

**CS 3110**

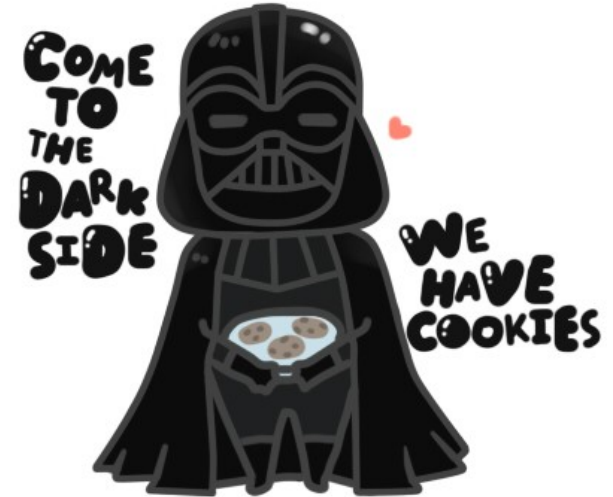
# Mutable Data Types

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# Review

## Previously in 3110:

- Advanced data structures
  - Streams and laziness
  - Balanced binary trees



## Today: THE DARK SIDE ARRIVES

- **Mutable data types:** refs, mutable fields, arrays

# Mutable features of OCaml

- Time to finally admit that OCaml has mutable features
  - It is not a *pure language*
  - *Pure* = no side effects
- Sometimes it really is best to allow values to change:
  - call a function that returns an incremented counter every time
  - efficient hash tables
- OCaml variables really are immutable
- But OCaml has mutable *references*, *fields*, and *arrays...*

**REFS**

# References

- aka “ref” or “ref cell”
- **Pointer** to a typed location in memory

```
# let x = ref 0;;
```

```
val x : int ref = {contents = 0}
```

```
# !x;;
```

```
- : int = 0
```

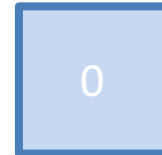
```
# x:=1;;
```

```
- : unit = ()
```

```
# !x;;
```

```
- : int = 1
```

x →



x →



# References

- The binding of **x** to the pointer is **immutable**, as always
- But the **contents of the memory** may change

# Implementing a counter

```
let counter = ref 0
let next_val =
  fun () ->
    counter := (!counter) + 1;
    !counter
```

- `next_val ()` returns 1
- then `next_val ()` returns 2
- then `next_val ()` returns 3
- etc.

# Implementing a counter

```
(* better: hides [counter] *)  
let next_val =  
    let counter = ref 0  
    in fun () ->  
        incr counter;  
        !counter
```



# Compare these implementations

```
(* works *)  
let next_val =  
  let counter = ref 0  
  in fun () ->  
    incr counter;  
    !counter
```

```
(* broken *)  
let next_val = fun () ->  
  let counter = ref 0  
  in incr counter;  
  !counter
```

**Q:** Why does the first implementation work?

**A:** the anonymous function captures **counter** in its scope

# References

- **Syntax:** `ref e`
- **Evaluation:**
  - Evaluate `e` to a value `v`
  - Allocate a new *location* `loc` in memory to hold `v`
  - Store `v` in `loc`
  - Return `loc`
  - Note: locations are values; can pass and return from functions
- **Type checking:**
  - New type constructor: `t ref` where `t` is a type
    - Note: `ref` is used as keyword in type and as keyword in value
  - `ref e : t ref` if `e : t`

# References

- **Syntax:**  $e1 := e2$
- **Evaluation:**
  - Evaluate  $e2$  to a value  $v2$
  - Evaluate  $e1$  to a location  $loc$
  - Store  $v2$  in  $loc$
  - Return  $()$
- **Type checking:**
  - If  $e2 : t$
  - and  $e1 : t \text{ ref}$
  - then  $e1 := e2 : \text{unit}$

# References

- **Syntax: !e**
  - note: not negation
- **Evaluation:**
  - Evaluate **e** to **loc**
  - Return contents of **loc**
- **Type checking:**
  - If **e : t ref**
  - then **!e : t**

# References

- **Syntax:**  $e1 ; e2$
- **Evaluation:**
  - Evaluate  $e1$  to a value  $v1$
  - Then **throw away** that value  
(note:  $e1$  could have side effects)
  - evaluate  $e2$  to a value  $v2$
  - return  $v2$
- **Type checking:**
  - If  $e1 : \text{unit}$
  - and  $e2 : t$
  - then  $e1 ; e2 : t$

# Aliases

References may have **aliases**:

```
let x = ref 42
let y = ref 42
let z = x
let () = x := 43
let w = (!y) + (!z)
```

**z** and **x** are aliases:

- in "**let** z = x", **x** evaluates to a location, and **z** is bound to the same location
- changing the contents of that location will cause both **!x** and **!z** to change

# Equality

- Suppose we have two refs...
  - `let r1 = ref 3110`
  - `let r2 = ref 3110`
- Double equals is *physical equality*
  - `r1 == r1`
  - `r1 != r2`
- Single equals is *structural equality*
  - `r1 = r1`
  - `r1 = r2`
  - `ref 3110 <> ref 2110`
- You usually want single equals

# **MUTABLE FIELDS**



# Mutable fields

Fields of a record type can be declared as mutable:

```
# type point = {x:int; y:int; mutable c:string};;
type point = {x:int; y:int; mutable c:string; }
# let p = {x=0; y=0; c="red"};;
val p : point = {x=0; y=0; c="red"}
# p.c <- "white";;
- : unit = ()
# p;;
val p : point = {x=0; y=0; c="white"}
# p.x <- 3;;
Error: The record field x is not mutable
```

# Implementing refs

Ref cells are essentially syntactic sugar:

```
type 'a ref = { mutable contents: 'a }  
let ref x = { contents = x }  
let ( ! ) r = r.contents  
let ( := ) r newval = r.contents <- newval
```

- That type is declared in **Pervasives**
- The functions are compiled down to something equivalent

# ARRAYS

# Arrays

**Arrays** generalize ref cells from a single mutable value to a sequence of mutable values

```
# let v = [|0.; 1.|];;
val v : float array = [|0.; 1.|]
# v.(0) <- 5.;;
- : unit = ()
# v;;
- : float array = [|5.; 1.|]
```

# Arrays

- **Syntax:** `[ | e1 ; ... ; en | ]`
- **Evaluation:**
  - evaluates to an **n**-element array
  - elements are initialized to **v1 . . . vn**
    - if **e1** evaluates to **v1**, . . . , **en** evaluates to **vn**
- **Type checking:**  
`[ | e1 ; ... ; en | ] : t array`  
if each **ei** : **t**

# Arrays

- **Syntax:** `e1 . (e2)`
- **Evaluation:**
  - if `e1` evaluates to `v1`, and `e2` evaluates to `v2`,
  - and  $0 \leq v2 < n$ , where `n` is the length of array `v1`,
  - then `e1 . (e2)` evaluates to element at offset `v2` of `v1`.
  - If `v2 < 0` or `v2 ≥ n`, raises `Invalid_argument`.
- **Type checking:**
  - `e1 . (e2) : t`
  - if `e1 : t array` and `e2 : int`

# Arrays

- **Syntax:** `e1 . (e2) <- e3`
- **Evaluation:**
  - if `e1` evaluates to `v1`, and `e2` evaluates to `v2`,
  - and  $0 \leq v2 < n$ , where `n` is the length of array `v1`,
  - and `e3` evaluates to `v3`,
  - then mutate element at offset `v2` of `v1` to be `v3`.
  - If `v2 < 0` or `v2 >= n`, raise `Invalid_argument`.
  - Evaluates to `()`.
- **Type checking:**
  - `e1 . (e2) <- e3 : unit`
  - if `e1 : t array` and `e2 : int` and `e3 : t`

See **Array** module for more operations, including more ways to create arrays

# Control structures

Traditional loop structures are useful with arrays:

- **while** e1 **do** e2 **done**
- **for** x=e1 **to** e2 **do** e3 **done**
- **for** x=e1 **downto** e2 **do** e3 **done**

(they work like you expect)



**BEWARE**

# Beware



Immutability is a valuable non-feature  
*might seem weird that lack of feature is valuable...*

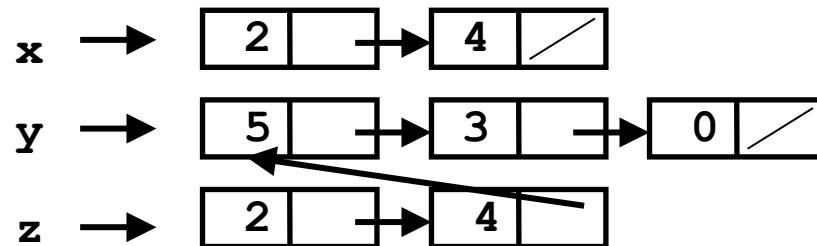
# Immutable lists

We have never needed to worry about aliasing with lists!

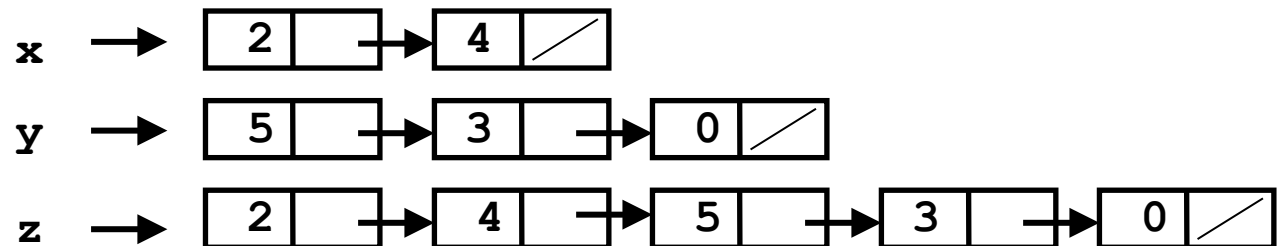
```
let x = [2;4]
```

```
let y = [5;3;0]
```

```
let z = x @ y
```



vs.



*(no code you write could ever tell, but OCaml implementation uses the first one)*

# OCaml vs. Java on mutable data

- OCaml: **blissfully** unaware of aliasing
  - *Impossible* to tell where there is aliasing (except when using imperative features)
  - Example: `List.tl` is constant time; does not copy rest of the list
- Java: **obsession** with aliasing and object identity
  - Must be, so that subsequent assignments affect the right parts of the program
  - Often crucial to make copies in just the right places...

# Java security nightmare (bad code)

```
class ProtectedResource {
    private Resource theResource = ...;
    private String[] allowedUsers = ...;
    public String[] getAllowedUsers() {
        return allowedUsers;
    }
    public String currentUser() { ... }
    public void useTheResource() {
        for(int i=0; i < allowedUsers.length; i++) {
            if(currentUser().equals(allowedUsers[i])) {
                ... // access allowed: use it
                return;
            }
        }
        throw new IllegalAccessException();
    }
}
```

# Have to make copies

The problem:

```
p.getAllowedUsers()[0] = p.currentUser();  
p.useTheResource();
```

The fix:

```
public String[] getAllowedUsers() {  
    ... return a copy of allowedUsers ...  
}
```

Similar errors as recent as Java 1.7beta

# Benefits of immutability

- Programmer doesn't have to think about aliasing; can concentrate on other aspects of code
- Language implementation is free to use aliasing, which is cheap
- Often easier to reason about whether code is correct
- Perfect fit for concurrent programming

But there are downsides:

- I/O is fundamentally about mutation
- Some data structures (hash tables, arrays, ...) hard(er) to implement in pure style

Try not to abuse your new-found power!

# Upcoming events

- [Today] Charter due!
- Next week, a breather (sort of)