

# CS 312

Recitation

1 Dec 2008

Lazy Evaluation,  
Thunks, and Streams

# Evaluation

- SML as you know it (substitution semantics)
    - `if true then e1 else e2 → e1`
    - `if false then e1 else e2 → e2`
  - “if” *eagerly* evaluates condition expression to true or false, *lazily* evaluates  $e_1, e_2$
  - In general: subexpressions either eagerly or lazily evaluated
    - Function bodies: lazily evaluated
- `fun x -> e` is a value

# Factorial – right and wrong

```
let rec factorial (n : int) : int =  
    if n <= 0 then 1 else n*factorial(n-1)
```

When evaluating `factorial 0`,

when do we evaluate `n*factorial(n-1)`?

---

```
let rec factorial2 (n : int) : int =  
    my_if(n <= 0, 1, n*factorial2(n-1))
```

When evaluating `factorial2 0`,

when do we evaluate `n*factorial2(n-1)`?

# Eager evaluation in ML

- Function arguments evaluated before the function is called (and values are passed)
- **if** condition evaluated after guard evaluated
- Function bodies not evaluated until function is applied.
- Need some laziness to make things work...

# Laziness and redundancy

- Eager language (Caml): *call by value*

$$\begin{aligned} \text{let } \mathbf{x} = \mathbf{v} \text{ in } \mathbf{e}_2 &\longrightarrow \mathbf{e}_2\{\mathbf{v}/\mathbf{x}\} \\ (\text{fun } \mathbf{x} \text{ -> } \mathbf{e}_2) (\mathbf{v}) &\longrightarrow \mathbf{e}_2\{\mathbf{v}/\mathbf{x}\} \end{aligned}$$

- Bound value is evaluated *eagerly* before body  $\mathbf{e}_2$

- Lazy language (Haskell): *call by name*

$$\begin{aligned} \text{let } \mathbf{x} = \mathbf{e}_1 \text{ in } \mathbf{e}_2 &\longrightarrow \mathbf{e}_2\{\mathbf{e}_1/\mathbf{x}\} \\ (\text{fun } \mathbf{x} \text{ -> } \mathbf{e}_2) (\mathbf{e}_1) &\longrightarrow \mathbf{e}_2\{\mathbf{e}_1/\mathbf{x}\} \end{aligned}$$

- $\mathbf{e}_1$  is not evaluated until  $\mathbf{x}$  is used
- Variable can stand for unevaluated expression
- But: what if  $\mathbf{x}$  occurs 10 times in  $\mathbf{e}_2$  ?

# A funny rule

- **let f = e** evaluates **e** once “right away”.
- **let f = fun() -> e** evaluates **e** every time but not until **f** is called.
- What if we had  
**let f = Thunk.make (fun() -> e)**  
which evaluates **e** once, but not until we use **f**.  
*A general mechanism for lazy evaluation.*

# The Thunk ADT

```
module type THUNK = sig
  (* A 'a thunk is a lazily
   * evaluated expression e of type
   * 'a. *)
  type 'a thunk
  (* make(fn()->e) creates a thunk
   * for e *)
  val make : (unit->'a) -> 'a thunk
  (* apply(t) is the value of its
   * expression, which is only evaluated
   * once. *)
  apply : 'a thunk -> 'a
end
```

# Lazy languages

- Implementation has to use a ref. (How else could `Thunk.apply e` act differently at different times?)
- Some languages have *special syntax* for lazy evaluation.
- In lazy languages (Algol-60, Haskell, Miranda):  
`let x = e` acts like  
`let x = Thunk.make (fn()=> e)`
- We *implemented* lazy evaluation using refs and functions – lazy functional languages have this implementation baked in.



# Streams

- A stream is an “infinite” list – you can ask for the rest of it as many times as you like and you’ll never get null.
- Can pass a series of values between different modules with loose coupling, no side effects
- The universe is finite, so a stream must really just *act* like an infinite list.
- Idea: use a function to describe what comes next.

# The Stream ADT

```
module type STREAM =
  sig
    (* An infinite sequence of 'a *)
    type 'a stream
    (* make b f is the infinite sequence
       * [b,f(b),f(f(b)), ...] *)
    val make: 'a -> ('a->'a) -> 'a stream
    (* next[x0,x1,x2,...] is (x0, [x1,x2,...]) *)
    val next: 'a stream -> 'a*('a stream)
  end
```

*Example: infinite list of primes*

# State w/o destructive update

- We can model infinite sequences (of numbers, of circuit states, of whatever) without destroying old versions with refs.
- In fact, the stream is non-imperative! (if function is non-imperative)
- ...

# Implementing streams (wrong)

Intuitively:

```
type 'a stream =
```

```
  Cons of ('a * 'a stream)
```

```
let rec make(init:'a, f:'a->'a):'a stream =
```

```
  Cons(init, make (f init, f))
```

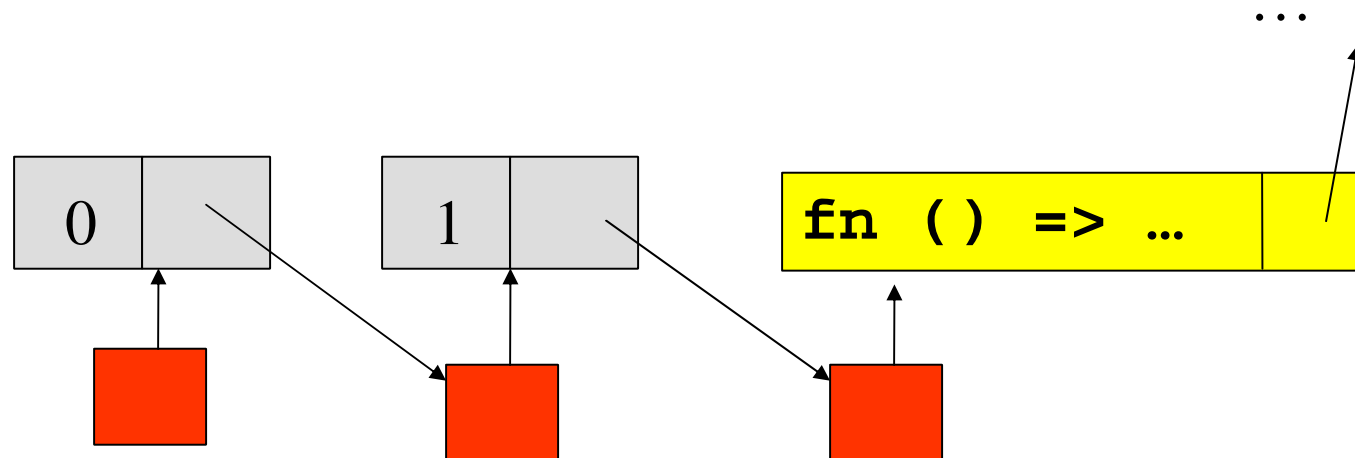
```
let next (Str(th):'a stream): 'a*('a stream)
```

```
  = th
```

*But what is make going to do?*

# The Punch Line

If only there were a way to delay the making of the rest of the stream until the previous items had been accessed...



(Implementation: `stream.sml`)

# Streams via functions

```
module Stream : STREAM =
  struct
    type 'a stream =
      Cons of unit -> ('a * 'a stream)

    let rec make(init:'a) (f:'a->'a) : 'a stream =
      Cons(fun() -> (init, make (f init, f)))

    fun next(Cons(F):'a stream): 'a * 'a stream =
      F()
  end
```

# Streams via thunks

```
module Stream : STREAM =  
  struct  
    type 'a stream =  
      Cons of ('a * 'a stream) Thunk.thunk  
  
    let rec make(init:'a) (f:'a->'a) : 'a stream =  
      Cons(Thunk.make(fun() ->  
        (init, make (f init, f))))  
  
    let next (Cons(th): 'a stream): 'a*('a stream) =  
      Thunk.apply th  
  end
```

*Advantage: stream values are computed at most once (and only if needed)*

# Summary

ADTs for lazy computation:

- Thunk – one lazy expression
- Stream – lazily computed infinite list
- Lazy language: can make recursive data structures, lists *are* streams

```
let lst = 1::lst
```

- Try it out!