CS 3110

Mutable Data Types

A New Despair
Mutability Strikes Back
Return of Imperative Programming

Prof. Clarkson

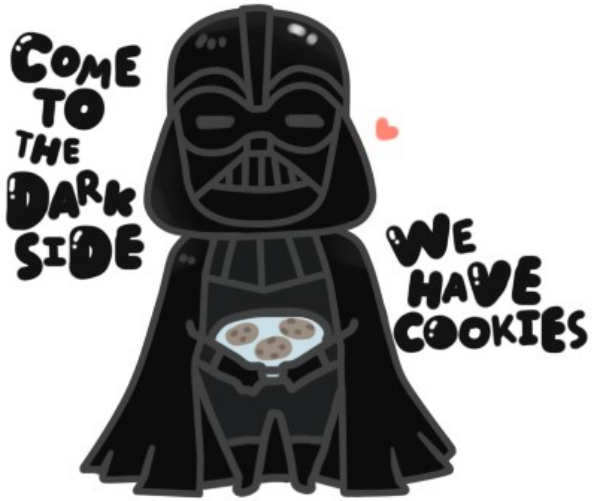
Fall 2017

Today's music: *The Imperial March*
from the soundtrack to *Star Wars, Episode V: The Empire Strikes Back*

Review

Previously in 3110:

- Advanced data structures
  - Streams and laziness
  - Balanced binary trees



Today: THE DARK SIDE ARRIVES

- Mutable data types: refs, mutable fields, arrays

Mutable features of OCaml

- Time to finally admit that OCaml has mutable features
  - It is not a *pure language*
  - *Pure* = no side effects
- Sometimes it really is best to allow values to change:
  - call a function that returns an incremented counter every time
  - efficient hash tables

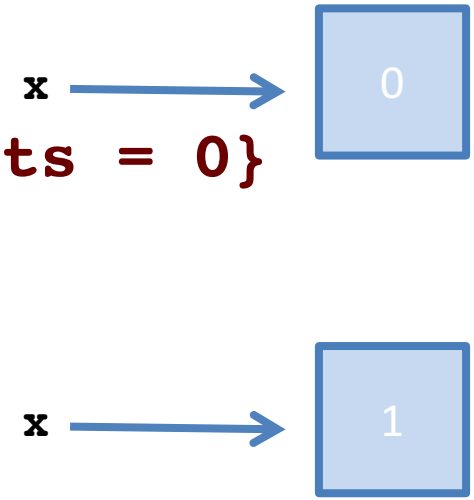
- OCaml variables really are immutable
- But OCaml has mutable *references, fields,* and *arrays…*

REFS

References

- aka "ref" or "ref cell"
- Pointer to a typed location in memory

```
# let x = ref 0;;
val x : int ref = {contents = 0}
# !x;;
- : int = 0
# x:=1;;
- : unit = ()
# !x;;
- : int = 1
```



References

- The binding of `x` to the pointer is immutable, as always
- But the contents of the memory may change

Implementing a counter

```
let counter = ref 0
let next_val =
  fun () ->
    counter := (!counter) + 1;
    !counter
```

- **`next_val()`** returns **`1`**
- then **`next_val()`** returns **`2`**
- then **`next_val()`** returns **`3`**
- etc.

Implementing a counter

```
(* better: hides [counter] *)
let next_val =
  let counter = ref 0
  in fun () ->
    incr counter;
    !counter
```

Question

What's wrong with this implementation?

```
let next_val = fun () ->
  let counter = ref 0
  in incr counter;
     !counter
```

A. It won't compile, because `counter` isn't in scope in the final line
B. It returns a reference to an integer instead of an integer
C. It returns the wrong integer
D. Nothing is wrong
E. I don't know

Question

What's wrong with this implementation?

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let next_val = fun () ->
  let counter = ref 0
  in incr counter;
     !counter
```

A. It won't compile, because `counter` isn't in scope in the final line
B. It returns a reference to an integer instead of an integer
C. It returns the wrong integer
D. Nothing is wrong
E. I don't know

Compare these implementations

```
(* works *)
let next_val =
  let counter = ref 0
  in fun () ->
    incr counter;
    !counter
```

```
(* broken *)
let next_val = fun () ->
  let counter = ref 0
  in incr counter;
     !counter
```

Q: Why does the first implementation work?

A: the anonymous function captures **`counter`** in its scope

References

- Syntax: `ref e`
- Evaluation:
  - Evaluate `e` to a value `v`
  - Allocate a new *location* `loc` in memory to hold `v`
  - Store `v` in `loc`
  - Return `loc`
  - Note: locations are values; can pass and return from functions
- Type checking:
  - New type constructor: `t ref` where `t` is a type
    - Note: `ref` is used as keyword in type and as keyword in value
  - `ref e : t ref` if `e : t`

References

- Syntax: `e1 := e2`
- Evaluation:
  - Evaluate `e2` to a value `v2`
  - Evaluate `e1` to a location `loc`
  - Store `v2` in `loc`
  - Return `()`
- Type checking:
  - If `e2 : t`
  - and `e1 : t ref`
  - then `e1:=e2 : unit`

References

- Syntax: `!e`
  - note: not negation
- Evaluation:
  - Evaluate `e` to `loc`
  - Return contents of `loc`
- Type checking:
  - If `e : t ref`
  - then `!e : t`

References

- Syntax: `e1 ; e2`
- Evaluation:
  - Evaluate `e1` to a value `v1`
  - Then **throw away** that value (note: `e1` could have side effects)
  - evaluate `e2` to a value `v2`
  - return `v2`
- Type checking:
  - If `e1 : unit`
  - and `e2 : t`
  - then `e1 ; e2 : t`

Question

What does **w** evaluate to?

```
let x = ref 42
let y = ref 42
let z = x
let () = x := 43
let w = (!y) + (!z)
```

A. 42
B. 84
C. 85
D. 86
E. None of the above

Question

What does **w** evaluate to?

```
let x = ref 42
let y = ref 42
let z = x
let () = x := 43
let w = (!y) + (!z)
```

A. 42
B. 84
C. 85
D. 86
E. None of the above

Aliases

References may have **aliases**:

```
let x = ref 42
let y = ref 42
let z = x
let () = x := 43
let w = (!y) + (!z)
```

`z` and `x` are aliases:

- in "`let z = x`", `x` evaluates to a location, and `z` is bound to the same location
- changing the contents of that location will cause both `!x` and `!z` to change

Equality

- Suppose we have two refs…
  - `let r1 = ref 3110`
  - `let r2 = ref 3110`
- Double equals is *physical equality*
  - `r1 == r1`
  - `r1 != r2`
- Single equals is *structural equality*
  - `r1 = r1`
  - `r1 = r2`
  - `ref 3110 <> ref 2110`
- You usually want single equals

MUTABLE FIELDS

Mutable fields

Fields of a record type can be declared as mutable:

```
# type point = {x:int; y:int; mutable c:string};;
type point = {x:int; y:int; mutable c:string; }
# let p = {x=0; y=0; c="red"};;
val p : point = {x=0; y=0; c="red"}
# p.c <- "white";;
- : unit = ()
# p;;
val p : point = {x=0; y=0; c="white"}
# p.x <- 3;;
Error: The record field x is not mutable
```

Implementing refs

Ref cells are essentially syntactic sugar:

```
type 'a ref = { mutable contents: 'a }
let ref x = { contents = x }
let ( ! ) r = r.contents
let ( := ) r newval = r.contents <- newval
```

- That type is declared in **`Pervasives`**
- The functions are compiled down to something equivalent

ARRAYS

Arrays

Arrays generalize ref cells from a single mutable value to a sequence of mutable values

```
# let v = [|0.; 1.|];;
val v : float array = [|0.; 1.|]
# v.(0) <- 5.;;
- : unit = ()
# v;;
- : float array = [|5.; 1.|]
```

Arrays

- Syntax: `[|e1; ...; en|]`
- Evaluation:
  - evaluates to an `n`-element array
  - elements are initialized to `v1...vn`
    - if `e1` evaluates to `v1`, `...`, `en` evaluates to `vn`
- Type checking:
  `[|e1; ...; en|] : t array`
  if each `ei : t`

Arrays

- Syntax: `e1.(e2)`
- Evaluation:
  - if `e1` evaluates to `v1`, and `e2` evaluates to `v2`,
  - and `0<=v2<n`, where `n` is the length of array `v1`,
  - then `e1.(e2)` evaluates to element at offset `v2` of `v1`.
  - If `v2<0` or `v2>=n`, raises `Invalid_argument`.
- Type checking:
  - `e1.(e2) : t`
  - if `e1 : t array` and `e2 : int`

Arrays

- Syntax: `e1.(e2) <- e3`
- Evaluation:
  - if `e1` evaluates to `v1`, and `e2` evaluates to `v2`,
  - and `0<=v2<n`, where `n` is the length of array `v1`,
  - and `e3` evaluates to `v3`,
  - then mutate element at offset `v2` of `v1` to be `v3`.
  - If `v2<0` or `v2>=n`, raise `Invalid_argument`.
  - Evaluates to `()`.
- Type checking:
  - `e1.(e2) <- e3 : unit`
  - if `e1 : t array` and `e2 : int` and `e3 : t`

See `Array` module for more operations, including more ways to create arrays

Control structures

Traditional loop structures are useful with arrays:

- `while e1 do e2 done`
- `for x=e1 to e2 do e3 done`
- `for x=e1 downto e2 do e3 done`

(they work like you expect)

BEWARE

Beware

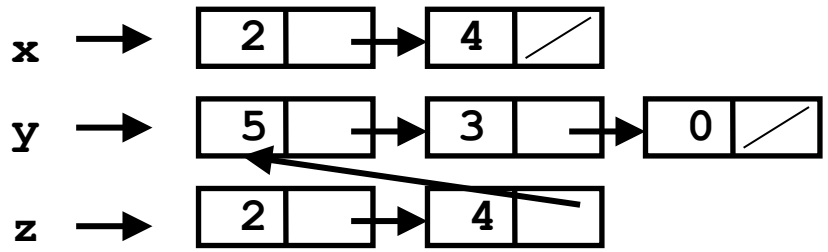
Immutability is a valuable non-feature

might seem weird that lack of feature is valuable...

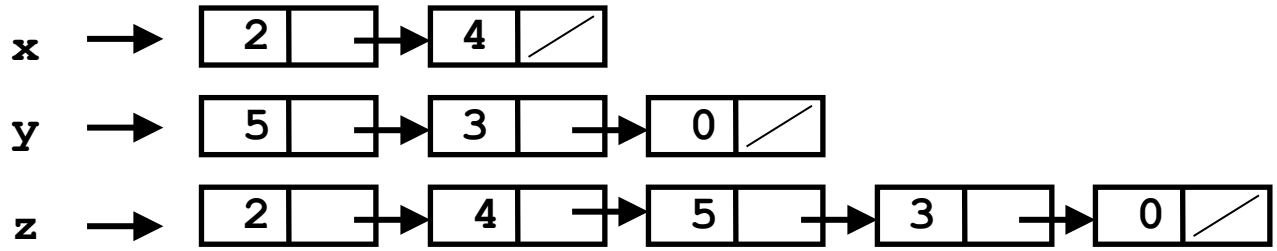
Immutable lists

We have never needed to worry about aliasing with lists!

```
let x = [2;4]
let y = [5;3;0]
let z = x @ y
```



vs.



(no code you write could ever tell, but OCaml implementation uses the first one)

OCaml vs. Java on mutable data

- OCaml: blissfully unaware of aliasing
  - *Impossible* to tell where there is aliasing (except when using imperative features)
  - Example: `List.tl` is constant time; does not copy rest of the list
- Java: obsession with aliasing and object identity
  - Must be, so that subsequent assignments affect the right parts of the program
  - Often crucial to make copies in just the right places…

Java security nightmare (bad code)

```
class ProtectedResource {
   private Resource theResource = ...;
   private String[] allowedUsers = ...;
   public String[] getAllowedUsers() {
      return allowedUsers;
   }
   public String currentUser() { ... }
   public void useTheResource() {
      for(int i=0; i < allowedUsers.length; i++) {
         if(currentUser().equals(allowedUsers[i])) {
            ... // access allowed: use it
            return;
         }
      }
      throw new IllegalAccessExcpetion();
   }
}
```

Have to make copies

The problem:

```
p.getAllowedUsers()[0] = p.currentUser();
p.useTheResource();
```

The fix:

```
public String[] getAllowedUsers() {
    … return a copy of allowedUsers …
}
```

Similar errors as recent as Java 1.7beta

Benefits of immutability

- Programmer doesn't have to think about aliasing; can concentrate on other aspects of code
- Language implementation is free to use aliasing, which is cheap
- Often easier to reason about whether code is correct
- Perfect fit for concurrent programming

But there are downsides:

- I/O is fundamentally about mutation
- Some data structures (hash tables, arrays, …) hard(er) to implement in pure style

Try not to abuse your new-found power!

Upcoming events

- [Sat-Tue] Fall Break; no OH; Piazza deactivated to give your fellow students on staff a break, too
- [Thur] lecture canceled; Prelim at 5:30 and 7:30

This is (reluctantly) imperative.

THIS IS 3110