

CS 3110

Lecture 19: Logic

To Truth through Proof

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Fall 2014

Today's music: Theme from *Sherlock*

Review

Current topic:

- How to reason about correctness of code
- Last week: informal arguments

Today:

- Logic
- Necessary step on our way to having machine-checked proofs of correctness

Question #1

What is your background in logic?

- A. I've never studied any formal logic AFAIK.
- B. I saw a little bit in CS 2800.
- C. I've taken a CS logic class.
- D. I've taken a math logic class.
- E. I've taken a philosophy logic class.

A biased history of logic

- Originated in philosophy
- Mathematicians became interested in late 1800s and early 1900s
 - goal: formalize mathematical reasoning
 - **impossible**: Kurt Gödel
- Computer scientists found many applications in the late 20th century
 - AI: formalize reasoning of robots, agents
 - Theorem proving: verify mathematical theorems, even discover new theorems
 - **Verification: prove correctness of programs!**

A biased perspective on logic

- A *logic* is a programming language for expressing reasoning about **evidence**
 - Like how OCaml is a programming language for expressing computation on data (ints, bools, etc.)
 - Data and evidence are analogous
 - Computation and reasoning are analogous
- Like any PL, a logic has
 - **syntax**
 - **dynamic semantics** (evaluation rules) --omitted here
 - **static semantics** (type checking)

A logic: IPC

Syntax:

$$\mathbf{f} ::= \mathbf{P} \mid \mathbf{f1} \wedge \mathbf{f2} \mid \mathbf{f1} \vee \mathbf{f2} \\ \mid \mathbf{f1} \Rightarrow \mathbf{f2} \mid \sim \mathbf{f}$$

- \mathbf{f} is a meta-variable for formulae
- \mathbf{P} is a meta-variable for propositions
 - We'll use any capital letter for propositions
 - except: **true** and **false** are also propositions

A logic: IPC

Syntax:

$$\mathbf{f} ::= \mathbf{P} \mid \mathbf{f1} \ / \ \mathbf{f2} \mid \mathbf{f1} \ \backslash \ / \ \mathbf{f2} \\ \mid \mathbf{f1} \ ==> \ \mathbf{f2} \mid \sim \mathbf{f}$$

- $/ \ \backslash$ is logical and (aka *conjunction*)
- $\backslash \ /$ is logical or (aka *disjunction*)
- $==>$ is logical implication
- \sim is logical negation
 - actually syntactic sugar: $\sim \mathbf{f}$ means $\mathbf{f} \ ==> \ \mathbf{false}$

A logic: IPC

Syntax:

$$\begin{aligned} \mathbf{f} ::= & \mathbf{P} \mid \mathbf{f1} \ \wedge \ \mathbf{f2} \mid \mathbf{f1} \ \vee \ \mathbf{f2} \\ & \mid \mathbf{f1} \ \Rightarrow \ \mathbf{f2} \mid \sim \mathbf{f} \end{aligned}$$

- Note on notation:
 - Slides use an ASCII syntax
 - Online notes use nicer math symbols
 - Either is fine, but be consistent
- IPC= Intuitionistic Propositional Calculus

Formal syntax

- Abstracts from ambiguities and details of natural language
- Examples:
 - *Mammals have hair. Monkeys have hair. So monkeys are mammals.*
 - *Mammals have hair. **Teddybears** have hair. So **teddybears** are mammals.*
 - $((M \Rightarrow H) \wedge (X \Rightarrow H)) \Rightarrow (X \Rightarrow M)$
 - All are flawed reasoning!
 - (Want a way to distinguish flawed reasoning from correct reasoning...)
- **A logic is a precise way to express structure of reasoning**
- Just like a PL is a precise way to express structure of computation

Parts of syntax

- *Connectives*
 - and \wedge , or \vee , implies \Rightarrow , not \sim
 - like binary operators in a PL
 - create larger formulae (expressions) out of smaller
- *Propositions*
 - the basic "atoms" being reasoned about
 - like built-in data types (int, bool) in a PL
 - the simplest kind of formulae (expressions)

Formalization of an argument

- If there is a snowstorm, then the roads will be closed.
- The roads are open.
- So there can't be a snowstorm.

Formalization of an argument

- If there is a snowstorm, then the roads will be closed. $S \Rightarrow C$
- The roads are open.
- So there can't be a snowstorm.

Formalization of an argument

- If there is a snowstorm, then the roads will be closed. $S \Rightarrow C$
- The roads are open. $\neg C$
- So there can't be a snowstorm.

Formalization of an argument

- If there is a snowstorm, then the roads will be closed. $S \Rightarrow C$
- The roads are open. O
- So there can't be a snowstorm. $\sim S$

Formalization of an argument

- If there is a snowstorm, then the roads will be closed. $S \Rightarrow C$
- The roads are open. O
- So there can't be a snowstorm. $\sim S$
- *Implicit:* A road is either open or closed.
 $O \Rightarrow \sim C \quad / \quad C \Rightarrow \sim O$

Formalization of an argument

- If there is a snowstorm, then the roads will be closed. $S \Rightarrow C$
- The roads are open. O
- So there can't be a snowstorm. $\sim S$
- *Implicit:* A road is either open or closed.
 $O \Rightarrow \sim C \quad /\ \ C \Rightarrow \sim O$
- Combining them all:
$$((S \Rightarrow C) \ /\ \ O \ /\ \ ((O \Rightarrow \sim C) \ /\ \ (C \Rightarrow \sim O))) \Rightarrow \sim S$$

Question #2

Which subformula does not appear in formalization?

If there is a snowstorm then the roads will be closed.

There is no snowstorm. So the roads must be open.

A. $S \Rightarrow C$

B. $\sim S$

C. $C \Rightarrow S$

D. O

E. $O \Rightarrow \sim C$

Question #2

Which subformula does not appear in formalization?

If there is a snowstorm then the roads will be closed.

There is no snowstorm. So the roads must be open.

A. $S \Rightarrow C$

B. $\sim S$

C. $C \Rightarrow S$

D. O

E. $O \Rightarrow \sim C$

Valid vs. invalid arguments

- How to separate them?
- What constitutes correct reasoning?
- Analogy: how did we distinguish "valid" from "invalid" programs?
 - Static semantics = type system
- So let's build a "type system" for valid arguments
 - Usually called a "proof system" or "deductive system"

Proof system for IPC

- Only one type: **provable**
 - e.g., $(A \wedge B) \Rightarrow A$: **provable**
 - e.g., $A \Rightarrow (A \wedge B)$ is not provable so can't be given a type
- No reason to keep writing "**f** : **provable**" everywhere
 - the colon and word "provable" are too verbose
- Instead, write $\vdash f$
 - pronounced as "provable **f**" or "**f** is provable"
 - or "derivable" instead of "provable"

Proof system for IPC

- We'll give *proof rules* for each syntactic form in IPC
- Just like we gave *type-checking rules* for each syntactic form in OCaml
 - `5 : int`
 - `fun x -> e : ta -> tb` if `e : tb` under assumption `x : ta`

Proof system for IPC

- We'll give *introduction* and *elimination* rules for each form
- Just like we gave rules for *building* and *accessing* pieces of data in OCaml
 - $(e1, e2) : a*b$ if $e1:a$ and $e2:b$
 - $\text{fst } e : a$ if $e : a*b$

All rules will be based on *evidence* for each form...

Evidence for \wedge

Q: What constitutes evidence for $\mathbf{\text{£1}} \wedge \mathbf{\text{£2}}$?

A: Evidence for both $\mathbf{\text{£1}}$ and $\mathbf{\text{£2}}$, individually

so evidence for $\mathbf{\text{£1}} \wedge \mathbf{\text{£2}}$ is really a **pair** of the evidence for $\mathbf{\text{£1}}$ and the evidence for $\mathbf{\text{£2}}$...

Proof rules for \wedge

- if $\vdash \mathbf{f1}$ and $\vdash \mathbf{f2}$ then $\vdash \mathbf{f1} \wedge \mathbf{f2}$
 - **introduction rule:** shows how to build/introduce a formula out of smaller pieces
 - **intuition:** if you have evidence for $\mathbf{f1}$ and evidence for $\mathbf{f2}$, then you can combine those pieces of evidence to get evidence for $\mathbf{f1} \wedge \mathbf{f2}$

Proof rules for \wedge

- if $\vdash \mathbf{f1} \wedge \mathbf{f2}$ then $\vdash \mathbf{f1}$
- if $\vdash \mathbf{f1} \wedge \mathbf{f2}$ then $\vdash \mathbf{f2}$
 - **elimination rules:** show how to access smaller formulae out of larger, i.e., eliminate parts of formulae
 - **intuition:** if you have evidence for $\mathbf{f1} \wedge \mathbf{f2}$, then you can break apart that to get evidence for $\mathbf{f1}$ individually, likewise for $\mathbf{f2}$
 - **further intuition:** these rules are really just **fst** and **snd**

Evidence for \Rightarrow

Q: What constitutes evidence for $\mathbf{f1} \Rightarrow \mathbf{f2}$?

A: A way to transform evidence for $\mathbf{f1}$ into evidence for $\mathbf{f2}$.

So evidence for $\mathbf{f1} \Rightarrow \mathbf{f2}$ is really a **function** that transforms evidence for $\mathbf{f1}$ into evidence for $\mathbf{f2}$...

Proof rules for \Rightarrow

- if $\vdash \mathbf{f}$ and $\vdash \mathbf{f} \Rightarrow \mathbf{g}$ then $\vdash \mathbf{g}$
 - traditionally called *modus ponens*: "way that affirms"
 - elimination rule
 - **intuition**: if you have evidence for \mathbf{f} , and you have a way of transforming evidence for \mathbf{f} into evidence for \mathbf{g} , then you have evidence for \mathbf{g}
 - **further intuition**: this rule is really just function application

Proof rules for \Rightarrow

- if under the assumption $\mid - \mathbf{f}$ we can conclude $\mid - \mathbf{g}$, then $\mid - \mathbf{f} \Rightarrow \mathbf{g}$
 - introduction rule
 - **intuition:** the way you reached that conclusion must be a way of transforming evidence for \mathbf{f} into evidence for \mathbf{g} , so you have evidence for $\mathbf{f} \Rightarrow \mathbf{g}$
 - further intuition: this rule is really just anonymous function definition
 - *hypothetical reasoning:* "if I assume X, then I can conclude Y."

Notation for assumptions

- $\mathbf{f} \mid - \mathbf{g}$ means "under the assumption that \mathbf{f} is provable, it holds that \mathbf{g} is provable"
- So instead of:
 - if under the assumption $\mid - \mathbf{f}$ we can conclude $\mid - \mathbf{g}$,
 - then $\mid - \mathbf{f} \Rightarrow \mathbf{g}$we can write:
 - if $\mathbf{f} \mid - \mathbf{g}$ then $\mid - \mathbf{f} \Rightarrow \mathbf{g}$
- Generalize to entire set of assumptions: $\mathbf{F} \mid - \mathbf{g}$ means "under the assumption that all formulas in set \mathbf{F} are provable, it holds that \mathbf{g} is provable"
 - Write comma instead of set union: \mathbf{F}, \mathbf{f} means $\mathbf{F} \cup \{\mathbf{f}\}$

Revised proof rules

Adding assumptions to all rules so far:

- if $\mathbb{F} \vdash f1$ and $\mathbb{F} \vdash f2$
then $\mathbb{F} \vdash f1 \wedge f2$
- if $\mathbb{F} \vdash f1 \wedge f2$ then $\mathbb{F} \vdash f1$
- if $\mathbb{F} \vdash f1 \wedge f2$ then $\mathbb{F} \vdash f2$
- if $\mathbb{F} \vdash f$ and $\mathbb{F} \vdash f \Rightarrow g$ then $\mathbb{F} \vdash g$
- if $\mathbb{F}, f \vdash g$ then $\mathbb{F} \vdash f \Rightarrow g$

Proof rules for assumptions

- $\mathbf{f} \mid - \mathbf{f}$
 - Intuition: if you have assumed that you have evidence for \mathbf{f} , then you can proceed as though you have evidence for \mathbf{f}
 - This rule is an *axiom*: it has no premises
- if $\mathbf{F} \mid - \mathbf{f}$ then $\mathbf{F}, \mathbf{g} \mid - \mathbf{f}$
 - Intuition: if assuming \mathbf{F} is enough to derive evidence for \mathbf{f} , then additionally assuming \mathbf{g} makes no difference
 - This rule is called *weakening*: assuming more weakens the claim

A proof

Let's show $\vdash (A \Rightarrow (B \Rightarrow A))$

Rule name	Rule
\wedge intro	if $F \vdash f1$ and $F \vdash f2$ then $F \vdash f1 \wedge f2$
\wedge elim L	if $F \vdash f1 \wedge f2$ then $F \vdash f1$
\wedge elim R	if $F \vdash f1 \wedge f2$ then $F \vdash f2$
\Rightarrow elim	if $F \vdash f$ and $F \vdash f \Rightarrow g$ then $F \vdash g$
\Rightarrow intro	if $F, f \vdash g$ then $F \vdash f \Rightarrow g$
assump	$f \vdash f$
weak	if $F \vdash f$ then $F, g \vdash f$

A proof

Let's show $\vdash (A \Rightarrow (B \Rightarrow A))$

1. $A \vdash A$ by assumption rule

2. $A, B \vdash A$ by (1) and weakening rule

3. $A \vdash B \Rightarrow A$ by (2) and \Rightarrow introduction rule

4. $\vdash A \Rightarrow (B \Rightarrow A)$ by (3) and \Rightarrow introduction rule

Proof structure

- Each step numbered
- Each step derives one new formula from previous step(s) and from named rule
- At each rule application, all the *premises* of a rule must already have been derived. Get to add *conclusion* of rule as new numbered step.
- Final step is the formula we want to prove, with no assumptions

A graphical notation: proof trees

$$\begin{array}{c} \frac{}{\mathbf{A} \mid - \mathbf{A}} \text{assump.} \\ \frac{}{\mathbf{A}, \mathbf{B} \mid - \mathbf{A}} \text{weak.} \\ \frac{}{\mathbf{A} \mid - \mathbf{B} \Rightarrow \mathbf{A}} \Rightarrow \text{intro.} \\ \frac{}{\mid - (\mathbf{A} \Rightarrow (\mathbf{B} \Rightarrow \mathbf{A}))} \Rightarrow \text{intro.} \end{array}$$

Proof structure

- Goal formula is at root of tree (bottom)
- Each node in tree is a formula
 - i.e., a numbered step from linear form
- Each edge in tree is labeled by rule name
 - i.e., a justification from linear form
- If rule has no premises, there's an "empty" node at top
 - i.e., an axiom

That proof as an OCaml program

```
let t (a: 'a) (b: 'b) : 'a = a
```

How to think about this program:

t is a function that takes in evidence for 'a, evidence for 'b, and returns the evidence for 'a

What is its type?

'a -> ('b -> 'a)

What is the formula we proved?

A => (B => A)

Programs and Proofs

- We were able to write a program whose type is the very formula we were trying to prove
- That program is an *evidence transformer*: it manipulates input evidence to construct output evidence of the right type
- This correspondence between
 - programs and proofs
 - types and formulaegoes very, very deep.
- Known as the *Curry-Howard isomorphism*

Another proof

Let's show $\vdash A \Rightarrow (B \Rightarrow (A \wedge B))$.

Rule name	Rule
\wedge intro	if $\mathcal{F} \vdash f1$ and $\mathcal{F} \vdash f2$ then $\mathcal{F} \vdash f1 \wedge f2$
\wedge elim L	if $\mathcal{F} \vdash f1 \wedge f2$ then $\mathcal{F} \vdash f1$
\wedge elim R	if $\mathcal{F} \vdash f1 \wedge f2$ then $\mathcal{F} \vdash f2$
\Rightarrow elim	if $\mathcal{F} \vdash f$ and $\mathcal{F} \vdash f \Rightarrow g$ then $\mathcal{F} \vdash g$
\Rightarrow intro	if $\mathcal{F}, f \vdash g$ then $\mathcal{F} \vdash f \Rightarrow g$
assump	$f \vdash f$
weak	if $\mathcal{F} \vdash f$ then $\mathcal{F}, g \vdash f$

Another proof: linear form

Let's show $\vdash A \Rightarrow (B \Rightarrow (A/\backslash B))$.

1. $A \vdash A$ by assumption rule
2. $A, B \vdash A$ by weakening rule
3. $B \vdash B$ by assumption rule
4. $A, B \vdash B$ by weakening rule
5. $A, B \vdash A/\backslash B$ by (2), (4), and $/\backslash$ introduction rule
6. $A \vdash B \Rightarrow (A/\backslash B)$ by (5) and \Rightarrow introduction rule
7. $\vdash A \Rightarrow (B \Rightarrow (A/\backslash B))$ by (6) and \Rightarrow introduction rule

Another proof: tree form

$$\begin{array}{c} \frac{}{A \vdash A} \text{assump} \qquad \frac{}{B \vdash B} \text{assump} \\ \frac{}{A, B \vdash A} \text{weak} \qquad \frac{}{A, B \vdash B} \text{weak} \\ \frac{}{A, B \vdash A \wedge B} \wedge \text{intro} \\ \frac{}{A \vdash B \Rightarrow (A \wedge B)} \Rightarrow \text{intro} \\ \frac{}{\vdash A \Rightarrow (B \Rightarrow (A \wedge B))} \Rightarrow \text{intro} \end{array}$$

As an OCaml program

```
let pair (a:'a) (b:'b) : ('a*'b)  
  = (a,b)
```

How to think about this program:

pair is a function that takes in evidence for 'a, evidence for 'b, and returns the pair containing both pieces of evidence

What is its type?

```
'a -> ('b -> ('a * 'b))
```

What is the formula we proved?

```
A => (B => (A /\ B))
```

Please hold still for 1 more minute

WRAP-UP FOR TODAY

Upcoming events

- **PS5 checkins this week**
- Clarkson office hour today cancelled; moved to tomorrow
- Thursday: Guest lecture by Yaron Minsky (Cornell PhD) from Jane Street on "OCaml in the Real World"

This is logical.

THIS IS 3110