

Lecture 20

# Sorting

# Announcements for Today

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## Reading

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- Sections 8.4 – 8.6
- Look at Chapter 8 Exercises

- **Prelim, April 17<sup>th</sup> 7:30-9:30**
  - Study guide has been posted
  - No abstract class questions
  - Exceptions, try-catch instead
- **Review session Thursday!**
  - Time: 7:30-9:30pm
  - Location TBA

## Assignments

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- A6: Images has been posted
  - Hardest job is **reading** it
  - Not too bad once understand
  - Piazza questions already
  - Due Thursday after prelim
- A5 is not graded yet
  - Holiday complications
  - Working on them now
  - Will be done Thursday

# Binary Search

- Look for value  $v$  in **sorted** array segment  $b[h..k]$ .

|           | $h$      |     | $k$   |
|-----------|----------|-----|-------|
| pre: $b$  |          | ?   |       |
|           | $h$      | $i$ | $k$   |
| post: $b$ | $\leq v$ |     | $> v$ |
|           | $h$      | $i$ | $j$   |
| inv: $b$  | $\leq v$ | ?   | $> v$ |

New statement of the invariant guarantees that we get **rightmost** position of  $v$  if found

|             | $h$ |   | $k$ |
|-------------|-----|---|-----|
|             | 0   | 1 | 2   |
|             | 3   | 4 | 5   |
|             | 6   | 7 | 8   |
|             | 9   |   |     |
| Example $b$ | 3   | 3 | 3   |
|             | 3   | 3 | 4   |
|             | 4   | 4 | 6   |
|             | 7   | 7 |     |

- if  $v$  is 3, set  $i$  to 4
- if  $v$  is 4, set  $i$  to 6
- if  $v$  is 5, set  $i$  to 6
- if  $v$  is 8, set  $i$  to 9

# Binary Search

|         |          |   |       |   |
|---------|----------|---|-------|---|
|         | h        |   | k     |   |
| pre: b  |          | ? |       |   |
|         | h        | i | k     |   |
| post: b | $\leq v$ |   | $> v$ |   |
|         | h        | i | j     | k |
| inv:    | $\leq v$ | ? | $> v$ |   |

$i = h - 1; j = k + 1;$

**while** ( $i \neq j - 1$ ) {

    Looking at  $b[i+1]$  gives **linear search from left**.

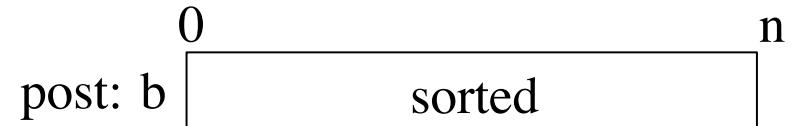
    Looking at  $b[j-1]$  gives **linear search from right**.

    Looking at middle:  $b[(i+j)/2]$  gives **binary search**.

}

New statement of the invariant guarantees that we get **rightmost** position of  $v$  if found

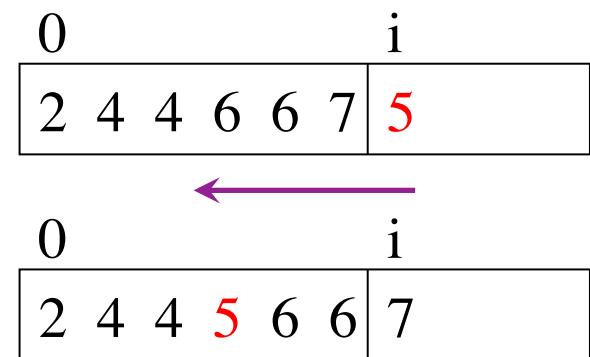
# Sorting: Arranging in Ascending Order



## Insertion Sort:



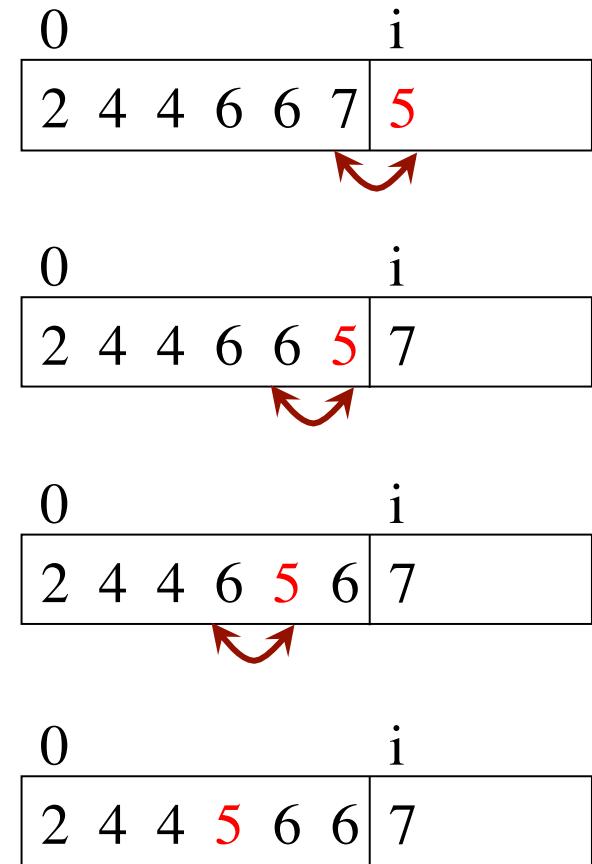
```
for (int i= 0; i < n; i= i+1) {  
    // Push b[i] down into its  
    // sorted position in b[0..i];  
}
```



# Insertion Sort: Moving into Position

```
for (int i= 0; i < n; i= i+1) {  
    pushDown(b,i);  
} ...  
  
public void pushDown(int[] b,  
                     int i) {  
  
    for(int j = i; j > 0; j = j-1) {  
        if (b[j-1] > b[j]) {  
            swap(b,j-1,j);  
        }  
    }  
}
```

Shown in a previous  
lecture on arrays



# The Importance of Helper Methods

```
for (int i= 0; i < n; i= i+1) {  
    pushDown(b,i);  
} ...  
  
public void pushDown(int[] b,  
                     int i) {  
    for(int j = i; j > 0; j = j-1) {  
        if (b[j-1] > b[j]) {  
            swap(b,j-1,j);  
        }  
    }  
}
```

VS

```
for (int i= 0; i < n; i= i+1) {  
    for(int j = i; j > 0; j = j-1) {  
        if (b[j-1] > b[j]) {  
            int temp = b[j];  
            b[j] = b[j-1];  
            b[j-1] = temp;  
        }  
    }  
}
```

Can you understand  
all this code above?

# Insertion Sort: Performance

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```
/** Push value at position i into  
 * sorted position in b[0..i-1] */  
  
public void pushDown(int[] b,  
                     int i) {  
    for(int j = i; j > 0; j = j-1) {  
        if (b[j-1] > b[j]) {  
            swap(b,j-1,j);  
        }  
    }  
}
```

Insertion sort is  
an  $n^2$  algorithm

- $b[0..i-1]$ :  $i$  elements
- Worst case:
  - $i = 0$ : 0 swaps
  - $i = 1$ : 1 swap
  - $i = 2$ : 2 swaps
- Pushdown is in a loop
  - Called for  $i$  in  $0..n$
  - $i$  swaps each time

**Total Swaps:**  $0 + 1 + 2 + 3 + \dots + (n-1) = (n-1)*n/2$

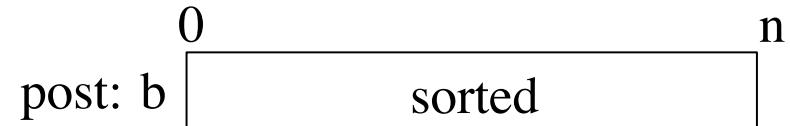
# Algorithm “Complexity”

- **Given:** an array of length  $n$  and a problem to solve
- **Complexity:** *rough* number of steps to solve worst case
- Suppose we can compute 1000 operations a second:

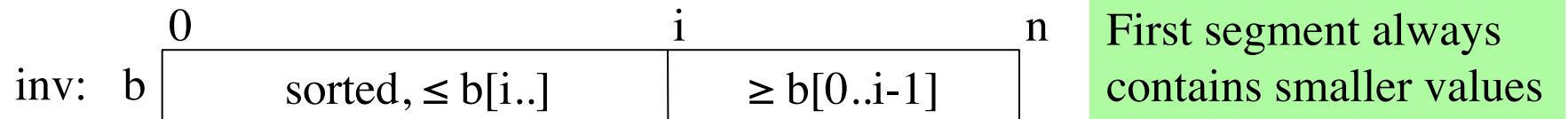
| Complexity | $n=10$  | $n=100$              | $n=1000$              |
|------------|---------|----------------------|-----------------------|
| $n$        | 0.01 s  | 0.1 s                | 1 s                   |
| $n \log n$ | 0.016 s | 0.32 s               | 4.79 s                |
| $n^2$      | 0.1 s   | 10 s                 | 16.7 m                |
| $n^3$      | 1 s     | 16.7 m               | 11.6 d                |
| $2^n$      | 1 s     | $4 \times 10^{19}$ y | $3 \times 10^{290}$ y |

**Major Topic in 2110:** Beyond scope of this course

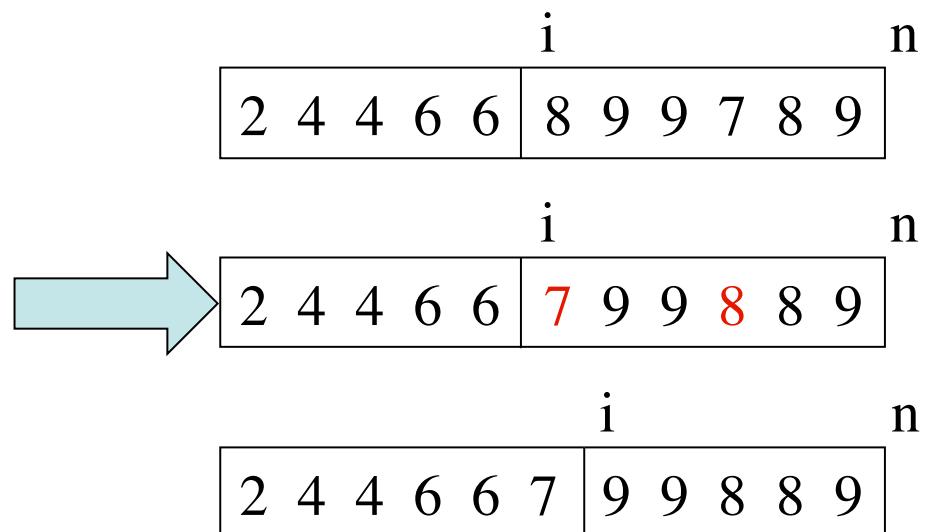
# Sorting: Changing the Invariant



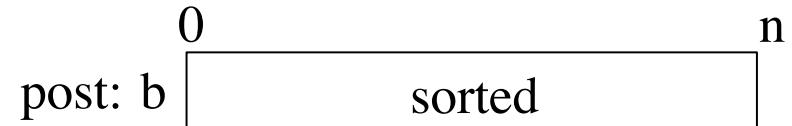
## Selection Sort:



```
for (int i= 0; i < n; i= i+1) {  
    // Find minimum in b[i..]  
    // Move it to position i  
}
```



# Sorting: Changing the Invariant



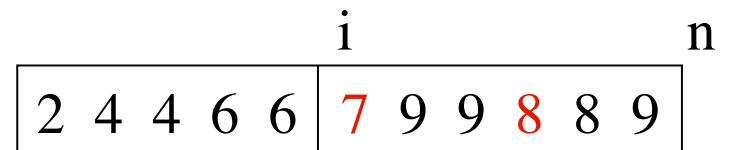
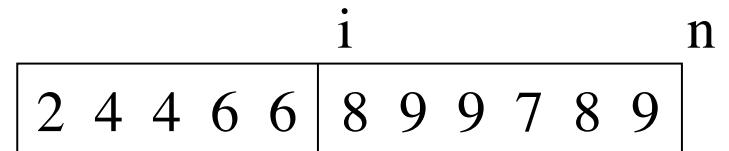
## Selection Sort:



$\geq b[0..i-1]$

First segment always  
contains smaller values

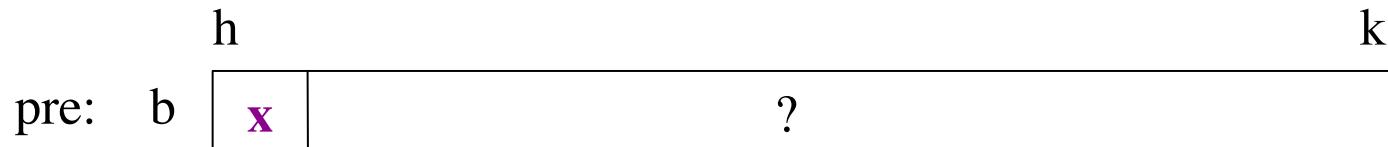
```
for (int i= 0; i < n; i= i+1) {  
    int j= index of min of b[i..n-1];  
    swap(b,i,j);  
}
```



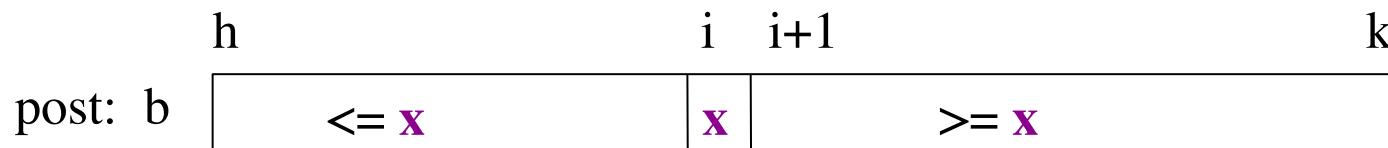
Selection sort also  
is an  $n^2$  algorithm

# Partition Algorithm

- Given an array  $b[h..k]$  with some value  $x$  in  $b[h]$ :



- Swap elements of  $b[h..k]$  and store in  $j$  to truthify post:



change:  
into  
or

$b$ 

|     |     |     |     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| $3$ | $5$ | $4$ | $1$ | $6$ | $2$ | $3$ | $8$ | $1$ |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|

$b$ 

|     |     |     |     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| $1$ | $2$ | $1$ | $3$ | $5$ | $4$ | $6$ | $3$ | $8$ |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|

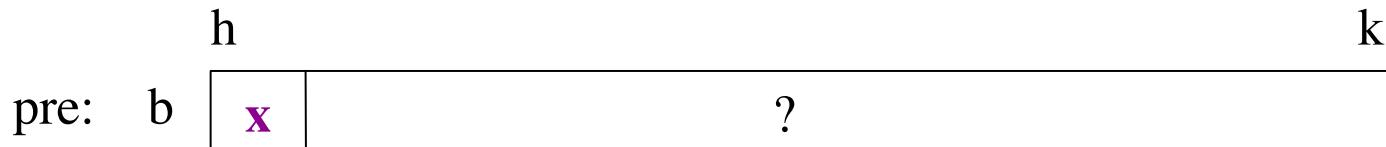
$b$ 

|     |     |     |     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| $1$ | $2$ | $3$ | $1$ | $3$ | $4$ | $5$ | $6$ | $8$ |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|

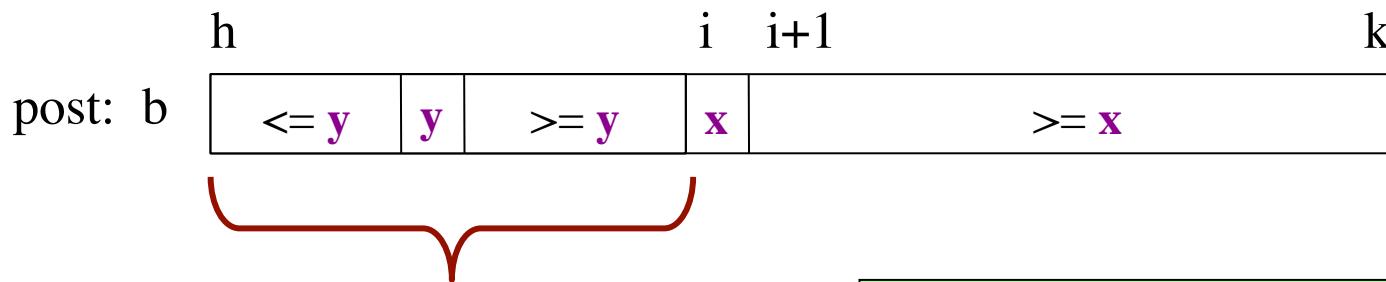
- $x$  is called the **pivot value**
  - $x$  is not a program variable
  - denotes value initially in  $b[h]$

# Sorting with Partitions

- Given an array  $b[h..k]$  with some value  $x$  in  $b[h]$ :



- Swap elements of  $b[h..k]$  and store in  $j$  to truthify post:



Partition Recursively

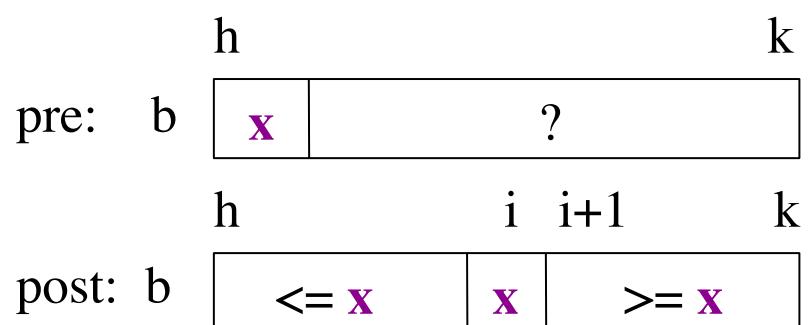
Recursive partitions = sorting

- Called **QuickSort** (why???)
- Popular, fast sorting technique

# QuickSort

```
/** Sort the array fragment b[h..k] */
public static void qsort(int[] b, int h, int k) {
    if (b[h..k] has fewer than 2 elements)
        return;
    int j= partition(b, h, k);
    // b[h..j-1] <= b[j] <= b[j+1..k]
    // Sort b[h..j-1] and b[j+1..k]
    qsort(b, h, j-1);
    qsort(b, j+1, k);
}
```

- **Worst Case:**  
array already sorted
    - Or almost sorted
    - $n^2$  in that case
  - **Average Case:**  
array is scrambled
    - $n \log n$  in that case
    - Best sorting time!



# Final Word About Algorithms

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- **Algorithm:**

- Step-by-step way to do something
- Not tied to specific language

Array Diagrams

- **Implementation:**

- An algorithm in a specific language
- Many times, not the “hard part”

Demo Code

- Higher Level Computer Science courses:

- We teach advanced algorithms (pictures)
- Implementation you learn on your own