



## Abstract Data Types & Implementations

Lecture 16  
CS211 – Fall 2005

## Program Design Strategies

- Goal: Make it easier to design/create programs
- Algorithm Design Methods
  - I can design an algorithm to solve this
  - Examples: Divide & Conquer, Greedy, Dynamic Programming
- Basic Data Structures
  - I recognize this; I can use this well-known data structure
  - Examples: Stack, Queue, Priority Queue, Hashtable, Binary Search Tree
- Problem Reductions
  - I can change this problem into another with a known solution
  - Or, I can show that a reasonable algorithm is most-likely impossible
  - Examples: reduction to network flow, NP-complete problems

## Abstract Data Types (ADTs)

- A method for achieving abstraction for data structures and algorithms
- ADT = model + operations
- Describes what each operation does, but not how it does it
- An ADT is independent of its implementation
- In Java, an *interface* corresponds well to an ADT
  - The interface describes the operations, but says *nothing at all* about how they are implemented
- Example: Stack interface/ADT
 

```
public interface Stack {
    public void push (Object x);
    public Object pop ();
    public Object peek ();
    public boolean isEmpty ();
    public void makeEmpty ();
}
```

## Queues & Priority Queues

- ADT Queue
 

Operations:

```
void enqueue (Object x);
Object dequeue ();
Object peek ();
boolean isEmpty ();
void makeEmpty ();
```

Where used:

  - Simple job scheduler (e.g., print queue)
  - Wide use within other algorithms
- ADT PriorityQueue
 

Operations:

```
void insert (Object x);
Object getMax ();
Object peekAtMax ();
boolean isEmpty ();
void makeEmpty ();
```

Where used:

  - Job scheduler for OS
  - Event-driven simulation
  - Can be used for sorting
  - Wide use within other algorithms

## Sets & Dictionaries

- ADT Set
 

Operations:

```
void insert (Object element);
boolean contains (Object element);
void remove (Object element);
boolean isEmpty ();
void makeEmpty ();
```

  - Note: no duplicates allowed
    - A "set" with duplicates is usually called a *bag*

Where used:

  - Wide use within other algorithms
- ADT Dictionary
 

Operations:

```
void insert (Object key, Object value);
void update (Object key, Object value);
Object find (Object key);
void remove (Object key);
boolean isEmpty ();
void makeEmpty ();
```

  - Think of key = word; value = definition

Where used:

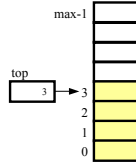
  - Symbol tables
  - Wide use within other algorithms

## Data Structure Building Blocks

- These are *implementation* "building blocks" that are often used to build more-complicated data structures
  - Arrays
  - Linked Lists
    - Singly linked
    - Doubly linked
  - Binary Trees
    - Adjacency matrix
    - Adjacency list
  - Graphs

## Array Implementation of Stack

```
class StackArray implements Stack {
    Object [ ] s; // Holds the stack
    int top; // Index of stack top
    public StackArray(int max) // Constructor
        {s = new Object [max]; top = -1;}
    public void push (Object item) {s [++top] = item;}
    public Object pop () {return s [top--];}
    public Object peek () {return s [top];}
    public boolean isEmpty () {return top == -1;}
    public void makeEmpty () {top = -1;}
}
// Better for garbage collection if makeEmpty() also cleared the array
```



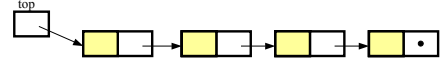
O(1) worst-case time for each operation

## Linked List Implementation of Stack

```
class StackLinked implements Stack {
    class Node (Object d, Node next; // An inner class
        {data = d; next = n;}
    }
    Node top; // Top Node of stack
    public StackLinked () {top = null;} // Constructor
    public void push (Object item) {top = new Node(item,top);}
    public Object pop () {
        Object temp = top.data; top = top.next; return temp;}
    public boolean isEmpty () {return top == null;}
    public void makeEmpty () {top = null;}
}
```

O(1) worst-case time for each operation

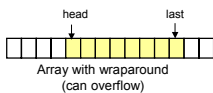
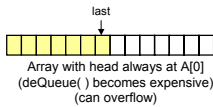
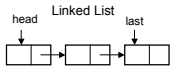
Note that the array implementation can overflow, but the linked list version can't



## Queue Implementations

### Possible implementations

Recall: operations are enqueue, dequeue, peek, ...



- For linked-list
  - All operations are O(1)
- For array with head at A[0]
  - dequeue takes time O(n)
  - Other ops are O(1)
  - Can overflow
- For array with wraparound
  - All operations are O(1)
  - Can overflow

## Choosing an Implementation

### Issues:

- What operations do I need to perform on the data?
  - Insertion, deletion, searching, reset to initial state?
- How efficient do the operations need to be?
- Are there any additional constraints on the operations or on the data structure?
  - Can there be duplicates?
  - When extracting elements, does order matter?
- Is there a known upper bound on the amount of data? Or can it grow unboundedly large?

## Priority Queue Implementations

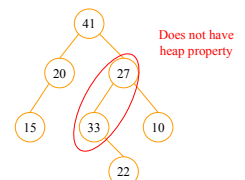
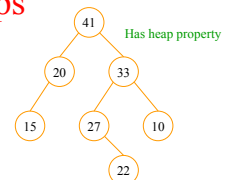
	Unordered List	Ordered List	Unordered Array	Ordered Array	BST*	Balanced BST
insert(item)	O(1)	O(n)	O(1)	O(n)	O(log n) expected	O(log n) worst-case
removeMax()	O(n)	O(1)	O(n)	O(1)	O(log n) expected	O(log n) worst-case

\* BST becomes unbalanced as PQ is used

Can we do better than balanced trees?  
Well no, not in terms of big-O bounds, but...

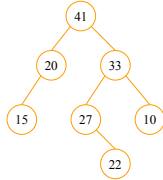
## Heaps

- A heap is a tree that
  - Has a particular shape (we'll come back to this) and
  - Has the *heap property*
- *Heap property*
  - Each node's value (its *priority*) is  $\leq$  the value of its parent
  - This version is for a max-heap (max value at the root)
    - There is a similar heap property for a min-heap (min at the root)



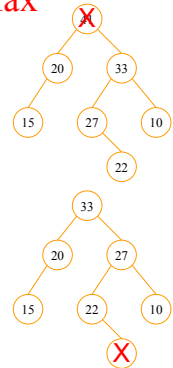
## Heap Property Examples

- Ages of people in a family tree
  - Child is younger than parent
  - But an aunt can be younger than her niece
- Salaries of people in an organization
  - A boss makes more money than a subordinate
  - But a 2nd level manager in one region may make more than a 1st level manager in another region
- Crime family ordered by "ruthlessness" (measured by number of murders each member is responsible for)
  - Max, the top crime boss, must be the most ruthless



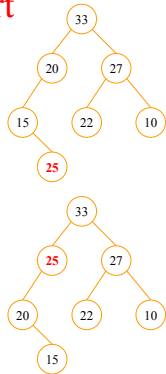
## GetMax

- What would happen if someone were to "get" Max (the top boss)?
  - This leaves a hole at the root
  - We must maintain the heap property so...
    - The most ruthless subordinate moves up to fill the hole
  - This leaves another hole that we fill in the same way
  - We finally create an empty leaf which we delete



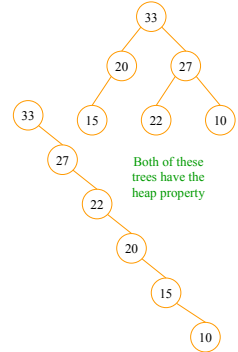
## Insert

- What happens when "Fat Tony" arrives from Detroit?
  - He starts as a leaf
  - We must maintain the heap property, so...
    - If he is more ruthless than his boss, they swap positions



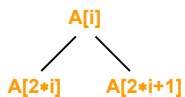
## Heap Implementation

- This works great, but...
  - Operations insert and getMax can be slow if the tree is "skinny"
    - Both take linear time on a skinny tree and  $O(\log n)$  time on a fat tree
- How can we ensure that our heap-tree is fat?



## Heap Implementation (the Big Trick)

- Can avoid using pointers!
- Use a complete binary tree stored in an array
  - Definition: Complete means that each level of the tree is filled except possibly the last, which is filled from left to right
- For  $A[i]$ 
  - left child =  $2 * i$
  - right child =  $2 * i + 1$
  - parent =  $\lfloor i / 2 \rfloor$



## Insert and GetMax Pseudocode

- ```

insert (Item):
    Place item in a leaf (= next empty position in array);
    while (item > parent) {Swap item with parent;} // BubbleUp

getMax ():
    max = root.value;
    Swap root and last item (call it v) in heap; // Ensures same shape for heap
    Decrease heap size by 1 (i.e., access less of the array);
    while (v < one of its children) // BubbleDown
        {Swap v with its largest child;}
    return max;
    
```

## To Build a Heap

- How long to construct a heap, given the items?
- Worst-case time for insert() is  $O(\log n)$
- Total time to build heap using insert() is  $O(\log 1) + O(\log 2) + \dots + O(\log n)$  or  $O(n \log n)$
- Can we do better?
- We had two heap-fixing methods
  - bubbleUp: move up the tree as long as we're > our parent
  - bubbleDown: move down the tree as long as we're < one of our children
- If we build the heap from the bottom-up using bubbleDown then we can build it in time  $O(n)$  (Wow!)

## Efficient Heap Building

- Build from the bottom-up
- If there are  $n$  items in the heap then...
  - There are about  $n/2$  mini-heaps of height 1
  - There are about  $n/4$  mini-heaps of height 2
  - There are about  $n/8$  mini-heaps of height 3 and so on
- The time to fix up a mini-heap is  $O(\text{its height})$
- Total time spent fixing heaps is thus bounded by  $n/2 + 2n/4 + 3n/8 + \dots$
- This can be rewritten as  $n(1/2 + 2/4 + \dots + i/2^i + \dots) = n(2)$
- Thus total heap-building time (using the bottom-up method) is  $O(n)$

## HeapSort

- Given a Comparable[] array of length  $n$ ,
  - Put all  $n$  elements into a heap:  $O(n)$  or  $O(n \log n)$
  - Repeatedly get the min:  $O(n \log n)$

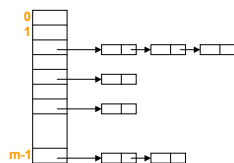
```
public static void heapSort(Comparable[] a) {
    PriorityQueue<Comparable> pq = new PQ<Comparable>();
    for (Comparable x : a) { pq.put(x); }
    for (int i = 0; i < a.length; i++) { a[i] = pq.get(); }
}
```

## PQ Application: Simulation

- Example: Given a probabilistic model of bank-customer arrival times and transaction times, how many tellers are needed
- Assume we have a way to generate random inter-arrival times
- Assume we have a way to generate transaction times
- Can simulate the bank to get some idea of how long customers must wait
- Time-Driven Simulation
  - Check at each tick to see if any event occurs
- Event-Driven Simulation
  - Advance clock to next event, skipping intervening ticks
  - This uses a PQ!

## Another PQ Implementation

- If there are only a few possible priorities then can use an array of queues
  - Each array position represents a priority (0..m-1 where  $m$  is the array size)
  - Each queue holds all items that have that priority
- Time for insert:  $O(1)$
- Time for getMax:
  - $O(m)$  in the worst-case
  - Generally, faster
- Example: airline check-in



- One text [Skiena] calls this a *bounded height priority queue*

## Other PQ Operations

- delete a particular item
- update an item (change its priority)
- join two priority queues
- For delete and update, we need to be able to find the item
  - One way to do this: Use a Dictionary to keep track of the item's position in the heap
- Efficient joining of 2 Priority Queues requires another data structure
  - Skew Heaps or Pairing Heaps (Chapter 23 in text)