# Embodied cognition

#### Recognition today

- Large dataset of isolated, labeled images
- Where do the images come from?
- What do we do with the labels?











# Embodied agents

- Agents that perceive and act in the world
- Input images come from the physical world
- "Recognition" is used to act



# Embodied cognition

- Perception now depends on action: state of agent or state of the world
  - No more collections of isolated images
- Feedback to agent comes through consequences of actions
  - No well-defined labels
- Three problems:
  - Learning to act to achieve goals, with perception in the loop
  - Self-supervised learning: learning perception from action
  - Active perception: learning to act for perception

# Learning to act to achieve goals

# Markov decision processes

- Agent acting in an environment
- Environment has **states** that are known to agent
- Agent takes actions
- Action + random stuff leads to a state transition
- Environment gives agent reward
- Agent's goal: maximize **return** = total reward over time
- Agent's **policy:** mapping from states to actions



# Markov decision processes

- Set of states S
- Set of actions A
- Transition function  $T(s, a, s') = P(s_{t+1} = s' | s_t = s, a_t = a)$
- Reward function  $R(s) = \mathbf{E}(r_t | s_t = s)$
- Return (with discount)

$$\sum_{t} \gamma^{t} r_{t}, \qquad \gamma \in [0,1)$$

• Must learn **policy:**  $\pi: S \times A \rightarrow [0,1]$ 



# Why is this hard?

- Stochasticity
  - T and R are non-deterministic
- Unknown dynamics
  - T and R are unknown: don't know which action will lead to which state
- Partial feedback
  - Only get to see consequences of action taken
- Long term consequences
  - Actions can influence rewards several time steps later

# Example: self-driving cars

- Stochasticity
  - Pressing gas pedal does not always cause acceleration
  - Weather
- Unknown dynamics
  - Do not know where roads lead
  - Do not know what other cars might do
- Long term consequences
  - E.g., may only realize later that took a wrong turn earlier

#### Model-based control

- MDPs:
  - States S, actions A, transitions T(s, a, s'), reward function R(s)
- What is known, what is unknown?
- Case 1: S, A, T, R known, only policy unknown
  - T, R: model dynamics
  - Example: self-driving in an empty city with a map
- Problem becomes one of *planning* or *optimal control*

#### Model-based control

- If states are discrete
  - Algorithms from AI : *search*
- If states are continuous
  - Algorithms from control theory : *optimization*
- Why is this hard?
  - Large space of states, most not good
  - Stochasticity, e.g., wheels might slip
- What to do when we don't know model dynamics?
  - Learn dynamics! (*System identification* in control theory)
  - Reinforcement learning

#### Markov decision processes

- Value function  $V^{\pi}(s)$ :
  - What return will I get if I start from state s and follow policy  $\pi$  thereafter?
  - $V^*(s) = \max_{\pi} V^{\pi}(s) = V^{\pi^*}(s)$  where  $\pi^*$  is the optimal policy
- Action-value function  $Q^{\pi}(s, a)$ :
  - What return will I get if I start from state s, take action a and follow policy  $\pi$  thereafter

• 
$$Q^*(s,a) = \max_{\pi} Q^{\pi}(s,a) = Q^{\pi^*}(s,a)$$

#### Bellman equations

- $Q^{\pi}(s,a) = R(s) + \gamma \sum_{s'} T(s,a,s') \sum_{a'} \pi(s',a') Q^{\pi}(s',a')$
- $V^{\pi}(s) = R(s) + \gamma \sum_{a} \pi(s, a) \sum_{s'} T(s, a, s') V^{\pi}(s')$
- $Q^*(s, a) = R(s) + \gamma \sum_{s'} T(s, a, s') \max_{a'} Q^*(s', a')$
- $V^*(s) = R(s) + \gamma \max_a \sum_{s'} T(s, a, s') V^*(s')$

# Basic RL algorithms I: Policy iteration

- Start with a random policy
- Repeat:
  - 1. Policy evaluation: Evaluate  $Q^{\pi}$
  - 2. Policy improvement: update policy
    - $\pi(s,a) = \mathbb{I}(a = \arg \max_a Q^{\pi}(s,a))$
    - But deterministic policies may not explore all states
    - $\epsilon$ -greedy: with small probability choose random action instead

#### Policy evaluation: (Q-) value iteration

- If we know T and R, policy evaluation is "easy"
- Convert bellman equation into recurrence
- $Q^{\pi}(s, a) = R(s) + \gamma \sum_{s'} T(s, a, s') \sum_{a'} \pi(s', a') Q^{\pi}(s', a')$
- $Q_t^{\pi}(s,a) \leftarrow R(s) + \gamma \sum_{s'} T(s,a,s') \sum_{a'} \pi(s',a') Q_{t-1}^{\pi}(s',a')$
- Can be done "in the head"

#### Policy evaluation: sarsa

- If we don't know T and R, have to deal with samples and try out in the world
- Try current policy to get sequence  $(..., s_t, a_t, r_t, s_{t+1}, a_{t+1}, ...)$
- Convert Bellman equation into an update
- Bellman equation:  $Q^{\pi}(s,a) = R(s) + \gamma \mathbb{E}_{s' \sim T(s,a,\cdot)} \mathbb{E}_{a' \sim \pi(s',\cdot)} Q^{\pi}(s',a')$
- Sample update:

 $Q_t^{\pi}(s_t, a_t) \leftarrow (1 - \alpha)Q_{t-1}^{\pi}(s_t, a_t) + \alpha(r_t + \gamma Q_{t-1}^{\pi}(s_{t+1}, a_{t+1}))$ 

# Basic RL algorithms II: Q-learning

- Policy iteration can be slow
- Can update policy without waiting for full evaluation
- Alternative: learn Q\* directly
- Act using some random policy, but use observations to find Q\*

# Q-learning

• 
$$Q^*(s, a) = R(s) + \gamma \sum_{s'} T(s, a, s') \max_{a'} Q^*(s', a')$$

- Every iteration, agent is in state s, takes action a, receives reward r and reaches state s'
- $Q^t(s,a) \leftarrow (1-\alpha)Q^{t-1}(s,a) + \alpha(r + \gamma \max_{a'} Q^{t-1}(s',a'))$
- $\mbox{ \bullet }$  This converges to  $\mbox{ Q}^{*}$
- How do we get the policy from Q\*?

• 
$$\pi^*(s) = \max_a Q^*(s,a)$$

# Basic RL algorithms III: Policy gradient

- How about directly optimizing the policy instead of going through Q?
- Pipeline:
  - Use  $\pi_{\theta}$  to choose action
  - Get reward
  - Take step along gradient to produce better policy
- Problem: choosing action is a non-differentiable function of the policy
- How do we get the gradient?

#### REINFORCE

- Suppose the agent takes a sequence  $\tau$  of actions  $a_t$  and goes through states  $s_t$  under policy  $\pi$
- Probability of sequence =  $\pi_{\theta}(\tau) = \prod_t \pi_{\theta}(s_t, a_t)$
- Total return =  $r(\tau) = \sum_t \gamma^t r_t$
- $J(\theta) = E_{\pi}(r) = \sum_{\tau} r(\tau) \pi_{\theta}(\tau)$
- A single run gives a sample  $\tau$  and an estimate of  $J(\theta)$
- $\nabla_{\theta} J(\theta) = \sum_{\tau} r(\tau) \nabla_{\theta} \pi_{\theta}(\tau)$
- How do we compute  $\nabla_{\theta} J(\theta)$  with samples?

#### REINFORCE

- $\nabla_{\theta} J(\theta) = \sum_{\tau} r(\tau) \nabla_{\theta} \pi_{\theta}(\tau)$
- Identity:  $\nabla_{\theta} \pi_{\theta}(\tau) = \pi_{\theta}(\tau) \frac{\nabla_{\theta} \pi_{\theta}(\tau)}{\pi_{\theta}(\tau)} = \pi_{\theta}(\tau) \nabla_{\theta} \log \pi_{\theta}(\tau)$
- Thus  $\nabla_{\theta} J(\theta) = \sum_{\tau} r(\tau) \pi_{\theta}(\tau) \nabla_{\theta} \log \pi_{\theta}(\tau) = E_{\pi}[r(\tau) \nabla_{\theta} \log \pi_{\theta}(\tau)]$
- Thus to get gradient, simply compute  $r(\tau) \nabla_{\theta} \log \pi_{\theta}(\tau)$  for every run and average

#### Learning to play Atari games



Mnih, Volodymyr, et al. "Human-level control through deep reinforcement learning." Nature518.7540 (2015): 529-533.

#### Learning to do robotic tasks



End-to-End Training of Deep Visuomotor Policies. S. Levine, C. Finn, T. Darrell, P. Abbeel. In JMLR, 2016

# Reinforcement learning and generalization

- RL learns a *policy*
- Policies are specific to *goals*
- Reinforcement learning = learning to play a particular game
- Separate model for each game
  - "Close this bottle"
  - "Peel this banana"
- General model?

# Conditioning on input and target



Target-driven Visual Navigation in Indoor Scenes using Deep Reinforcement Learning. Y. Zhu, R. Mottaghi, E. Kolve, J. J. Lim, A. Gupta, L. Fei-fei, A. Farhadi. In *ICRA*, 2017

#### Partial observations

- Assumption: image conveys the entire state
- True for Atari, not true for real worls
- Simple idea: let neural network maintain state internally



Mirowski, Piotr, et al. "Learning to Navigate in Cities Without a Map." arXiv preprint arXiv:1804.00168 (2018).

## Incorporating domain knowledge

- Should we rely on learning entirely?
- E.g. for navigation, maintain a map of the environment and of agent's state within it
- Classical solution: SLAM (Simultaneous localization and mapping)



Gupta, Saurabh, et al. "Cognitive mapping and planning for visual navigation." *arXiv preprint arXiv:1702.03920* 3 (2017).

## Reflex agents

- Reflex agents
  - Map states to actions
  - Are feedforward
  - Cannot explore / back-track unless state records history
  - Have to be trained on each environment

# Planning

 If we can predict consequences of actions, we can plan



#### Model-based RL

• Learn a "forward model" of how states evolve

• E.g., 
$$T(s, a, s') = P(s_{t+1} = s' | s_t = s, a_t = a)$$

- Then we can optimize  $\pi$  offline for reaching the goal
- Open-loop planning:
  - Take the first action, see where we land
  - Re-optimize

#### Inverse model

- Predicting image pixels is hard
- Can also have inverse model: given s and s', what action takes me from s to s'?
- Use inverse model to find an initial action, perform action, then reevaluate.



Agrawal, Pulkit, et al. "Learning to poke by poking: Experiential learning of intuitive physics." *Advances in Neural Information Processing Systems*. 2016.