class notes, book, Sections, CSUGLab

# Announcements

Check online syllabus/schedule

- <http://www.cs.cornell.edu/Courses/CS3410/2019sp/schedule>
- Slides and Reading for lectures
- Office Hours
- ***Pictures of all TAs***
- Project and Reading Assignments
- **Dates to keep in Mind**
  - **Prelims: Tue Mar 5th and Thur May 2nd**
  - ***Proj 1: Due next Friday, Feb 15th***
  - Proj3: Due before Spring break
  - Final Project: Due when final will be Feb 16th

Schedule is subject to change

# Collaboration, Late, Re-grading Policies

## •“White Board” Collaboration Policy

- Can discuss approach together on a “white board”
- Leave, watch a movie such as *Black Lightning*, then write up solution independently
- Do not copy solutions

## Late Policy

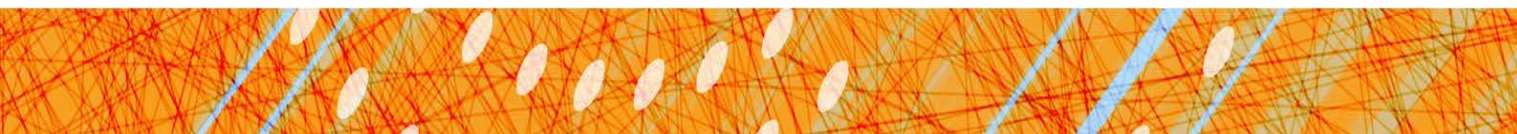
- Each person has a total of **five** “slip days”
- Max of **two** slip days for any individual assignment
- Slip days deducted first for *any* late assignment, cannot selectively apply slip days
- For projects, slip days are deducted from all partners
- **25%** deducted per day late after slip days are exhausted

## Regrade policy

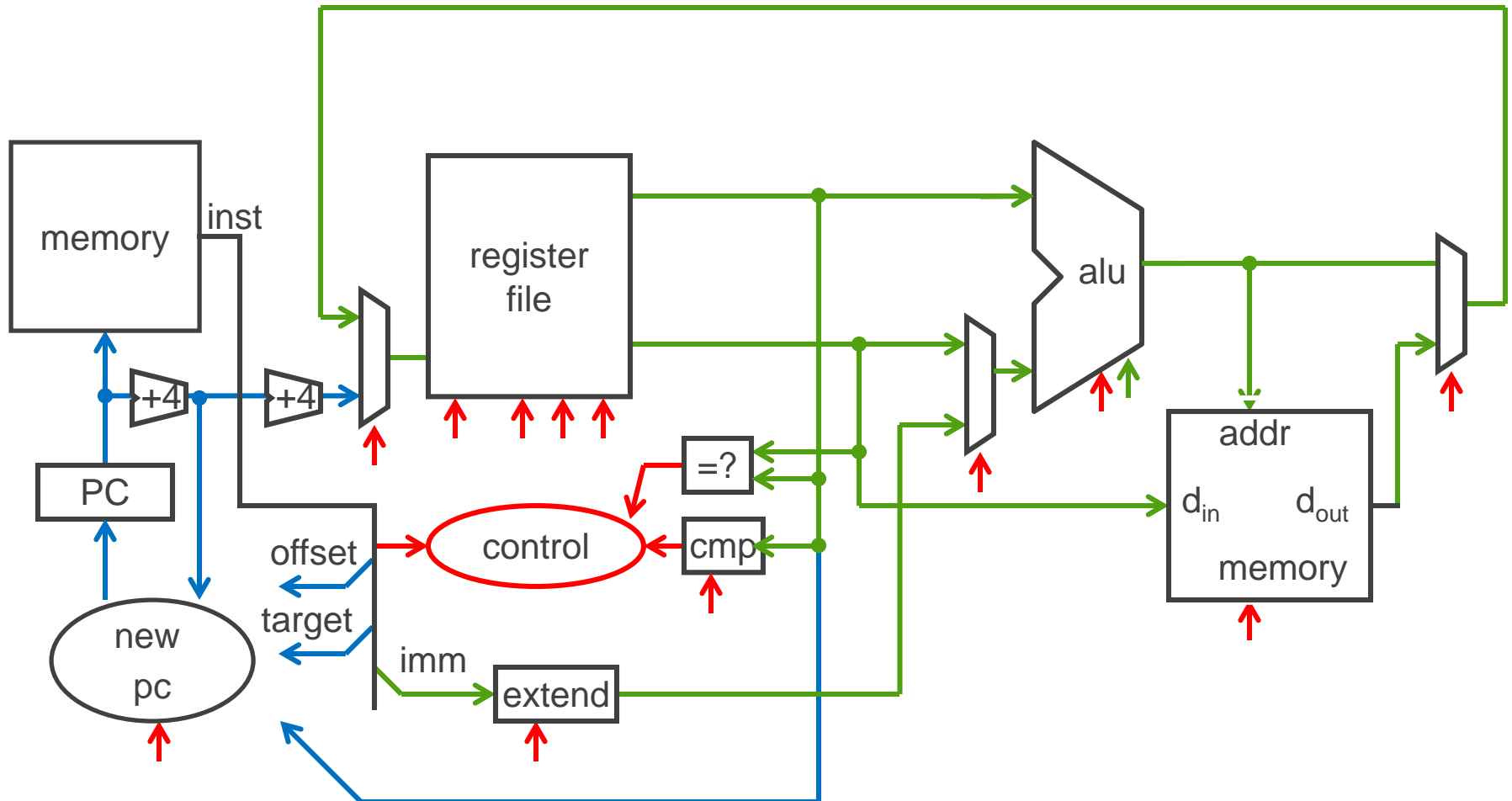
- Submit written request within a week of receiving score

# Announcements

- Level Up (optional enrichment)
  - Teaches CS students tools and skills needed in their coursework as well as their career, such as Git, Bash Programming, study strategies, ethics in CS, and even applying to graduate school.
  - Thursdays at 7-8pm in 310 Gates Hall, starting this week
  - <http://www.cs.cornell.edu/courses/cs3110/2019sp/levelup/>



# Big Picture: Building a Processor

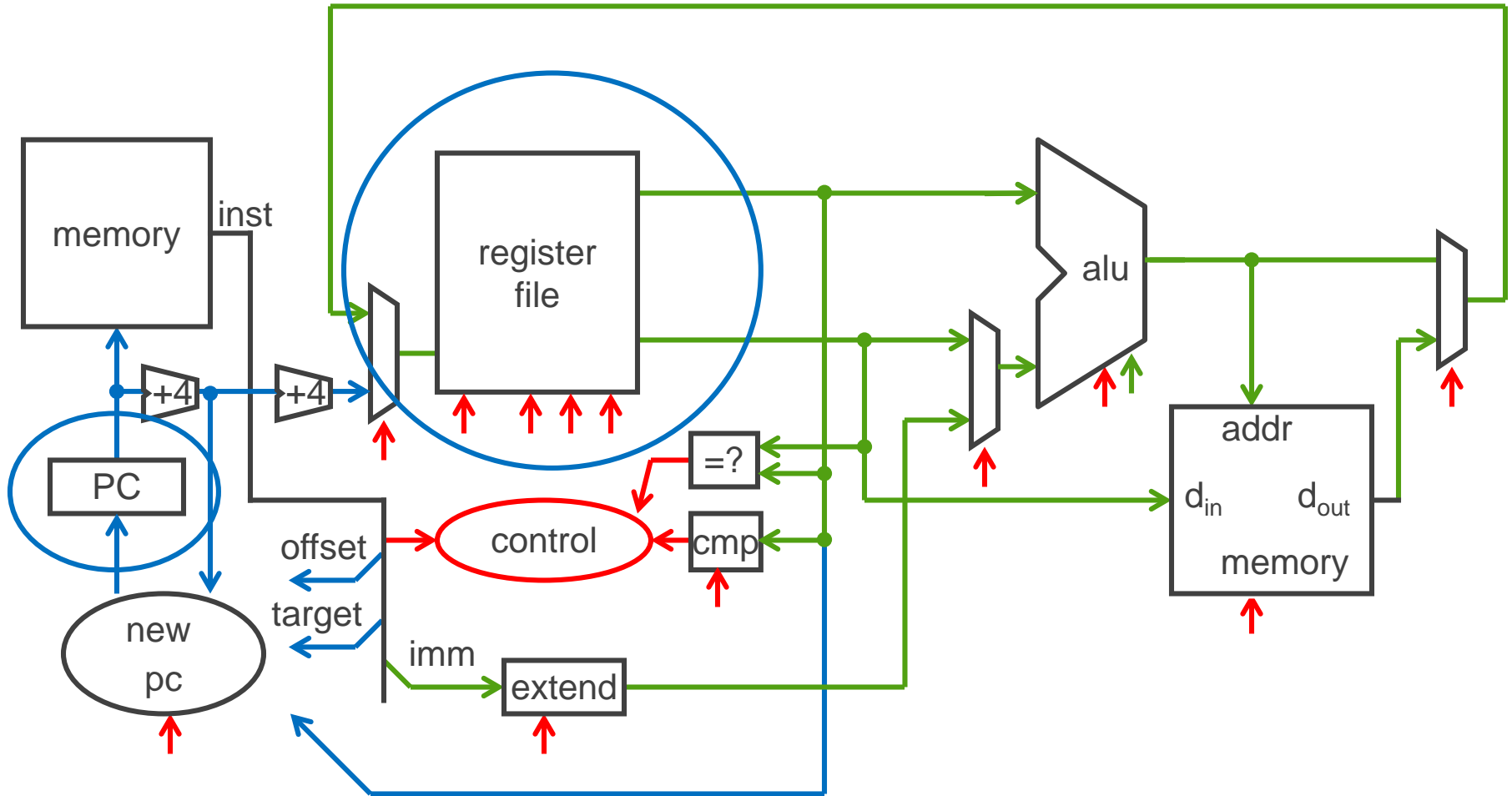


A single cycle processor

# Goal for the next few lectures

- Understanding the basics of a processor
  - We now have the technology to build a CPU!
- Putting it all together:
  - Arithmetic Logic Unit (ALU)
  - Register File
  - Memory
    - SRAM: cache
    - DRAM: main memory
  - RISC-V Instructions & how they are executed

# RISC-V Register File



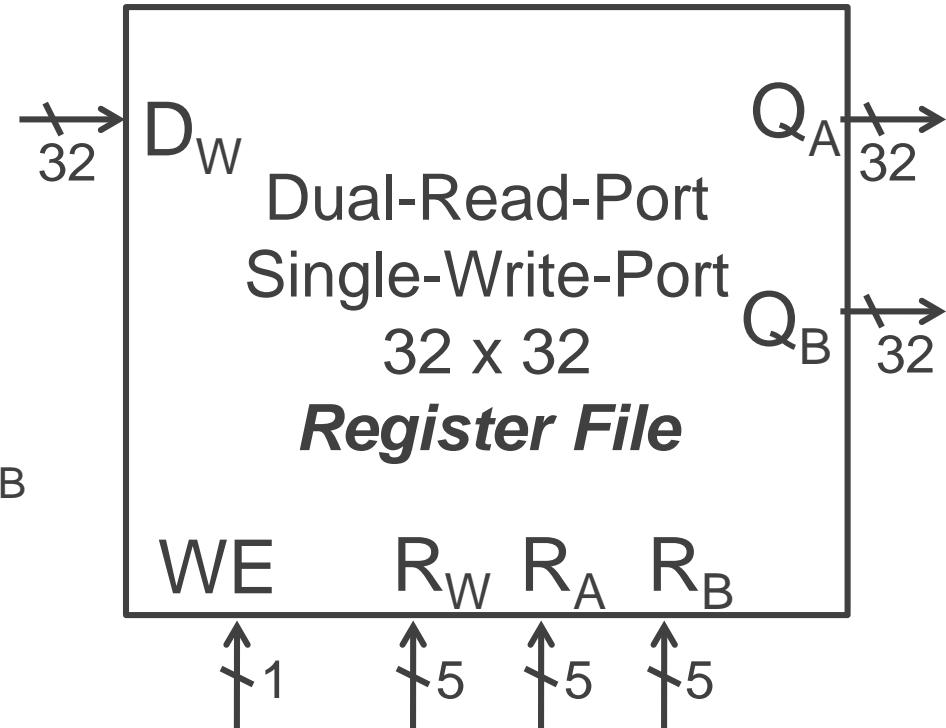
A single cycle processor



# RISC-V Register File

- RISC-V register file

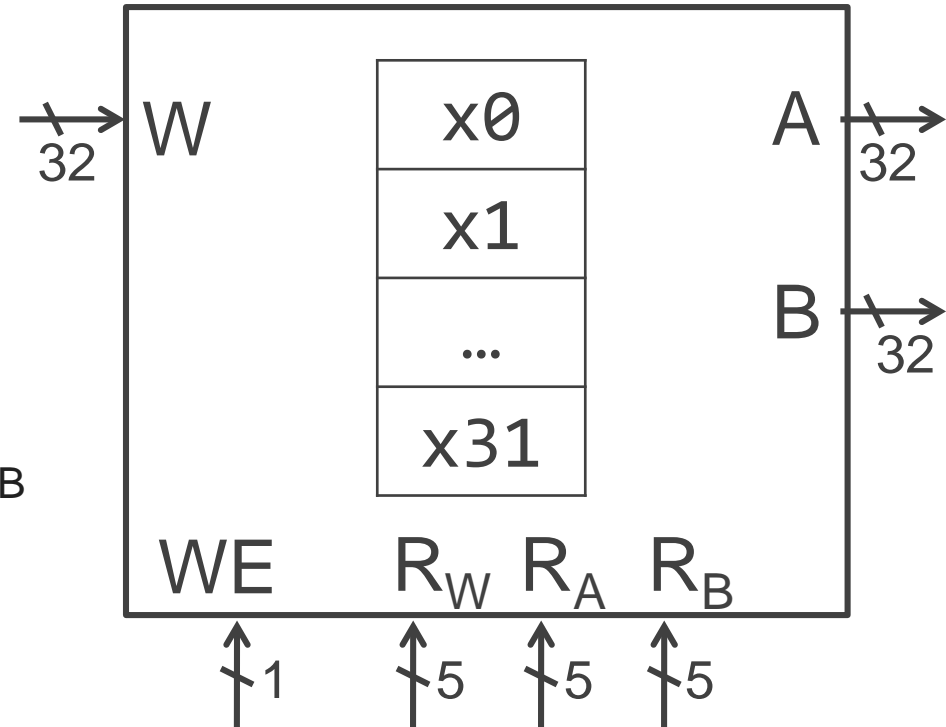
- 32 registers, 32-bits each
- x0 wired to zero
- Write port indexed via  $R_W$ 
  - on falling edge when  $WE=1$
- Read ports indexed via  $R_A$ ,  $R_B$



# RISC-V Register File

- RISC-V register file

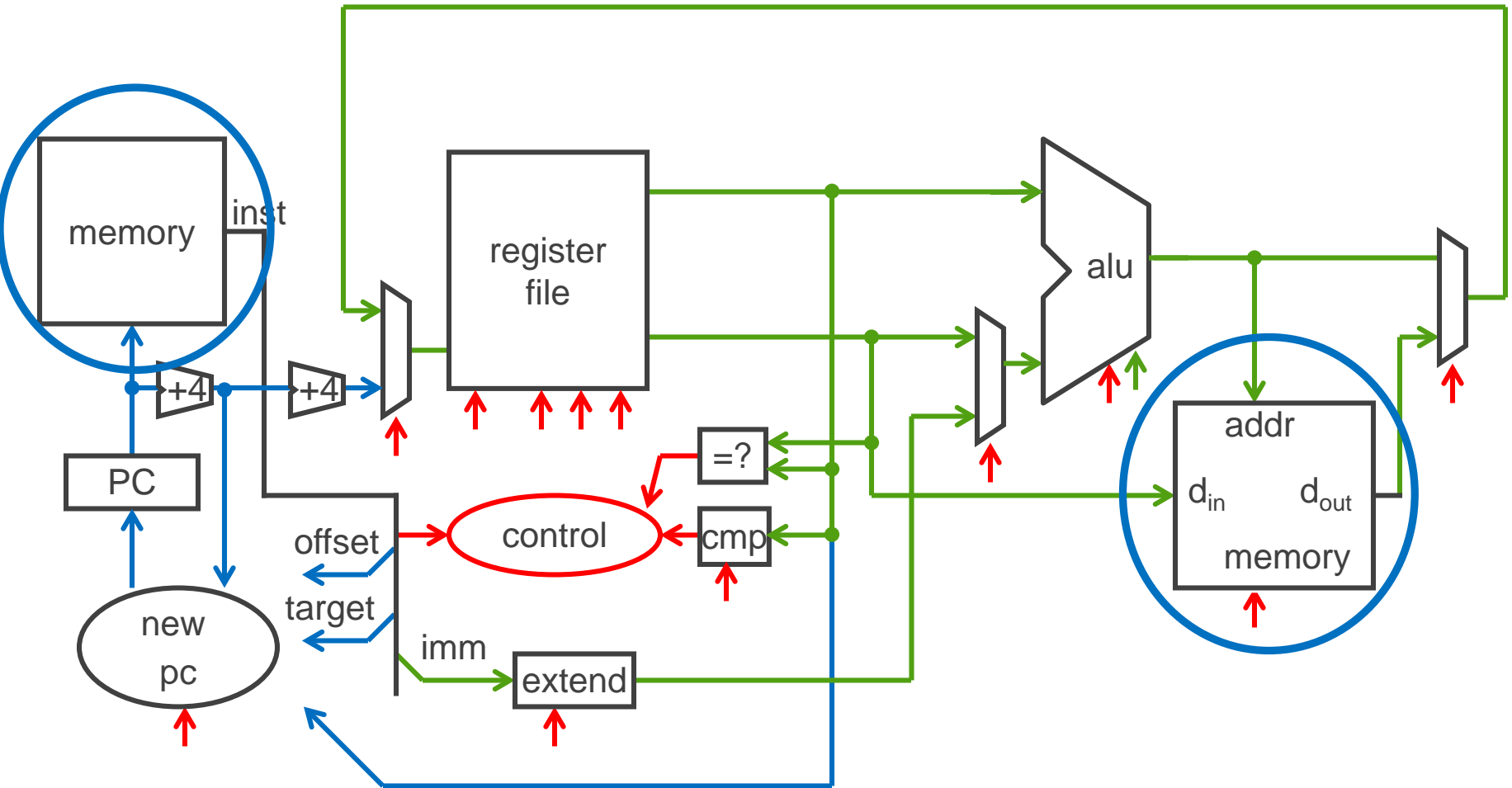
- 32 registers, 32-bits each
- x0 wired to zero
- Write port indexed via  $R_W$ 
  - on falling edge when  $WE=1$
- Read ports indexed via  $R_A$ ,  $R_B$



- RISC-V register file

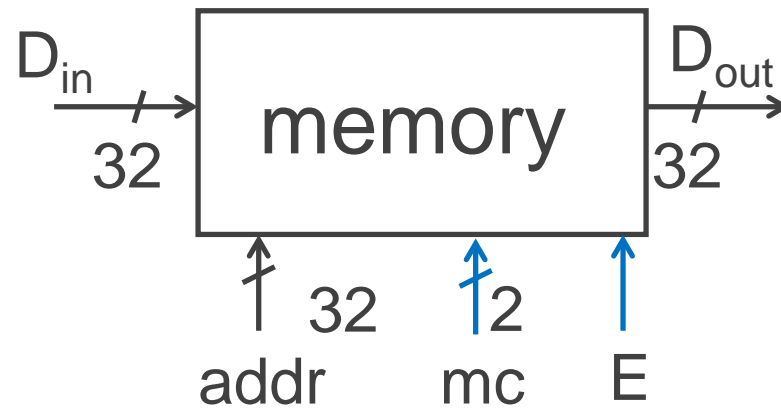
- Numbered from 0 to 31
- Can be referred by number: x0, x1, x2, ... x31
- Convention, each register also has a name:
  - x10 – x17 → a0 – a7, x28 – x31 → t3 – t6

# RISC-V Memory



A single cycle processor

# RISC-V Memory



- 32-bit address
- 32-bit data (but byte addressed)
- Enable + 2 bit memory control ( $mc$ )

00: **read** word (4 byte aligned)

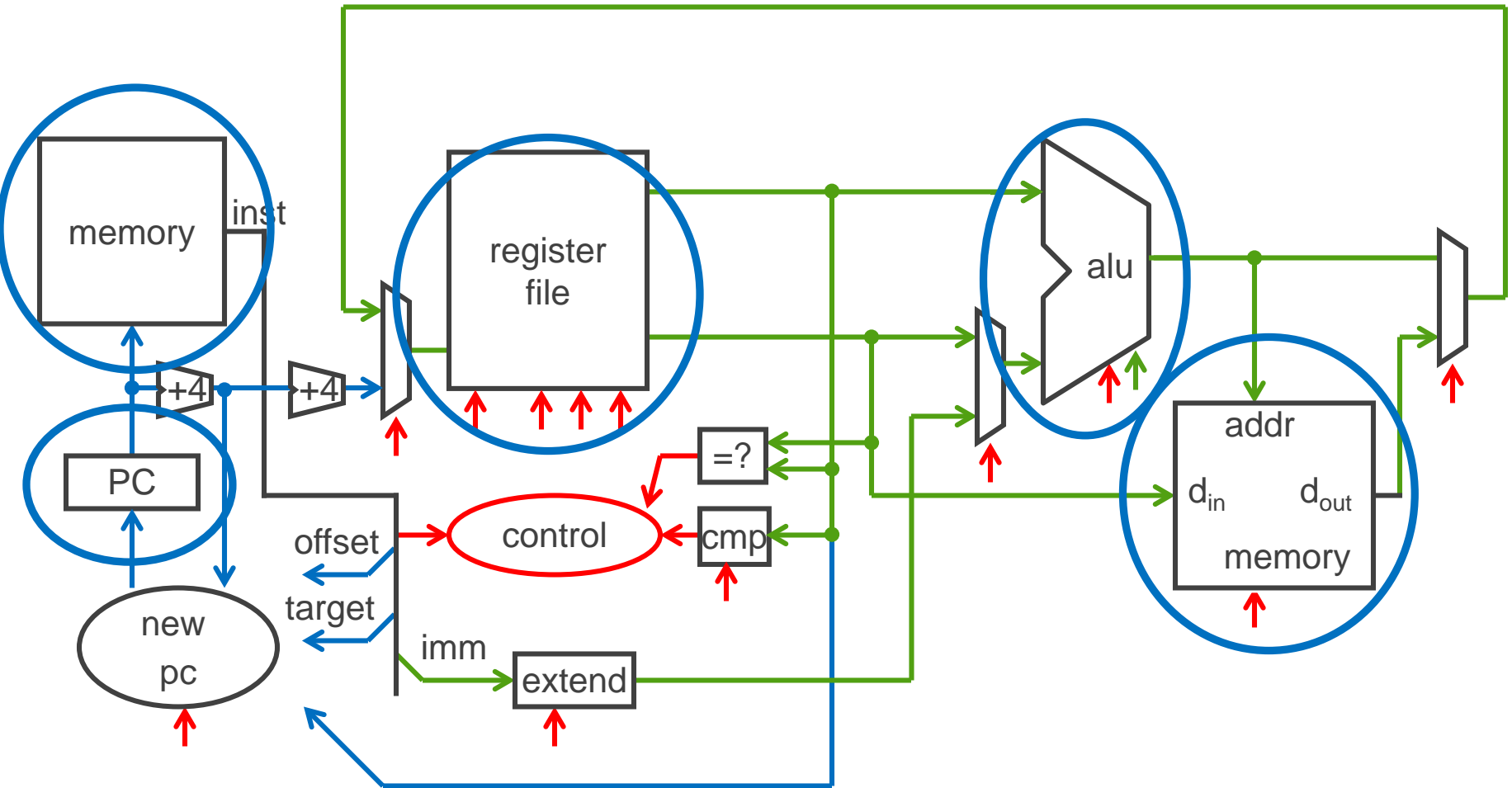
01: **write** byte

10: **write** halfword (2 byte aligned)

11: **write** word (4 byte aligned)

1 byte	address
	0x000fffff
	...
	0x0000000b
0x05	0x0000000a
	0x00000009
	0x00000008
	0x00000007
	0x00000006
	0x00000005
	0x00000004
	0x00000003
	0x00000002
	0x00000001
	0x00000000

# Putting it all together: Basic Processor



A single cycle processor

# To make a computer

## Need a program

- Stored program computer

## Architectures

- von Neumann architecture
- Harvard (modified) architecture

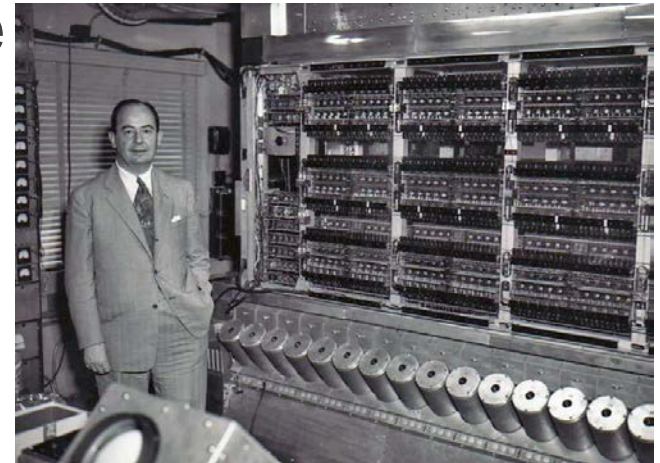
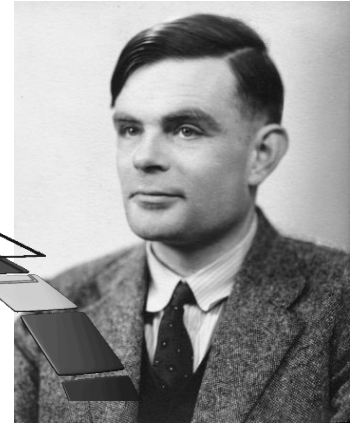
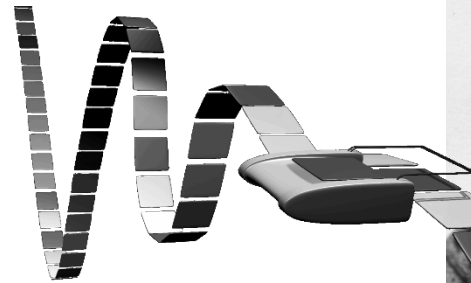
# To make a computer

Need a program

- Stored program computer
- (a Universal Turing Machine)

Architectures

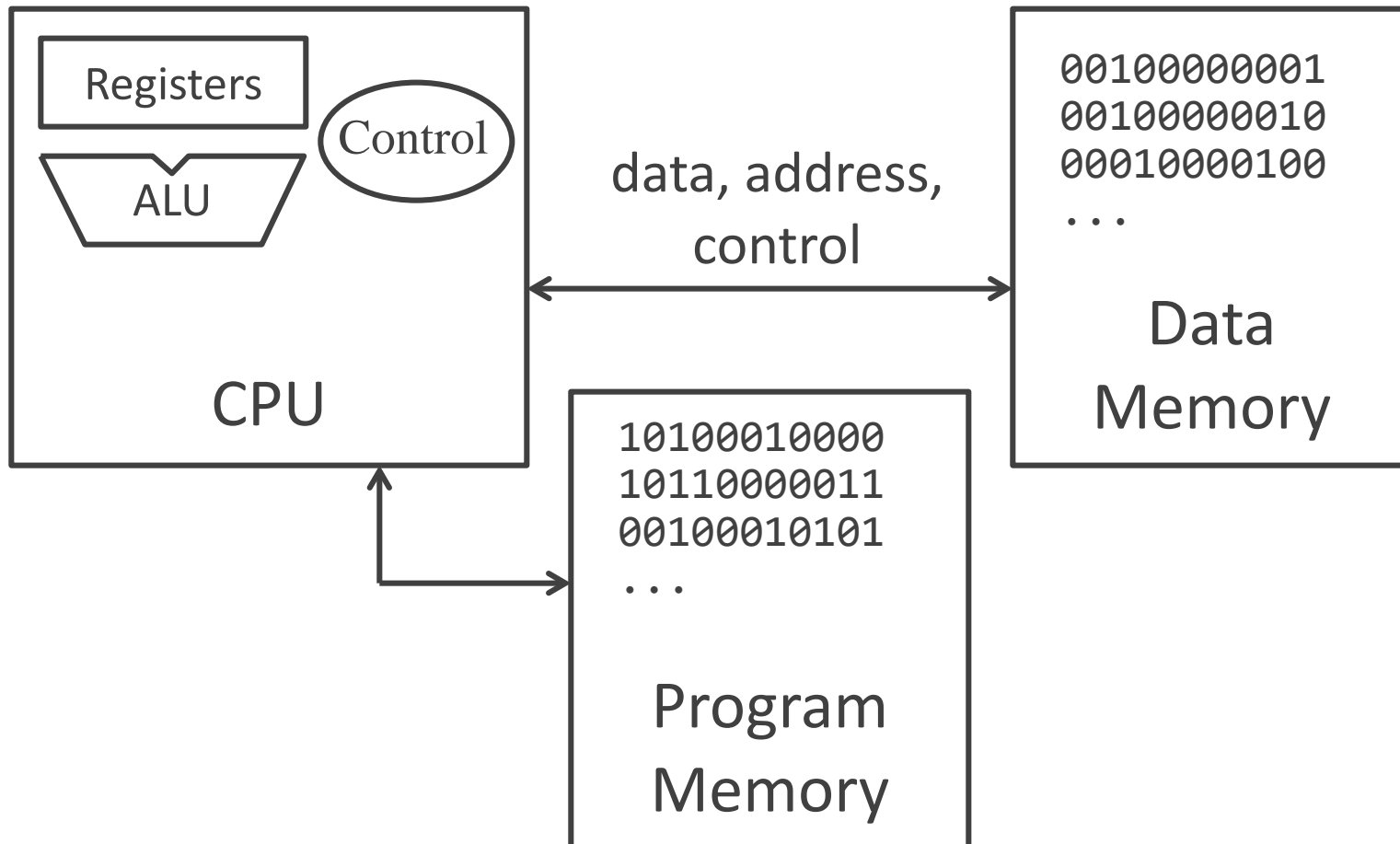
- von Neumann architecture
- Harvard (modified) architecture



# Putting it all together: Basic Processor

## A RISC-V CPU with a (modified) Harvard architecture

- Modified: instructions & data in common address space, separate instr/data caches can be accessed in parallel





# Takeaway

A processor executes instructions

- Processor has some internal state in storage elements (registers)

A memory holds instructions and data

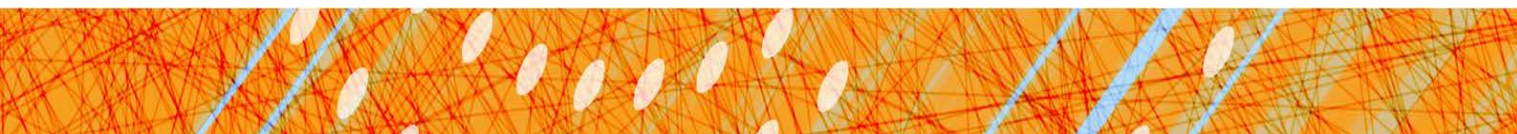
- (modified) Harvard architecture: separate insts and data
- von Neumann architecture: combined inst and data

A bus connects the two

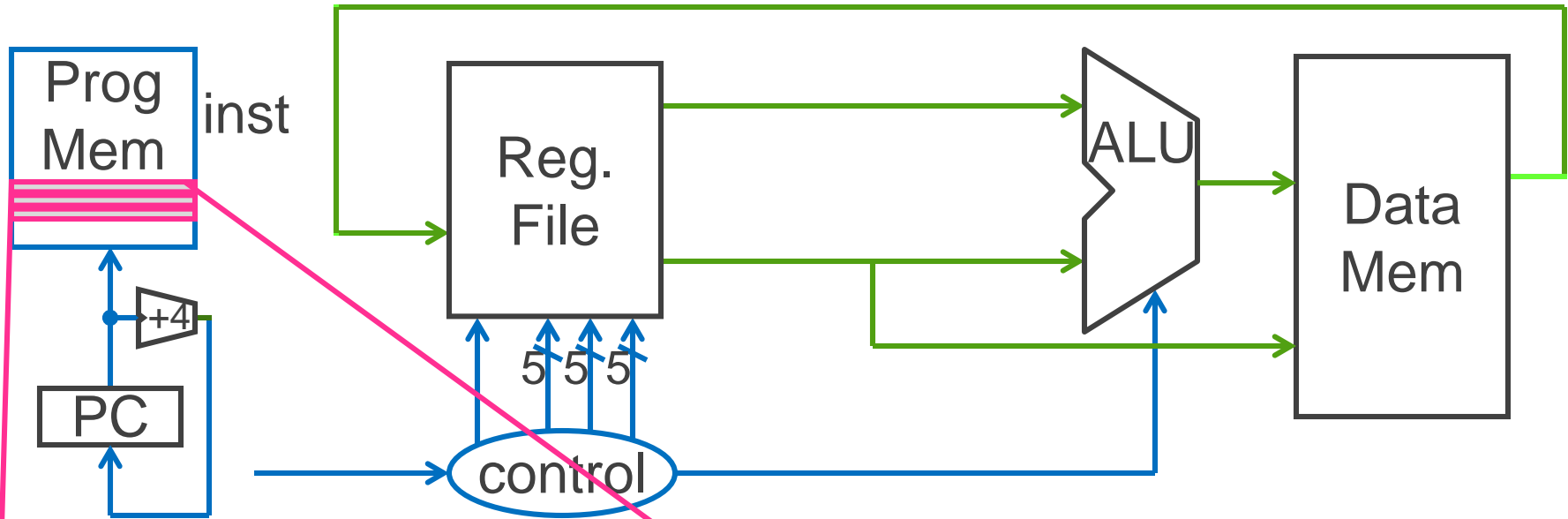
We now have enough building blocks to build machines that can perform non-trivial computational tasks

# Next Goal

- How to program and execute instructions on a RISC-V processor?



# Instruction Processing



Instructions:

stored in memory, encoded in binary

```
0010000000000001000000000000001010
0010000000000000100000000000000000
000000000001000100001100000101010
```

A basic processor

- fetches
- decodes
- executes

one instruction at a time

# Levels of Interpretation: Instructions

```
for (i = 0; i < 10; i++)  
    printf("go cucs");
```



```
main: addi x2, x0, 10  
      addi x1, x0, 0  
loop: slt x3, x1, x2  
      ...
```



10                      x2                      x0    op=addi

```
0000000001010000100000000010011  
00100000000000001000000000001000  
000000000001000100001100000101010
```



Instruction Set Architecture

ALU, Control, Register File, ...

## High Level Language

- C, Java, Python, ADA, ...
- Loops, control flow, variables

## Assembly Language

- No symbols (except labels)
- One operation per statement
- “human readable machine language”

## Machine Language

- Binary-encoded assembly
- Labels become addresses
- **The language of the CPU**

Machine Implementation  
(Microarchitecture)

# Instruction Set Architecture (ISA)

Different CPU architectures specify different instructions

## Two classes of ISAs

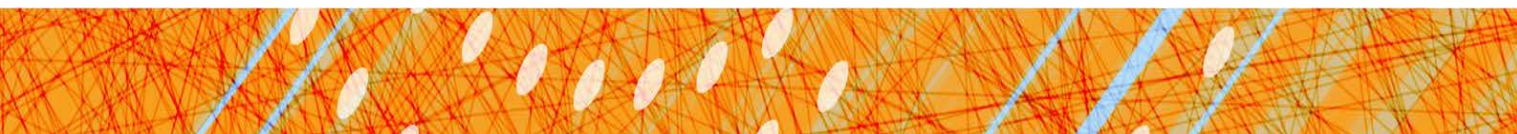
- Reduced Instruction Set Computers (RISC)  
IBM Power PC, Sun Sparc, MIPS, Alpha
- Complex Instruction Set Computers (CISC)  
Intel x86, PDP-11, VAX

## Another ISA classification: Load/Store Architecture

- Data must be in registers to be operated on  
For example:  $\text{array}[x] = \text{array}[y] + \text{array}[z]$   
1 add ?    OR    2 loads, an add, and a store ?
- Keeps HW simple → many RISC ISAs are load/store

# Takeaway

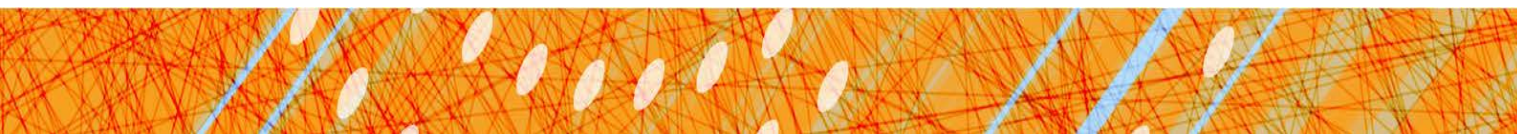
A RISC-V processor and ISA (instruction set architecture) is an example a Reduced Instruction Set Computers (RISC) where simplicity is key, thus enabling us to build it!!



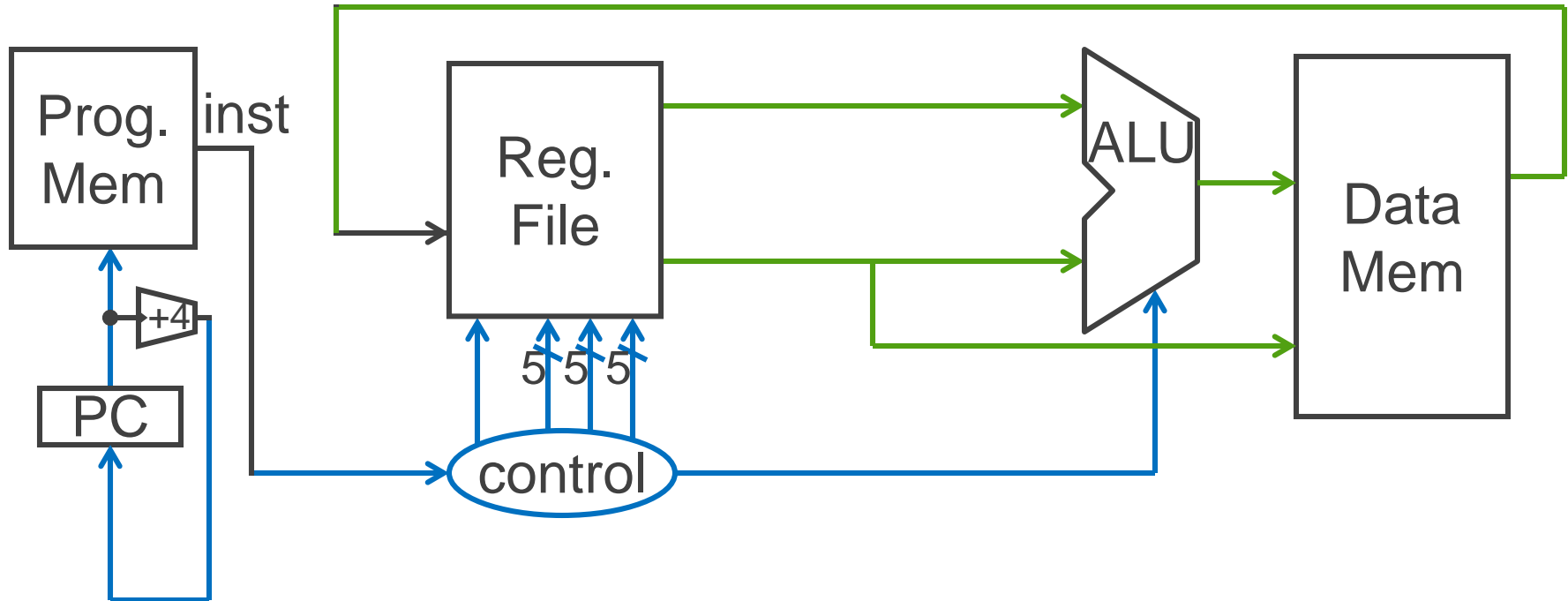
# Next Goal

How are instructions executed?

What is the general **datapath** to execute an instruction?



# Five Stages of RISC-V Datapath



Fetch    Decode    Execute    Memory    WB



A single cycle processor – this diagram is not 100% spatial

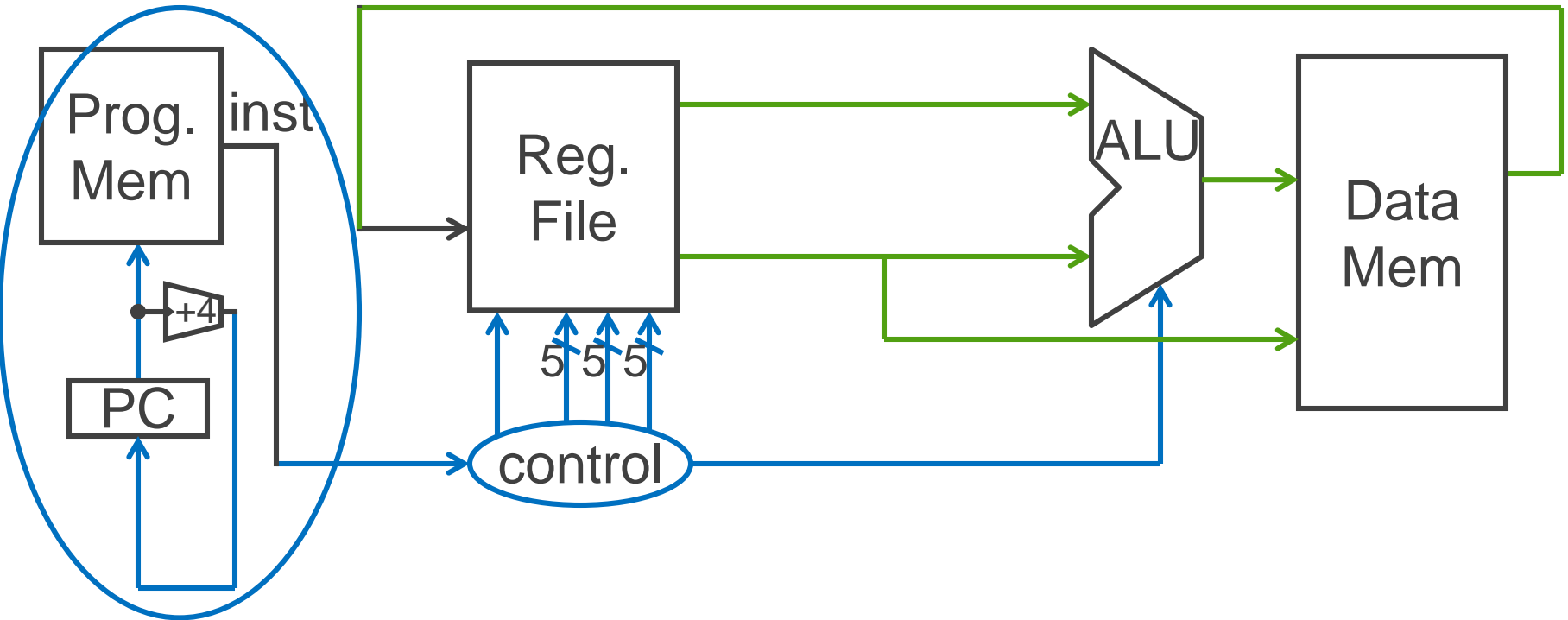


# Five Stages of RISC-V Datapath

## Basic CPU execution loop

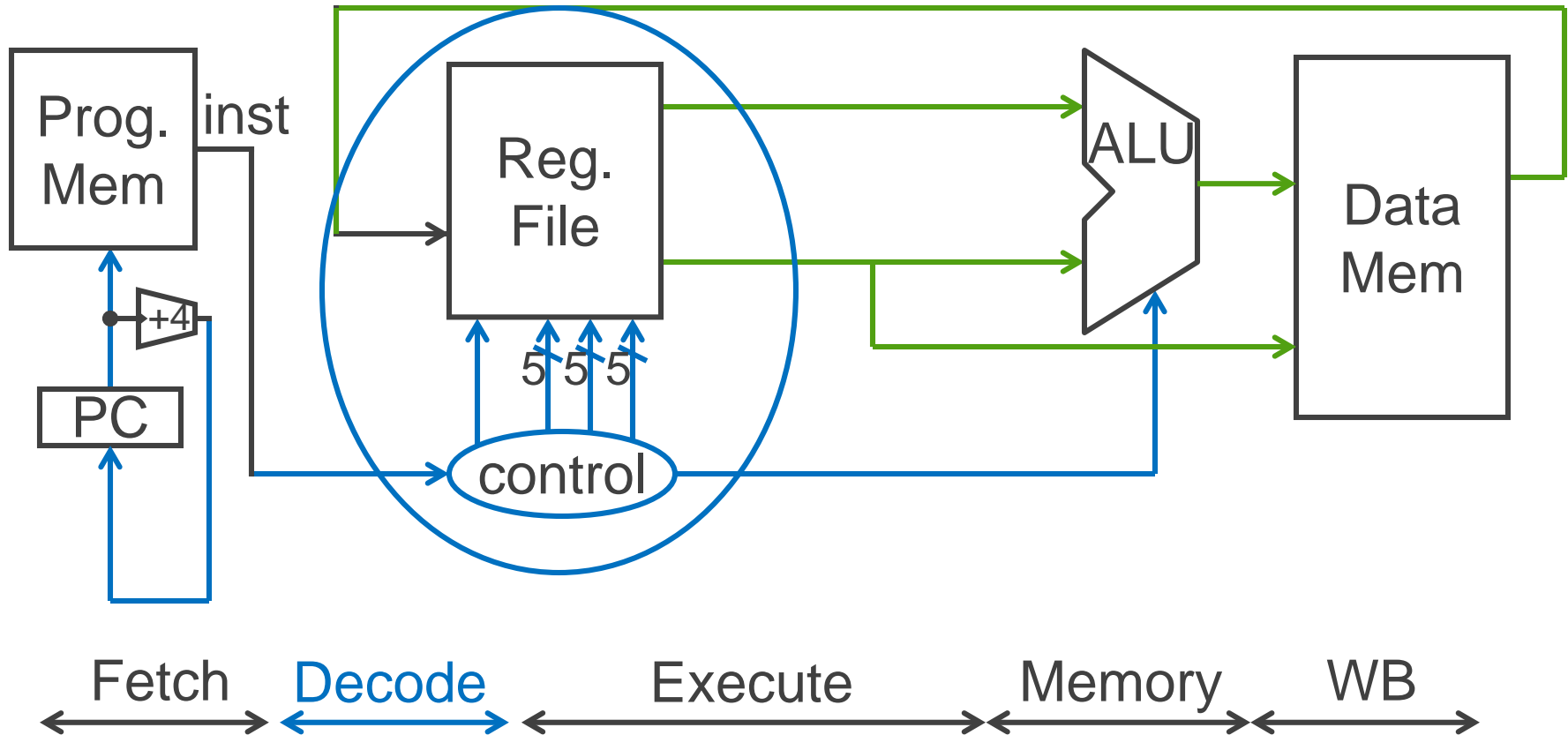
1. Instruction Fetch
2. Instruction Decode
3. Execution (ALU)
4. Memory Access
5. Register Writeback

# Stage 1: Instruction Fetch



Fetch 32-bit instruction from memory  
Increment  $PC = PC + 4$

# Stage 2: Instruction Decode



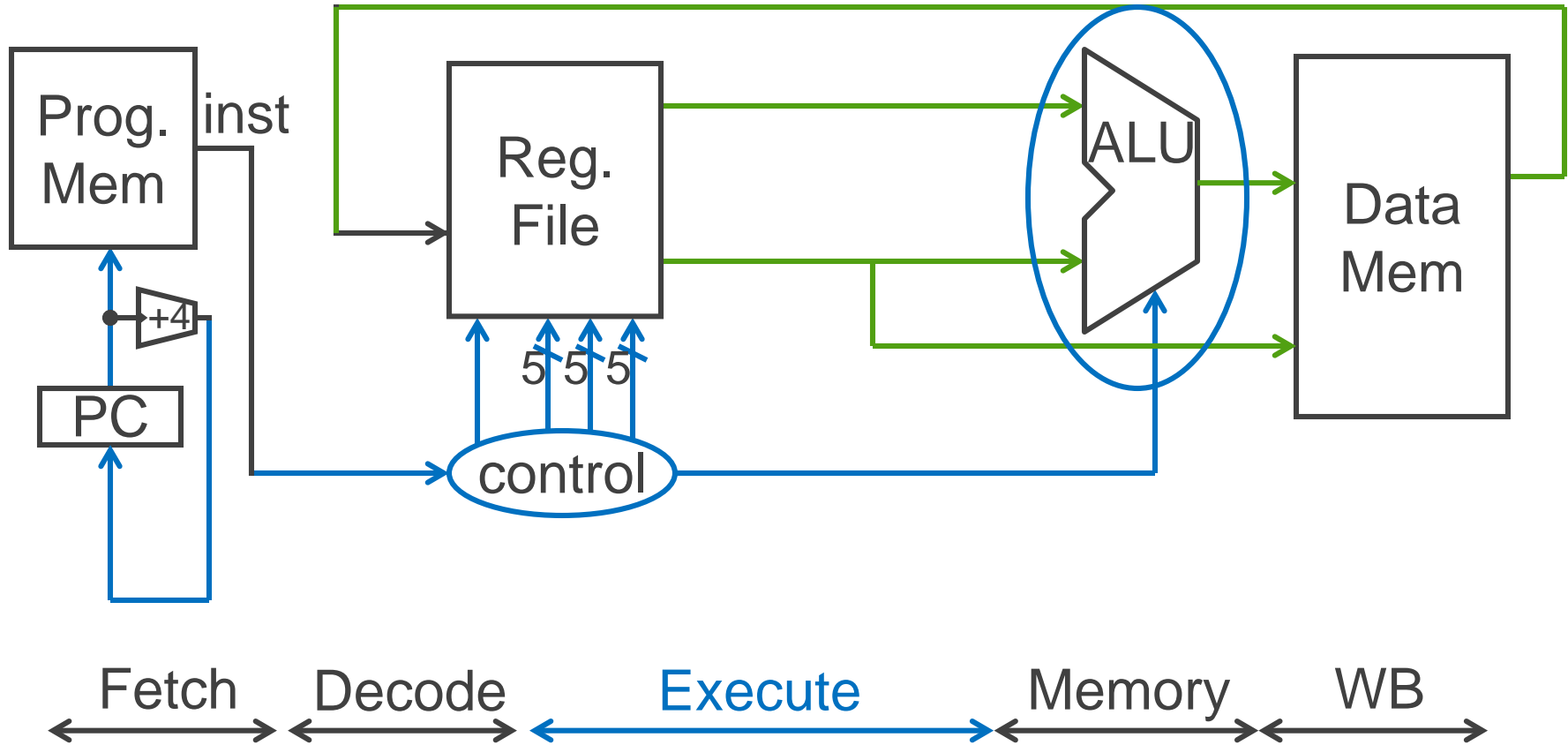
Gather data from the instruction

Read opcode; determine instruction type, field lengths

Read in data from register file

(0, 1, or 2 reads for `jump`, `addi`, or `add`, respectively)

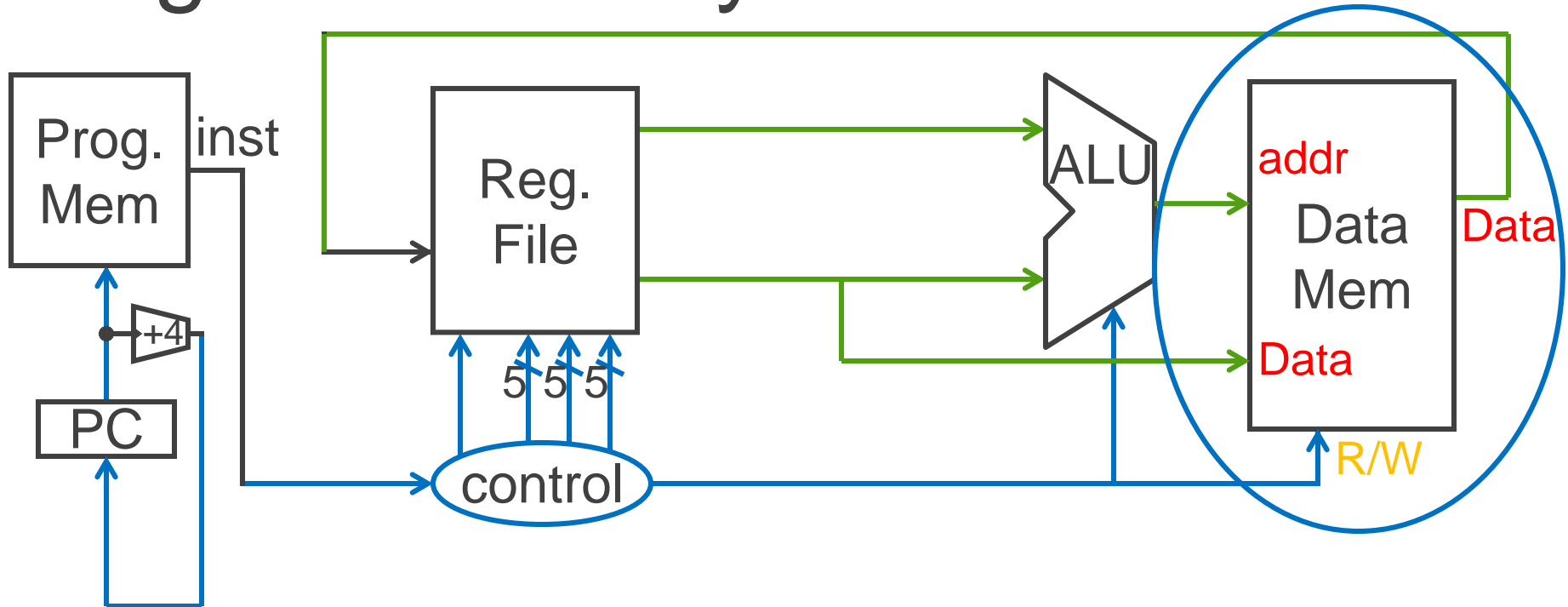
# Stage 3: Execution (ALU)



Useful work done here (+, -, \*, /), shift, logic operation, comparison (slt)

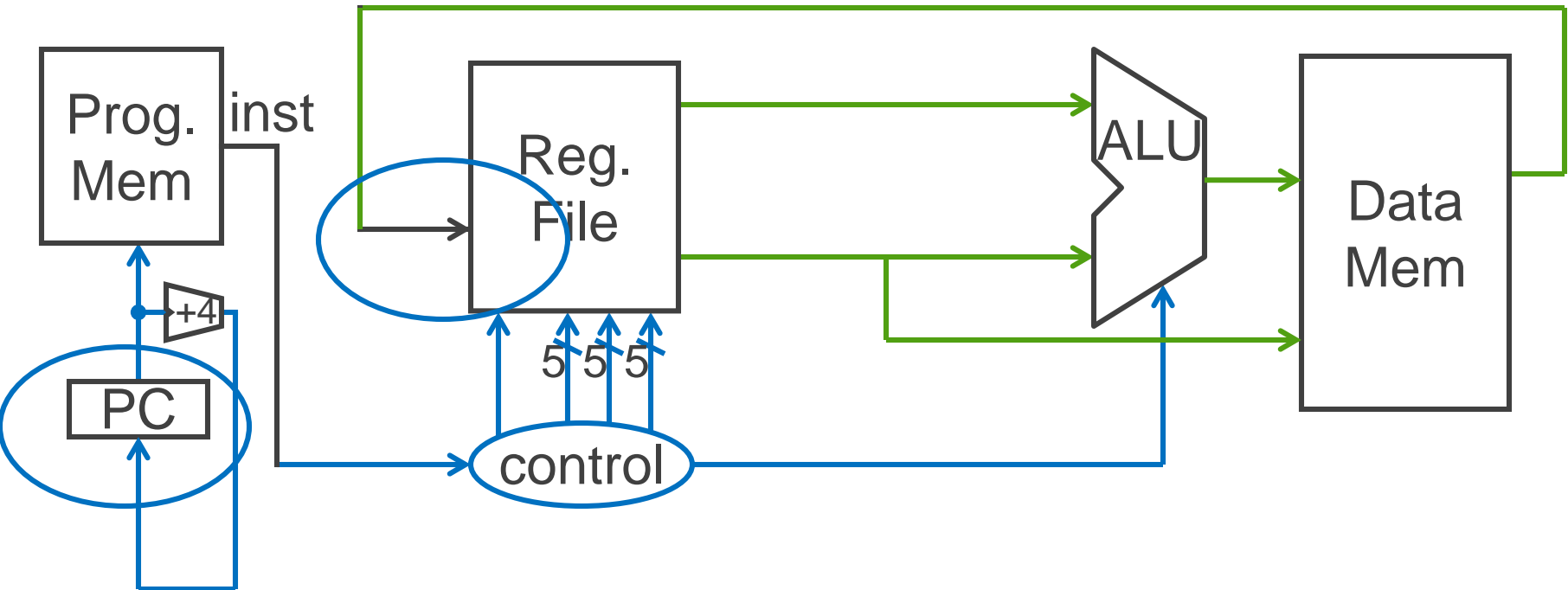
Load/Store? lw x2, x3, 32 → Compute address

# Stage 4: Memory Access



Used by load and store instructions only  
Other instructions will skip this stage

# Stage 5: Writeback



Write to register file

- For arithmetic ops, logic, shift, etc, load. What about stores?

Update PC

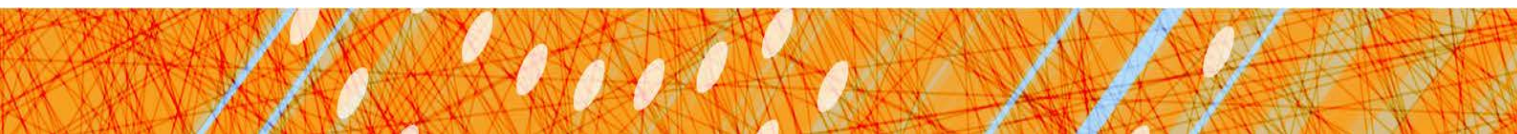
- For branches, jumps

# Takeaway

- The datapath for a RISC-V processor has five stages:
  1. Instruction Fetch
  2. Instruction Decode
  3. Execution (ALU)
  4. Memory Access
  5. Register Writeback
- This five stage datapath is used to execute all RISC-V instructions

# Next Goal

- Specific datapaths RISC-V Instructions





# RISC-V Design Principles

## Simplicity favors regularity

- 32 bit instructions

## Smaller is faster

- Small register file

## Make the common case fast

- Include support for constants

## Good design demands good compromises

- Support for different type of interpretations/classes

# Instruction Types

- **Arithmetic**
  - add, subtract, shift left, shift right, multiply, divide
- **Memory**
  - load value from memory to a register
  - store value to memory from a register
- **Control flow**
  - conditional jumps (branches)
  - jump and link (subroutine call)
- Many other instructions are possible
  - vector add/sub/mul/div, string operations
  - manipulate coprocessor
  - I/O

# RISC-V Instruction Types

## • Arithmetic/Logical

- **R-type**: result and two source registers, shift amount
- **I-type**: result and source register, shift amount in 16-bit immediate with sign/zero extension
- **U-type**: result register, 16-bit immediate with sign/zero extension

## • Memory Access

- **I-type** for loads and **S-type** for stores
- load/store between registers and memory
- word, half-word and byte operations

## • Control flow

- **U-type**: jump-and-link
- **I-type**: jump-and-link register
- **S-type**: conditional branches: pc-relative addresses

# RISC-V instruction formats

All RISC-V instructions are 32 bits long, have 4 formats

- **R-type**

funct7	rs2	rs1	funct3	rd	op
7 bits	5 bits	5 bits	3 bits	5 bits	7 bits
- **I-type**

imm	rs1	funct3	rd	op
12 bits	5 bits	3 bits	5 bits	7 bits
- **S-type**

imm	rs2	rs1	funct3	imm	op
7 bits	5 bits	5 bits	3 bits	5 bits	7 bits
- **U-type**

imm	rd	op
20 bits	5 bits	7 bits

# R-Type (1): Arithmetic and Logic

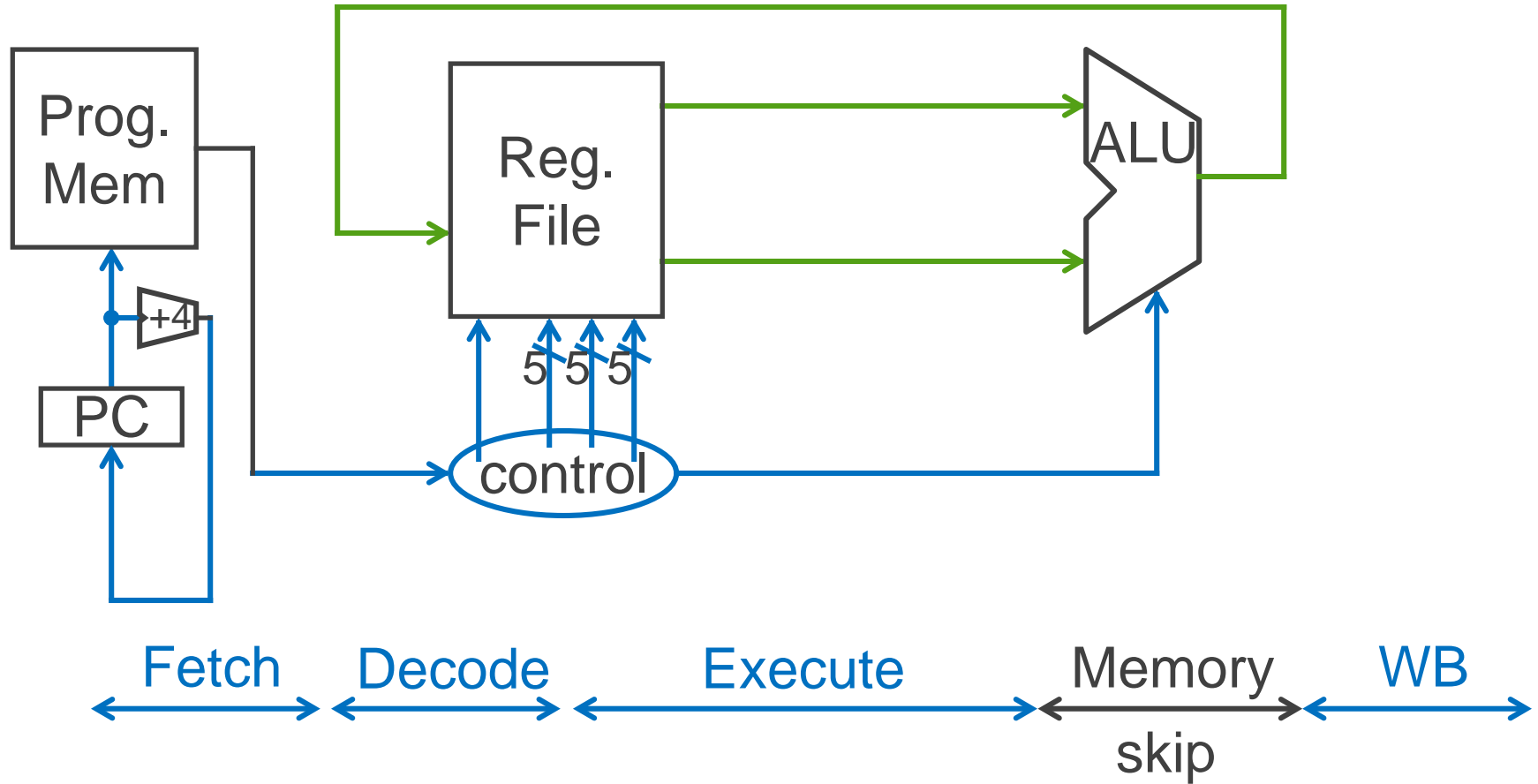
000000000110010001000010001000110011

funct7 rs2 rs1 funct3 rd op

7 bits 5 bits 5 bits 3 bits 5 bits 7 bits

op	funct3	mnemonic	description
0110011	000	ADD rd, rs1, rs2	$R[rd] = R[rs1] + R[rs2]$
0110011	000	SUB rd, rs1, rs2	$R[rd] = R[rs1] - R[rs2]$
0110011	110	OR rd, rs1, rs2	$R[rd] = R[rs1]   R[rs2]$
0110011	100	XOR rd, rs1, rs2	$R[rd] = R[rs1] \oplus R[rs2]$

# Arithmetic and Logic



# R-Type (2): Shift Instructions

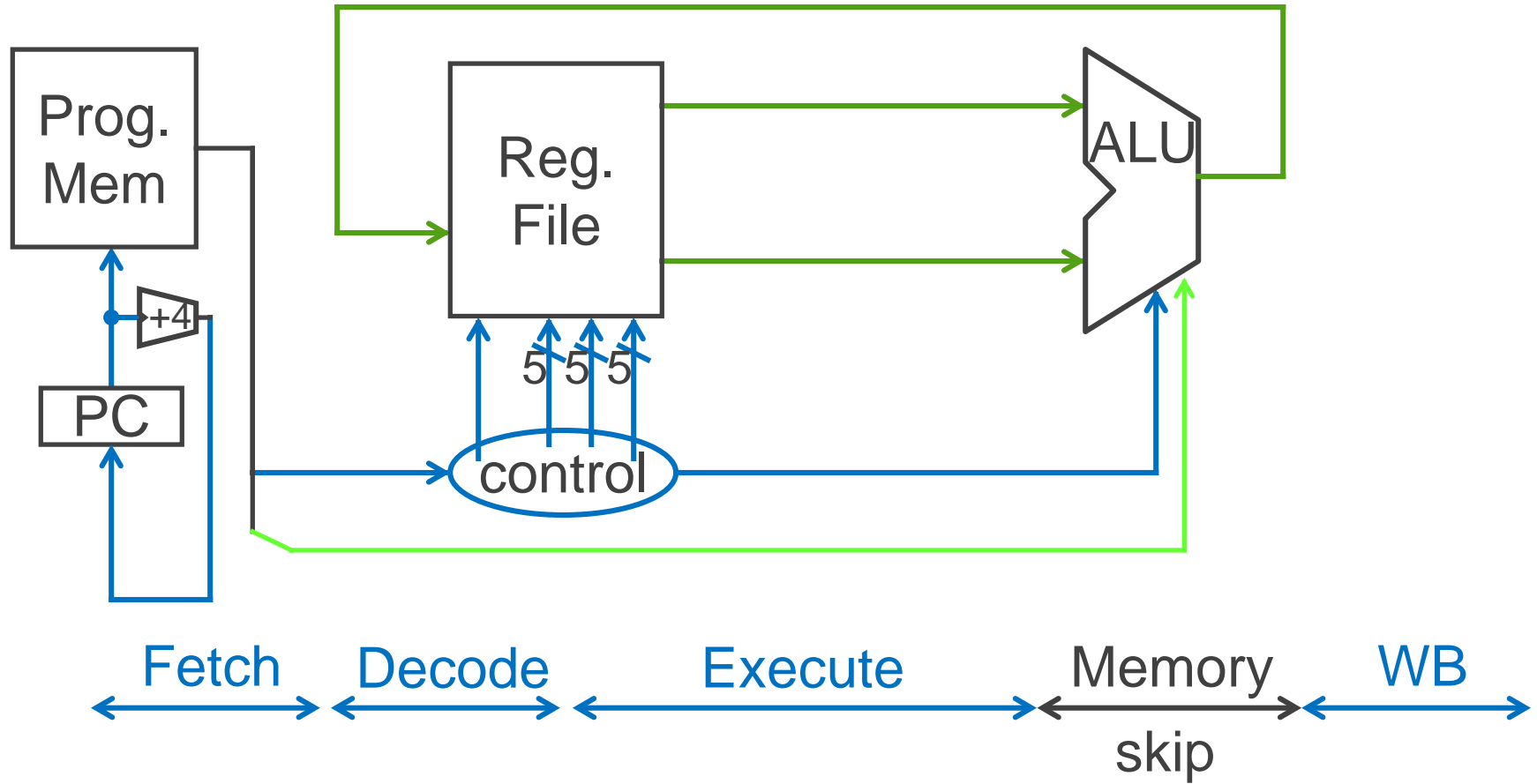
000000001100010000101000011011

funct7 rs2 rs1 funct3 rd op

7 bits 5 bits 5 bits 3 bits 5 bits 7 bits

op	funct3	mnemonic	description
0110011	001	SLL rd, rs1, rs2	$R[rd] = R[rs1] \ll R[rs2]$
0110011	101	SRL rd, rs1, rs2	$R[rd] = R[rs1] \ggg R[rs2]$ (zero ext.)
0110011	101	SRA rd, rs1, rs2	$R[rd] = R[rs1] \ggg R[rs2]$ (sign ext.)

# Shift





# I-Type (1): Arithmetic w/ immediates

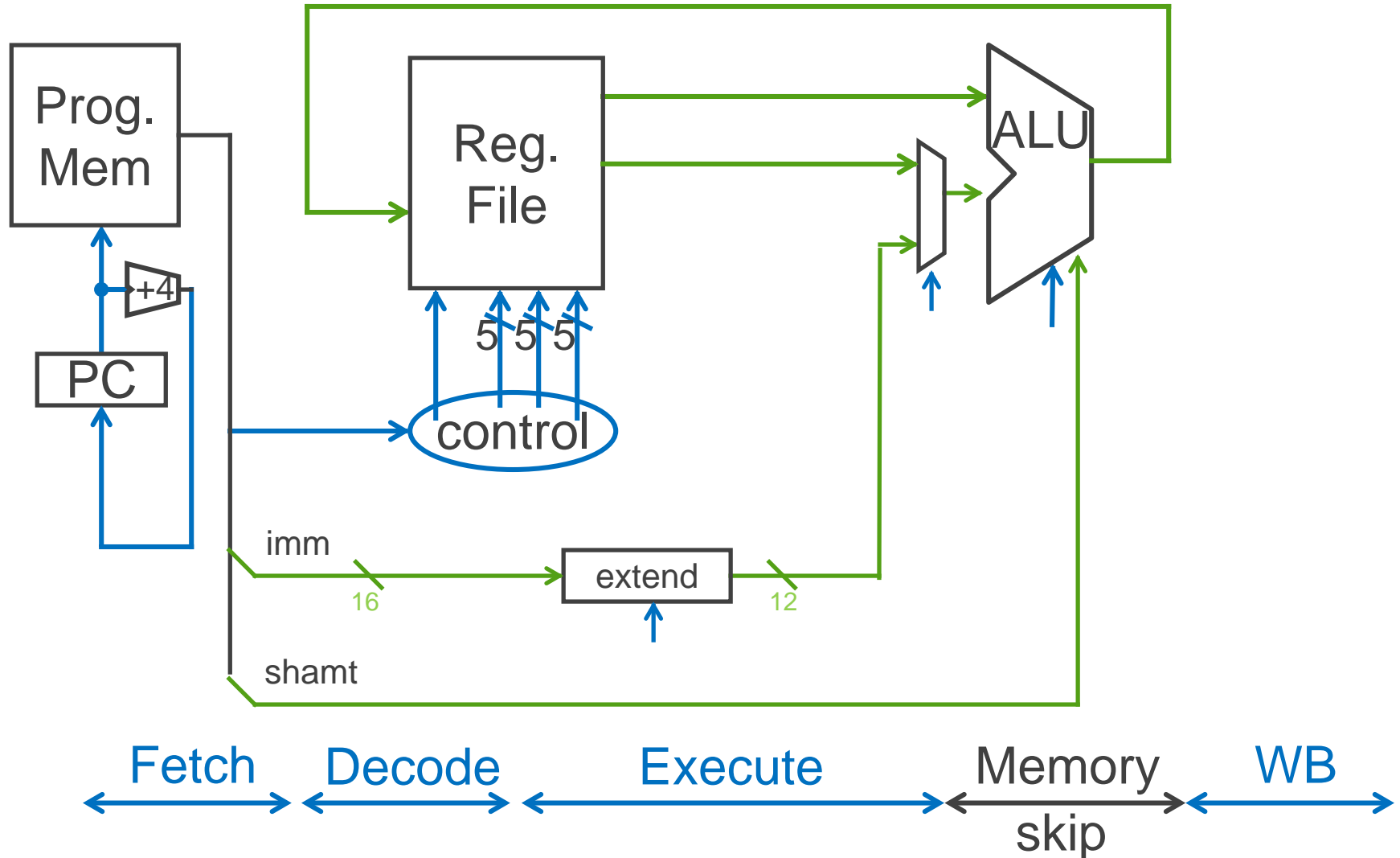
0000000010100101000001010010011

imm                    rs1   funct3   rd   op

12 bits                5 bits    3 bits    5 bits 7 bits

op	funct3	mnemonic	description
0010011	000	ADDI rd, rs1, imm	$R[rd] = R[rs1] + imm$
0010011	111	ANDI rd, rs1, imm	$R[rd] = R[rs1] \& \text{zero\_extend}(imm)$
0010011	110	ORI rd, rs1, imm	$R[rd] = R[rs1]   \text{zero\_extend}(imm)$

# Arithmetic w/ immediates



# U-Type (1): "Load Upper Immediate"

000000000000000000000000101001010110111

imm

rd

op

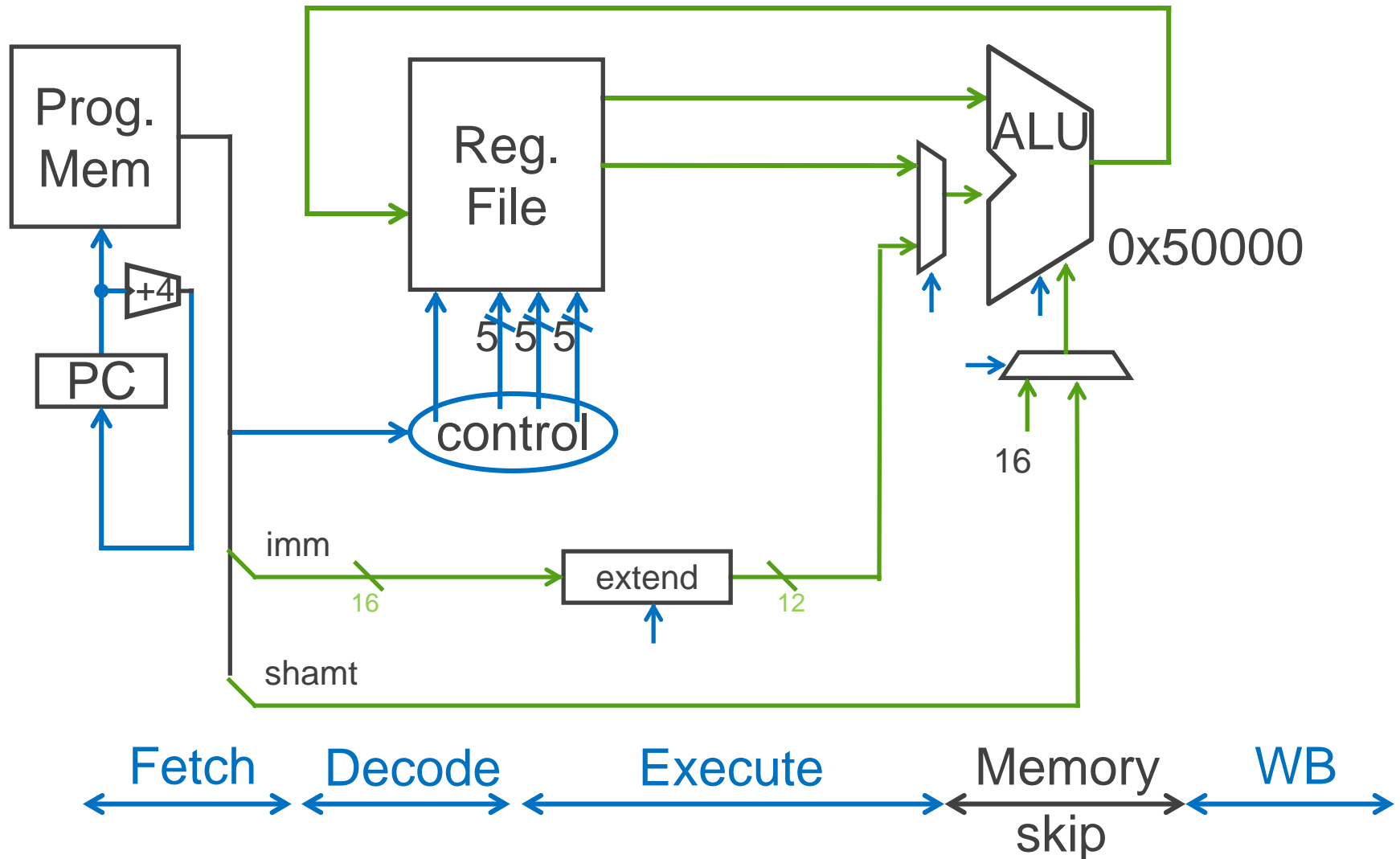
20 bits

5 bits

7 bits

op	mnemonic	description
0110111	LUI rd, imm	$R[\text{rd}] = \text{imm} \ll 16$

# Load Upper Immediate



# RISC-V Instruction Types

## • Arithmetic/Logical

- ✓ • **R-type**: result and two source registers, shift amount
- **I-type**: result and source register, shift amount in 16-bit immediate with sign/zero extension
- **U-type**: result register, 16-bit immediate with sign/zero extension

## • Memory Access

- **I-type** for loads and **S-type** for stores
- load/store between registers and memory
- word, half-word and byte operations

## • Control flow

- **U-type**: jump-and-link
- **I-type**: jump-and-link register
- **S-type**: conditional branches: pc-relative addresses

# RISC-V Instruction Types

- Arithmetic/Logical

- ✓ • **R-type**: result and two source registers, shift amount
- **I-type**: result and source register, shift amount in 16-bit immediate with sign/zero extension
- **U-type**: result register, 16-bit immediate with sign/zero extension

- Memory Access

- **I-type** for loads and **S-type** for stores
- load/store between registers and memory
- word, half-word and byte operations

- Control flow

- **U-type**: jump-and-link
- **I-type**: jump-and-link register
- **S-type**: conditional branches: pc-relative addresses

# Summary

We have all that it takes to build a processor!

- Arithmetic Logic Unit (ALU)
- Register File
- Memory

RISC-V processor and ISA is an example of a Reduced Instruction Set Computers (RISC)

- Simplicity is key, thus enabling us to build it!

We now know the data path for the MIPS ISA:

- register, memory and control instructions

