

- Previous Lecture:
 - “Divide and conquer” strategies—recursion
 - Merge sort
 - Sierpinski Triangle, revisited
- Today’s Lecture:
 - Insertion sort vs. merge sort
 - Timing with `tic toc`
 - Time efficiency vs. memory efficiency
- Announcements
 - **Project 6** has been posted. Due 5/1, 6pm.
 - CS100M **final** will be 5/8 (Thurs) 9am. Tell us now if you have a final exam conflict. Email Kelly Patwell with your **complete** exam schedule (course #s and times)

Merge Sort

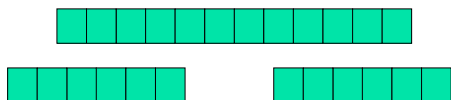


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2

Merge Sort

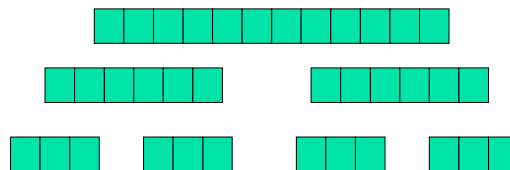


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3

Merge Sort

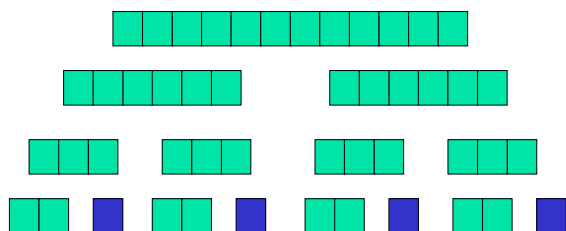


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4

Merge Sort

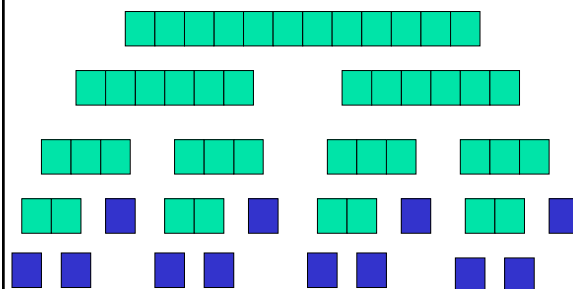


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5

Merge Sort

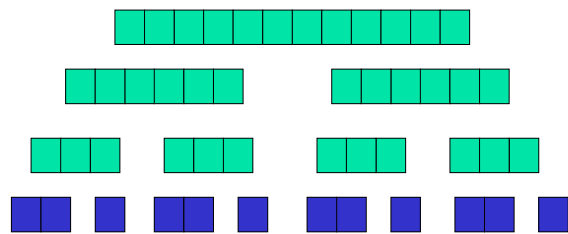


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6

Merge Sort

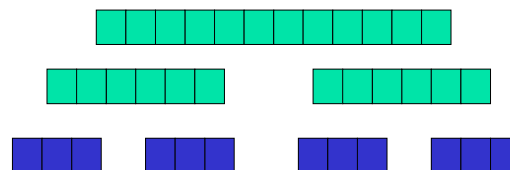


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7

Merge Sort

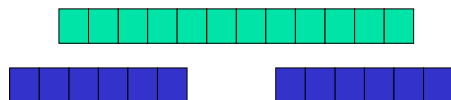


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8

Merge Sort



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9

Merge Sort



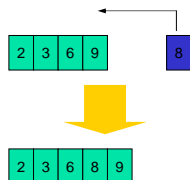
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10

Insertion Sort

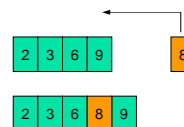
- Given a sorted array x , insert a number y such that the result is sorted



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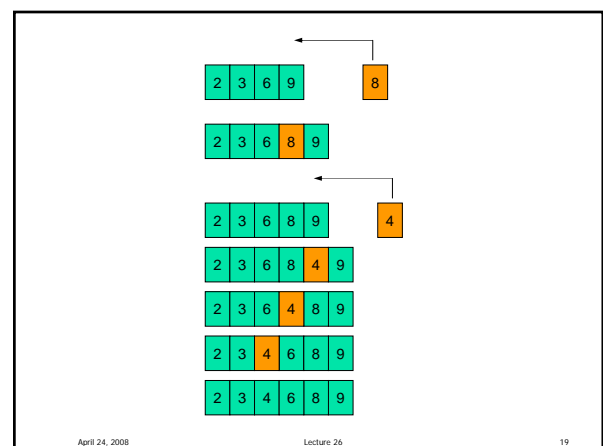
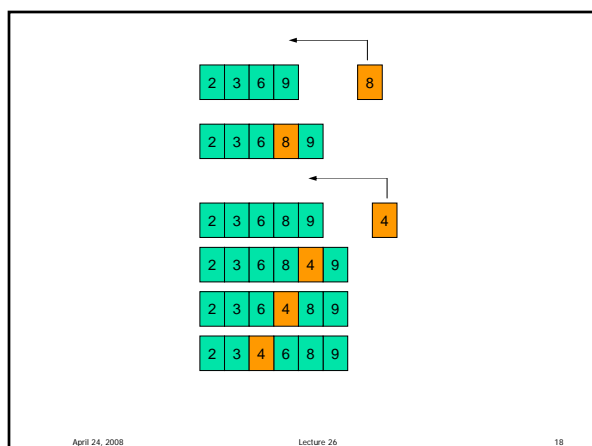
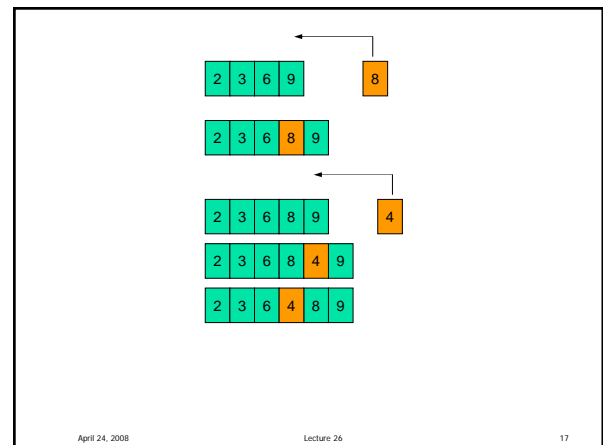
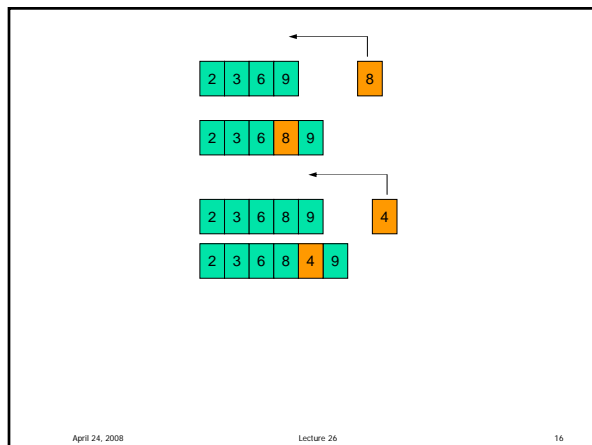
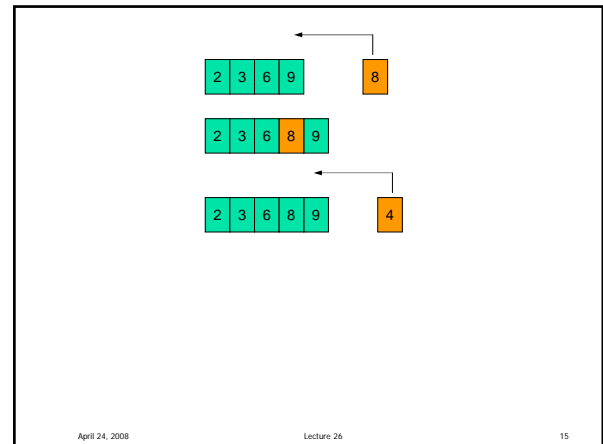
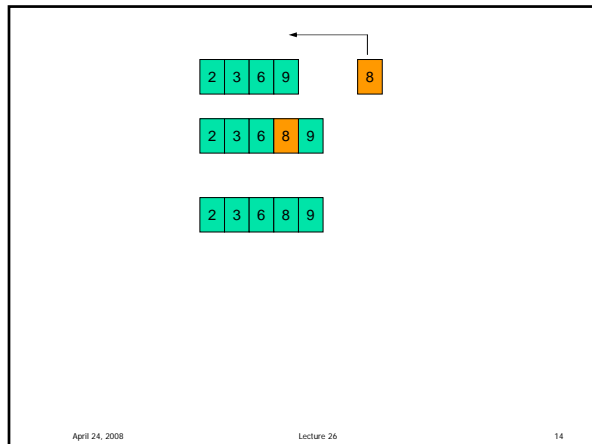
12



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13



Develop the insertion sort algorithm

$i=5$

- The sorted segment grows one element at a time—need to keep track of the length of the sorted segment, say, index i
- What is the simplest (shortest) case? A list of length 1, so start with $i=1$
- Inserting the $(i+1)$ th element requires a series of swaps: swap **until** the element to be inserted is at the correct place
➡ a **while**-loop

$i=6$

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20

$i=1$: insert $x(2)$ into $x(1:1)$

9	6	3	2	8	4
---	---	---	---	---	---

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21

$i=1$: insert $x(2)$ into $x(1:1)$

9	6	3	2	8	4
6	9	3	2	8	4

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22

$i=1$: insert $x(2)$ into $x(1:1)$

9	6	3	2	8	4
6	9	3	2	8	4

$i=2$: insert $x(3)$ into $x(1:2)$

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23

$i=1$: insert $x(2)$ into $x(1:1)$

9	6	3	2	8	4
6	9	3	2	8	4
6	3	9	2	8	4

$i=2$: insert $x(3)$ into $x(1:2)$

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24

$i=1$: insert $x(2)$ into $x(1:1)$

9	6	3	2	8	4
6	9	3	2	8	4
6	3	9	2	8	4
3	6	9	2	8	4

$i=2$: insert $x(3)$ into $x(1:2)$

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25

i=1: insert x(2) into x(1:1)

9	6	3	2	8	4
---	---	---	---	---	---

i=2: insert x(3) into x(1:2)

6	9	3	2	8	4
---	---	---	---	---	---

6	3	9	2	8	4
---	---	---	---	---	---

i=3: insert x(4) into x(1:3)

3	6	9	2	8	4
---	---	---	---	---	---

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i=1: insert x(2) into x(1:1)

9	6	3	2	8	4
---	---	---	---	---	---

i=2: insert x(3) into x(1:2)

6	9	3	2	8	4
---	---	---	---	---	---

6	3	9	2	8	4
---	---	---	---	---	---

i=3: insert x(4) into x(1:3)

3	6	9	2	8	4
---	---	---	---	---	---

3	6	2	9	8	4
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April 24, 2008 Lecture 26 27

i=1: insert x(2) into x(1:1)

9	6	3	2	8	4
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i=2: insert x(3) into x(1:2)

6	9	3	2	8	4
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6	3	9	2	8	4
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i=3: insert x(4) into x(1:3)

3	6	9	2	8	4
---	---	---	---	---	---

3	6	2	9	8	4
---	---	---	---	---	---

3	2	6	9	8	4
---	---	---	---	---	---

April 24, 2008 Lecture 26 28

i=1: insert x(2) into x(1:1)

9	6	3	2	8	4
---	---	---	---	---	---

i=2: insert x(3) into x(1:2)

6	9	3	2	8	4
---	---	---	---	---	---

6	3	9	2	8	4
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i=3: insert x(4) into x(1:3)

3	6	9	2	8	4
---	---	---	---	---	---

3	6	2	9	8	4
---	---	---	---	---	---

3	2	6	9	8	4
---	---	---	---	---	---

2	3	6	9	8	4
---	---	---	---	---	---

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i=1: insert x(2) into x(1:1)

9	6	3	2	8	4
---	---	---	---	---	---

i=2: insert x(3) into x(1:2)

6	9	3	2	8	4
---	---	---	---	---	---

6	3	9	2	8	4
---	---	---	---	---	---

i=3: insert x(4) into x(1:3)

3	6	9	2	8	4
---	---	---	---	---	---

3	6	2	9	8	4
---	---	---	---	---	---

3	2	6	9	8	4
---	---	---	---	---	---

i=4: insert x(5) into x(1:4)

2	3	6	9	8	4
---	---	---	---	---	---

⋮

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```
function x = insertSort(x)
% Sort vector x in ascending order with insertion sort
n = length(x);
for i= 1:n-1
    % Sort x(1:i+1) given that x(1:i) is sorted
end
```

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```
function x = insertSort(x)
% Sort vector x in ascending order with insertion sort
n = length(x);
for i= 1:n-1
    % Sort x(1:i+1) given that x(1:i) is sorted
    j= i;
    need2swap= x(j+1) < x(j);
    while need2swap
        % swap x(j+1) and x(j)

        j= j-1;
        need2swap= j>0 && x(j+1)<x(j);
    end
end
```

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32

```
function x = insertSort(x)
% Sort vector x in ascending
order with insertion sort

n = length(x);
for i= 1:n-1
    % Sort x(1:i+1) given that
x(1:i) is sorted
    j= i;
    need2swap= x(j+1) < x(j);
    while need2swap
```

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33

How do merge sort and insertion sort compare?

- Find out by timing (benchmarking) the two functions
- In Matlab
 - `tic` – (re)sets timer
 - `toc` – returns the time elapsed since `tic`

Which method is more efficient?

A. Merge Sort

B. Insertion Sort

Use `tic` `toc` to perform timing operation

```
x= rand(1000,1);
% Time InsertSort
tic
y= insertSort(x);
t= toc; % #seconds since tic
```

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35

How do merge sort and insertion sort compare?

- Merge sort: $N \log N$
- Insertion sort: (worst case) takes j operations to insert an element in a sorted array of j elements. In total

$$1+2+\dots+(N-1) = N(N-1)/2, \text{ say } N^2 \text{ for big } N$$
- Insertion sort is done *in-place*; merge sort requires much more memory

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36

How to choose??

- Depends on application
- Merge sort is especially good for sorting **large data set** (but watch out for memory usage)
- Insertion sort is “order N^2 ” at **worst case**, but what about an **average case**? If the application requires that you maintain a sorted array, insertion sort may be a good choice

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38

Why not just use Matlab's sort function?

- **Flexibility**
- E.g., to maintain a sorted list, just write the code for insertion sort
- E.g., sort strings or other complicated structures
- Sort according to some criterion set out in a function file
 - Observe that we have the comparison $x(j+1) < x(j)$
 - The comparison can be a function that returns a **boolean** value

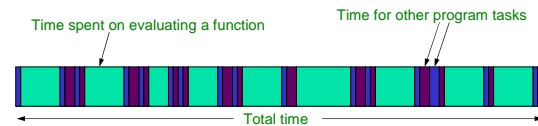
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39

Expensive function evaluations

- Consider the execution of a program that is dominated by multiple calls to an expensive-to-evaluate function (e.g., climate simulation models)



- Can try to improve efficiency by dealing with the expensive function evaluations

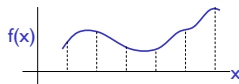
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40

Dealing with expensive function evaluations

- Can the function code be improved?
- Can we do fewer function evaluations?
- Can we **pre-compute and store** specific function values so that during the main program execution the program can just **look up** the values?
 - Consider function $f(x)$. If there are many function calls and few distinct values of x , can get substantial speedup
 - Only speeds up main program execution—it still takes time to do the pre-computation



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41

What are some issues and potential problems with the "table look-up" strategy?

- Accuracy—need a "dense grid" to get high accuracy
→ significant memory usage
- If an exact x -value is not found, need some kind of approximation
- Incur searching cost if the x -values are not simple indices
- Feasible in high dimensions (multiple dependent variables)?

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42