



THREADS AND CONCURRENCY

Lecture 20 – CS2110 – Fall 2009

Prelim 2 Reminder

- Prelim 2
 - Tuesday 17 Nov, 7:30-9pm
 - Uris G01 Auditorium
 - Ten days from today!
 - Topics: all material up to and including this week's lectures
 - Includes graphs
- Exam conflicts
 - Email Ken (ASAP)
- Prelim 2 Review Session
 - Currently looking into scheduling and room
 - Considering using the Tuesday class as a review session (the day of the exam itself). Would people like this?
 - Will announce decision on newsgroup and course web page soon
- Old exams are available for review on the course website

Prelim 2 Topics

- Asymptotic complexity
- Searching and sorting
- Basic ADTs
 - stacks
 - queues
 - sets
 - dictionaries
 - priority queues
- Basic data structures used to implement these ADTs
 - arrays
 - linked lists
 - hash tables
 - binary search trees
 - heaps
- Know and understand the sorting algorithms
 - From lecture
 - From text (not Shell Sort)
- Know the algorithms associated with the various data structures
- Know BST algorithms, but don't need to memorize *balanced* BST algorithms
- Know the runtime tradeoffs among data structures
- Don't worry about details of API
- But should have basic understanding of what's available

Prelim 2 Topics

- Language features
 - inheritance
 - inner classes
 - anonymous inner classes
 - types & subtypes
 - iteration & iterators
- GUI statics
 - layout managers
 - components
 - containers
- GUI dynamics
 - events
 - listeners
 - adapters

Data Structure Runtime Summary

- Stack [ops = put & get]
 - $O(1)$ worst-case time
 - Array (but can overflow)
 - Linked list
 - $O(1)$ time/operation
 - Array with doubling
- Queue [ops = put & get]
 - $O(1)$ worst-case time
 - Array (but can overflow)
 - Linked list (need to keep track of both head & last)
 - $O(1)$ time/operation
 - Array with doubling
- Priority Queue [ops = insert & getMin]
 - $O(1)$ worst-case time if set of priorities is bounded
 - One queue for each priority
 - $O(\log n)$ worst-case time
 - Heap (but can overflow)
 - $O(\log n)$ time/operation
 - Heap (with doubling)
 - $O(n)$ worst-case time
 - Unsorted linked list
 - Sorted linked list ($O(1)$ for getMin)
 - Unsorted array (but can overflow)
 - Sorted array ($O(1)$ for getMin, but can overflow)

Data Structure Runtime Summary (Cont'd)

- Set [ops = insert & remove & contains]
 - $O(1)$ worst-case time
 - Bit-vector (can also do union and intersect in $O(1)$ time)
 - $O(1)$ expected time
 - Hash table (with doubling & chaining)
 - $O(\log n)$ worst-case time
 - Balanced BST
 - $O(n)$ worst-case time
 - Linked list
 - Unsorted array
 - Sorted array ($O(\log n)$ for contains)
- Dictionary [ops = insert(k,v) & get(k) & remove(k)]
 - $O(1)$ expected time
 - Hash table (with doubling & chaining)
 - $O(\log n)$ worst-case time
 - Balanced BST
 - $O(\log n)$ expected time
 - Unbalanced BST (if data is sufficiently random)
 - $O(n)$ worst-case time
 - Linked list
 - Unsorted array
 - Sorted array ($O(\log n)$ for contains)

What is a Thread?

- A separate process that can perform a computational task independently and concurrently with other threads
 - Most programs have only one thread
 - GUIs have a separate thread, the *event dispatching thread*
 - A program can have many threads
 - You can create new threads in Java

What is a Thread?

- On many machines, threads are an illusion
 - Not all machines have multiple processors
 - But a single processor can share its time among all the active threads
 - Implemented with support from underlying operating system or virtual machine
 - Gives the illusion of several threads running simultaneously
- But modern computers often have "multicore" architectures: multiple CPUs on one chip

Why Multicore?

Moore's Law: Computer speeds and memory densities nearly double each year

But a fast computer runs hot

- Power dissipation rises as the square of the CPU clock rate
- Chips were heading towards melting down!
- Multicore: with four CPUs (cores) on one chip, even if we run each at half speed we get more overall performance!

Concurrency (aka Multitasking)

- Refers to situations in which several threads are running simultaneously
- Special problems arise
 - race conditions
 - deadlock

Task Manager

- The operating system provides support for multiple "processes"
- In reality there may be fewer processors than processes
- Processes are an illusion too – at the hardware level, lots of multitasking
 - memory subsystem
 - video controller
 - buses
 - instruction prefetching

Threads in Java

13

- Threads are instances of the class `Thread`
 - can create as many as you like
- The Java Virtual Machine permits multiple concurrent threads
 - initially only one thread (executes `main`)
- Threads have a priority
 - higher priority threads are executed preferentially
 - a newly created `Thread` has initial priority equal to the thread that created it (but can change)

Creating a new Thread (Method 1)

14

```
class PrimeThread extends Thread {
    long a, b;

    PrimeThread(long a, long b) {
        this.a = a; this.b = b;
    }

    public void run() {
        //compute primes between a and b
        ...
    }
}

PrimeThread p = new PrimeThread(143, 195);
p.start();
```

overrides `Thread.run()`

can call `run()` directly – the calling thread will run it

or, can call `start()` – will run `run()` in new thread

Creating a new Thread (Method 2)

15

```
class PrimeRun implements Runnable {
    long a, b;

    PrimeRun(long a, long b) {
        this.a = a; this.b = b;
    }

    public void run() {
        //compute primes between a and b
        ...
    }
}

PrimeRun p = new PrimeRun(143, 195);
new Thread(p).start();
```

Example

16

```
public class ThreadTest extends Thread {
    public static void main(String[] args) {
        new ThreadTest().start();
        for (int i = 0; i < 10; i++) {
            System.out.format("%s %d\n",
                Thread.currentThread(), i);
        }
    }

    public void run() {
        for (int i = 0; i < 10; i++) {
            System.out.format("%s %d\n",
                Thread.currentThread(), i);
        }
    }
}

Thread[Thread-0,5,main] 0
Thread[main,5,main] 0
Thread[main,5,main] 1
Thread[main,5,main] 2
Thread[main,5,main] 3
Thread[main,5,main] 4
Thread[main,5,main] 5
Thread[main,5,main] 6
Thread[main,5,main] 7
Thread[main,5,main] 8
Thread[main,5,main] 9
Thread[Thread-0,5,main] 1
Thread[Thread-0,5,main] 2
Thread[Thread-0,5,main] 3
Thread[Thread-0,5,main] 4
Thread[Thread-0,5,main] 5
Thread[Thread-0,5,main] 6
Thread[Thread-0,5,main] 7
Thread[Thread-0,5,main] 8
Thread[Thread-0,5,main] 9
```

Example

17

```
public class ThreadTest extends Thread {
    public static void main(String[] args) {
        new ThreadTest().start();
        for (int i = 0; i < 10; i++) {
            System.out.format("%s %d\n",
                Thread.currentThread(), i);
        }
    }

    public void run() {
        currentThread().setPriority(4);
        for (int i = 0; i < 10; i++) {
            System.out.format("%s %d\n",
                Thread.currentThread(), i);
        }
    }
}

Thread[main,5,main] 0
Thread[main,5,main] 1
Thread[main,5,main] 2
Thread[main,5,main] 3
Thread[main,5,main] 4
Thread[main,5,main] 5
Thread[main,5,main] 6
Thread[main,5,main] 7
Thread[main,5,main] 8
Thread[main,5,main] 9
Thread[Thread-0,4,main] 0
Thread[Thread-0,4,main] 1
Thread[Thread-0,4,main] 2
Thread[Thread-0,4,main] 3
Thread[Thread-0,4,main] 4
Thread[Thread-0,4,main] 5
Thread[Thread-0,4,main] 6
Thread[Thread-0,4,main] 7
Thread[Thread-0,4,main] 8
Thread[Thread-0,4,main] 9
```

Example

18

```
public class ThreadTest extends Thread {
    public static void main(String[] args) {
        new ThreadTest().start();
        for (int i = 0; i < 10; i++) {
            System.out.format("%s %d\n",
                Thread.currentThread(), i);
        }
    }

    public void run() {
        currentThread().setPriority(6);
        for (int i = 0; i < 10; i++) {
            System.out.format("%s %d\n",
                Thread.currentThread(), i);
        }
    }
}

Thread[main,5,main] 0
Thread[main,5,main] 1
Thread[main,5,main] 2
Thread[main,5,main] 3
Thread[main,5,main] 4
Thread[main,5,main] 5
Thread[Thread-0,6,main] 0
Thread[Thread-0,6,main] 1
Thread[Thread-0,6,main] 2
Thread[Thread-0,6,main] 3
Thread[Thread-0,6,main] 4
Thread[Thread-0,6,main] 5
Thread[Thread-0,6,main] 6
Thread[Thread-0,6,main] 7
Thread[Thread-0,6,main] 8
Thread[Thread-0,6,main] 9
Thread[main,5,main] 6
Thread[main,5,main] 7
Thread[main,5,main] 8
Thread[main,5,main] 9
```

Example

```

19
public class ThreadTest extends Thread {
    static boolean ok = true;

    public static void main(String[] args) {
        new ThreadTest().start();
        for (int i = 0; i < 10; i++) {
            System.out.println("waiting...");
            yield();
        }
        ok = false;
    }

    public void run() {
        while (ok) {
            System.out.println("running...");
            yield();
        }
        System.out.println("done");
    }
}

```

allows other waiting threads to run

```

waiting...
running...
waiting...
running...
waiting...
running...
waiting...
running...
waiting...
running...
waiting...
running...
waiting...
running...
waiting...
running...
waiting...
running...
waiting...
running...
waiting...
running...
waiting...
running...
done

```

Stopping Threads

- Threads normally terminate by returning from their run method
- `stop()`, `interrupt()`, `suspend()`, `destroy()`, etc. are all deprecated
 - can leave application in an inconsistent state
 - inherently unsafe
 - don't use them
 - instead, set a variable telling the thread to stop itself

Daemon and Normal Threads

- A thread can be *daemon* or *normal*
 - the initial thread (the one that runs `main`) is normal
- Daemon threads are used for minor or ephemeral tasks (e.g. timers, sounds)
- A thread is initially a daemon iff its creating thread is
 - but this can be changed
- The application halts when either
 - `System.exit(int)` is called, or
 - all normal (non-daemon) threads have terminated

Race Conditions

- A *race condition* can arise when two or more threads try to access data simultaneously
- Thread B may try to read some data while thread A is updating it
 - updating may not be an atomic operation
 - thread B may sneak in at the wrong time and read the data in an inconsistent state
- Results can be unpredictable!

Example – A Lucky Scenario

```

private Stack<String> stack = new Stack<String>();

public void doSomething() {
    if (stack.isEmpty()) return;
    String s = stack.pop();
    //do something with s...
}

```

Suppose threads A and B want to call `doSomething()`, and there is one element on the stack

1. thread A tests `stack.isEmpty()` @ false
2. thread A pops @ stack is now empty
3. thread B tests `stack.isEmpty()` @ true
4. thread B just returns – nothing to do

Example – An Unlucky Scenario

```

private Stack<String> stack = new Stack<String>();

public void doSomething() {
    if (stack.isEmpty()) return;
    String s = stack.pop();
    //do something with s...
}

```

Suppose threads A and B want to call `doSomething()`, and there is one element on the stack

1. thread A tests `stack.isEmpty()` @ false
2. thread B tests `stack.isEmpty()` @ false
3. thread A pops @ stack is now empty
4. thread B pops @ Exception!

Solution – Locking

25

```
private Stack<String> stack = new Stack<String>();

public void doSomething() {
    synchronized (stack) {
        if (stack.isEmpty()) return;
        String s = stack.pop();
        //do something with s...
    }
}
```

synchronized block

- Put critical operations in a **synchronized** block
- The **stack** object acts as a lock
- Only one thread can own the lock at a time

Solution – Locking

26

- You can lock on any object, including **this**

```
public synchronized void doSomething() {
    ...
}
```

is equivalent to

```
public void doSomething() {
    synchronized (this) {
        ...
    }
}
```

File Locking

27

- In file systems, if two or more processes could access a file simultaneously, this could result in data corruption
- A process must **open** a file to use it – gives exclusive access until it is **closed**
- This is called **file locking** – enforced by the operating system
- Same concept as **synchronized(obj)** in Java

Deadlock

28

- The downside of locking – **deadlock**
- A **deadlock** occurs when two or more competing threads are waiting for the other to relinquish a lock, so neither ever does
- Example:
 - thread A tries to open file X, then file Y
 - thread B tries to open file Y, then file X
 - A gets X, B gets Y
 - Each is waiting for the other forever

wait/notify

29

- A mechanism for event-driven activation of threads
- Animation threads and the GUI event-dispatching thread in can interact via **wait/notify**

wait/notify

30

```
animator:
boolean isRunning = true;

public synchronized void run() {
    while (true) {
        while (isRunning) {
            //do one step of simulation
        }
        try {
            wait();
        } catch (InterruptedException ie) {}
        isRunning = true;
    }
}

public void stopAnimation() {
    animator.isRunning = false;
}

public void restartAnimation() {
    synchronized(animator) {
        animator.notify();
    }
}
```

relinquishes lock on animator – awaits notification

notifies processes waiting for animator lock

Summary

31

- Use of multiple processes and multiple threads within each process can exploit concurrency
 - Which may be real (multicore) or “virtual” (an illusion)
- But when using threads, beware!
 - Must lock (synchronize) any shared memory to avoid non-determinism and race conditions
 - Yet synchronization also creates risk of deadlocks
 - Even with proper locking concurrent programs can have other problems such as “livelock”
- Serious treatment of concurrency is a complex topic (covered in more detail in cs3410 and cs4410)