

Recitation 9

Analysis of Algorithms and Inductive Proofs

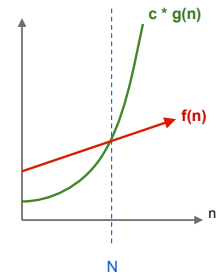
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Review: Big O definition

$f(n)$ is $O(g(n))$

iff
There exists $c > 0$ and $N > 0$
such that:

$$f(n) \leq c * g(n) \text{ for } n \geq N$$



Big O

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Example: $n+6$ is $O(n)$

$n + 6$ ---this is $f(n)$
 $\leq$ $n + n$ ---if $6 \leq n$, write as
 $= 2n$ ---arith
 $= c * n$ ---choose $c = 2$
 $= c * n$ ---this is $c * g(n)$

So choose $c = 2$ and $N = 6$

$f(n)$ is $O(g(n))$: There exist
 $c > 0$, $N > 0$ such that:
 $f(n) \leq c * g(n)$ for $n \geq N$

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Review: Big O

Is used to classify algorithms by how they respond to changes in input size n .

Important vocabulary:

- Constant time: $O(1)$
- Logarithmic time: $O(\log n)$
- Linear time: $O(n)$
- Quadratic time: $O(n^2)$
- Exponential time: $O(2^n)$

Big O

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Review: Big O

1. $\log(n) + 20$	is	$O(\log(n))$	(logarithmic)
2. $n + \log(n)$	is	$O(n)$	(linear)
3. $n/2$ and $3 * n$	are	$O(n)$	
4. $n * \log(n) + n$	is	$O(n * \log(n))$	
5. $n^2 + 2 * n + 6$	is	$O(n^2)$	(quadratic)
6. $n^3 + n^2$	is	$O(n^3)$	(cubic)
7. $2^n + n^5$	is	$O(2^n)$	(exponential)

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Merge Sort

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Merge Sort

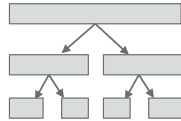
Runtime of merge sort

```

/** Sort b[h..k]. */
public static void mS(Comparable[] b, int h, int k) {
    if (h >= k) return;
    int e = (h+k)/2;
    mS(b, h, e);
    mS(b, e+1, k);
    merge(b, h, e, k);
}

```

mS is mergeSort for readability



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Merge Sort

Runtime of merge sort

```

/** Sort b[h..k]. */
public static void mS(Comparable[] b, int h, int k) {
    if (h >= k) return;
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    mS(b, h, e);
    mS(b, e+1, k);
    merge(b, h, e, k);
}

```

mS is mergeSort for readability

- We will *count* the number of comparisons mS makes
- Use $T(n)$ for the number of array element comparisons that mS makes on an array segment of size n

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Merge Sort

Runtime of merge sort

```

/** Sort b[h..k]. */
public static void mS(Comparable[] b, int h, int k) {
    if (h >= k) return;
    int e = (h+k)/2;
    mS(b, h, e);
    mS(b, e+1, k);
    merge(b, h, e, k);
}

```

$T(0) = 0$
 $T(1) = 0$

Use $T(n)$ for the number of array element comparisons that mergeSort makes on an array of size n

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Merge Sort

Runtime of merge sort

```

/** Sort b[h..k]. */
public static void mS(Comparable[] b, int h, int k) {
    if (h >= k) return;
    int e = (h+k)/2;
    mS(b, h, e);
    mS(b, e+1, k);
    merge(b, h, e, k);
}

```

$T(e+1-h)$ comparisons = $T(n/2)$
 $T(k-e)$ comparisons = $T(n/2)$
 How long does merge

take?

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Merge Sort

Runtime of merge

pseudocode for merge
 /** Pre: b[h..e] and b[e+1..k] are already sorted */
 merge(Comparable[] b, int h, int e, int k)
 Copy both segments
 While both copies are non-empty
 Compare the first element of each segment
 Set the next element of b to the smaller value
 Remove the smaller element from its segment

One comparison, one add, one remove

k-h loops must empty one segment

Runtime is $O(k-h)$

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Merge Sort

Runtime of merge sort

```

/** Sort b[h..k]. */
public static void mS(Comparable[] b, int h, int k) {
    if (h >= k) return;
    int e = (h+k)/2;
    mS(b, h, e);
    mS(b, e+1, k);
    merge(b, h, e, k);
}

```

Recursive Case:
 $T(n) = 2T(n/2) + O(n)$

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Merge Sort

Runtime

We determined that

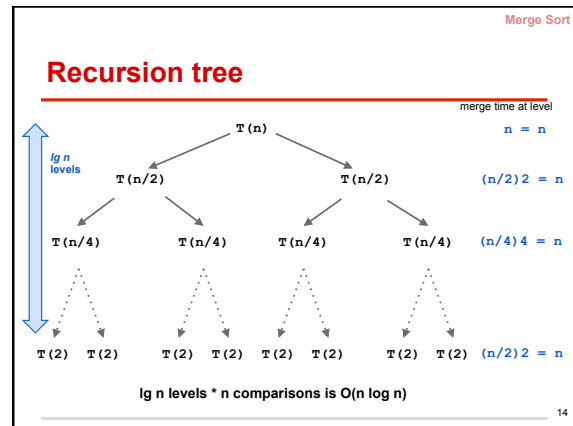
$$T(1) = 0$$

$$T(n) = 2T(n/2) + n \text{ for } n > 1$$

We will prove that

$$T(n) = n \log_2 n \text{ (or } n \lg n \text{ for short)}$$

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Merge Sort

Proof by induction

To prove $T(n) = n \lg n$,
we can assume true for smaller values of n (like recursion)

$$\begin{aligned}
 T(n) &= 2T(n/2) + n \\
 &= 2(n/2) \lg(n/2) + n \\
 &= n(\lg n - \lg 2) + n \quad \leftarrow \text{Property of logarithms} \\
 &= n(\lg n - 1) + n \\
 &= n \lg n - n + n \quad \leftarrow \log_2 2 = 1 \\
 &= n \lg n
 \end{aligned}$$

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Heap Sort

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Heap Sort

Heap Sort

Very simple idea:

1. Turn the array into a max-heap
2. Pull each element out

```

/** Sort b */
public static void heapSort(Comparable[] b) {
    heapify(b);
    for (int i = b.length-1; i >= 0; i--) {
        b[i] = poll(b, i);
    }
}

```

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Heap Sort

Heap Sort

```

/** Sort b */
public static void heapSort(Comparable[] b) {
    heapify(b);
    for (int i = b.length-1; i >= 0; i--) {
        b[i] = poll(b, i);
    }
}

```

Why does it have to be a max-heap?

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Heap Sort

Heap Sort runtime

```
/** Sort b */
public static void heapSort(Comparable[] b) {
    heapify(b);
    for (int i = b.length-1; i >= 0; i--) {
        b[i] = poll(b, i);
    }
}
```

$O(n \lg n)$

$O(\lg n)$

loops n times

Total runtime:
 $O(n \lg n) + n * O(\lg n) = O(n \lg n)$

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