

## Lecture 16: Zero Knowledge proofs - Part 1

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## 1 Traditional Proofs

- non interactive
- can never prove false statements.

**Definition 1**  $V$  is an NP-verifier for  $L$  if  $V$  is poly time (in the length of the first input) and

- *completeness*: if  $x \in L$ ,  $\exists \pi$  s.t.  $V(x, \pi) = 1$
- *soundness*: if  $x \notin L$ ,  $\forall \pi$   $V(x, \pi) = 0$

## 2 Interactive Proofs

**Definition 2**  $(P, V)$  is an interactive proof for  $L$  if  $V$  is a PPT and

- *completeness*:  $\forall x \in L, \exists y \in \{0, 1\}^* \text{ s.t. } \Pr[\text{Out}_v[P(x, y) \leftrightarrow V(x)] = 1] = 1$
- *soundness*:  $\forall P^*, \exists \text{neg } \epsilon, \forall x \notin L, \forall y \in \{0, 1\}^*, \Pr[\text{Out}_v[P(x, y) \leftrightarrow V(x)] = 1] \leq \epsilon(x)$

$P(x, y) \leftrightarrow V(x)$  means that  $P$  and  $V$  interact. The soundness part of the proof requires that even if the prover doesn't use the honest strategy, he won't be able to prove a false  $x$  except with negligible probability.

This proof can prove more than just NP problems. Example:

Graph Non-Isomorphism:

$\overline{L} = \{G_0, G_1 : G_0 \not\cong G_1\}$  - This problem is not believed to be in NP. The proof works as follows:  $V$  randomly choose  $b \leftarrow \{0, 1\}$ , and a random permutation  $\sigma$ , computes  $H = \sigma(G_b)$ , and sent it to  $P$ .  $P$  then finds  $b'$  s.t.  $H \simeq G_{b'}$ , and sends  $b'$  to  $V$ .  $V$  outputs 1 iff  $b = b'$ .

**Claim 1** *This proof is an interactive proof for the language  $L$*

**Proof.** If  $G_0 \not\simeq G_1$  then  $P$  can't go back from  $H$  to both of them so  $b'$  must be equal to  $b$ . If  $G_0 \simeq G_1$ ,  $P$  can't know where  $H$  came from and the best he can do is guess. He has probability of  $\frac{1}{2}$  of success. If we repeat  $n$  times he will have probability of  $2^{-n}$  of success. ■

**Definition 3** An IP  $(P, V)$  for  $L$  has an efficient prover w.r.t. witness relation  $R_L$  if  $P$  is a PPT and completeness holds  $\forall y \in R_L(x)$ .

Graph Isomorphism:

$x = (G_1, G_2), w = \pi$  s.t.  $\pi(G_1) = G_2$  (more formally:  $\pi \in R_L$  iff  $\pi(G_1) = G_2$ )

The proof works as follows: First,  $P$  chooses a random permutation  $\sigma$ , computes  $H = \sigma(G_1)$ , and sends  $H$  to  $V$ .  $V$  randomly choses  $b \leftarrow \{1, 2\}$ , and sends it to  $P$ . If  $b = 1$ ,  $P$  sends  $V$   $\rho = \sigma^{-1}$ , else he sends  $V$   $\rho = \pi\sigma^{-1}$ .  $V$  outputs 1 iff  $\rho(H) = G_b$ .

**Claim 2** This proof is an efficient interactive proof for the language Graph Isomorphism.

**Proof.** The proof is complete because if  $G_1 \simeq G_2$ ,  $P$  can prove this because  $\pi$  really exists. If  $G_1 \not\simeq G_2$ , then an honest prover can't win when  $b = 2$  is chosen, because the desired  $\rho$  doesn't exist, so if we repeat the proof we know he has only a negligible probability of success. If he is not honest, and sends something other than a permutation of  $G_1$ , we know that it can't send an  $H$  s.t.  $G_1 \simeq H$  and  $G_2 \simeq H$ , since that will mean that  $G_1 \simeq G_2$ , so again he won't be able to win. ■

Intuitively, this proof is also ZK. The verifier is only given a random permutation of one of the graphs and its inverse, but he could have done that himself. We formally prove this next, for specific definition of ZK.

**Definition 4**  $(P, V)$  is a perfect (Honest Verifier) ZK proof for  $L$  w.r.t. witness relation  $R_L$  if  $\exists$  PPT  $S$  s.t.  $\forall x \in L, y \in R_L(G), \forall z \in \{0, 1\}^*$  the following are identically distributed:

$$\begin{aligned} &\{View_V[P(x, y) \leftrightarrow V(x, z)]\} \\ &\{S(x, z)\} \end{aligned}$$

$View_V$  is equal to all the messages  $V$  received, all of his coin tosses and all of his inputs.

The proof we gave is perfect honest verifier ZK. given  $x = (G_1, G_2)$  and  $z$ ,  $S(x, z) = (x, z, b, (H, \rho^{-1}))$ , where  $b \leftarrow \{1, 2\}$ ,  $\rho$  is a random permutation and  $H = \rho(G_b)$ . This has the exact same distribution as  $View_V$  in our proof.