

# CS 3110 — Data Structures and Functional Programming

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## Lecture 27 Fixpoints and Recursion

3 May 2012



# Recursion in $\lambda$ -calculus

Last time: encoded booleans and numbers in  $\lambda$ -calculus.  
Can we use these to express the factorial function?

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let rec fact n =  
  if n=0 then 1 else n * fact (n-1)
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Yes... but we need a way to define recursive functions!

What about “Landin’s knot”?

```
let fact =  
  let g : (int -> int) = ref (fun n -> 42) in  
  let f n = if n=0 then 1 else n * !g (n-1) in  
  g := f;  
  fun n -> !g n
```

Won’t work— $\lambda$ -calculus doesn’t have references!

# Fixpoints

```
let t_fact g n = if n=0 then 1 else n * g (n-1)
```

```
let fact0 = (fun n -> 42) (* {} ok *)
```

```
let fact1 = t_fact fact0 (* {0} ok *)
```

```
let fact2 = t_fact fact1 (* {0,1} ok *)
```

```
let fact3 = t_fact fact2 (* {0,1,2} ok *)
```

```
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```

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```
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```

```
let fact = t_fact fact (* {0,1,2,...} ok *)
```

# Fixpoints

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let fact0 = (fun n -> 42) (* {} ok *)
let fact1 = t_fact fact0  (* {0} ok *)
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let fact3 = t_fact fact2  (* {0,1,2} ok *)
.
.
.
let fact = t_fact fact    (* {0,1,2,...} ok *)
```

## Definition (Fixpoint)

A *fixpoint*  $x$  of a function  $f$  satisfies  $f(x) = x$ .

So we want to find a fixpoint of  $t\_fact$ .

# Fixpoints in $\lambda$ -calculus

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Recall the  $\lambda$ -calculus term

$$\mathit{omega} \triangleq (\lambda x. x x)(\lambda x. x x)$$

which  $\beta$ -converts to itself in one step.

# Fixpoints in $\lambda$ -calculus

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If we interpose a  $\lambda$ -calculus term  $F$  we get

$$\begin{aligned} & (\lambda x. F (x x))(\lambda x. F (x x)) \\ \Rightarrow & F ((\lambda x. F (x x))(\lambda x. F (x x))) \end{aligned}$$

That is, a fixed point of  $W$ !



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The famous  $Y$  combinator is just

$$Y \triangleq \lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))$$

# Factorial in $\lambda$ -calculus

$$Y \triangleq \lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))$$

$$t\_fact \triangleq \lambda g. \lambda n. cond (iszero n) \overline{1} (mul n (g (predn)))$$

$$fact \triangleq Y t\_fact$$

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## Theorem (Correctness of *fact*)

$$\forall n. fact \bar{n} = \overline{n!}$$

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$$fact \triangleq Y \ t\_fact$$

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$$\forall n. fact \ \bar{n} = \overline{n!}$$

## Proof.

By induction on  $n$ ...



# Review

# Overview

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- Functional Programming
- Data Structures
- Verification and Testing
- Concurrency
- Analysis of Algorithms
- Advanced Topics

# Functional Programming

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- OCaml Basics (syntax, evaluation)
- Types (tuples, records, variants, polymorphism)
- Higher-order functions (currying)
- Side-effects (printing, exceptions)
- Maps and folds (tail recursion)
- The Substitution Model

# Functional Data Structures

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- Basic Modules (signatures, structures)
- Basic data structures (stacks, queues, dictionaries)
- Advanced Modules (abstraction functions, representation invariants)
- Trees (red-black)
- Mutability (arrays, union-find, functional arrays)
- The Environment Model



# Verification and Testing

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- Logic (propositional, predicate)
- Induction
- Verification (total, partial correctness)

# Concurrency

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- Threads
- Locks and condition variables

# Analysis of Algorithms

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- Asymptotic complexity
- Recurrences and recursion trees
- Master method
- Substitution method
- Amortized analysis

# Advanced Toics

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- Memoization
- Locality and Memory Management
- Graph Algorithms
- Type Inference and Unification
- Laziness and Streams
- $\lambda$ -calculus
- Fixpoints and Recursion

Thank you!