

## Lecture 9: September 26

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## 9.1 Introduction

In this lecture, we talked about zig-zag product of graphs, and introduced how to make use of products we covered so far to construct mildly and strongly explicit expanders. Zig-zag product could also be used in the proof for PCP theorem.

## 9.2 Zig-zag Product

**Definition 9.1** Let  $G$  be a  $(N_1, D_1)$ -graph, i.e., a  $D_1$ -regular graph with  $N_1$  nodes, and  $H$  be a  $(D_1, D_2)$ -graph. The zig-zag product of  $G$  and  $H$  is a  $(N_1 \times D_1, D_2^2)$ -regular graph, which is denoted by  $G \circledast H$ . Let  $(v, i)$  where  $v \in V(G), i \in [D_1]$  denote a node in  $G \circledast H$ , and the  $(a, b)$ -th neighbor of  $(v, i)$  in  $G \circledast H$  where  $a, b \in [D_2]$  denote the  $(aD_2 + b)$ -th neighbor of  $(v, i)$  in  $G \circledast H$ . For each node  $(v, i) \in G \circledast H$ , it has  $D_2^2$  neighbors, and the  $(a, b)$ -th neighbor is  $(u, j)$  computed as follow:

- Let  $i'$  be the  $a$ -th neighbor of node  $i$  in  $H$ .
- Let  $u$  be the  $i'$ -th neighbor of  $v$  in  $G$ . Thus,  $(v, u)$  is the  $i'$ -th edge leaving  $v$ .
- For  $u, v$  is also a neighbor of  $u$ . Suppose  $v$  is the  $j'$ -th neighbor of  $u$ .
- Let  $j$  be the  $b$ -th neighbor of  $j'$  in  $H$ .

Informally, zig-zag product decreases the degree without hurting expansion too much. In particular, we choose  $D_2^2 \ll D_1$ . We can consider  $G$  as the “large graph” and  $H$  as the “small graph”. The intuition behind this construction is that we want that a random step on  $G \circledast H$  corresponds to a step on  $G$ , but using a random step on  $H$  to choose which neighbor to go. For each  $v \in V(G)$ , we replace it by a cloud of  $D_1$  nodes, with  $i$ th node corresponding to the  $i$ th edge that is incident on  $v$ . Consider a random step on  $G \circledast H$ , starting from  $(v, i)$ . It will first jump into a random node in this cloud, i.e.,  $(v, i')$ , since  $a$  is randomly chosen. After  $i'$  is determined, we will jump to a deterministic node  $(u, j')$  in the cloud of  $u$ . Then we will pick up  $b$  randomly, which pushes us to a random node  $(u, j)$  in that cloud.

An example of zig-zag product is shown in Fig 9.1. The figure was borrowed from lecture note of last semester.

**Lemma 9.2** If  $H$  is a complete graph,  $G \circledast H = G \otimes H$ .

**Theorem 9.3**  $G \circledast H$  is a  $D_2^2$ -regular graph on  $N_1 D_1$  nodes where  $\lambda(G \circledast H) \leq \lambda(G) + \lambda(H)$ .

This theorem was proved in the paper by Reingold, Vadhan, and Wigderson, in which they presented the zig-zag product. We will prove a slightly simpler version in this lecture, and a further simpler proof can be found in the textbook.

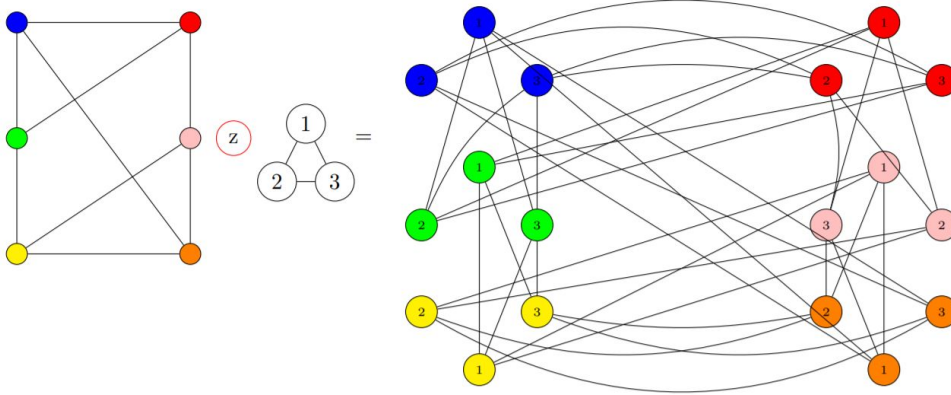


Figure 9.1: An example of zig-zag product.

**Lemma 9.4**  $G \circledast H$  is a  $D_2^2$ -regular graph on  $N_1 D_1$  nodes where  $\lambda(G \circledast H) \leq \lambda(G) + 2\lambda(H)$ .

The proof for this lemma is in the textbook, in which the authors use the Matrix-Decomposition approach.

**Lemma 9.5**  $G \circledast H$  is a  $D_2^2$ -regular graph on  $N_1 D_1$  nodes where  $\lambda(G \circledast H) \leq \lambda(G) + \lambda(H) + \lambda(H)^2$ .

**Proof:** We use Vector-Decomposition to prove this lemma. Let

$$\widetilde{A}_H = I_{N_1} \otimes A_H$$

be a matrix in  $\mathbb{R}^{N_1 D_1 \times N_1 D_1}$  with its diagonal containing several blocks of  $A_H$ , corresponding to vertex replacement. Let  $P \in \mathbb{R}^{N_1 D_1 \times N_1 D_1}$  be a permutation matrix s.t.

$$P_{(v,i),(u,j)} = 1,$$

iff  $e = (u, v) \in E(G)$  where  $e$  is the  $i$ -th edge incident on  $v$  and  $e$  is also the  $j$ -th edge incident on  $u$ . Other entries in  $P$  will be 0.

**Claim 9.6**  $A_{G \circledast H} = \widetilde{A}_H P \widetilde{A}_H$ , where  $A_{G \circledast H}$  is the random walk matrix for  $G \circledast H$ .

To prove the above lemma, we should bound the following spectral norm:

$$\max_{x \in \mathbb{R}^{N_1 D_1}, \|x\|_2 = 1, x \perp 1_{N_1 D_1}} \left| x^T \widetilde{A}_H P \widetilde{A}_H x \right|. \quad (9.1)$$

We then use Vector-Decomposition to rewrite

$$x = (x_1, x_2, \dots, x_{N_1}) = (x_1^{\parallel}, x_2^{\parallel}, \dots, x_{N_1}^{\parallel}) + (x_1^{\perp}, x_2^{\perp}, \dots, x_{N_1}^{\perp}) = x^{\parallel} + x^{\perp},$$

where  $x_i^{\parallel} \parallel 1_{D_1}, x_i^{\perp} \perp 1_{D_1}$  for all  $i \in [N_1]$ . Each  $x_i^{\parallel}, x_i^{\perp}$  is the vector decomposition restricted on  $i$ -th cloud.

We make the following two useful observations: 1)  $\widetilde{A}_H x^{\parallel} = x^{\parallel}$  since for each  $i$ ,  $A_H x_i^{\parallel} = x_i^{\parallel}$ ; 2)  $\left\| \widetilde{A}_H x^{\perp} \right\|_2 \leq \lambda(H) \|x^{\perp}\|_2$  since it holds for each  $i$ . After substituting  $x = x^{\parallel} + x^{\perp}$  into equation 9.1, the remaining thing is to show that:

$$\left| (x^{\parallel} \widetilde{A}_H)^T P \widetilde{A}_H x^{\parallel} + 2(x^{\parallel} \widetilde{A}_H)^T P \widetilde{A}_H x^{\perp} + (x^{\perp} \widetilde{A}_H)^T P \widetilde{A}_H x^{\perp} \right| \leq \lambda(G) + \lambda(H) + \lambda(H)^2.$$

We will deal with each of three terms separately and by triangle inequality, we will get our result.

$$1. |(x^\parallel \widetilde{A}_H)^T P \widetilde{A}_H x^\parallel| \leq \lambda(G).$$

We define  $y \in \mathbb{R}^{N_1}$  s.t.  $y_i = \sqrt{D_1} x_{i,1}^\parallel$ . If we collapse  $x^\parallel$  by shrinking each cloud, and normalizing the result, we will get  $y$ . We have

$$\begin{aligned} |(x^\parallel \widetilde{A}_H)^T P \widetilde{A}_H x^\parallel| &= |x^\parallel{}^T P x^\parallel| \quad (\text{by observation 1}) \\ &= |y^T A_G y| \quad (\text{by cancel out } 2 \sqrt{D_1} \text{ factors}) \\ &\leq \lambda(G) \quad (\text{by expansion of } G) \end{aligned}$$

$$2. |2(x^\parallel \widetilde{A}_H)^T P \widetilde{A}_H x^\perp| \leq \lambda(H).$$

$$\begin{aligned} |2(x^\parallel \widetilde{A}_H)^T P \widetilde{A}_H x^\perp| &= |2(x^\parallel)^T P \widetilde{A}_H x^\perp| \quad (\text{by observation 1}) \\ &\leq 2 \left\| (x^\parallel)^T P \right\|_2 \left\| \widetilde{A}_H x^\perp \right\|_2 \quad (\text{by Cauchy-Schwartz}) \\ &\leq 2 \left\| x^\parallel \right\|_2 \lambda(H) \left\| x^\perp \right\|_2 \quad (\text{by permuting in the same cloud, and observation 2}) \\ &\leq 2\lambda(H) \frac{\left\| x^\parallel \right\|_2^2 + \left\| x^\perp \right\|_2^2}{2} \quad (\text{by AM-GM ineq}) \\ &\leq \lambda(H). \end{aligned}$$

$$3. |(x^\perp \widetilde{A}_H)^T P \widetilde{A}_H x^\perp| \leq \lambda(H)^2.$$

$$\begin{aligned} |(x^\perp \widetilde{A}_H)^T P \widetilde{A}_H x^\perp| &\leq \left\| \widetilde{A}_H x^\perp \right\|_2 \|P\|_2 \left\| \widetilde{A}_H x^\perp \right\|_2 \quad (\text{by Cauchy-Schwartz}) \\ &\leq \lambda(H)^2 \left\| x^\perp \right\|_2^2 \quad (\text{since } \|P\|_2 \text{ is at most } 1, \text{ and observation 2}) \\ &\leq \lambda(H)^2. \end{aligned}$$

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## 9.3 Constructing Explicit Expanders

### 9.3.1 Mildly Explicit

Let  $G_1 = H$  be a  $(D^4, D, 1/4)$  expander constructed by brute-force. Let  $G_{i+1} = G_i^2 \otimes G_1$ .

**Claim 9.7**  $G_n$  is a  $(D^{4n}, D^2, 1/2)$  expander.

**Proof:** By induction.  $G_n^2 : (D^{4n}, D^4, 1/4), G_1 : (D^4, D, 1/4), G_n^2 \otimes G_1 : (D^{4(n+1)}, D^2, 1/2)$ .

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### 9.3.2 Strongly Explicit

Let  $G_1 = H$  be a  $(D^4, D, 1/4)$  expander constructed by brute-force. Let  $G_{i+1} = (G_i \otimes G_i)^2 \otimes G_1$ .