1. (20 points) True or false questions

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<p>| a | F   | A public, non-nested class <code>C</code> must be defined in a file called <code>C.java</code>. |
| b | T   | It is ok for an interface to declare two methods with the signature <code>void foo(int n)</code>. |
| c | F   | Assume <code>B</code> is a subclass of <code>A</code> and <code>C</code> a subclass of <code>B</code>. It is impossible for any call on a method in an object of class <code>C</code> to execute code in a constructor of <code>A</code>. In the new-expression <code>new C(...)</code>, there will be a call on the superclass constructor, which will have a call on a superclass constructor. |
| d | F   | A type is a set of values together with a set of operations on those values. |
| e | T   | Consider a variable <code>v</code> declared as <code>C v;</code>. A call <code>v.m(args)</code> is legal as long as every subclass of <code>C</code> declares method <code>m</code>. <code>m</code> has to be declared in <code>C</code> or a superclass of <code>C</code>. |
| f | T   | Mergesort is a worst-case O(n log n) algorithm. |
| g | T   | Quicksort is a worst-case O(n log n) algorithm. O(n<em>n) |
| h | T   | Dijkstra’s shortest path algorithm is a worst-case O(n log n) algorithm (where n is the number of vertices of the graph). It processes each edge of the graph, and there could be O(n</em>n) edges. |
| i | T   | The proof technique of mathematical induction cannot be used if one explicitly proves more than one base case. |
| j | F   | Suppose class <code>B</code> is a subclass of class <code>C</code>. Then <code>ArrayList&lt;B&gt;</code> is a subclass (or subtype) of <code>ArrayList&lt;C&gt;</code>. We explained why not in the lecture on generics. |
| k | F   | Assume <code>foo(n)</code> is a recursive method whose body includes a call to <code>foo(n+1)</code>. Then the call <code>foo(100)</code> will induce infinite recursion and never terminate. The method could start with <code>if (n &gt;= 100) return;</code> |
| l | T   | Suppose String objects <code>b</code> and <code>c</code> contain the same sequence of characters. Then <code>b == c</code> is true. |
| m | T   | Assume <code>b</code> is a public field of object <code>c</code>. If two methods <code>foo()</code> and <code>bar()</code>, in completely different classes, each have a block declared as “synchronized(c.b) { ... }”, then these two blocks will be synchronized with each other. |
| n | T   | A Java Swing component can have at most one listener for a particular type of event (e.g. <code>MouseEvent</code>). |</p>
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2. (8 points) Asymptotic complexity

(a) (3 pts) Two algorithms A and B have running times given by the functions f(n) and g(n) respectively. Assume f(n) is O(g(n)) and g(n) is O(f(n)). In this case, could there be a reason to choose one algorithm over another in a practical setting? Answer YES or NO and give a reason for your answer.

YES. Possible answers: constants involved, space complexity, etc

(b) (3 pts) Give the asymptotic complexity in terms of n (e.g. O(n^3)) and explain your answer. You should give the tightest possible big-Oh bound.

```java
int sum = 0;
for (int i = 1; i <= n; i = i + 1) {
    for (int j = 1; j <= Math.min(1000000, i); j = j + 1) {
        sum = sum + 10;
    }
}
sum = sum + 9999;
```

O(n). The inner loop performs a maximum of 1000000 iterations. That’s constant time.

(c) (2 pts) You have an O(n^2) algorithm for analyzing the interaction of n molecules. The algorithm loops over every pair of molecules and computes the force between them. On your laptop, the code runs extremely slow even for n = 10. By a sudden windfall, your research is allocated computing resources at a supercomputing facility, and you get to run your code on a 500,000-core monster machine. You split the set of pairs evenly across the cores so that each core processes only 1/500,000 of the set. Suddenly, you are able to simulate a system with n = 1000 molecules in real time. How has the asymptotic (big-Oh) complexity of your algorithm changed in terms of n? Explain briefly.

It has not changed. All that’s changed is the constant. You happen to be able to run on thousands of processors, that is all.
3. (12 points) Data structures

(a) (4 points) Describe two characteristics of a good hash function and explain why they are necessary.

1. equal values hash to the same index. If not, then neither chaining nor open addressing would allow detection of an attempt to insert equal values.
2. the results of hashing are randomly distributed. If not, the expected probing time, for example, would not be constant when the load factor is ½.

(b) (2 points) Is this a good hash function? Why or why not?

```java
public static int hash(int n) { return n % 2; }
```

No, because it is not randomly distributed. Everything hashes to either 0 or 1

(c) (6 points) Consider a doubly linked list consisting of Node objects. Class Node has the following structure:

```java
public class Node {
    public Node prev; // points to previous node in list
    public Node next; // points to next node in list
}
```

Complete the following (non-recursive) function

```java
/** Return the middle Node in a list from head to tail inclusive. 
   * If the list has an even number of nodes, return the first 
   * Node of the middle pair. Note: head and tail are not null. */
public static Node middle(Node head, Node tail) {
    while (head != tail && head.next != tail) {
        // the list head .. tail has more than two nodes 
        head= head.next; 
        tail= tail.prev;
    }
    return head;
}
```

4. (10 points) Concurrency

You have written a multithreaded GUI library. Each object in the GUI monitors its events (such as mouse clicks) on a separate thread. In other words, there is one thread per class instance.

Your colleague decides to use your library to create a GUI program. She creates a central object of class GUI (there is only one such object in the program), with the code shown below. Her program has the following logic: there are several Buttons in the interface. When a Button is clicked, it calls the GUI’s function `actionPerformed`, in the Button’s thread. The GUI then looks up the address of a webpage associated with the Button in a HashMap and downloads the page content (HTML, images, videos, etc.). This download may take several seconds.

To ensure that there are no synchronization errors when several Button threads are simultaneously accessing the HashMap, your colleague synchronizes function `actionPerformed` as shown below.
public class GUI {
    private HashMap<Object, String> lookupTable = new HashMap();
    // Constructor etc. goes here ...

    /** Handle a button click. */
    public synchronized void actionPerformed(ActionEvent e) {
        // Look up the button’s web address in the HashMap
        String address = lookupTable.get(e.getSource());
        // Fetch the webpage content (this may take a while...)
        downloadWebpage(address);
    }

    /** Download the webpage at address, if it is not null. 
     * Return only when the download is complete. */
    private void downloadWebpage(String address) {
        ...
    }
}

(a) (4 points) Is the synchronization correct? (That is, will it fully avoid race conditions?) Answer YES or NO. If it is not correct, show how to correct it. (You can indicate your changes inline in the code listing above, without rewriting the whole thing.)

YES

(b) (6 points) Is the synchronization efficient? Why or why not? Explain briefly, but fully. If your answer is NO, then rewrite the function so that it is both correct and efficient. (You can indicate your changes inline in the code listing above, without rewriting the whole thing.)

No. The synchronization lock is the global GUI object. All threads compete to acquire this lock and hold it for the entire duration of the actionPerformed call, although the only operation that actually accesses shared data is lookupTable.get(...). As a result, when one thread is downloading its webpage (for several seconds), all other threads must wait even though they could potentially download concurrently. This creates a bottleneck.

Remove the word synchronized from the method header.
Wrap the lookupTable.get call in a synchronized(lookupTable) block.

5. (10 points) Recursion

(a) (3 points). Below, write the steps in executing a procedure call, for example, m(5, 2+3);

1. Push a frame for the call on the call stack; it has space for parameters, local variables, and some other items
2. Store the argument values in the parameters.
3. Execute the method body.
4. Pop the frame for the call from the call stack

(b) (7 points) The University of Oz assigns each student a unique randomly chosen integer as a student ID, storing the already assigned IDs in a binary search tree (BST). Each node of the BST is an instance of TreeNode:

```java
public class TreeNode {
    public TreeNode left; // left child
    public TreeNode right; // right child
    public int value; // value (ID) stored at this node
}
```
When a new student is admitted, the university picks a random integer and checks if it is already in the BST. If it is not, it is set as the student’s ID. If it is, the process is repeated with a new random integer.

Unfortunately, the Wicked Witch of the West has reportedly hacked the BST and changed one or more values. Fearing the worst, the university hires you to check the BST. As a first step, you decide to verify that the BST is correctly structured, i.e. no values are out of order. Below, write the recursive Java function to achieve this. The function is initially called on the BST with root node root as

```java
misplaced(root, Integer.MIN_VALUE, Integer.MAX_VALUE)
```

For simplicity, assume no value in the tree is exactly Integer.MIN_VALUE or Integer.MAX_VALUE.

```java
/** If the binary tree rooted at node t is a valid BST and its values are in the range m..n, return null. Otherwise, return a value that is out of order, i.e. violates the BST property. */
public static Integer misplaced(TreeNode t, int m, int n) {
    if (t == null) return null;
    if (t.value < m || n < t.value) return t.value;
    Integer v = misplaced(t.left, m, t.value - 1);
    if (v != null) return v;
    return misplaced(t.right, t.value + 1, n);
}
```

6. (14 points). Good luck!

(a) (2 points) If all edge weights are equal, to what algorithm is Dijkstra’s shortest paths algorithm equivalent? **Breadth-First Search.**

(b) (2 points) What property must a directed graph satisfy in order for topological sort to work on it? **The graph must be acyclic.**

(c) (2 points) Kruskal’s algorithm for constructing a spanning tree constructs a set of edges E of the spanning tree. Starting with E empty, at each iteration, it adds to E an edge with minimum weight that does not create a cycle among the edges in E. What does Prim’s algorithm do at each iteration? **Prim’s algorithm does the same as Kruskal’s except the edge must be chosen at each iteration so that the edges in E always form a tree.**

(d) (2 points) Look at the specification of procedure dfs below, in part (e). Explain what is meant by “a node is REACHABLE from u”, as discussed in lecture. **A node is REACHABLE from u if it is reachable from u along a path of unvisited nodes (including u).**

(e) (6 points) Write the body of the following algorithm. You may make it recursive or iterative, but recursive is easier.

```java
/** Node u is unvisited. Visit all nodes REACHABLE from u. */
public static void dfs(Node u) {
    visit u;
    for each edge (u, v) if (v is unvisited) dfs(v);
}
```

7. (12 points) Object-oriented programming

(a) (3 points) Write down the three steps in evaluating a new-expression new C(args):

1. Draw (create) an object of class C, with default values for its fields.
2. Execute the constructor call \( C(args) \).
3. Yield as value of the new-expression the name \( C(...) \) of the newly created object.

Peter, Paul, and Mary form a group to write a graphics program that processes shapes. Their code includes the following two classes:

```java
/** An instance is a rectangular shape */
public class Rectangle {
  protected int width;
  protected int height;

  public Rectangle(int w, int h) {
    width = w; height = h;
  }

  public int getWidth() { return width; }
  public void setWidth(int w) { width = w; }
  public int getHeight() { return height; }
  public void setHeight(int h) { height = h; }
}

/** An instance is a square shape. */
public class Square extends Rectangle {
  public Square(int s) {
    super(s, s);
  }

  public void setSize(int s) {
    width = s; height = s;
  }
}
```

(b) (4 points) What problem(s) do you anticipate with the above design? Your answer should not talk about the structure of the classes and how they should be different. Instead, your answer should talk about possible behaviors — what a user could do that might cause errors, that might destroy what is expected.

You can make a square non-square by calling its setWidth and setHeight functions independently.

(c) (5 points) Rewrite the classes, adding any other code (including new classes or interfaces if necessary) to improve the design and prevent the problem(s) of part (a). There is no single answer here. There are several ways to overcome the possible behavioral problems. Choose one.

Easiest: Override setWidth and setHeight in Square. The overriding functions change both width and height to the same value, regardless of which function is called. (Preserves semantics “A square is a rectangle.”)

Another possibility: add an AbstractRectangle interface that lacks setWidth/setHeight functions, and have both classes inherit from it. Rectangle has two integer fields and has the setWidth/setHeight functions. Square has just one integer field. (A Rectangle is now “a rectangle that can have unequal sides.”)

Yet another possibility: make AbstractRectangle an abstract base class with most of the code of Rectangle minus the setWidth/setHeight functions. Rectangle and Square both inherit from it. Rectangle adds setWidth and setHeight. (A Rectangle is again now “a rectangle that can have unequal sides.”)
8. Loops and algorithms

(a) (4 points) Write the loop invariants for insertion sort and selection sort to sort an array b.

**insertion sort**: \( b[0..k-1] \) is sorted (could be drawn as a picture)

**selection sort**: \( b[0..k-1] \) is sorted and \( b[0..k-1] \leq b[k..] \) (could be drawn as a picture)

The rest of question 8 concerns the Fibonacci numbers 0, 1, 2, 3, 5, 8, 13, 21 …, which are defined by:

\[
F_0 = 0 \\
F_1 = 1 \\
F_n = F_{n-1} + F_{n-2} \text{ for } n > 1
\]

Here is a function to calculate \( F_n \):

```java
/**
 * Precondition: n > 0. Return Fibonacci number \( F_n \)
 */
public static int fib(int n) {
    int b = 0, c = 1;
    // invariant: (you write this below)
    for (int k = 1; k < n; k = k + 1) {
        c = b + c;
        b = c - b;
    }
    return c;
}
```

(b) (4 points) Write a brief, meaningful, and complete invariant for the for-loop, in terms of \( b, c, \) and \( k \).

\( c = F_k \) and \( b = F_{k-1} \)

(c) (6 points) We point out that mathematical induction is actually used to prove that the invariant is maintained. Consider the theorem:

**Theorem**: \( P(k) \) holds for \( k \geq 1 \), where \( P(k) \) is: after \( k-1 \) iterations, the loop invariant holds

Prove this theorem by induction over the positive integers.

**Base case**: \( P(1) \). Before the first iteration, since \( b = 0 = F_0 \) and \( c = 1 = F_1 \), the loop invariant is true and hence the base case is proved.

**Inductive hypothesis** \( P(k) \): after \( k-1 \) iterations, \( c = F_k \) and \( b = F_{k-1} \)

**Proof of \( P(k+1) \)**: We have to prove that after \( k \) iterations, \( c = F_{k+1} \) and \( b = F_k \)

By the inductive hypothesis, we know that after \( k-1 \) iterations, \( c = F_k \) and \( b = F_{k-1} \)

Iteration \( k \) sets \( c \) to \( c + b = F_k + F_{k-1} = F_{k+1} \). Since \( k \) is incremented, \( c \) has the correct value. It then sets \( b \) to \( c - b = F_{k+1} + F_{k-1} = F_k \). Since \( k \) is incremented, \( b \) has the correct value. So the invariant is maintained and the inductive step is proved.