

CS/ENGRD 2110 Object-Oriented Programming and Data Structures

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Lecture 6: Grammars & Parsing

Java Tips

- Declare fields and methods **public** if they are to be visible outside the class; helper methods and private data should be declared **private**
- Constants that will never be changed should be declared **final**
- Public classes should appear in a file of the same name
- Two kinds of boolean operators:
 - **e1 & e2**: evaluate both and compute their conjunction
 - **e1 && e2**: evaluate **e1**; don't evaluate **e2** unless necessary

```

• instead of
if (s.equals("")) {
    f = true;
} else {
    f = false;
}
write
    f = s.equals("");

• instead of
if (s.equals("")) {
    f = a;
} else {
    f = b;
}
write
    f = s.equals("") ? a : b;

```

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Application of Recursion

- So far, we have discussed recursion on integers
 - Factorial, fibonacci, combinations, aⁿ
- Let us now consider a new application that shows off the full power of recursion: *parsing*
- Parsing has numerous applications: compilers, data retrieval, data mining,...

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Motivation

- Some legal english sentences:
 - The cat ate the rat.
 - The cat ate the rat slowly.
 - The small cat ate the big rat slowly.
 - The small cat ate the big rat on the mat slowly.
 - The small cat that sat in the hat ate the big rat on the mat slowly.
 - The small cat that sat in the hat ate the big rat on the mat slowly, then got sick.
- Not all sequences of words are legal sentences
 - The ate cat rat the
- How many legal sentences are there?
- How many legal programs are there?
- Are all Java programs that compile legal programs?
- How do we know what programs are legal?

http://java.sun.com/docs/books/jls/third_edition/html/syntax.html

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A Grammar

- Grammar:
 - set of rules for generating sentences in a language
- Example grammar:
 - Sentence → Noun Verb Noun
 - Noun → boys
 - Noun → girls
 - Noun → bunnies
 - Verb → like
 - Verb → see
- Our sample grammar has these rules:
 - A Sentence can be a Noun followed by a Verb followed by a Noun
 - A Noun can be 'boys' or 'girls' or 'bunnies'
 - A Verb can be 'like' or 'see'
- Examples of Sentence:
 - boys see bunnies
 - bunnies like girls
 - ...
- White space between words does not matter
- The words boys, girls, bunnies, like, see are called tokens or terminals.
- The words Sentence, Noun, Verb are called nonterminals.
- This is a very boring grammar because the set of Sentences is finite (exactly 18 sentences)

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A Recursive Grammar

- Example recursive grammar:
 - Sentence → Sentence and Sentence
 - Sentence → Sentence or Sentence
 - Sentence → Noun Verb Noun
 - Noun → boys
 - Noun → girls
 - Noun → bunnies
 - Verb → like
 - Verb → see
- Examples of Sentences in this language:
 - boys like girls
 - boys like girls and girls like bunnies
 - boys like girls and girls like bunnies and girls like bunnies
 - boys like girls and girls like bunnies and girls like bunnies and girls like bunnies
 -
- This grammar is more interesting than the last one because the set of Sentences is infinite
- What makes this set infinite? Answer:
 - Recursive definition of Sentence

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Detour

- What if we want to add a period at the end of every sentence?
 - Sentence → Sentence and Sentence .
 - Sentence → Sentence or Sentence .
 - Sentence → Noun Verb Noun .
 - Noun → ...
- Does this work?
- No! This produces sentences like:
 - girls like boys . and boys like bunnies . .



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Sentences with Periods

- Grammar
 - PunctuatedSentence → Sentence .
 - Sentence → Sentence and Sentence
 - Sentence → Sentence or Sentence
 - Sentence → Noun Verb Noun
 - Noun → boys
 - Noun → girls
 - Noun → bunnies
 - Verb → like
 - Verb → see
- Add a new rule that adds a period only at the end of the sentence.
- The terminal tokens here are the 7 words plus the period (.)

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Grammar for Simple Expressions

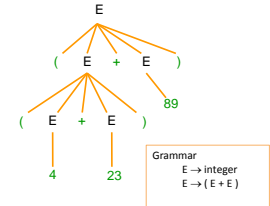
- Grammar
 - $E \rightarrow \text{integer}$
 - $E \rightarrow (E + E)$
- Simple expressions:
 - An E can be an integer.
 - An E can be '(' followed by an E followed by '+' followed by an E followed by ')'.
- Set of expressions defined by this grammar is a recursively-defined set.

- Here are some legal expressions:
 - 2
 - (3 + 34)
 - ((4+23) + 89)
 - ((89 + 23) + (23 + (34+12)))
- Here are some illegal expressions:
 - (3
 - 3 + 4
- The terminal tokens in this grammar are (, +,), and any integer.

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Parsing

- Grammars can be used in two ways
 - A grammar defines how to generate a language (i.e., the set of properly structured sentences)
 - A grammar can be used to parse a sentence (thus, checking if the sentence is in the language)
- Example: Show that ((4+23) + 89) is a valid expression E by building a parse tree
- To parse a sentence is to build a parse tree
 - This is much like diagramming a sentence

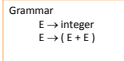


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Recursive Descent Parsing

- Idea: Use the grammar to design a recursive program to check if a sentence is in the language
- To parse an expression E, for instance
 - We look for each terminal (i.e., each token)
 - Each nonterminal (e.g., E) can handle itself by using a recursive call
- The grammar tells how to write the program!

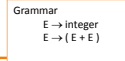
```
boolean parseE() {
    if (first token is an integer) return true;
    if (first token is '(') {
        parseE();
        Make sure there is a '+' token;
        parseE();
        Make sure there is a ')' token;
        return true;
    }
    return false;
}
```



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Java Code for Parsing E

```
public static Node parseE(Scanner scanner) {
    if (scanner.hasNextInt()) {
        int data = scanner.nextInt();
        return new Node(data);
    }
    check(scanner, '(');
    left = parseE(scanner);
    check(scanner, '+');
    right = parseE(scanner);
    check(scanner, ')');
    return new Node(left, right);
}
```



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Detour: Error Handling with Exceptions

- Parsing does two things:
 - It returns useful data (a parse tree)
 - It checks for validity (i.e., is the input a valid *sentence*?)
- How should we respond to invalid input?
- **Exceptions** allow us to do this without complicating our code unnecessarily

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Exceptions

- Exceptions are usually thrown to indicate that something bad has happened
 - **IOException** on failure to open or read a file
 - **ClassCastException** if attempted to cast an object to a type that is not a supertype of the dynamic type of the object
 - **NullPointerException** if tried to dereference null
 - **ArrayIndexOutOfBoundsException** if tried to access an array element at index $i < 0$ or \geq the length of the array
- In our case (parsing), we should throw an exception when the input cannot be parsed

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Handling Exceptions

- Exceptions can be caught by the program using a **try-catch** block
- **catch** clauses are called *exception handlers*

```
Integer x = null;
try {
    x = (Integer)y;
    System.out.println(x.intValue());
} catch (ClassCastException e) {
    System.out.println("y was not an Integer");
} catch (NullPointerException e) {
    System.out.println("y was null");
}
```

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Defining Your Own Exceptions

- An exception is an object (like everything else in Java)
- You can define your own exceptions and throw them

```
class MyOwnException extends Exception {}
...
if (input == null) {
    throw new MyOwnException();
}
```

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Declaring Exceptions

- In general, any exception that could be thrown must be either *declared* in the method header or *caught*

```
void foo(int input) throws MyOwnException {
    if (input == null) {
        throw new MyOwnException();
    }
    ...
}
```

- Note: **throws** means “can throw”, not “does throw”
- Exception (haha):
 - Subtypes of **RuntimeException** do not have to be declared (e.g., **NullPointerException**, **ClassCastException**)
 - These represent exceptions that cannot be recovered from anyway. They indicate a bug.
 - Do not make your exceptions subtypes of **RuntimeException**

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How Exceptions are Handled

- If the exception is thrown from inside the **try** clause of a **try-catch** block with a handler for that exception (or a superclass of the exception), then that handler is executed
 - Otherwise, the method terminates abruptly and control is passed back to the calling method
- If the calling method can handle the exception (i.e., if the call occurred within a try-catch block with a handler for that exception) then that handler is executed
 - Otherwise, the calling method terminates abruptly, etc.
- If none of the calling methods handle the exception, the entire program terminates with an error message

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Does Recursive Descent Always Work?

- There are some grammars that cannot be used as the basis for recursive descent
 - A trivial example (causes infinite recursion):
 - $S \rightarrow b$
 - $S \rightarrow Sa$
- Can rewrite grammar
 - $S \rightarrow b$
 - $S \rightarrow bA$
 - $A \rightarrow a$
 - $A \rightarrow aA$
- For some constructs, recursive descent is hard to use
- Can use a more powerful parsing technique (there are several, but not in this course)

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Syntactic Ambiguity

- Sometimes a sentence has more than one parse tree
 - $S \rightarrow A$
 - $S \rightarrow aaxB$
 - $A \rightarrow x$
 - $A \rightarrow aAb$
 - $B \rightarrow b$
 - $B \rightarrow bb$
 - The string $aaxbb$ can be parsed in two ways
- This kind of ambiguity sometimes shows up in programming languages
 - if E1 then if E2 then S1 else S2
 - Which then does the else go with?
- This ambiguity actually affects the program's meaning
- How do we resolve this?
 - Provide an extra non-grammar rule (e.g., the else goes with the closest if)
 - Modify the language (e.g., an if-statement must end with a 'fi')
 - Operator precedence (e.g., $1 + 2 * 3$ should always be parsed as $1 + (2 * 3)$, not $(1 + 2) * 3$)
 - Other methods (e.g., Python uses amount of indentation)

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Exercises

- Think about recursive calls made to parse and generate code for simple expressions
 - 2
 - $(2 + 3)$
 - $((2 + 45) + (34 + -9))$
- Derive an expression for the total number of calls made to parse E for parsing an expression
 - Hint: think inductively
- Derive an expression for the maximum number of recursive calls that are active at any time during the parsing of an expression (i.e. max depth of call stack)

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Exercises

- Write a grammar and recursive program for palindromes
 - mom
 - dad
 - i prefer pi
 - race car
 - murder for a jar of red rum
 - sex at noon taxes
- Write a grammar and recursive program for strings $A^n B^n$
 - AB
 - AABB
 - AAAAAAABBBBBB
- Write a grammar and recursive program for Java identifiers
 - $\langle \text{letter} \rangle [\langle \text{letter} \rangle \text{ or } \langle \text{digit} \rangle]^{0..N}$
 - $\{2,7\}$, but not $\{2\}7$

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