

Lecture 27

# **Advanced Sorting**

# Announcements for This Lecture

---

## Finishing Up

---

- **Submit a course evaluation**
  - Will get an e-mail for this
  - Part of “participation grade”
- **Final: Dec 12<sup>th</sup> 2-4:30 pm**
  - Study guide is posted
  - Announce reviews on Tues.
- **Conflict with Final time?**
  - Submit to conflict to CMS **by next Tuesday!**

## Assignments

---

- **A6** is now graded
  - **Mean:** 92.8 **Median:** 96
  - **Std Dev:** 12
  - **Mean:** 11.6 hr **Median:** 10 hr
  - **Std Dev:** 5.9 hr
- **A7** is due **Tuesday Dec. 7**
  - Should be *firing* Alien bolts
  - Use weekend for *collisions*
  - Only do extensions if time

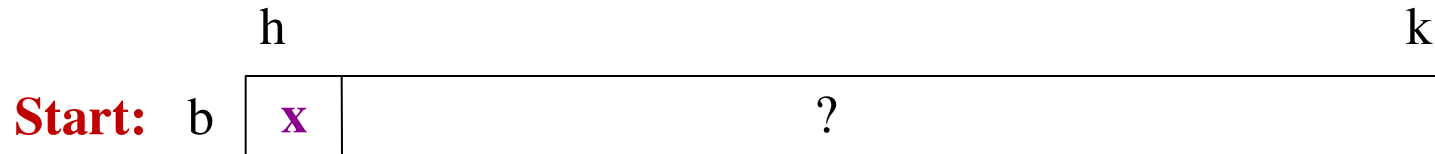
# Recall Our Problem

---

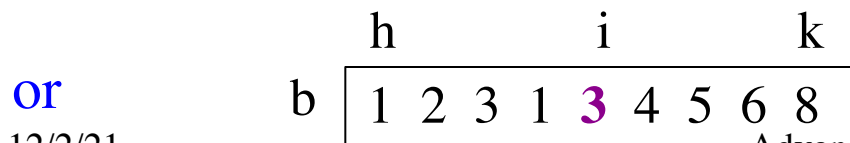
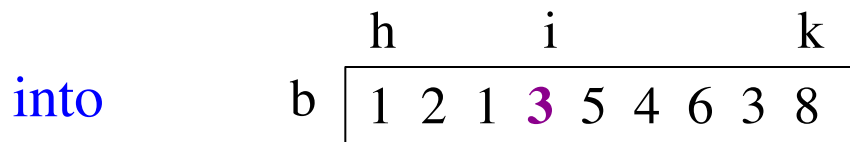
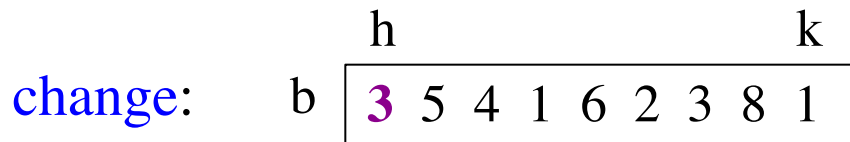
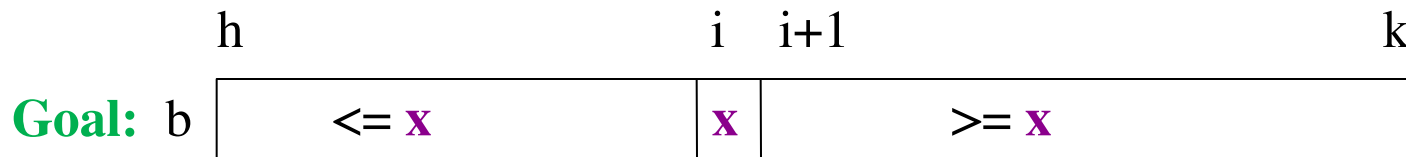
- Both insertion, selection sort are **nested loops**
  - **Outer loop** over each element to sort
  - **Inner loop** to put next element in place
  - Each loop is  $n$  steps.  $n \times n = n^2$
- To do better we must *eliminate* a loop
  - But how do we do that?
  - What is like a loop? **Recursion!**
  - First need an *intermediate* algorithm

# The Partition Algorithm

- Given a list segment  $b[h..k]$  with some value  $x$  in  $b[h]$ :



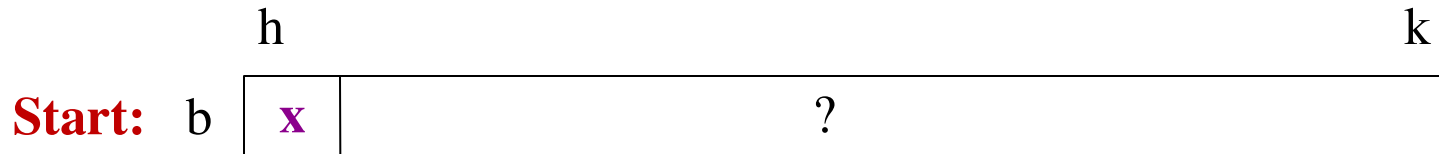
- Swap elements of  $b[h..k]$  to get this answer



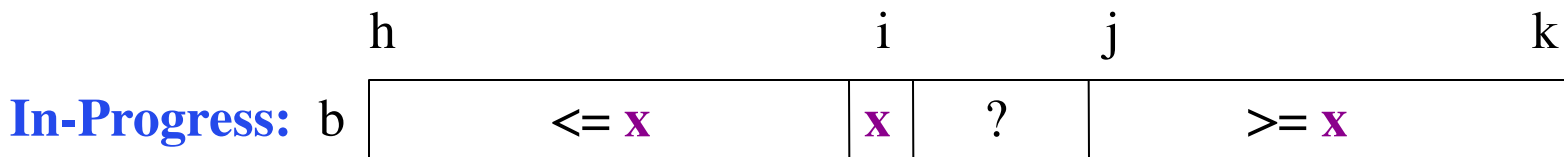
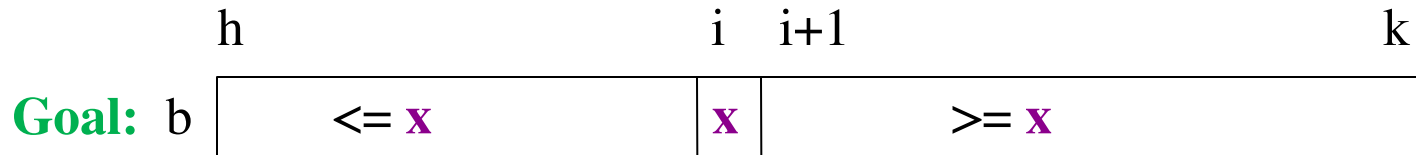
- $x$  is called the **pivot value**
  - $x$  is not a program variable
  - denotes value initially in  $b[h]$

# Designing the Partition Algorithm

- Given a list  $b[h..k]$  with some value  $x$  in  $b[h]$ :



- Swap elements of  $b[h..k]$  to get this answer



Indices  $b, h$  important!  
Might partition only part

# Implementating the Partition Algorithm

```
def partition(b, h, k):  
    """Partition list b[h..k] around a pivot x = b[h]"""  
    i = h; j = k+1; x = b[h]  
  
    while i < j-1:  
        if b[i+1] >= x:  
            # Move to end of block.  
            swap(b,i+1,j-1)  
            j = j - 1  
        else: # b[i+1] < x  
            swap(b,i,i+1)  
            i = i + 1  
  
    return i
```

**partition(b,h,k), not partition(b[h:k+1])**  
Remember, slicing always copies the list!  
We want to partition the **original** list

# Partition Algorithm Implementation

```
def partition(b, h, k):  
    """Partition list b[h..k] around a pivot x = b[h]"""  
    i = h; j = k+1; x = b[h]  
  
    while i < j-1:  
        if b[i+1] >= x:  
            # Move to end of block.  
            swap(b,i+1,j-1)  
            j = j - 1  
        else: # b[i+1] < x  
            swap(b,i,i+1)  
            i = i + 1  
  
    return i
```

$\leq x$		$x$	?			$\geq x$		
h		i	i+1			j		k
1	2	3	1	5	0	6	3	8

# Partition Algorithm Implementation

```
def partition(b, h, k):  
    """Partition list b[h..k] around a pivot x = b[h]"""  
    i = h; j = k+1; x = b[h]  
  
    while i < j-1:  
        if b[i+1] >= x:  
            # Move to end of block.  
            swap(b,i+1,j-1)  
            j = j - 1  
        else: # b[i+1] < x  
            swap(b,i,i+1)  
            i = i + 1  
  
    return i
```

$\leq x$		$x$	?		$\geq x$			
h		i	i+1		j	k		
1	2	3	1	5	0	6	3	8

h		$\rightarrow$	i	i+1		j		k
1	2	1	3	5	0	6	3	8



# Partition Algorithm Implementation

```
def partition(b, h, k):
    """Partition list b[h..k] around a pivot x = b[h]"""
    i = h; j = k+1; x = b[h]

    while i < j-1:
        if b[i+1] >= x:
            # Move to end of block.
            swap(b,i+1,j-1)
            j = j - 1
        else: # b[i+1] < x
            swap(b,i,i+1)
            i = i + 1

    return i
```

$\leq x$		$x$	?	$\geq x$	
h		i	i+1		j k
1	2	3	1 5 0	6 3 8	

h		$\rightarrow$ i	i+1	j	k
1	2	1	3	5 0	6 3 8



h		i		j $\leftarrow$	k
1	2	1	3	0	5 6 3 8



# Partition Algorithm Implementation

```
def partition(b, h, k):
    """Partition list b[h..k] around a pivot x = b[h]"""
    i = h; j = k+1; x = b[h]

    while i < j-1:
        if b[i+1] >= x:
            # Move to end of block.
            swap(b,i+1,j-1)
            j = j - 1
        else: # b[i+1] < x
            swap(b,i,i+1)
            i = i + 1

    return i
```

$\leq x$		$x$	?	$\geq x$	
h		i	i+1		j k
1	2	3	1 5 0	6 3 8	

h		$\rightarrow$ i	i+1		j	k
1	2	1	3	5 0	6 3 8	



h		i		j	$\leftarrow$	k
1	2	1	3	0	5 6 3 8	



h		$\rightarrow$ i	j		k
1	2	1 0	3	5 6 3 8	



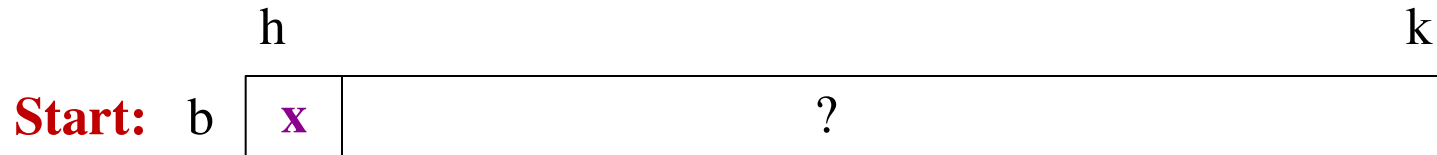
# Why is this Useful?

---

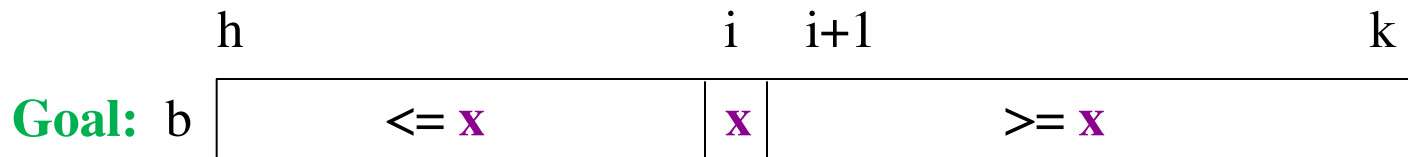
- Will use this algorithm to replace inner loop
  - The inner loop cost us  $n$  swaps every time
- Can this reduce the number of swaps?
  - Worst case is  $k-h$  swaps
  - This is  $n$  if partitioning the whole list
  - But less if only partitioning part
- **Idea:** Break up list and partition only part?
  - This is **Divide-and-Conquer!**

# Sorting with Partitions

- Given a list segment  $b[h..k]$  with some value  $x$  in  $b[h]$ :



- Swap elements of  $b[h..k]$  to get this answer



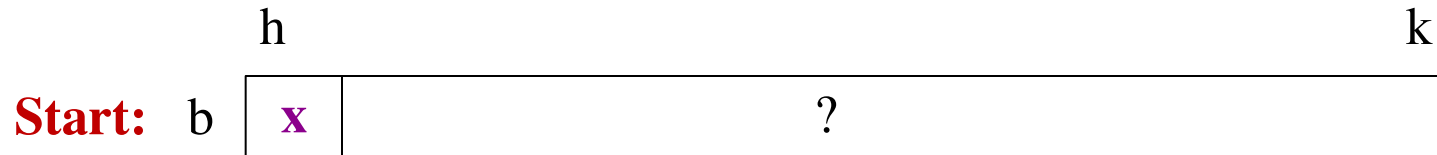
Partition Recursively

Recursive partitions = sorting

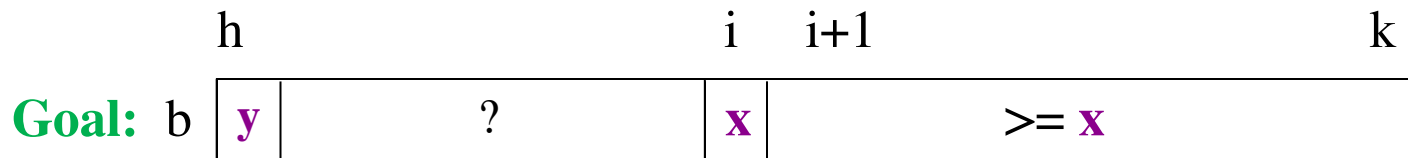
- Called **QuickSort** (why???)
- Popular, fast sorting technique

# Sorting with Partitions

- Given a list segment  $b[h..k]$  with some value  $x$  in  $b[h]$ :



- Swap elements of  $b[h..k]$  to get this answer



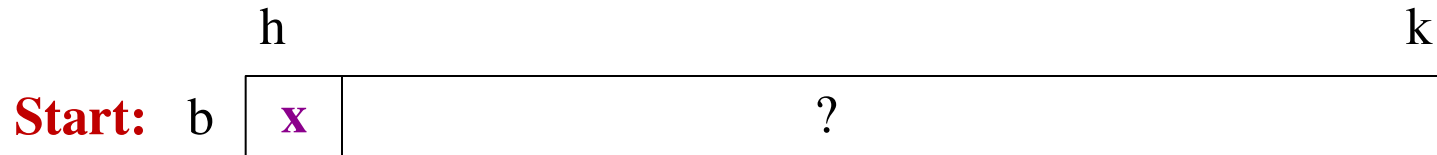
Partition Recursively

Recursive partitions = sorting

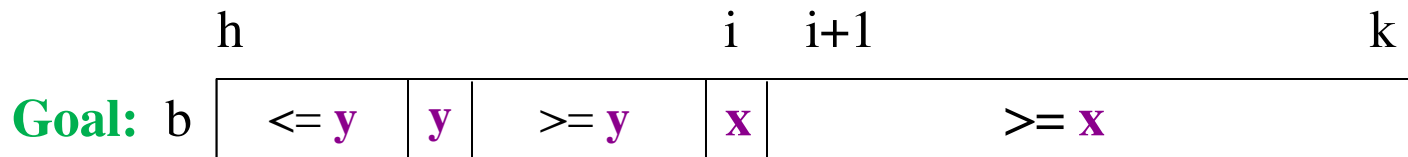
- Called **QuickSort** (why???)
- Popular, fast sorting technique

# Sorting with Partitions

- Given a list segment  $b[h..k]$  with some value  $x$  in  $b[h]$ :



- Swap elements of  $b[h..k]$  to get this answer



Partition Recursively

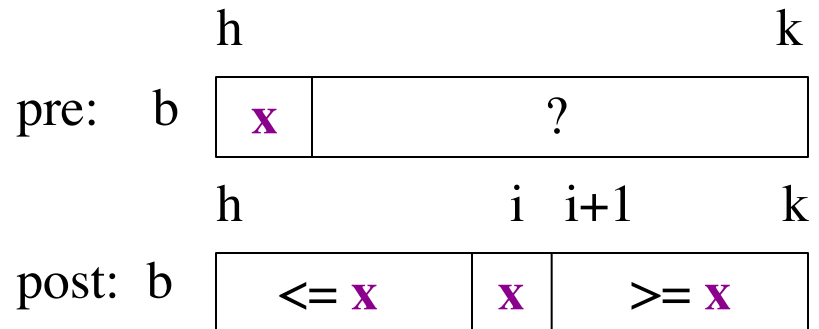
Recursive partitions = sorting

- Called **QuickSort** (why???)
- Popular, fast sorting technique

# QuickSort

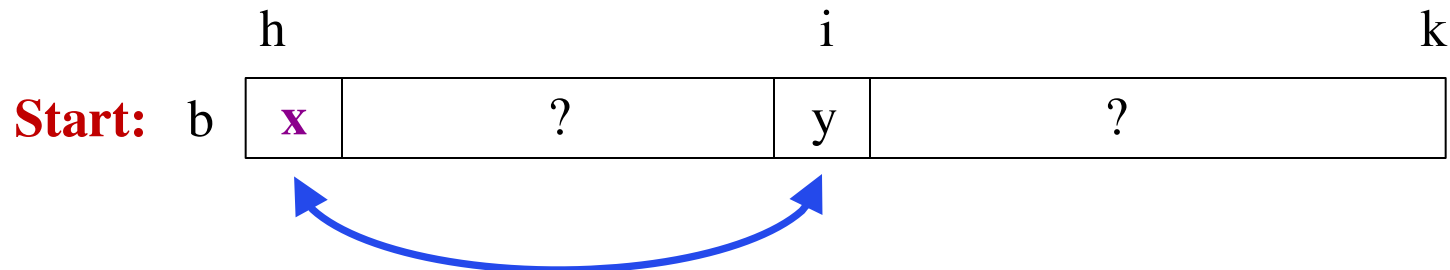
```
def quick_sort(b, h, k):  
    """Sort the array fragment b[h..k]"""  
    if b[h..k] has fewer than 2 elements:  
        return  
    j = partition(b, h, k)  
    # b[h..j-1] <= b[j] <= b[j+1..k]  
    # Sort b[h..j-1] and b[j+1..k]  
    quick_sort (b, h, j-1)  
    quick_sort (b, j+1, k)
```

- **Worst Case:**  
array already sorted
  - Or almost sorted
  - $n^2$  in that case
- **Average Case:**  
array is scrambled
  - $n \log n$  in that case
  - Best sorting time!



# So Does that Solve It?

- Worst case still seems bad! Still  $n^2$ 
  - But only happens in small number of cases
  - Just happens that case is common (already sorted)
- Can greatly reduce issue with randomization
  - Swap start with random element in list
  - Now pivot is random and already sorted unlikely

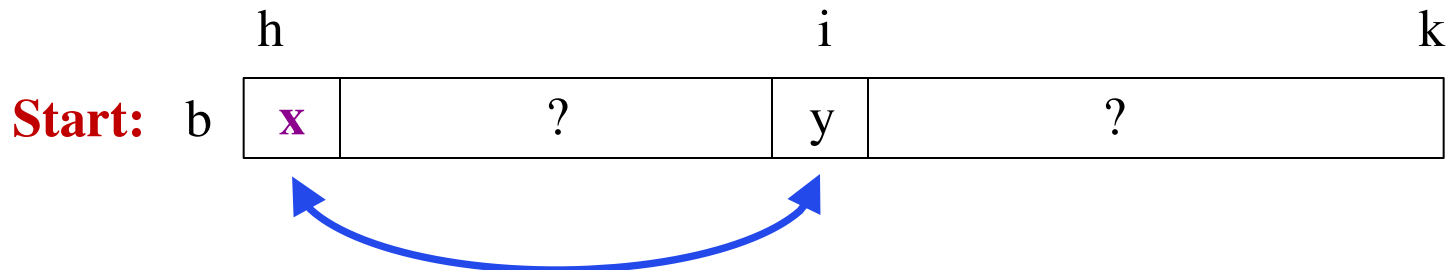




# So Does that Solve It?

- Worst case still seems bad! Still  $n^2$ 
  - But only happens in small number of cases
  - Just happens in already sorted)
- Can greatly reduce worst case (randomization)
  - Swap s
  - Now pivot is random and already sorted unlikely

Makes it “good enough”  
for most applications



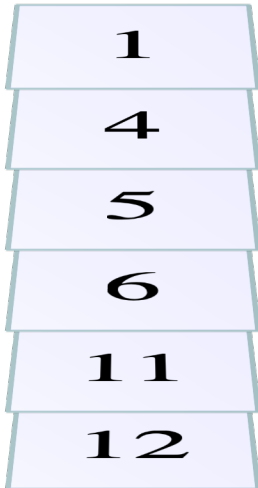
# Can We Do Better?

---

- Recursion seems to be the solution
  - Partitioned the list into two halves
  - Recursively sorted each half
- How about a traditional **divide-and-conquer**?
  - **Divide** the list into two halves
  - **Recursively sort** the two halves
  - **Combine** the two sort halves
- How do we do the last step?

# Combining Two Sorted Lists

---



# Combining Two Sorted Lists

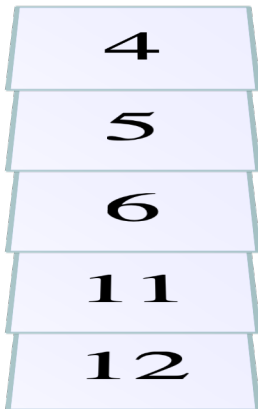
---



Pick from list  
with the least

# Combining Two Sorted Lists

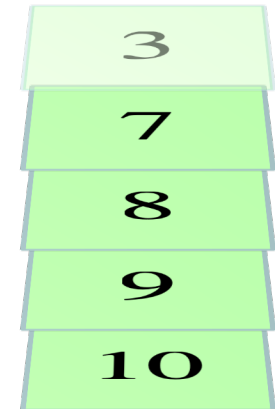
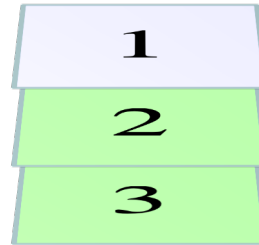
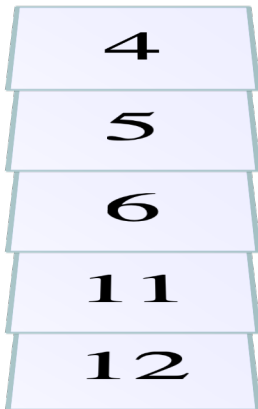
---



Pick from list  
with the least

# Combining Two Sorted Lists

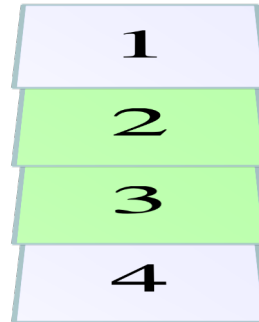
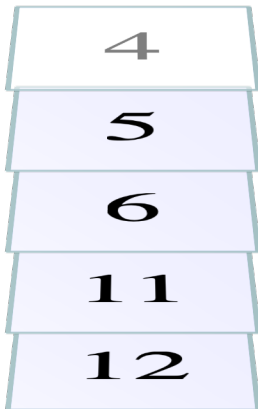
---



Pick from list  
with the least

# Combining Two Sorted Lists

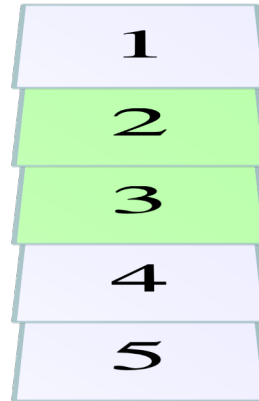
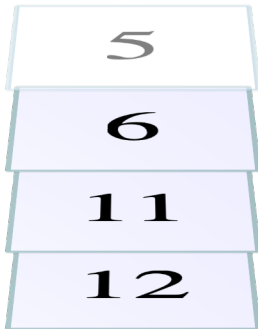
---



Pick from list  
with the least

# Combining Two Sorted Lists

---

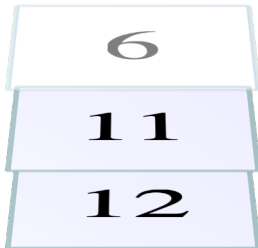


Pick from list  
with the least



# Combining Two Sorted Lists

---



Pick from list  
with the least

# Combining Two Sorted Lists

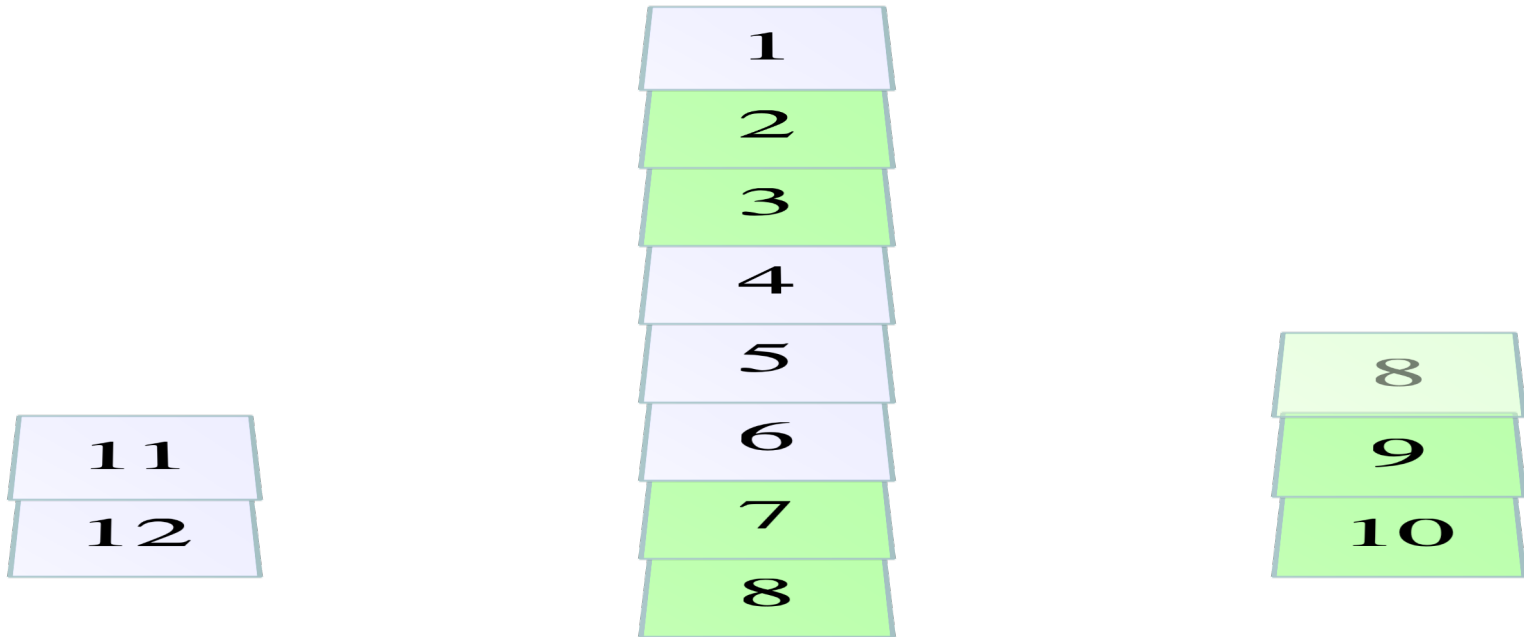
---



Pick from list  
with the least

# Combining Two Sorted Lists

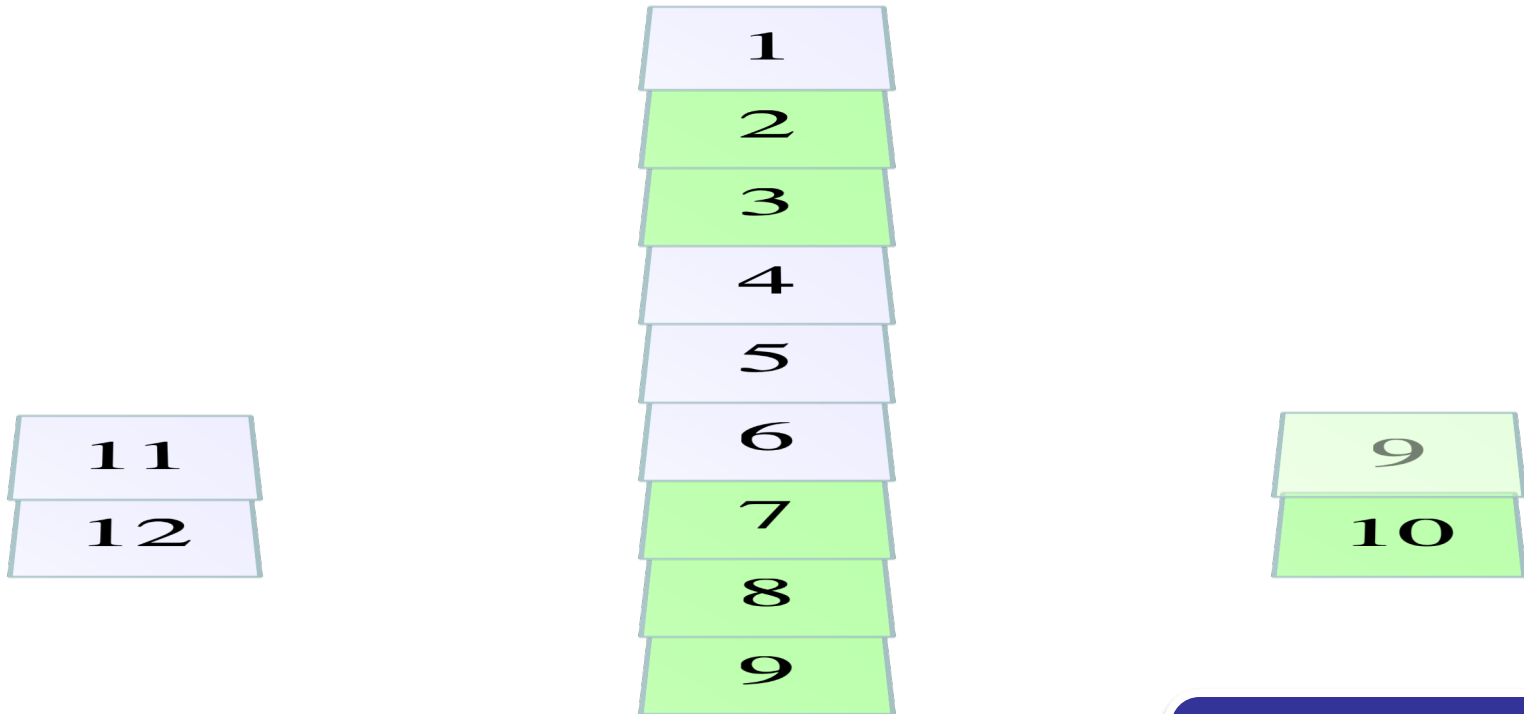
---



Pick from list  
with the least

# Combining Two Sorted Lists

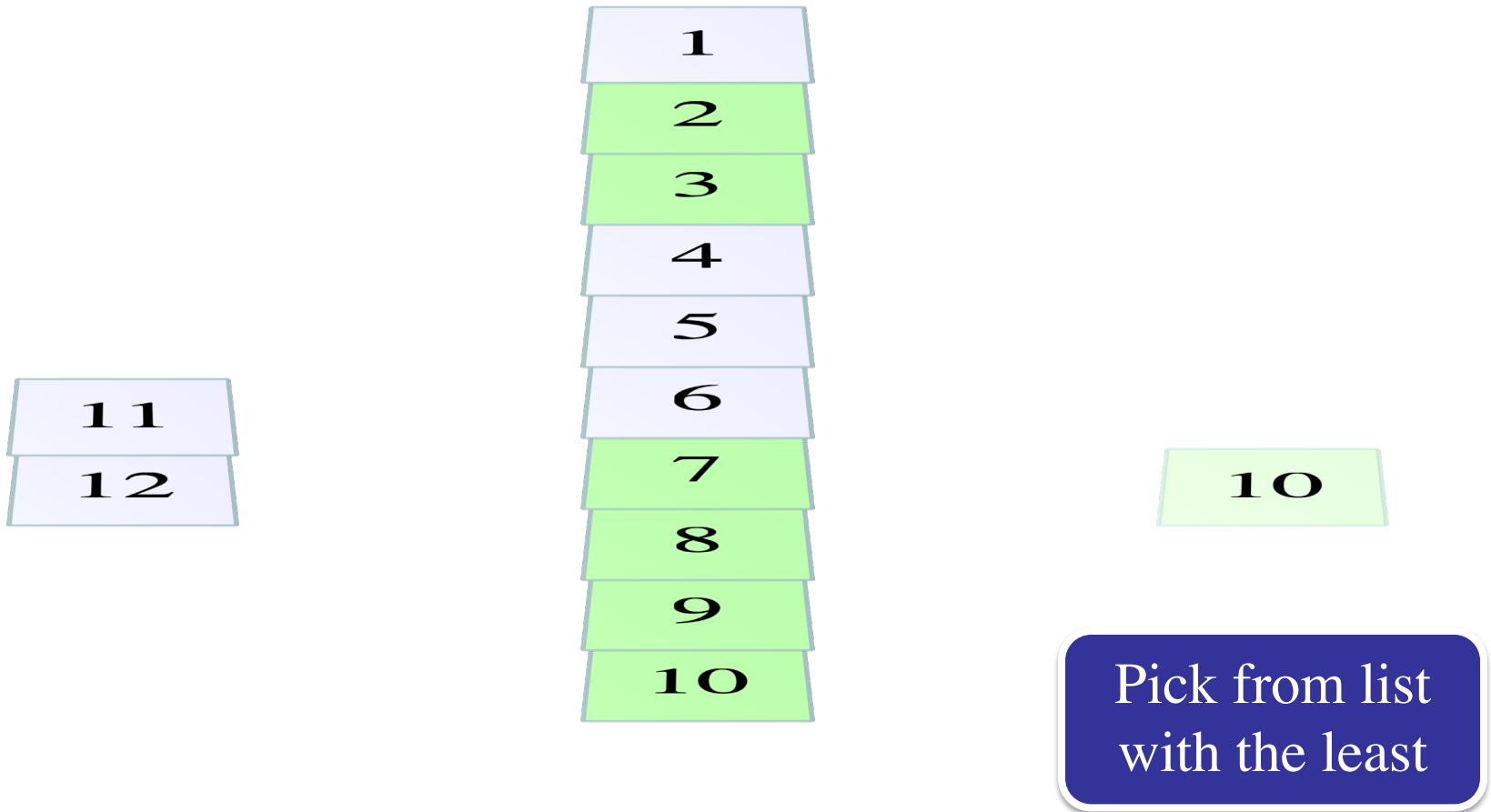
---



Pick from list  
with the least

# Combining Two Sorted Lists

---



# Combining Two Sorted Lists

---



Finish off  
remaining list

# Combining Two Sorted Lists

---

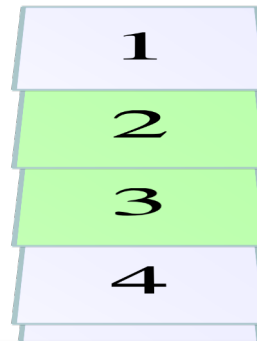
12

Finish off  
remaining list



# Combining Two Sorted Lists

---



Does this look familiar?





# Merge Sort

```
def merge_sort(b, h, k):  
    """Sort the array fragment b[h..k]"""  
    if b[h..k] has fewer than 2 elements:  
        return  
    # Divide and recurse  
    mid = (h+k)//2  
    merge_sort(b, h, mid)  
    merge_sort(b, mid+1, k)  
    # Combine  
    merge(b, h, mid, k) # Merge halves into b
```

- Seems simpler than **qsort**
  - Straight-forward d&c
  - Merge easy to implement
- What is the **catch**?
  - Merge requires a **copy**
  - We did not allow copies
  - Copying takes  $n$  steps
  - But so does merge/partition
- $n \log n$  **ALWAYS**

# Merge Sort

```
def merge_sort(b, h, k):  
    """Sort the array fragment b[h..k]"""  
    if b[h..k] has fewer than 2 elements:  
        return  
    # Divide and recurse  
    mid = (h+k)//2  
    merge_sort(b, h, mid)  
    merge_sort(b, mid+1, k)  
    # Combine  
    merge(b, h, mid, k) # Merge halves into b
```

- Seems simpler than **qsort**
  - Straight-forward d&c
  - Merge easy to implement
- What is the **catch**?
  - Merge requires a **copy**
  - We did not allow copies
  - Copying takes  $O(n)$  time
  - But so does merge/partition
- $O(n \log n)$  **ALWAYS**

Proof beyond  
scope of course

# What Does Python Use?

---

- The `sort()` method is **Timsort**
  - Invented by Tim Peters in 2002
  - Combination of insertion sort and merge sort
- Why a combination of the two?
  - Merge sort requires copies of the data
  - Copying pays off for large lists, but not small lists
  - Insertion sort is not that slow on small lists
  - Balancing two properly still gives  $n \log n$

# What Does Python Use?

---

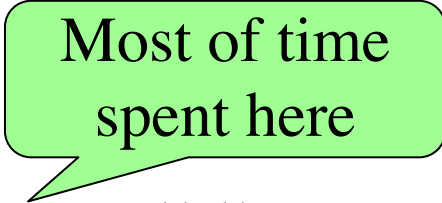
- The `sort()` method is **Timsort**
  - Invented by Tim Peters in 2002
  - Combination of insertion sort and merge sort
- Why a combination of the two?
  - Merge sort requires copies of the data
  - Copying pays off for large lists, but not small lists
  - Insertion sort is not that slow on small lists
  - Balancing two properly still gives  $n \log n$

Quicksort is 1959!

# What Does Python Use?

---

- The `sort()` method is **Timsort**
  - Invented by Tim Peters in 2002
  - Combination of insertion sort and merge sort
- Why a combination of the two?
  - Merge sort requires copies of the data
  - Copying pays off for large lists, but not small lists
  - Insertion sort is not that slow on small lists
  - Balancing two properly still gives  $n \log n$



Most of time  
spent here