# CS/ENGRD 2110 Object-Oriented Programming and Data Structures Spring 2012

**Doug James** 

Lecture 5: Recursion



# Visual Recursion



http://serendip.brynmawr.edu/exchange/files/authors/faculty/39/literarykinds/infinite\_mirror.jpg

#### Recursion Overview

- Recursion is a powerful technique for specifying functions, sets, and programs
- Example recursively-defined functions and programs
  - factorial
  - combinations
  - exponentiation (raising to an integer power)
  - solution of combinatorial problems (i.e. search)
- Example recursively-defined sets
  - grammars
  - expressions
  - data structures (lists, trees, …)

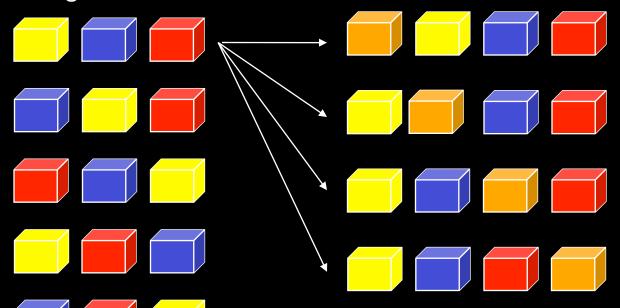
# The Factorial Function (n!)

- Define:  $n! = n \cdot (n-1) \cdot (n-2) \cdot \cdot \cdot 3 \cdot 2 \cdot 1$ 
  - read: "n factorial"
  - E.g., 3! = 3.2.1 = 6
- The function int → int that gives n! on input n is called the factorial function
- n! is the number of permutations of n distinct objects
  - There is just one permutation of one object. 1! = 1
  - There are two permutations of two objects: 2! = 212 21
  - There are six permutations of three objects: 3! = 6 123 132 213 231 312 321

#### Permutations of



Permutations of nonorange blocks



Each permutation of the three non-orange blocks gives four permutations when the orange block is included

Total number = 4.6 = 24 = 4!

#### → General:

- 0! = 1 (by convention)
- If n > 0,  $n! = n \cdot (n-1)!$

# A Recursive Program

#### Recursive definition of n!

```
• 0! = 1
```

```
• n! = n \cdot (n-1)!, n > 0
```

```
static int fact(int n) {
   if (n == 0) return 1;
   else return n*fact(n-1);
}
```

Execution of fact(4)

```
fact(4) \leftarrow 24

6

fact(3) \leftarrow 2

\rightarrow fact(2) \leftarrow 1

\rightarrow fact(1) \leftarrow 1
```

# General Approach to Writing Recursive Functions

- Try to find a parameter, say n, such that the solution for n can be obtained by combining solutions to the same problem using smaller values of n (e.g., (n-1)!) (i.e. recursion)
- Find base case(s) small values of n for which you can just write down the solution (e.g., 0! = 1)
- Verify that, for any valid value of n, applying the reduction of step 1 repeatedly will ultimately hit one of the base cases

# The Fibonacci Function

• Mathematical definition:

```
fib(0) = 0

fib(1) = 1

fib(n) = fib(n - 1) + fib(n - 2), n \ge 2
```

• Fibonacci sequence: 0, 1, 1, 2, 3, 5, 8, 13, ...

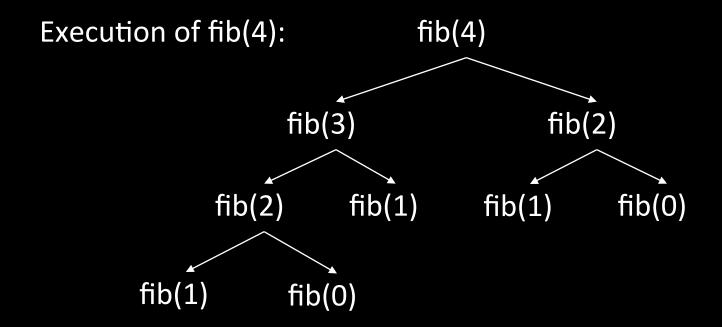
```
static int fib(int n) {
   if (n == 0) return 0;
   else if (n == 1) return 1;
   else return fib(n-1) + fib(n-2);
}
```



Fibonacci (Leonardo Pisano) 1170-1240? Statue in Pisa, Italy, Giovanni Paganucci, 1863

### Recursive Execution

```
static int fib(int n) {
   if (n == 0) return 0;
   else if (n == 1) return 1;
   else return fib(n-1) + fib(n-2);
}
```



#### Combinations

(a.k.a. Binomial Coefficients)

- How many ways can you choose r items from a set of n distinct elements? (<sup>n</sup><sub>r</sub>) "n choose r"
  - $-\binom{5}{2}$  = number of 2-element subsets of {A,B,C,D,E}
    - 2-element subsets containing A: (4) {A,B}, {A,C}, {A,D}, {A,E}
- Therefore,  $\binom{5}{2} = \binom{4}{1} + \binom{4}{2}$

#### Combinations

$$\binom{n}{r} = \binom{n-1}{r} + \binom{n-1}{r-1}, \quad n > r > 0$$

$$\binom{n}{n} = 1$$

$$\binom{n}{0} = 1$$

$$\binom{n}{0} = 1$$

$$\binom{n}{0} = 1$$

$$\binom{n}{1} = \frac{n!}{r!(n-r)!}$$

$$\begin{pmatrix} 0 \\ 0 \end{pmatrix} \qquad \text{Pascal's} \qquad 1$$

$$\begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \qquad \text{triangle} \qquad 1 \qquad 1$$

$$\begin{pmatrix} 2 \\ 0 \end{pmatrix} \begin{pmatrix} 2 \\ 1 \end{pmatrix} \begin{pmatrix} 2 \\ 2 \end{pmatrix} \qquad = \qquad 1 \qquad 2 \qquad 1$$

$$\begin{pmatrix} 3 \\ 0 \end{pmatrix} \begin{pmatrix} 3 \\ 1 \end{pmatrix} \begin{pmatrix} 3 \\ 2 \end{pmatrix} \begin{pmatrix} 3 \\ 3 \end{pmatrix} \qquad 1 \qquad 3 \qquad 3 \qquad 1$$

$$\begin{pmatrix} 4 \\ 0 \end{pmatrix} \begin{pmatrix} 4 \\ 1 \end{pmatrix} \begin{pmatrix} 4 \\ 2 \end{pmatrix} \begin{pmatrix} 4 \\ 3 \end{pmatrix} \begin{pmatrix} 4 \\ 4 \end{pmatrix} \qquad 1 \qquad 4 \qquad 6 \qquad 4 \qquad 1$$

### **Binomial Coefficients**

 Combinations are also called binomial coefficients because they appear as coefficients in the expansion of the binomial (x+y)<sup>n</sup>

$$(x + y)^n = \binom{n}{0} x^n + \binom{n}{1} x^{n-1} y + \binom{n}{2} x^{n-2} y^2 + \dots + \binom{n}{n} y^n$$

# Multiple Base Cases

$$\binom{n}{r} = \binom{n-1}{r} + \binom{n-1}{r-1}, \quad n > r > 0$$

$$\binom{n}{n} = 1$$

$$\binom{n}{0} = 1$$
Two base cases

- Coming up with right base cases can be tricky!
- General idea:
  - Determine argument values for which recursive case does not apply
  - Introduce a base case for each one of these

# Recursive Program for Combinations

$$\binom{n}{r} = \binom{n-1}{r} + \binom{n-1}{r-1}, n > r > 0$$
 $\binom{n}{n} = 1$ 
 $\binom{n}{0} = 1$ 

```
static int combs(int n, int r) {    //assume n>=r>=0
    if (r == 0 || r == n) return 1; //base cases
    else return combs(n-1,r) + combs(n-1,r-1);
}
```

# Positive Integer Powers

•  $a^n = a \cdot a \cdot a \cdot \dots a$  (n times)

Alternate description:

```
-a^{0} = 1
-a^{n+1} = a \cdot a^{n}
```

```
static int power(int a, int n) {
   if (n == 0) return 1;
   else return a*power(a,n-1);
}
```

#### A Smarter Version

- Power computation:
  - $-a^0 = 1$
  - If n is nonzero and even,  $a^n = (a^{n/2})^2$
  - If n is odd, an =  $a \cdot (a^{n/2})^2$ 
    - Java note: If x and y are integers, "x/y" returns the integer part of the quotient
- Example:
  - $-a^5 = a \cdot (a^{4/2})^2 = a \cdot (a^2)^2 = a \cdot ((a^{2/2})^2)^2 = a \cdot (a^2)^2$
  - Note: this requires 3 multiplications rather than 5!
- What if n were larger?
  - Savings would be more significant
  - Straightforward computation: n multiplications
  - Smarter computation: log(n) multiplications

# Smarter Version in Java

- n = 0:  $a^0 = 1$
- n nonzero and even: a<sup>n</sup> = (a<sup>n/2</sup>)<sup>2</sup>
- n nonzero and odd:  $a^n = a \cdot (a^{n/2})^2$

local variable

parameters

```
static int power(int a, int n) {
  if (n == 0) return 1;
  int halfPower = power(a,n/2);
  if (n%2 == 0) return halfPower*halfPower;
  return halfPower*halfPower*a;
}
```

- The method has two parameters and a local variable
- Why aren't these overwritten on recursive calls?

# Implementation of Recursive Methods

#### Key idea:

- Use a stack to remember parameters and local variables across recursive calls
- Each method invocation gets its own stack frame
- A stack frame contains storage for
  - Local variables of method
  - Parameters of method
  - Return info (return address and return value)
  - Perhaps other bookkeeping info

# Stacks

† stack grows

top element

2nd element

3rd element

. .

. .

bottom element top-of-stack pointer

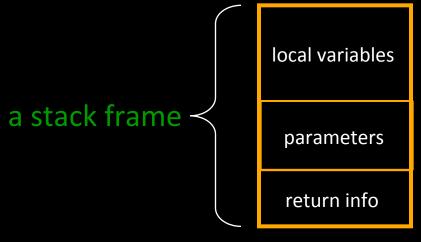
- Like a stack of plates
- You can push data on top or pop data off the top in a LIFO (last-in-first-out) fashion
- A queue is similar, except it is FIFO (first-in-first-out)

## Stack Frame

 A new stack frame is pushed with each recursive call

 The stack frame is popped when the method returns

→ Leaving a return value (if there is one) on top of the stack



```
static int power(int a, int n) {
   if (n == 0) return 1;
   int hP = power(a, n/2);
                                             Example: power(2, 5)
   if (n%2 == 0) return hP*hP;
   return hP*hP*a;
                                               (hP = ) ?
                                                (n = ) 0
                                                (a = ) 2
                                               return info
                                                             (retval = ) 1
                                  (hP = ) ?
                                                             (hP = ) 1
                                                (hP = ) ?
                                                              (n = ) 1
                                  (n = ) 1
                                                (n = ) 1
                                  (a = ) 2
                                                              (a = ) 2
                                                (a = ) 2
                                 return info
                                                            return info
                                              return info
                                                                          (retval = ) 2
                    (hP = )?
                                  (hP = )?
                                               (hP = ) ?
                                                             (hP = ) ?
                                                                           (hP = ) 2
                     (n = ) 2
                                  (n = ) 2
                                                (n = ) 2
                                                              (n = ) 2
                                                                            (n = ) 2
                     (a = ) 2
                                   (a = ) 2
                                                (a = ) 2
                                                              (a = ) 2
                                                                            (a = ) 2
                   return info
                                 return info
                                              return info
                                                            return info
                                                                          return info
                                                                                        (retval = ) 4
      (hP = ) ?
                   (hP = ) ?
                                 (hP = ) ?
                                               (hP = ) ?
                                                             (hP = ) ?
                                                                          (hP = )?
                                                                                        (hP = )4
                                 (n = ) 5
                                               (n = ) 5
                                                             (n = ) 5
        (n = ) 5
                    (n = ) 5
                                                                           (n = ) 5
                                                                                         (n = ) 5
       (a = ) 2
                    (a = ) 2
                                 (a = ) 2
                                                (a = ) 2
                                                             (a = ) 2
                                                                           (a = ) 2
                                                                                         (a = ) 2
                   return info
                                               return info
                                                             return info
      return info
                                 return info
                                                                          return info
                                                                                        return inf<u>o</u>
                                                                                                    (retval = )32
```

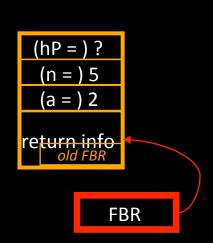
# How Do We Keep Track?

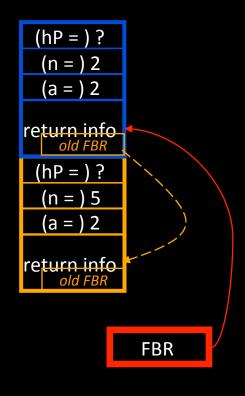
- At any point in execution, many invocations of *power* may be in existence
  - Many stack frames (all for power) may be in Stack
  - Thus there may be several different versions of the variables a and n

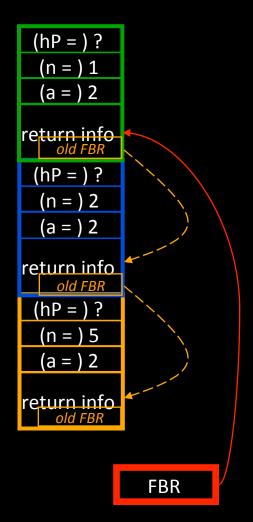
- How does processor know which location is relevant at a given point in the computation?
  - → Frame Base Register
    - When a method is invoked, a frame is created for that method invocation, and FBR is set to point to that frame
    - When the invocation returns,
       FBR is restored to what it was before the invocation
- How does machine know what value to restore in the FBR?
  - This is part of the return info in the stack frame

# **FBR**

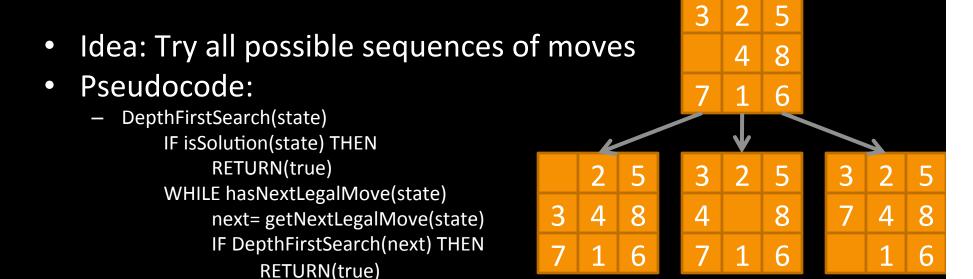
 Computational activity takes place only in the topmost (most recently pushed) stack frame







# Problem Solving by Search



- Caution: You might get a program that does not terminate, if you have
  - move sequences that can be infinitely long

RETURN(false)

move sequences that get you back to the same state (cycles)

## Conclusion

- Recursion is a convenient and powerful way to define functions
- Problems that seem insurmountable can often be solved in a "divide-and-conquer" fashion:
  - Reduce a big problem to smaller problems of the same kind, solve the smaller problems
  - Recombine the solutions to smaller problems to form solution for big problem
- Important applications:
  - Parsing (next lecture)
  - Collision detection