



ADTs, Generic Types, and the Java Collections Framework

Lecture 19
CS211 - Fall 2006

Announcements

- Prelim 2
 - In 2 weeks
 - Tuesday, Nov 14
 - 7:30-9:00PM
 - If you have a conflict
 - Contact Kelly Patwell
(Course Administrator)
soon!

PQ Application: Simulation

- Example: Given a probabilistic model of bank-customer arrival times and transaction times, how many tellers are needed

- Assume we have a way to generate random inter-arrival times
- Assume we have a way to generate transaction times
- Can simulate the bank to get some idea of how long customers must wait

Time-Driven Simulation

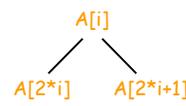
- Check at each *tick* to see if any event occurs

Event-Driven Simulation

- Advance clock to next event, skipping intervening *ticks*
- This uses a PQ!

Heap Implementation (the Big Trick)

- Can avoid using pointers!
- Use a *complete* binary tree stored in an array
 - Definition: *Complete* means that each level of the tree is filled except possibly the last, which is filled from left to right



```

      A[i]
     /  \
  A[2*i] A[2*i+1]
    
```

- For $A[i]$
 - left child = $2 * i$
 - right child = $2 * i + 1$
 - parent = $\lfloor i / 2 \rfloor$

To Build a Heap

- How long to construct a heap, given the items?
- Worst-case time for `insert()` is $O(\log n)$
- Total time to build heap using `insert()` is $O(\log 1) + O(\log 2) + \dots + O(\log n)$ or $O(n \log n)$

Can we do better?

- We had two heap-fixing methods
 - `bubbleUp`: move up the tree as long as we're > our parent
 - `bubbleDown`: move down the tree as long as we're < one of our children
- If we build the heap from the bottom-up using `bubbleDown` then we can build it in time $O(n)$ (Wow!)

Efficient Heap Building

- Build from the bottom-up
- If there are n items in the heap then...
 - There are about $n/2$ mini-heaps of height 1
 - There are about $n/4$ mini-heaps of height 2
 - There are about $n/8$ mini-heaps of height 3 and so on
- The time to fix up a mini-heap is $O(\text{its height})$
- Total time spent fixing heaps is thus bounded by $n/2 + 2n/4 + 3n/8 + \dots$
- This can be rewritten as $n(1/2 + 2/4 + \dots + i/2^i + \dots) = n(2)$
- Thus total heap-building time (using the bottom-up method) is $O(n)$

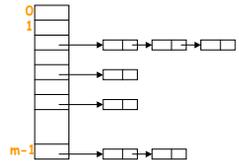
HeapSort

- Given a Comparable[] array of length n,
 - Put all n elements into a heap: $O(n)$ or $O(n \log n)$
 - Repeatedly get the min: $O(n \log n)$

```
public static void heapSort(Comparable[] a) {
    PriorityQueue<Comparable> pq = new PriorityQueue<Comparable>();
    for (Comparable x : a) { pq.put(x); }
    for (int i = 0; i < a.length; i++) { a[i] = pq.get(); }
}
```

Another PQ Implementation

- If there are only a few possible priorities then can use an array of queues
 - Time for insert: $O(1)$
 - Time for getMax:
 - $O(m)$ in the worst-case
- Example: airline check-in
- One text [Skiena] calls this a *bounded height priority queue*



Other PQ Operations

- delete
a particular item
- update
an item (change its priority)
- join
two priority queues

- For delete and update, we need to be able to find the item
 - One way to do this: Use a Dictionary to keep track of the item's position in the heap
- Efficient joining of 2 Priority Queues requires another data structure
 - Skew Heaps or Pairing Heaps
 - Chapter 23 in text
 - Not part of 211

Selecting a Priority Queue Scheme

- Use an unordered array for small sets (< 20 or so)
- Use a sorted array or sorted linked list if few insertions are expected
- Use an array of linked lists if there are few priorities
 - Each linked list is a queue of equal-priority items
 - Very easy to implement
- Otherwise, use a Heap if you can
 - Heap + Hashtable
 - Allow *change-priority* operation to be done in $O(\log n)$ expected time
 - Balanced tree schemes
 - Useful and practical
 - There are a number of alternate implementations that allow additional operations
 - Skew heaps
 - Pairing heaps
 - Fibonacci heaps
 - ...

Generic Types in Java 5.0

- When using a collection (e.g., LinkedList, HashSet, HashMap), we generally have a single type T of elements that we store in it (e.g., Integer, String)
- Before 1.5, when extracting an element, had to cast it to T before we could invoke T's methods
- Compiler could not check that the cast was correct at compile-time, since it didn't know what T was
- Inconvenient and unsafe, could fail at runtime
- Generics in Java 1.5 provide a way to communicate T, the type of elements in a collection, to the compiler
 - Compiler can check that you have used the collection consistently
 - Result is safer and more-efficient code

Example

```
old
//removes 4-letter words from c
//elements must be Strings
static void purge(Collection c) {
    Iterator i = c.iterator();
    while (i.hasNext()) {
        if (((String)i.next()).length() == 4)
            i.remove();
    }
}

new
//removes 4-letter words from c
static void purge(Collection<String> c) {
    Iterator<String> i = c.iterator();
    while (i.hasNext()) {
        if (i.next().length() == 4)
            i.remove();
    }
}
```

Another Example

old

```
HashMap grades = new HashMap();
grades.put("John", new Integer(67));
grades.put("Jane", new Integer(88));
grades.put("Fred", new Integer(72));
Integer x = (Integer)grades.get("John");
sum = sum + x.intValue();
```

new

```
HashMap<String,Integer> grades = new HashMap<String,Integer>();
grades.put("John", new Integer(67));
grades.put("Jane", new Integer(88));
grades.put("Fred", new Integer(72));
Integer x = grades.get("John");
sum = sum + x.intValue();
```

Type Casting

- In effect, Java inserts the correct cast automatically, based on the declared type
- In this example, `grades.get("John")` is automatically cast to `Integer`

```
HashMap<String,Integer> grades = new HashMap<String,Integer>();
grades.put("John", new Integer(67));
grades.put("Jane", new Integer(88));
grades.put("Fred", new Integer(72));
Integer x = grades.get("John");
sum = sum + x.intValue();
```

An Aside: Autoboxing

- Java 5.0 also has autoboxing and auto-unboxing of primitive types, so the example can be further simplified

```
HashMap<String,Integer> grades = new HashMap<String,Integer>();
grades.put("John", new Integer(67));
grades.put("Jane", new Integer(88));
grades.put("Fred", new Integer(72));
Integer x = grades.get("John");
System.out.println(x.intValue());
```

```
HashMap<String,Integer> grades = new HashMap<String,Integer>();
grades.put("John", 67);
grades.put("Jane", 88);
grades.put("Fred", 72);
sum = sum + grades.get("John");
```

Using Generic Types

- `<T>` is read, "of T"
 - For example: `Stack<Integer>` is read, "Stack of Integer"
- The type annotation `<T>` informs the compiler that all extractions from this collection should be automatically cast to T
- Specify type in declaration, can be checked at compile time
 - Can eliminate explicit casts

Advantage of Generics

- Declaring `Collection<String> c` tells us something about the variable `c` (i.e., `c` holds only Strings)
 - This is true wherever `c` is used
 - The compiler checks this and won't compile code that violates this
- Without use of generic types, explicit casting must be used
 - A cast tells us something the programmer thinks is true at a single point in the code
 - The Java virtual machine checks whether the programmer is right only at runtime

Subtypes

`Stack<Integer>` is *not* a subtype of `Stack<Object>`

```
Stack<Integer> s = new Stack<Integer>();
s.push(new Integer(7));
Stack<Object> t = s; // Gives compiler error
t.push("bad idea");
System.out.println(s.pop().intValue());
```

However, `Stack<Integer>` *is* a subtype of `Stack` (for backward compatibility with previous Java versions)

```
Stack<Integer> s = new Stack<Integer>();
s.push(new Integer(7));
Stack t = s; // Compiler allows this
t.push("bad idea"); // Produces a warning
System.out.println(s.pop().intValue()); // Runtime error
```

Programming Generic Types

```
public interface List<E> { // E is a type variable
    void add(E x);
    Iterator<E> iterator();
}

public interface Iterator<E> {
    E next();
    boolean hasNext();
}
```

- To use the interface `List<E>`, supply an actual type argument, e.g., `List<Integer>`
- All occurrences of the formal type parameter (E in this case) are replaced by the actual type argument (Integer in this case)

Wildcards

old

```
void printCollection(Collection c) {
    Iterator i = c.iterator();
    while (i.hasNext()) {
        System.out.println(i.next());
    }
}
```

bad

```
void printCollection(Collection<Object> c) {
    for (Object e : c) {
        System.out.println(e);
    }
}
```

good

```
void printCollection(Collection<?> c) {
    for (Object e : c) {
        System.out.println(e);
    }
}
```

Bounded Wildcards

```
static void sort (List<? extends Comparable> c) {
    ...
}
```

- Note that if we declared the parameter `c` to be of type `List<Comparable>` then we could not sort an object of type `List<String>` (even though `String` is a subtype of `Comparable`)
 - Suppose Java treated `List<String>` as a subtype of `List<Comparable>`
 - Then, for instance, a method passed an object of type `List<Comparable>` would be able to store Integers in our `List<String>`
- Wildcards let us specify exactly what types are allowed

Generic Methods

- Adding all elements of an array to a Collection

bad

```
static void a2c(Object[] a, Collection<?> c) {
    for (Object o : a) {
        c.add(o); //compile time error
    }
}
```

good

```
static <T> void a2c(T[] a, Collection<T> c) {
    for (T o : a) {
        c.add(o); //ok
    }
}
```

- See the online Java Tutorial for more information on generic types and generic methods
- The text also has a section (4.7) on this topic

Java Collections Framework

- **Collections:** holders that let you store and organize objects in useful ways for efficient access
- Since Java 1.2, the package `java.util` includes interfaces and classes for a general collection framework
- **Goal:** conciseness
 - A few concepts that are broadly useful
 - Not an exhaustive set of useful concepts
- **Two types of concepts are provided**
 - Interfaces (i.e., ADTs)
 - Implementations

JCF Interfaces and Classes

- **Interfaces**
 - `Collection`
 - `Set` (no duplicates)
 - `SortedSet`
 - `List` (duplicates OK)
 - `Map` (i.e., Dictionary)
 - `SortedMap`
 - `Iterator`
 - `Iterable`
 - `ListIterator`
- **Classes**
 - `HashSet`
 - `TreeSet`
 - `ArrayList`
 - `LinkedList`
 - `HashMap`
 - `TreeMap`

java.util.Collection<E> (an interface)

```
public int size();
    • Return number of elements in collection
public boolean isEmpty();
    • Return true iff collection holds no elements
public boolean add (E x);
    • Make sure the collection includes x; returns true if collection
    has changed (some collections allow duplicates, some don't)
public boolean contains (Object x);
    • Returns true iff collection contains x (uses equals() method)
public boolean remove (Object x);
    • Removes a single instance of x from the collection; returns true
    if collection has changed
public Iterator<E> iterator ();
    • Returns an Iterator that steps through elements of collection
```

java.util.Iterator<E> (an interface)

```
public boolean hasNext ();
    • Returns true if the iteration has more elements
public E next ();
    • Returns the next element in the iteration
    • Throws NoSuchElementException if no next element
public void remove ();
    • The element most-recently returned by next() is removed from
    the collection
    • Throws IllegalStateException if next() not yet used or if
    remove() already called
    • Throws UnsupportedOperationException if remove() not
    supported
```

Additional Methods of Collection

```
public Object[] toArray ()
    • Returns a new array containing all the elements of this
    collection
public <T> T[] toArray (T[] dest)
    • Returns an array containing all the elements of this collection;
    uses dest as that array if it can
```

Bulk Operations:

- public boolean containsAll (Collection?> c);
- public boolean addAll (Collection?> extends E> c);
- public boolean removeAll (Collection?> c);
- public boolean retainAll (Collection?> c);
- public void clear ();

java.util.Set<E> (an interface)

- Set extends Collection
 - Set inherits all its methods from Collection
- A Set contains no duplicates
 - If you attempt to add() an element twice then the second add() will return false (i.e., the Set has not changed)
- Write a method that checks if a given word is within a Set of words
- Write a method that removes all words longer than 5 letters from a Set
- Write methods for the union and intersection of two Sets

Set Implementations

- java.util.HashSet<E> (a hashtable)
 - Constructors

```
public HashSet ();
public HashSet (Collection?> extends E> c);
public HashSet (int initialCapacity);
public HashSet (int initialCapacity, float loadFactor);
```
- java.util.TreeSet (a balanced BST [red-black tree])
 - Constructors

```
public TreeSet ();
public TreeSet (Collection?> extends E> c);
...
```

java.util.SortedSet<E> (an interface)

- SortedSet extends Set
- For a SortedSet, the iterator() returns the elements in sorted order
- Methods (in addition to those inherited from Set):
 - public E first ();
 - Returns the first (lowest) object in this set
 - public E last ();
 - Returns the last (highest) object in this set
 - public Comparator?> super E> comparator ();
 - Returns the Comparator being used by this sorted set if there is one; returns null if the natural order is being used
 - ...

java.lang.Comparable<T> (an interface)

public int compareTo (T x);

- Returns a value (< 0), (= 0), or (> 0)
 - (< 0) implies this is before x
 - (= 0) implies this.equals(x) is true
 - (> 0) implies this is after x
- Many classes implement Comparable
 - String, Double, Integer, Char, java.util.Date,...
- If a class implements Comparable then that is considered to be the class's *natural ordering*

java.util.Comparator<T> (an interface)

public int compare (T x1, T x2);

- Returns a value (< 0), (= 0), or (> 0)
 - (< 0) implies x1 is before x2
 - (= 0) implies x1.equals(x2) is true
 - (> 0) implies x1 is after x2
- Can often use a Comparator when a class's natural order is not the one you want
 - String.CASE_INSENSITIVE_ORDER is a predefined Comparator
 - java.util.Collections.reverseOrder() returns a Comparator that reverses the natural order

SortedSet Implementations

- java.util.TreeSet<E>
 - This is the only class that implements SortedSet
 - TreeSet's constructors

```
public TreeSet ();
public TreeSet (Collection? extends E> c);
...
```
- Write a method that prints out a SortedSet of words in order
- Write a method that prints out a Set of words in order

java.util.List<E> (an interface)

- List extends Collection
- Items in a list can be accessed via their index (position in list)
- The add() method always puts an item at the end of the list
- The iterator() returns the elements in list-order
- Methods (in addition to those inherited from Collection):
 - public E get (int index);
 - Returns the item at position index in the list
 - public E set (int index, E x);
 - Places x at position index, replacing previous item; returns the previous item
 - public void add (int index, E x);
 - Places x at position index, shifting items to make room
 - public E remove (int index);
 - Remove item at position index, shifting items to fill the space; returns the removed item
 - public int indexOf (Object x);
 - Return the index of the first item in the list that equals x (x.equals())
 - ...

List Implementations

java.util.ArrayList<E> (an array; expands via array-doubling)

- Constructors
 - public ArrayList ();
 - public ArrayList (int initialCapacity);
 - public ArrayList (Collection? extends E> c);
- java.util.LinkedList <E> (a doubly-linked list)
 - Constructors
 - public LinkedList ();
 - public LinkedList (Collection? extends E> c);
- Both include some additional useful methods specific to that class

Efficiency Depends on Implementation

- Object x = list.get(k);
 - O(1) time for ArrayList
 - O(k) time for LinkedList
- list.remove(0);
 - O(n) time for ArrayList
 - O(1) time for LinkedList
- If (set.contains(x))...
 - O(1) expected time for HashSet
 - O(log n) for TreeSet