

Lecture 23

# Loop Invariants

# Announcements for This Lecture

---

## Prelim 2

---

- Difficulty was reasonable
  - **Mean:** 72, **Median:** 75
  - Just 2 points below target
- What do grades mean?
  - **A:** 80-100
  - **B:** 60-100
  - **C:** 30-55
- Final will be about same
  - But a few easier parts

## Assignments

---

- A6 due **TOMORROW**
  - Complete it by midnight
  - Also, fill out survey
- A7 due **December 4**
  - Instructions up tomorrow
  - Focus of Thursdays lecture
  - 2.5 weeks including T-Day
  - 2 weeks without the break
- Both are **very important**
  - Each worth 8% of grade

# Some Important Terminology

---

- **assertion**: true-false statement placed in a program to *assert* that it is true at that point
  - Can either be a **comment**, or an **assert** command
- **invariant**: assertion supposed to "always" be true
  - If temporarily invalidated, must make it true again
  - **Example**: class invariants and class methods
- **loop invariant**: assertion supposed to be true before and after each iteration of the loop
- **iteration of a loop**: one execution of its body

# Assertions versus Asserts

- **Assertions prevent bugs**

- Help you keep track of what you are doing

- **Also track down bugs**

- Make it easier to check belief/code mismatches

- The **assert** statement is a (type of) assertion

- One you are **enforcing**
- Cannot always convert a comment to an assert

# x is the sum of 1..n

The root of all bugs!

Comment form of the assertion.

x	?	n	1
x	?	n	3
x	?	n	0

# Preconditions & Postconditions

precondition

```
# x = sum of 1..n-1
x = x + n
n = n + 1
# x = sum of 1..n-1
```

postcondition

- **Precondition:** assertion placed before a segment
- **Postcondition:** assertion placed after a segment

1 2 3 4 5 6 7 8  
          n  
          └

x contains the sum of these (6)

1 2 3 4 5 6 7 8  
          n  
          └

x contains the sum of these (10)

## Relationship Between Two

If **precondition** is true, then **postcondition** will be true

# Solving a Problem

precondition

#  $x = \text{sum of } 1..n$

$n = n + 1$

#  $x = \text{sum of } 1..n$

postcondition

What statement do you put here to make the postcondition true?

A:  $x = x + 1$

B:  $x = x + n$

C:  $x = x + n+1$

D: None of the above

E: I don't know

# Solving a Problem

precondition

#  $x = \text{sum of } 1..n$

$n = n + 1$

#  $x = \text{sum of } 1..n$

postcondition

What statement do you put here to make the postcondition true?

A:  $x = x + 1$

B:  $x = x + n$

C:  $x = x + n+1$

D: None of the above

E: I don't know

Remember the new value of  $n$

# Invariants: Assertions That Do Not Change

- **Loop Invariant:** an assertion that is true before and after each iteration (execution of repetend)

$x = 0; i = 2$

**while**  $i \leq 5$ :

$x = x + i*i$

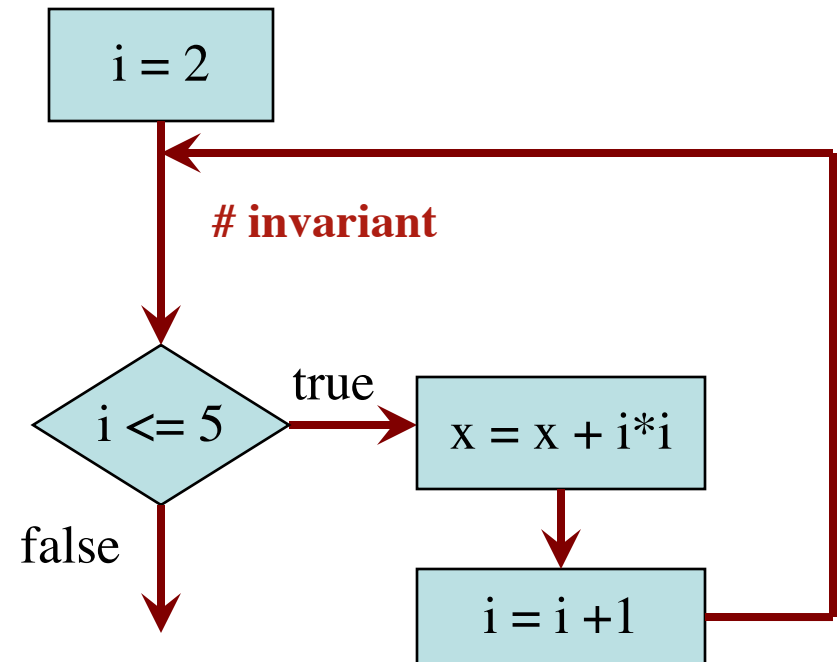
$i = i + 1$

#  $x = \text{sum of squares of } 2..5$

**Invariant:**

$x = \text{sum of squares of } 2..i-1$

in terms of the range of integers  
that have been processed so far



The loop processes the range 2..5



# Invariants: Assertions That Do Not Change

$x = 0; i = 2$

# Inv:  $x = \text{sum of squares of } 2..i-1$

**while**  $i \leq 5$ :

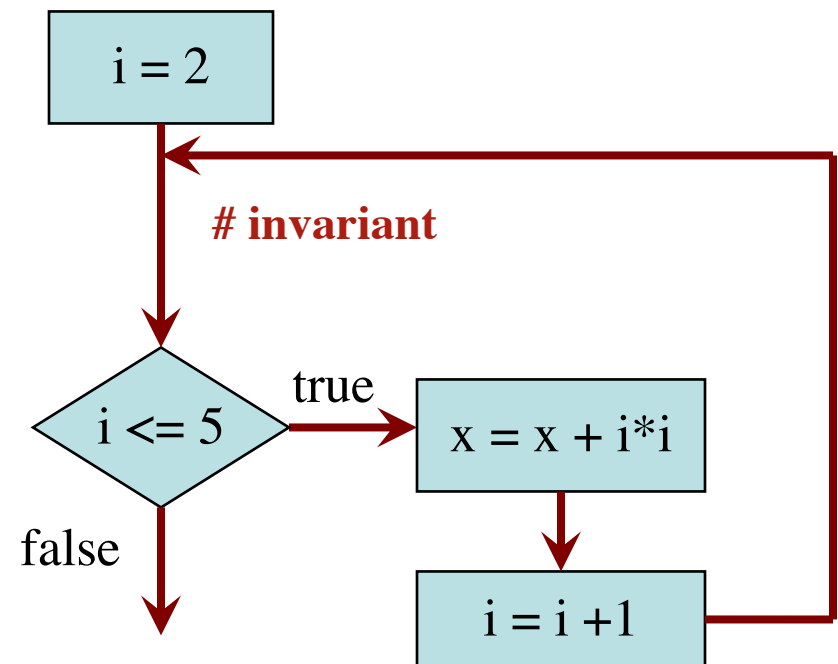
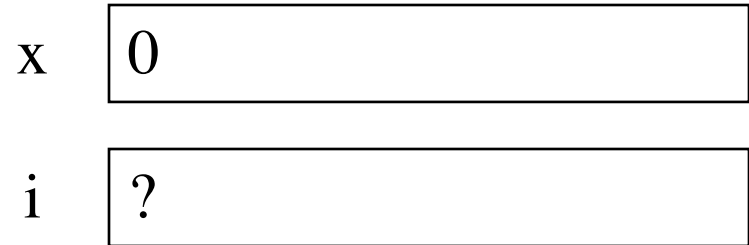
$x = x + i*i$

$i = i + 1$

# Post:  $x = \text{sum of squares of } 2..5$

Integers that have  
been processed:

Range  $2..i-1$ :



# Invariants: Assertions That Do Not Change

$x = 0; i = 2$

# Inv:  $x = \text{sum of squares of } 2..i-1$

**while**  $i \leq 5$ :

$x = x + i*i$

$i = i + 1$

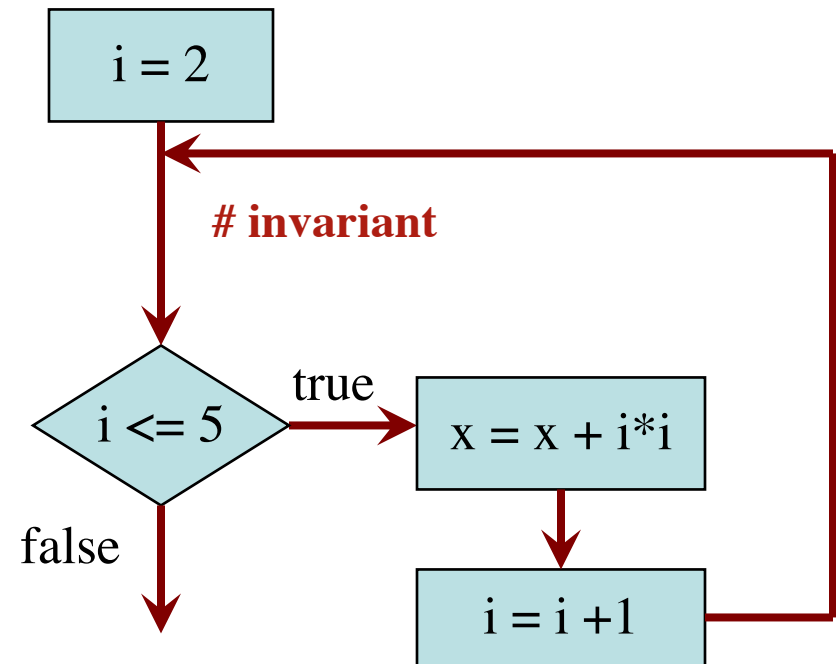
# Post:  $x = \text{sum of squares of } 2..5$

Integers that have  
been processed:

Range  $2..i-1$ :      **2..1 (empty)**

x 0

i ~~2~~ 2



# Invariants: Assertions That Do Not Change

$x = 0; i = 2$

# Inv:  $x = \text{sum of squares of } 2..i-1$

**while**  $i \leq 5$ :

|  $x = x + i*i$   
|  $i = i + 1$

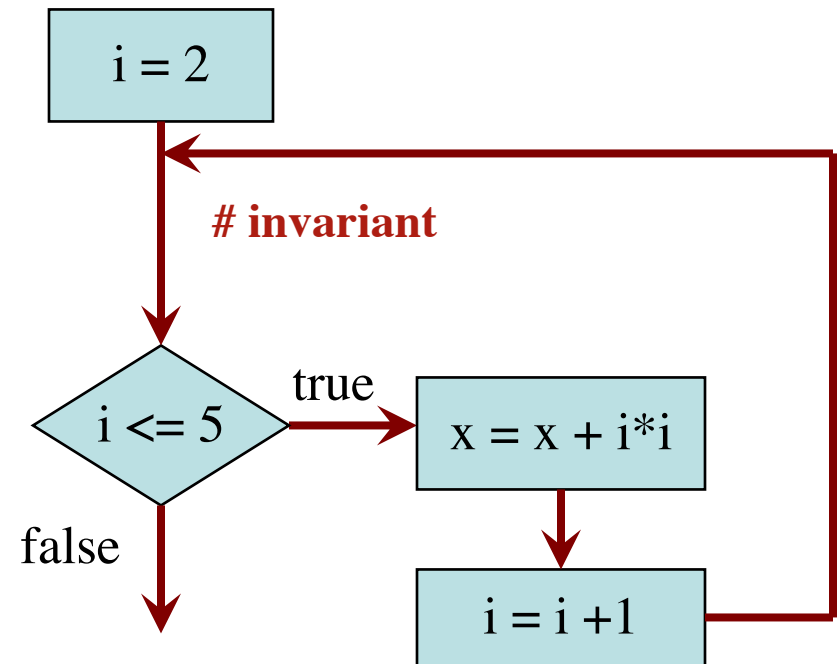
# Post:  $x = \text{sum of squares of } 2..5$

Integers that have  
been processed: 2

Range  $2..i-1$ : 2..2

x ~~0~~ 4

i ~~2~~ ~~3~~ 3



# Invariants: Assertions That Do Not Change

$x = 0; i = 2$

# Inv:  $x = \text{sum of squares of } 2..i-1$

**while**  $i \leq 5$ :

$x = x + i*i$   
     $i = i + 1$

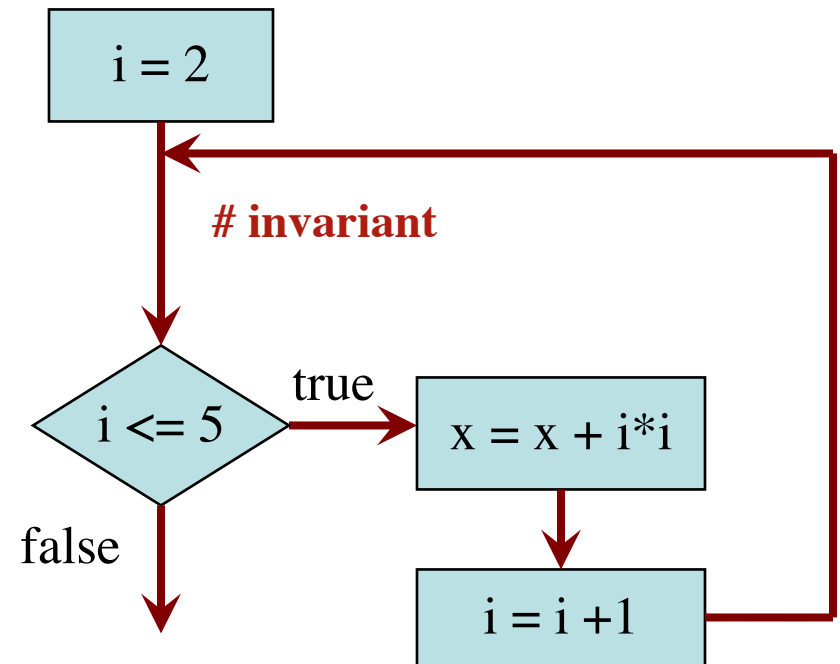
# Post:  $x = \text{sum of squares of } 2..5$

Integers that have  
been processed: 2, 3

Range  $2..i-1$ : 2..3

x ~~0~~ ~~4~~ 13

i ~~2~~ ~~3~~ ~~4~~ 4



# Invariants: Assertions That Do Not Change

$x = 0; i = 2$

# Inv:  $x = \text{sum of squares of } 2..i-1$

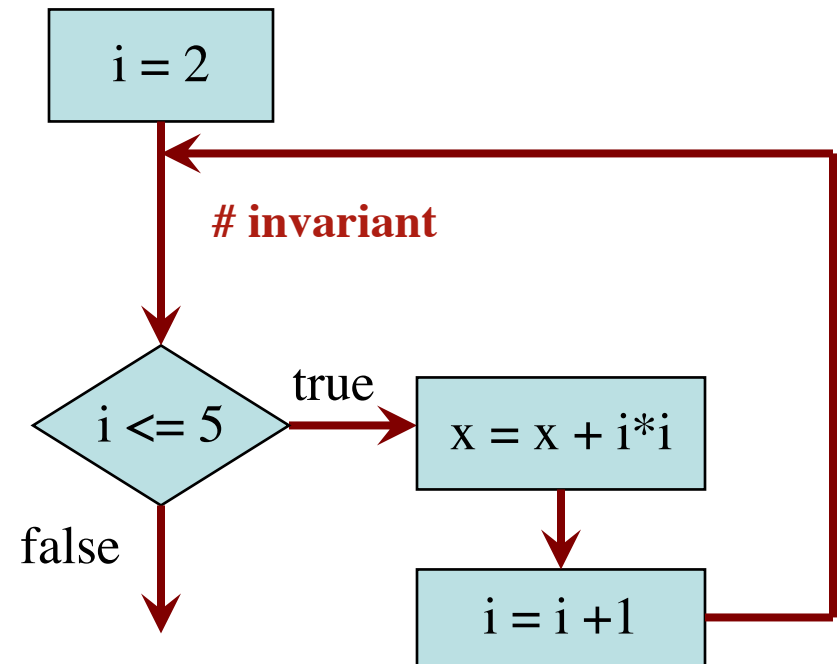
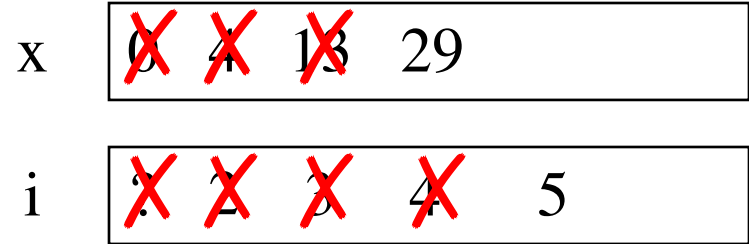
**while**  $i \leq 5$ :

```
| x = x + i*i
| i = i + 1
```

# Post:  $x = \text{sum of squares of } 2..5$

Integers that have  
been processed: 2, 3, 4

Range  $2..i-1$ : 2..4



# Invariants: Assertions That Do Not Change

$x = 0; i = 2$

# Inv:  $x = \text{sum of squares of } 2..i-1$

**while**  $i \leq 5$ :

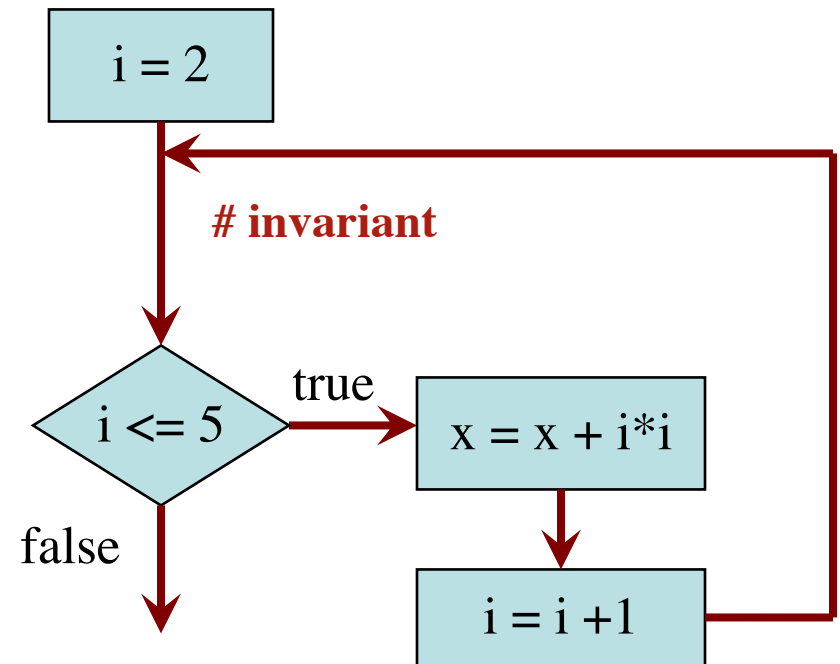
```
| x = x + i*i
| i = i + 1
```

# Post:  $x = \text{sum of squares of } 2..5$

Integers that have  
been processed: 2, 3, 4, 5

Range  $2..i-1$ : 2..5

x	<del>0</del>	<del>4</del>	<del>13</del>	<del>29</del>	54
i	<del>2</del>	<del>3</del>	<del>4</del>	<del>5</del>	6



# Invariants: Assertions That Do Not Change

$x = 0; i = 2$

# Inv:  $x = \text{sum of squares of } 2..i-1$

**while**  $i \leq 5$ :

|  $x = x + i*i$   
|  $i = i + 1$

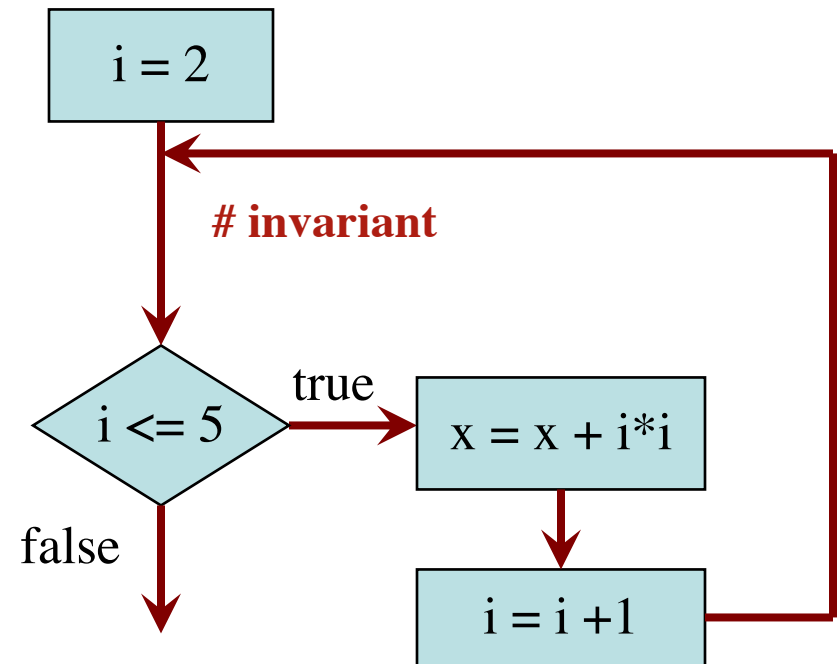
# Post:  $x = \text{sum of squares of } 2..5$

Integers that have  
been processed: 2, 3, 4, 5

Range 2..i-1: 2..5

Invariant was always true just before test of loop condition. So it's true when loop terminates

x	<del>0</del>	<del>4</del>	<del>13</del>	<del>29</del>	54
i	<del>2</del>	<del>3</del>	<del>4</del>	<del>5</del>	6



The loop processes the range 2..5

# Designing Integer while-loops

# Process integers in a..b

Command to do something

# inv: integers in a..k-1 have been processed

k = a

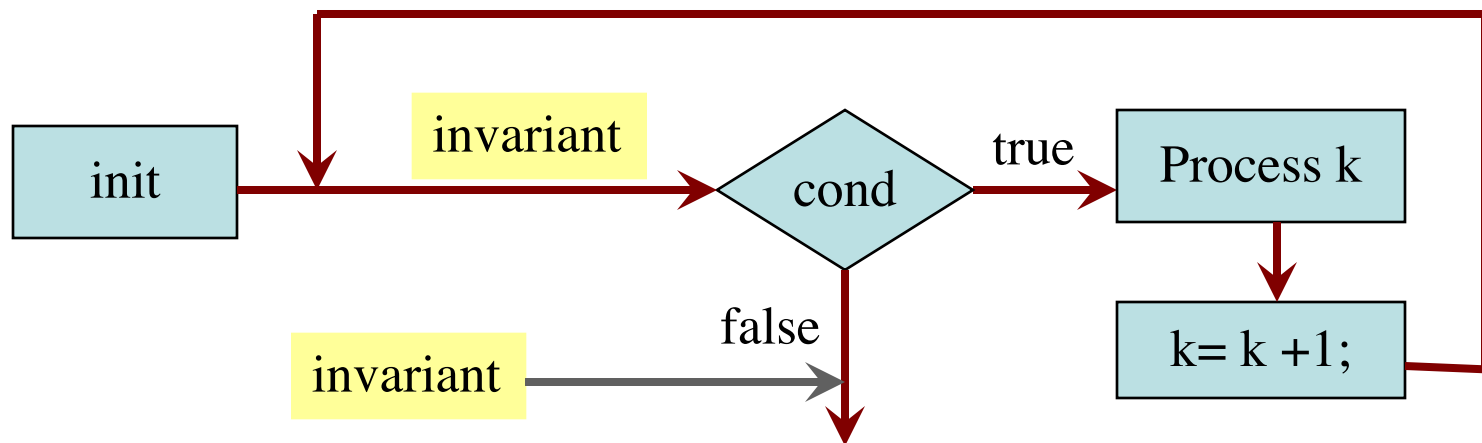
**while** k <= b:

| process integer k

| k = k + 1

# post: integers in a..b have been processed

Equivalent postcondition





# Designing Integer while-loops

---

1. Recognize that a range of integers  $b..c$  has to be processed
  2. Write the command and equivalent postcondition
  3. Write the basic part of the while-loop
  4. Write loop invariant
  5. Figure out any initialization
  6. Implement the repetend (process  $k$ )
-

# Designing Integer while-loops

---

1. Recognize that a range of integers  $b..c$  has to be processed
2. Write the command and equivalent postcondition
3. Write the basic part of the while-loop
4. Write loop invariant
5. Figure out any initialization
6. Implement the repetend (process  $k$ )

---

# Process  $b..c$

# Postcondition: range  $b..c$  has been processed

# Designing Integer while-loops

---

1. Recognize that a range of integers  $b..c$  has to be processed
2. Write the command and equivalent postcondition
3. Write the basic part of the while-loop
4. Write loop invariant
5. Figure out any initialization
6. Implement the repetend (process  $k$ )

---

# Process  $b..c$

**while**  $k \leq c$ :

|  $k = k + 1$

# Postcondition: range  $b..c$  has been processed

# Designing Integer while-loops

---

1. Recognize that a range of integers  $b..c$  has to be processed
  2. Write the command and equivalent postcondition
  3. Write the basic part of the while-loop
  4. Write loop invariant
  5. Figure out any initialization
  6. Implement the repetend (process  $k$ )
- 

# Process  $b..c$

# Invariant: range  $b..k-1$  has been processed

**while**  $k \leq c$ :

|  $k = k + 1$

# Postcondition: range  $b..c$  has been processed

# Designing Integer while-loops

---

1. Recognize that a range of integers  $b..c$  has to be processed
  2. Write the command and equivalent postcondition
  3. Write the basic part of the while-loop
  4. Write loop invariant
  5. Figure out any initialization
  6. Implement the repetend (process  $k$ )
- 

# Process  $b..c$

Initialize variables (if necessary) to make invariant true

# Invariant: range  $b..k-1$  has been processed

**while**  $k \leq c$ :

    # Process  $k$

$k = k + 1$

# Postcondition: range  $b..c$  has been processed

# Finding an Invariant

Command to do something

# Make b True if n is prime, False otherwise

# b is True if no int in 2..n-1 divides n, False otherwise

Equivalent postcondition

What is the invariant?

# Finding an Invariant

Command to do something

```
# Make b True if n is prime, False otherwise
```

```
while k < n:
```

```
    # Process k;
```

```
    k = k + 1
```

```
# b is True if no int in 2..n-1 divides n, False otherwise
```

Equivalent postcondition

What is the invariant?

# Finding an Invariant

Command to do something

```
# Make b True if n is prime, False otherwise
```

```
# invariant: b is True if no int in 2..k-1 divides n, False otherwise
```

```
while k < n:
```

```
    # Process k;
```

```
    k = k + 1
```

```
# b is True if no int in 2..n-1 divides n, False otherwise
```

Equivalent postcondition

What is the invariant?

1 2 3 ... k-1 k k+1 ... n



# Finding an Invariant

Command to do something

```
# Make b True if n is prime, False otherwise
```

```
b = True
```

```
k = 2
```

```
# invariant: b is True if no int in 2..k-1 divides n, False otherwise
```

```
while k < n:
```

```
    # Process k;
```

```
    k = k + 1
```

```
# b is True if no int in 2..n-1 divides n, False otherwise
```

Equivalent postcondition

1 2 3 ... k-1 k k+1 ... n

What is the invariant?

# Finding an Invariant

Command to do something

```
# Make b True if n is prime, False otherwise
```

```
b = True
```

```
k = 2
```

```
# invariant: b is True if no int in 2..k-1 divides n, False otherwise
```

```
while k < n:
```

```
    # Process k;
```

```
    if n % k == 0:
```

```
        b = False
```

```
    k = k + 1
```

```
# b is True if no int in 2..n-1 divides n, False otherwise
```

Equivalent postcondition

1 2 3 ... k-1 k k+1 ... n

What is the invariant?

# Finding an Invariant

```
# set x to # adjacent equal pairs in s
```

Command to do something

```
for s = 'ebeee', x = 2
```

```
while k < len(s):
```

```
    # Process k
```

```
    k = k + 1
```

```
# x = # adjacent equal pairs in s[0..len(s)-1]
```

Equivalent postcondition

k: next integer to process.

Which have been processed?

A: 0..k

B: 1..k

C: 0..k-1

D: 1..k-1

E: I don't know

# Finding an Invariant

# set x to # adjacent equal pairs in s

Command to do something

for s = 'ebeee', x = 2

**while** k < len(s):

| # Process k

| k = k + 1

# x = # adjacent equal pairs in s[0..len(s)-1]

Equivalent postcondition

k: next integer to process.

Which have been processed?

A: 0..k

B: 1..k

C: 0..k-1

D: 1..k-1

E: I don't know

What is the invariant?

A: x = no. adj. equal pairs in s[1..k]

B: x = no. adj. equal pairs in s[0..k]

C: x = no. adj. equal pairs in s[1..k-1]

D: x = no. adj. equal pairs in s[0..k-1]

E: I don't know

# Finding an Invariant

```
# set x to # adjacent equal pairs in s
```

Command to do something

```
# inv: x = # adjacent equal pairs in s[0..k-1]
```

```
while k < len(s):
```

```
    # Process k
```

```
    k = k + 1
```

```
# x = # adjacent equal pairs in s[0..len(s)-1]
```

for s = 'ebeee', x = 2

Equivalent postcondition

k: next integer to process.

Which have been processed?

A: 0..k

B: 1..k

C: 0..k-1

D: 1..k-1

E: I don't know

What is the invariant?

A: x = no. adj. equal pairs in s[1..k]

B: x = no. adj. equal pairs in s[0..k]

C: x = no. adj. equal pairs in s[1..k-1]

D: x = no. adj. equal pairs in s[0..k-1]

E: I don't know

# Finding an Invariant

```
# set x to # adjacent equal pairs in s  
x = 0
```

Command to do something

```
# inv: x = # adjacent equal pairs in s[0..k-1]
```

```
while k < len(s):
```

for s = 'ebeee', x = 2

```
    # Process k
```

```
    k = k + 1
```

```
# x = # adjacent equal pairs in s[0..len(s)-1]
```

Equivalent postcondition

k: next integer to process.

What is initialization for k?

A: k = 0

B: k = 1

C: k = -1

D: I don't know

# Finding an Invariant

```
# set x to # adjacent equal pairs in s
x = 0
k = 1
# inv: x = # adjacent equal pairs in s[0..k-1]
while k < len(s):
    # Process k

    k = k + 1
# x = # adjacent equal pairs in s[0..len(s)-1]
```

Command to do something

for s = 'ebeee', x = 2

Equivalent postcondition

k: next integer to process.

What is initialization for k?

- A: k = 0
- B: k = 1
- C: k = -1
- D: I don't know

Which do we compare to “process” k?

- A: s[k] and s[k+1]
- B: s[k-1] and s[k]
- C: s[k-1] and s[k+1]
- D: s[k] and s[n]
- E: I don't know

# Finding an Invariant

```
# set x to # adjacent equal pairs in s
x = 0
k = 1
# inv: x = # adjacent equal pairs in s[0..k-1]
while k < len(s):
    # Process k
    x = x + 1 if (s[k-1] == s[k]) else 0
    k = k + 1
# x = # adjacent equal pairs in s[0..len(s)-1]
```

Command to do something

for s = 'ebeee', x = 2

Equivalent postcondition

k: next integer to process.

What is initialization for k?

- A: k = 0
- B: k = 1
- C: k = -1
- D: I don't know

Which do we compare to “process” k?

- A: s[k] and s[k+1]
- B: s[k-1] and s[k]
- C: s[k-1] and s[k+1]
- D: s[k] and s[n]
- E: I don't know



# Reason carefully about initialization

---

```
# s is a string; len(s) >= 1
# Set c to largest element in s
c = ??
k = ??
# inv:
while k < len(s):
    # Process k
    k = k+1
# c = largest char in s[0..len(s)-1]
```

Command to do something

Equivalent postcondition

1. What is the invariant?

# Reason carefully about initialization

---

```
# s is a string; len(s) >= 1
# Set c to largest element in s
c = ??
k = ??
# inv: c is largest element in s[0..k-1]
while k < len(s):
    | # Process k
    | k = k+1
# c = largest char in s[0..len(s)-1]
```

Command to do something

Equivalent postcondition

1. What is the invariant?

# Reason carefully about initialization

```
# s is a string; len(s) >= 1
# Set c to largest element in s
c = ??
k = ??
# inv: c is largest element in s[0..k-1]
while k < len(s):
    # Process k
    k = k+1
# c = largest char in s[0..len(s)-1]
```

Command to do something

Equivalent postcondition

1. What is the invariant?
2. How do we initialize c and k?

A:  $k = 0$ ;  $c = s[0]$

B:  $k = 1$ ;  $c = s[0]$

C:  $k = 1$ ;  $c = s[1]$

D:  $k = 0$ ;  $c = s[1]$

E: None of the above

# Reason carefully about initialization

```
# s is a string; len(s) >= 1
# Set c to largest element in s
c = ??      Command to do something
k = ??
# inv: c is largest element in s[0..k-1]
while k < len(s):
    # Process k
    k = k+1
# c = largest char in s[0..len(s)-1]
    Equivalent postcondition
```

1. What is the invariant?
2. How do we initialize c and k?

A:  $k = 0; c = s[0]$

B:  $k = 1; c = s[0]$

C:  $k = 1; c = s[1]$

D:  $k = 0; c = s[1]$

E: None of the above

An empty set of characters or integers has no maximum. Therefore, be sure that  $0..k-1$  is not empty. You must start with  $k = 1$ .