Syscalls, exceptions, and interrupts, ...oh my!

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The slides are the product of many rounds of teaching CS 3410 by Deniz Altinbuken, Professors Weatherspoon, Bala, Bracy, and Sirer.
Announcements

• C practice assignment
  • Due Monday, April 23rd
• P4-Buffer Overflow is due tomorrow
  • Due Wednesday, April 18th
• P5-Cache Collusion!
  • Due Friday, April 27th
Outline for Today

• How do we protect processes from one another?
  • Skype should not crash Chrome.
  • Operating System

• How do we protect the operating system (OS) from other processes?
  • Chrome should not crash the computer!
  • Privileged Mode

• How does the CPU and OS (software) handle exceptional conditions?
  • Division by 0, Page Fault, Syscall, etc.
  • Traps, System calls, Exceptions, Interrupts
Operating System
Operating System

- Manages all of the software and hardware on the computer.
- Many processes running at the same time, requiring resources
  - CPU, Memory, Storage, etc.

- The Operating System multiplexes these resources amongst different processes, and isolates and protects processes from one another!
**Operating System**

- Operating System (OS) is a trusted mediator:
  - *Safe control transfer between processes*
  - *Isolation (memory, registers) of processes*

![Diagram showing trusted and untrusted processes and components]
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Privileged (Kernel) Mode
Trusted vs. Untrusted

• Only trusted processes should access & change important things
  • Editing TLB, Page Tables, OS code, OS $sp, OS $fp...

• If an untrusted process could change the OS’ $sp/$fp/$gp/etc., OS would crash!
Privileged Mode

CPU Mode Bit in Process Status Register
• Many bits about the current process
• Mode bit is just one of them

• Mode bit:
  • 0 = user mode = untrusted:
    “Privileged” instructions and registers are disabled by CPU
  • 1 = kernel mode = trusted
    All instructions and registers are enabled
Privileged Mode at Startup

1. Boot sequence
   • load first sector of disk (containing OS code) to predetermined address in memory
   • Mode $\leftarrow 1$; PC $\leftarrow$ predetermined address

2. OS takes over
   • initializes devices, MMU, timers, etc.
   • loads programs from disk, sets up page tables, etc.
   • Mode $\leftarrow 0$; PC $\leftarrow$ program entry point
     – User programs regularly yield control back to OS
Users need access to resources

If an untrusted process does not have privileges to use system resources, how can it

• Use the screen to print?
• Send message on the network?
• Allocate pages?
• Schedule processes?
System Call Examples

putc(): Print character to screen
  • Need to multiplex screen between competing processes

send(): Send a packet on the network
  • Need to manipulate the internals of a device

sbrk(): Allocate a page
  • Needs to update page tables & MMU

sleep(): put current prog to sleep, wake other
  • Need to update page table base register
**System Calls**

**System call: Not just a function call**
- Don’t let process jump just anywhere in OS code
- OS can’t trust process’ registers (sp, fp, gp, etc.)

**SYSCALL instruction: safe transfer of control to OS**

**MIPS system call convention:**
- Exception handler saves temp regs, saves ra, ...
- but: $v0 = system call number, which specifies the operation the application is requesting
User Application

printf()

System Call Interface

User Mode

printf.c

Implementation of printf() syscall!
Libraries and Wrappers

Compilers do not emit SYSCALL instructions
  • Compiler doesn’t know OS interface
Libraries implement standard API from system API
libc (standard C library):
  • getc() → syscall
  • sbrk() → syscall
  • write() → syscall
  • gets() → getc()
  • printf() → write()
  • malloc() → sbrk()
  • ...

Invoking System Calls

char *gets(char *buf) {
    while (...) {
        buf[i] = getc();
    }
}

int getc() {
    asm("addiu $v0, $0, 4");
    asm("syscall");
}

4 is number for getc syscall
Anatomy of a Process, v1

0xfffffffffc
system reserved

0x80000000
0x7fffffffff
stack

0x10000000
static data

dynamic data (heap)

0x00400000
code (user) gets
(text) (library) getc

0x00000000
system reserved

??
Where does the OS live?

In its own address space?
- Syscall has to switch to a different address space
- Hard to support syscall arguments passed as pointers
  . . . So, NOPE

In the same address space as the user process?
- Protection bits prevent user code from writing kernel
- Higher part of virtual memory
- Lower part of physical memory
  . . . Yes, this is how we do it.
Full System Layout

All kernel text & most data:
• At same virtual address in every address space

OS is omnipresent, available to help user-level applications
• Typically in high memory
Full System Layout

Virtual Memory

0xfffffffffc
OS Stack
OS Heap
OS Data
OS Text

0x80000000
stack

dynamic data (heap)

0x7ffffffffc

0x10000000
static data

0x00400000
code (text)

0x00000000
system reserved

system reserved

Virtual Memory

0x00...00

Physical Memory

0x00...00

OS Stack
OS Heap
OS Data
OS Text
Anatomy of a Process, v2

0xfffffffffc
  system reserved

0x800000000
implementation of getc() syscall

0x7ffffffffc
  stack

0x100000000
  dynamic data (heap)

0x004000000
  static data

0x00400000
  code (text)

0x00000000
  system reserved

gets

getc
Inside the SYSCALL instruction

SYSCALL instruction does an atomic jump to a controlled location (i.e. MIPS 0x8000 0180)

- Switches the sp to the kernel stack
- Saves the old (user) SP value
- Saves the old (user) PC value (= return address)
- Saves the old privilege mode
- Sets the new privilege mode to 1
- Sets the new PC to the kernel syscall handler
Inside the SYSCALL implementation

Kernel system call handler carries out the desired system call

- Saves callee-save registers
- Examines the syscall number
- Checks arguments for sanity
- Performs operation
- Stores result in v0
- Restores callee-save registers
- Performs a “return from syscall” (ERET) instruction, which restores the privilege mode, SP and PC
Takeaway

• It is necessary to have a privileged (kernel) mode to enable the Operating System (OS):
  • provides isolation between processes
  • protects shared resources
  • provides safe control transfer
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  • Traps, System calls, Exceptions, Interrupts
Exceptional Control Flow

Anything that *isn’t* a user program executing its own user-level instructions.

System Calls:

- just one type of exceptional control flow
- Process requesting a service from the OS
- Intentional – *it’s in the executable!*
Software Exceptions

Traps
- Intentional
  - Examples:
    - System call
      (OS performs service)
    - Breakpoint traps
    - Privileged instructions

Faults
- Unintentional but possibly recoverable
  - Examples:
    - Division by zero
    - Page fault

Aborts
- Unintentional
  - Not recoverable
  - Examples:
    - Parity error

One of many ontology / terminology trees.
Terminology

Trap: Any kind of a control transfer to the OS

Syscall: Synchronous and planned, process-to-kernel transfer
  - SYSCALL instruction in MIPS (various on x86)

Exception: Synchronous but unplanned, process-to-kernel transfer
  - exceptional events: div by zero, page fault, page protection err, ...

Interrupt: Asynchronous, device-initiated transfer
  - e.g. Network packet arrived, keyboard event, timer ticks
Hardware support for exceptions

Exception program counter (EPC)

• 32-bit register, holds addr of affected instruction
• Syscall case: Address of SYSCALL

Cause register

• Register to hold the cause of the exception
• Syscall case: 8, Sys

Special instructions to load TLB

• Only do-able by kernel
Hardware support for exceptions

Precise exceptions: Hardware guarantees
(similar to a branch)

• Previous instructions complete
• Later instructions are flushed
• EPC and cause register are set
• Jump to prearranged address in OS
• When you come back, restart instruction

• Disable exceptions while responding to one
  – Otherwise can overwrite EPC and cause
Exceptional Control Flow

**Hardware interrupts**
*Asynchronous*
- caused by events external to CPU

**Software exceptions**
*Synchronous*
- caused by CPU executing an instruction

**Maskable**
*Can be turned off by CPU*
- Example: alert from network device that a packet just arrived, clock notifying CPU of clock tick

**Unmaskable**
*Cannot be ignored*
- Example: alert from the power supply that electricity is about to go out

AKA Exceptions
Interrupts & Unanticipated Exceptions

No SYSCALL instruction. Hardware steps in:

• Saves PC of exception instruction (EPC)
• Saves cause of the interrupt/privilege (Cause register)
• Switches the sp to the kernel stack
• Saves the old (user) SP value
• Saves the old (user) PC value
• Saves the old privilege mode
• Sets the new privilege mode to 1
• Sets the new PC to the kernel syscall handler
Inside Interrupts & Unanticipated Exceptions

interrupt/exception handler handles event
Kernel system call handler carries out system call

all

• Saves callee-save registers
• Examines the syscall number cause
• Checks arguments for sanity
• Performs operation
• Stores result in v0
• Restores callee-save registers
• Performs a ERET instruction (restores the privilege mode, SP and PC)
Address Translation: HW/SW Division of Labor

Virtual $\rightarrow$ physical address translation!

Hardware
- has a concept of operating in physical or virtual mode
- helps manage the TLB
- raises page faults
- keeps Page Table Base Register (PTBR) and ProcessID

Software/OS
- manages Page Table storage
- handles Page Faults
- updates Dirty and Reference bits in the Page Tables
- keeps TLB valid on context switch:
  - Flush TLB when new process runs (x86)
  - Store process id (MIPS)
Demand Paging on MIPS

1. TLB miss
2. Trap to kernel
3. Walk Page Table
4. Find page is invalid
5. Convert virtual address to file + offset
6. Allocate page frame
   • Evict page if needed
7. Initiate disk block read into page frame
8. Disk interrupt when DMA complete
9. Mark page as valid
10. Load TLB entry
11. Resume process at faulting instruction
12. Execute instruction