

# CS 5625

## Lec 2: Shading Models

**Kavita Bala**  
**Spring 2013**

### Next few weeks

- Shading Models
  - Chapter 7
- Textures
- Graphics Pipeline

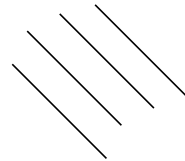
## To compute images...

- Light Emission
  - What are the light sources?
- Light Propagation
  - Fog/Clear?
- Light Reflection
  - Interaction with media

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## Types of Lights

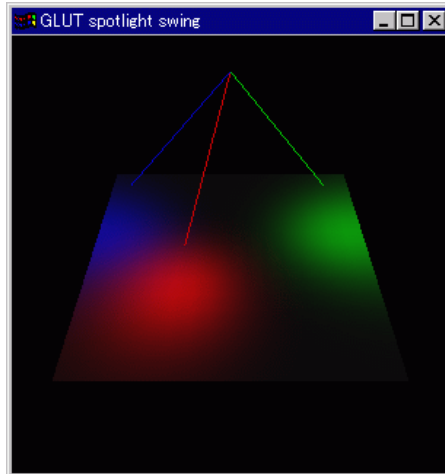
- Directional lights
  - E.g., sunlight
  - Light vector fixed direction
- Point lights
  - E.g., bulbs
  - Light position fixed



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# Types of Lights

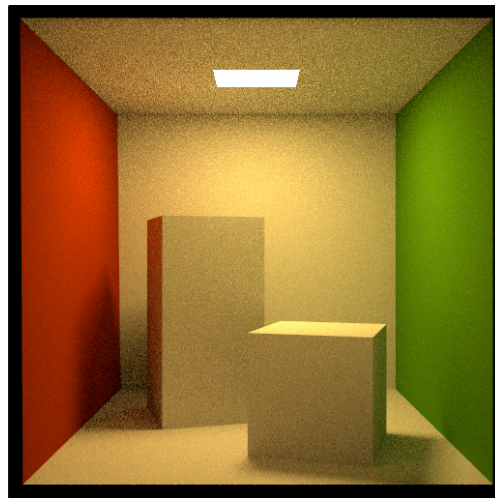
- Spot lights: Like point light, but also
  - Cut-off angle
  - Attenuation



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# Types of Lights

- Area Lights: generate soft shadows



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# Types of Light

- Environment Maps



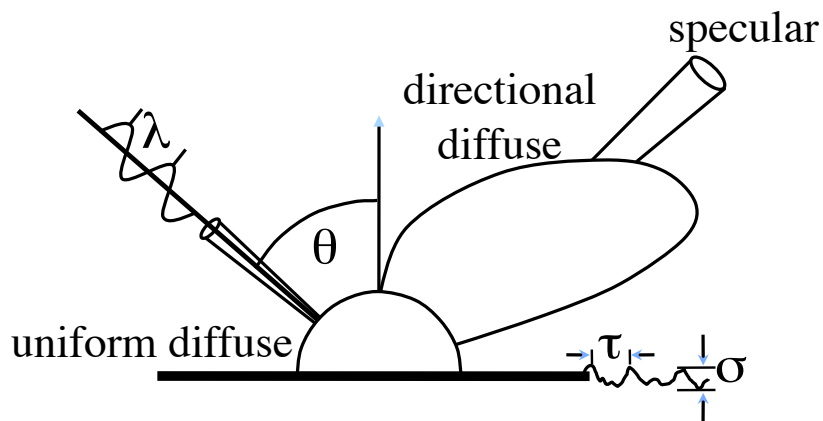
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## To compute images...

- Light Emission
  - What are the light sources?
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- Light Reflection
  - Interaction with media

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# Bidirectional Reflectance Distribution Function (BRDF)



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## Surface reflective characteristics

- Spectral distribution
  - Responsible for surface color
  - Tabulate in independent wavelength bands, or RGB
- Spatial distribution
  - Material properties vary with surface position
  - Texture maps
- Directional distribution
  - BRDF
  - Tabulation is impractical because of dimensionality

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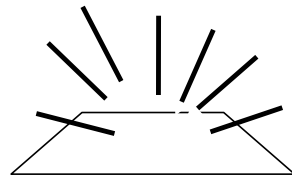
# Radiometry

- Radiometry: measurement of light energy
- Defines relation between
  - Power
  - Energy
  - Radiance
  - Radiosity

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## Radiometric Terms

- Power: energy per unit time
- Irradiance: Incident power per unit surface area
  - From all directions
  - Watt/m<sup>2</sup>
- Radiosity: Exitant power per unit surface area
  - Same units

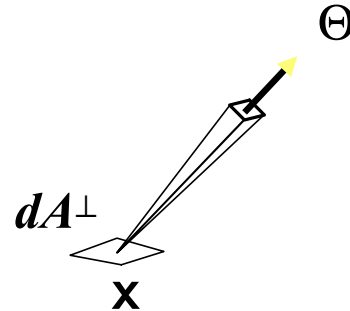


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# Radiance

- Radiance is radiant energy at  $x$  in direction  $\theta$ : 5D function
  - Power
    - per unit projected surface area
    - per unit solid angle

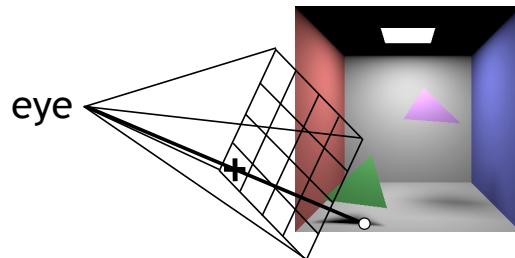
– units: Watt / m<sup>2</sup>.sr



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## Why is radiance important?

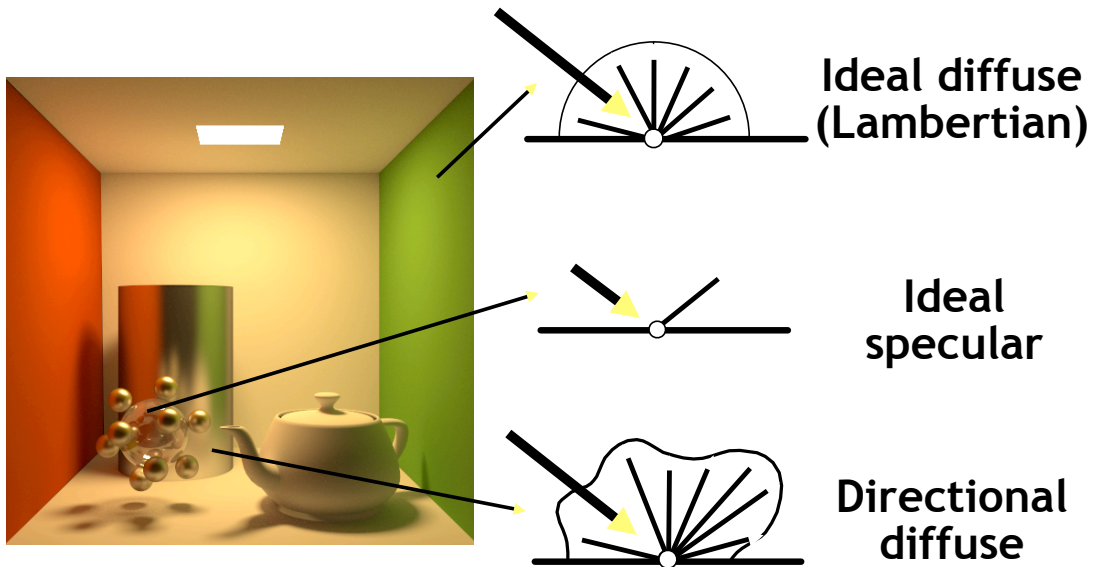
- Response of a sensor (camera, human eye) is proportional to radiance



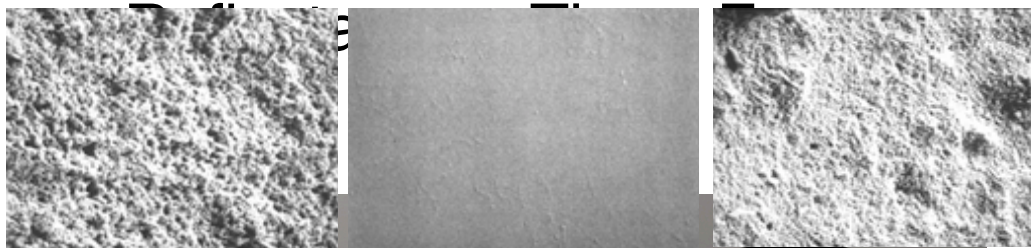
- Pixel values in image proportional to radiance received from that direction

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# Materials - Three Forms



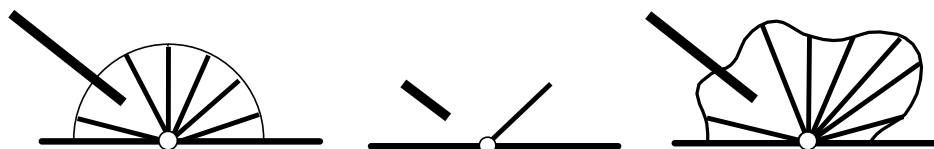
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**Ideal diffuse (Lambertian)**

**Ideal specular**

**Directional diffuse**

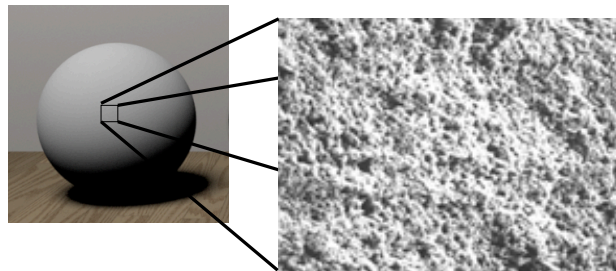


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# Ideal Diffuse Reflection

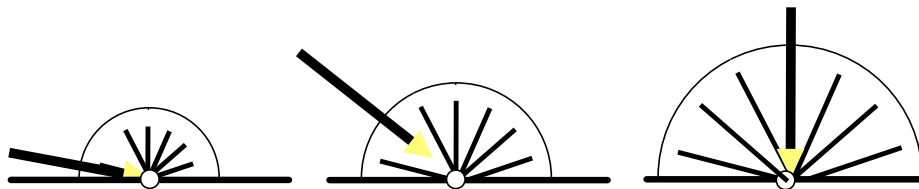
- Characteristic of multiple scattering materials
- An idealization but reasonable for matte surfaces
- Basis of most radiosity methods



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## Ideal Diffuse

- Lambert's Law



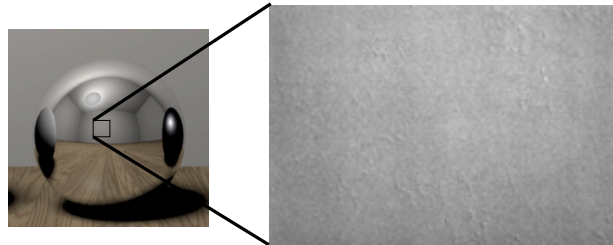
$$I_{diffuse} = I_{light} k_d \cos(\theta)$$

$$I_{diffuse} = I_{light} k_d N \cdot L$$

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# Ideal Specular Reflection

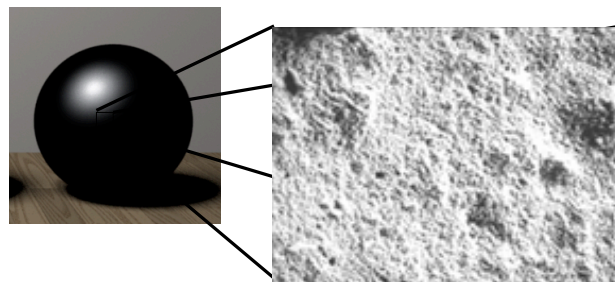
- Calculated from Fresnel's equations
- Exact for polished surfaces
- Basis of early ray-tracing methods



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# Directional Diffuse Reflection

- Characteristic of most rough surfaces
- Described by the BRDF



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# Classes of Models for the BRDF

- Plausible simple functions
  - Phong 1975;
- Physics-based models
  - Cook/Torrance, 1981; He et al. 1992;
- Empirically-based models
  - Ward 1992

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# Phong Shading Model

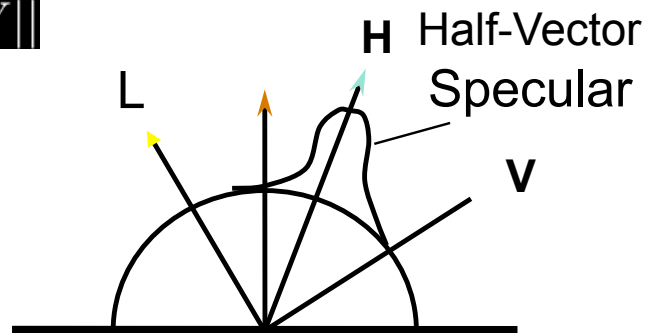
- Classic Phong
  - Ambient
  - Diffuse
  - Specular (Phong highlight)
  - Also fog and transparency possible
- For each light evaluate above

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# Specular

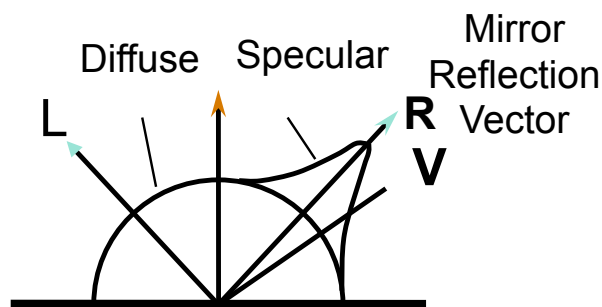
- Specular
  - Simulates surface smoothness
  - $(\max \{ \mathbf{N} \cdot \mathbf{H}, 0 \})^{\text{shininess}}$

$$\mathbf{H} = \frac{\mathbf{L} + \mathbf{V}}{\| \mathbf{L} + \mathbf{V} \|}$$



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# Phong Reflection Model

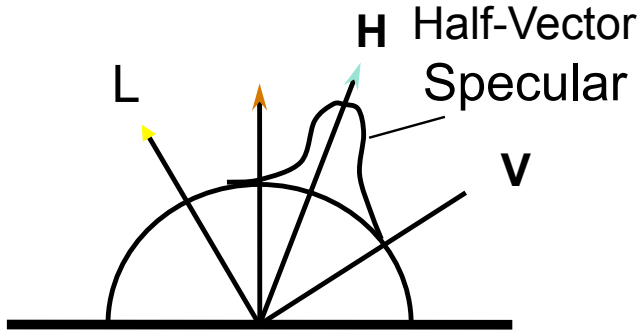


$$\text{Diffuse} = k_d (\mathbf{N} \cdot \mathbf{L})$$

$$\text{Specular} = k_s (\mathbf{R} \cdot \mathbf{V})^n$$

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# The Blinn-Phong Model



$$Diffuse = k_d(N.L)$$

$$Specular = k_s(N.H)^n$$

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# Phong Shading Model

- $I = \text{ambient} + \text{diffuse} + \text{specular}$

$$I = k_a I_a + k_d I_d (N.L) + k_s I_s (N.H)^n$$






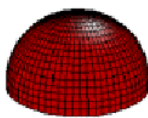

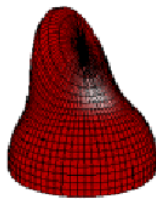

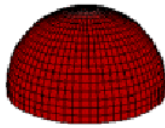

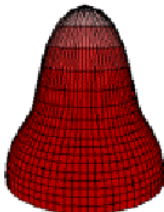
- We want all the  $I$ 's and  $k$ 's to be functions of (R,G,B)
  - $I$ 's are function of light
  - $k$ 's are function of material
- Sum over all lights

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# Terms in Phong

- Ambient
  - “Fake” global illumination
  - Fixed from all directions
    - Makes it not black

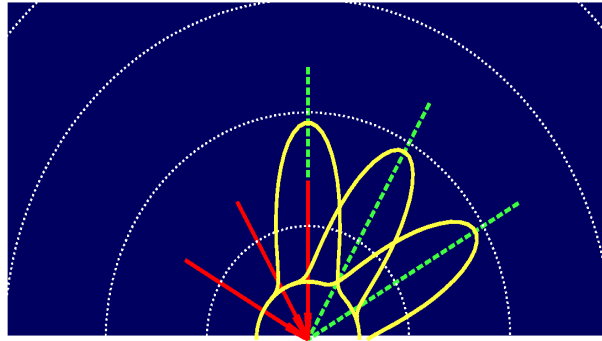
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Phong	$\rho_{\text{ambient}}$	$\rho_{\text{diffuse}}$	$\rho_{\text{specular}}$	$\rho_{\text{total}}$
$\phi_i = 60^\circ$				
$\phi_i = 25^\circ$				
$\phi_i = 0^\circ$				

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# The Phong Model

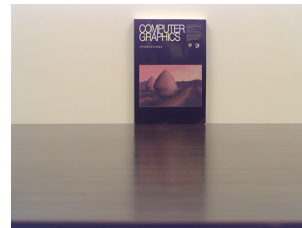
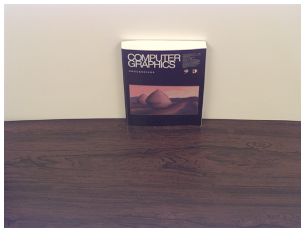
- Computationally simple
- Visually pleasing



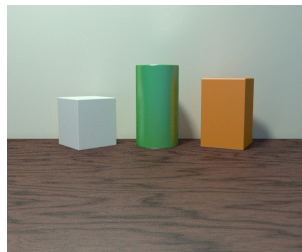
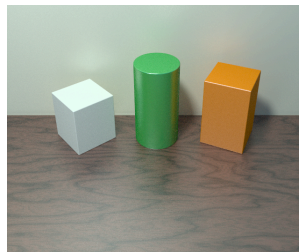
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## Phong: Reality Check

### Real photographs



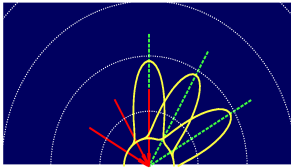
### Phong model



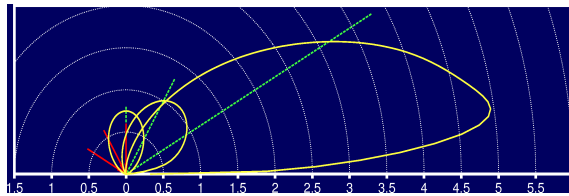
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# Phong: Reality Check

Phong model



Physics-based model



- Doesn't represent physical reality
  - Energy not conserved
  - Not reciprocal
  - Maximum always in specular direction

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## Reciprocity

- Interchange L and V
  - Photon doesn't know its direction
  - Same behavior
- Blinn-Phong vs. Phong

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# Classes of Models for the BRDF

- Plausible simple functions
  - Phong 1975;
- Physics-based models
  - Cook/Torrance, 1981; He et al. 1992;
- Empirically-based models
  - Ward 1992, Lafortune model

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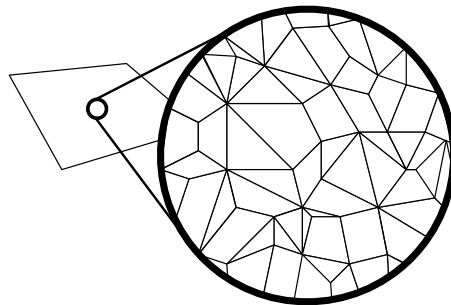
# Motivation for Cook-Torrance

- Plastic has substrate that is white with embedded pigment particles
  - Colored diffuse component
  - White specular component
- Metal
  - Specular component depends on metal
  - Negligible diffuse component

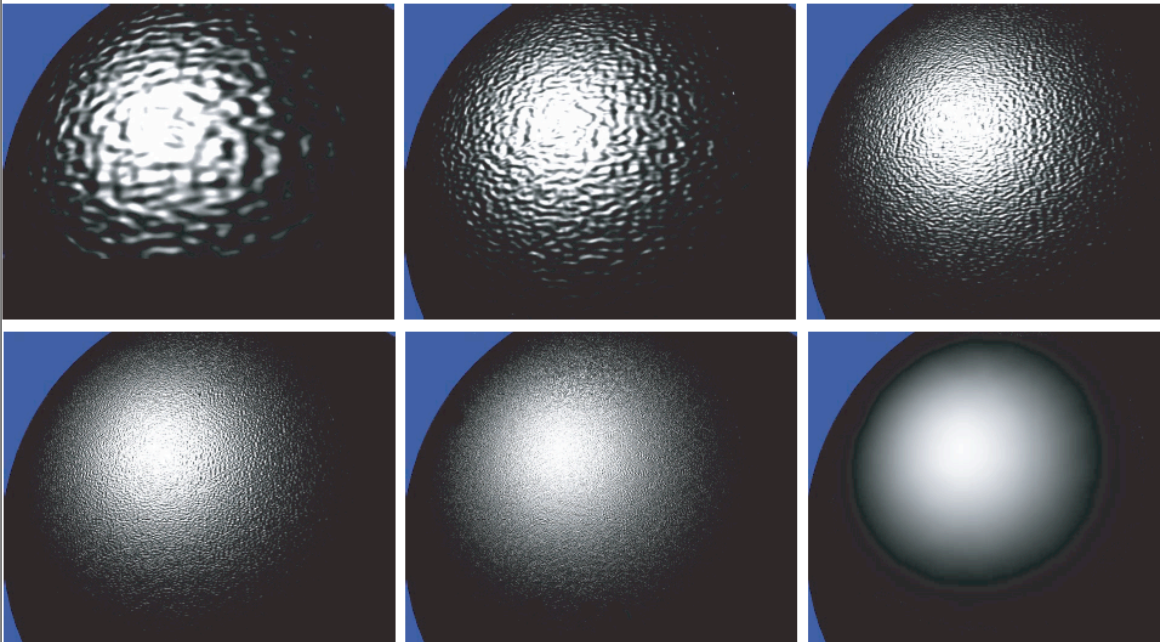
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# Cook-Torrance BRDF Model

- Phong: too smooth
- A *microfacet* model
  - Surface modeled as random collection of planar facets
  - Incoming ray hits exactly one facet, at random



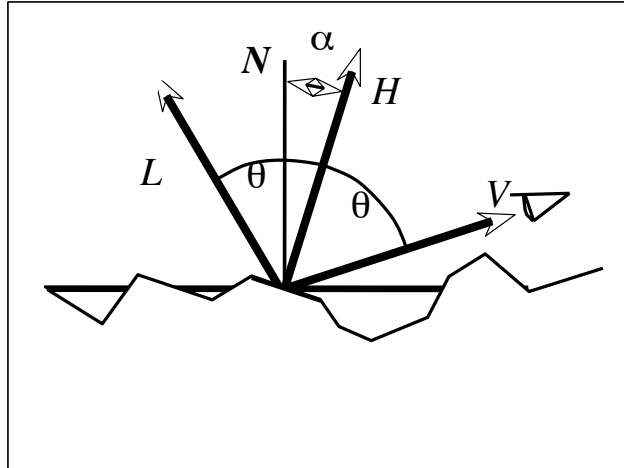
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# Facet Reflection

- Input: probability distribution of facet angle
- $H$  vector used to define facets that contribute



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# Cook-Torrance BRDF Model

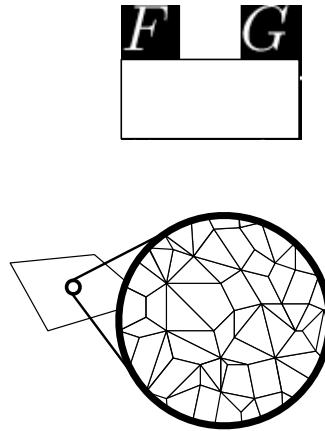
- “Specular” term (really directional diffuse)

$$f_s = \frac{\rho_s \text{FDG}}{\pi N \cdot L \cdot V}$$

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# Cook-Torrance BRDF Model

## Facet distribution



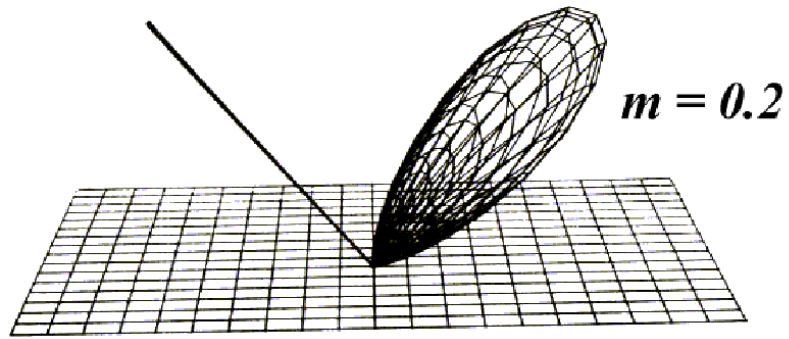
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## Facet Distribution

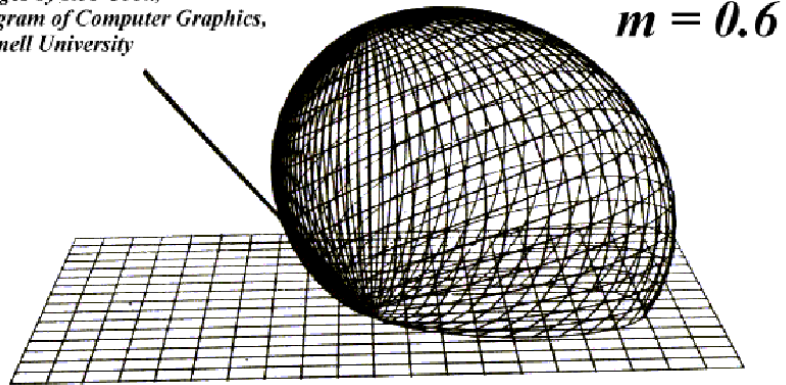
- $D$  function describes distribution of  $H$
- Formula due to Beckmann
  - Statistical model
  - Alpha is angle between  $N$  and  $H$ 
    - Intuitively, deviation of microgeometry from macro normal
  - $m$  is RMS slope of microfacets: large  $m$  means more spread out reflections

$$D = \frac{1}{4m^2 \cos^4 \alpha} e^{-\left[\frac{\tan \alpha}{m}\right]^2}$$

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*Images by Rob Cook,  
Program of Computer Graphics,  
Cornell University*



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## Cook-Torrance BRDF Model

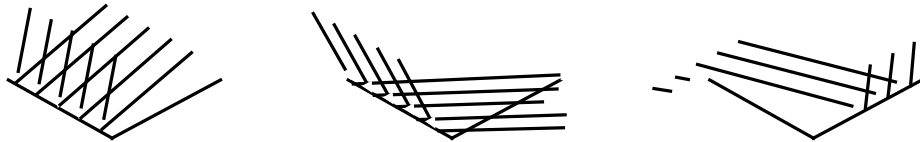
### Masking/shadowing



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# Masking and Shadowing

$$G = \min\left[1, \frac{2N.HN.V}{V.H}, \frac{2N.HN.L}{V.H}\right]$$



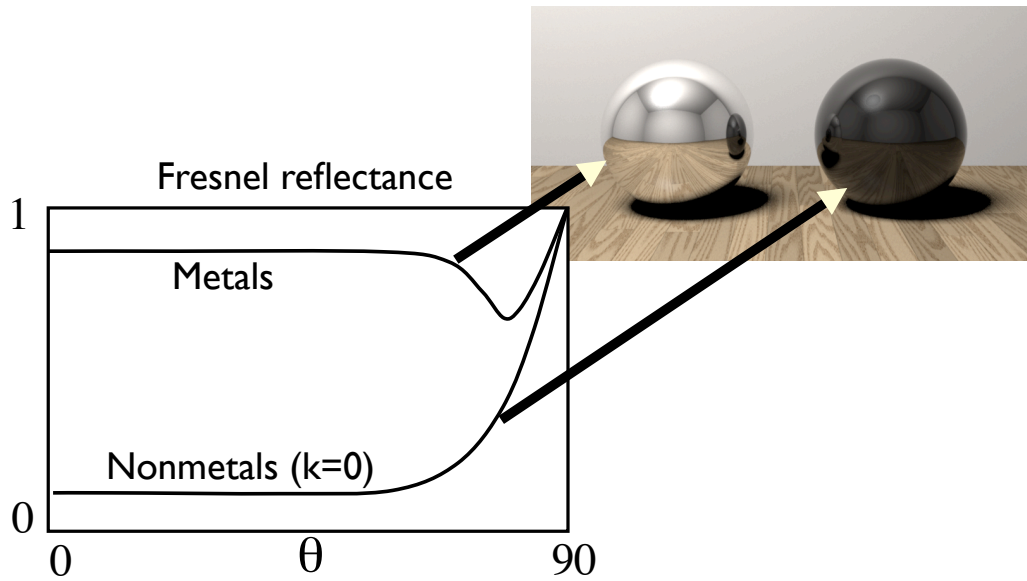
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# Fresnel Reflection Properties

- Gives coefficients when light moves between different media
- Polarization
- Captures behavior of metals and dielectrics
- Explains why reflection increases (and surfaces appear more “mirror”-like) at grazing angles

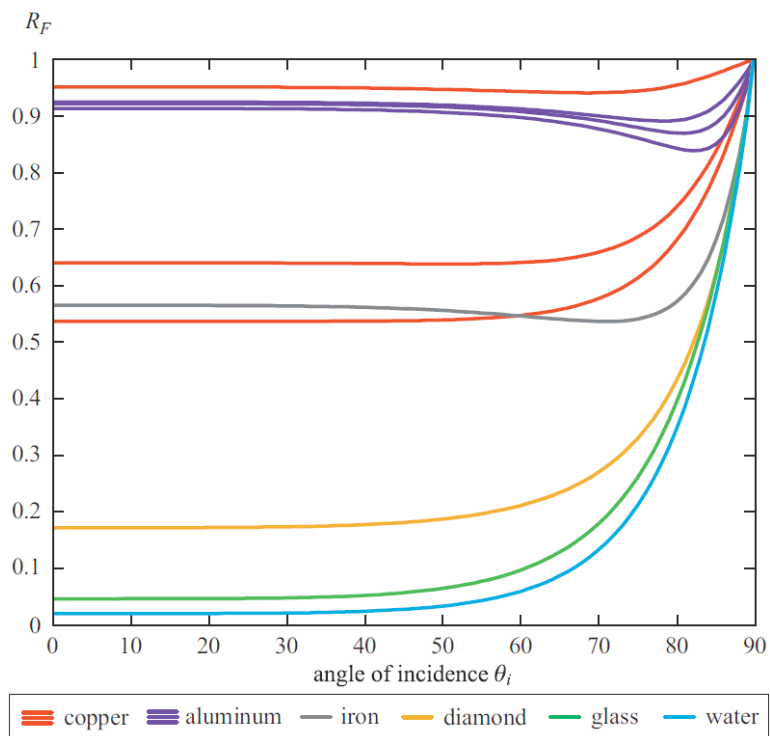
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# Metal vs. Nonmetal

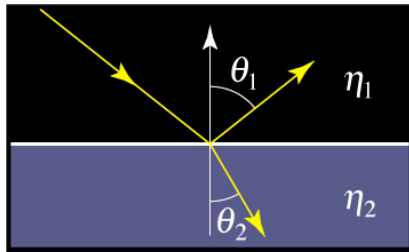


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# Highly Non-Linear



# Fresnel Equations



$$\eta_1 \sin(\theta_1) = \eta_2 \sin(\theta_2)$$

$$F_p = \frac{\eta_2 \cos(\theta_1) - \eta_1 \cos(\theta_2)}{\eta_2 \cos(\theta_1) + \eta_1 \cos(\theta_2)}$$
$$F_s = \frac{\eta_1 \cos(\theta_1) - \eta_2 \cos(\theta_2)}{\eta_1 \cos(\theta_1) + \eta_2 \cos(\theta_2)}$$

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# Fresnel Reflectance

$$F = \frac{F_s + F_p}{2}$$

for unpolarized light

- Equations apply for metals and nonmetals
  - for metals, use complex index :  $n + ik$
  - for nonmetals/dielectrics,  $k = 0$

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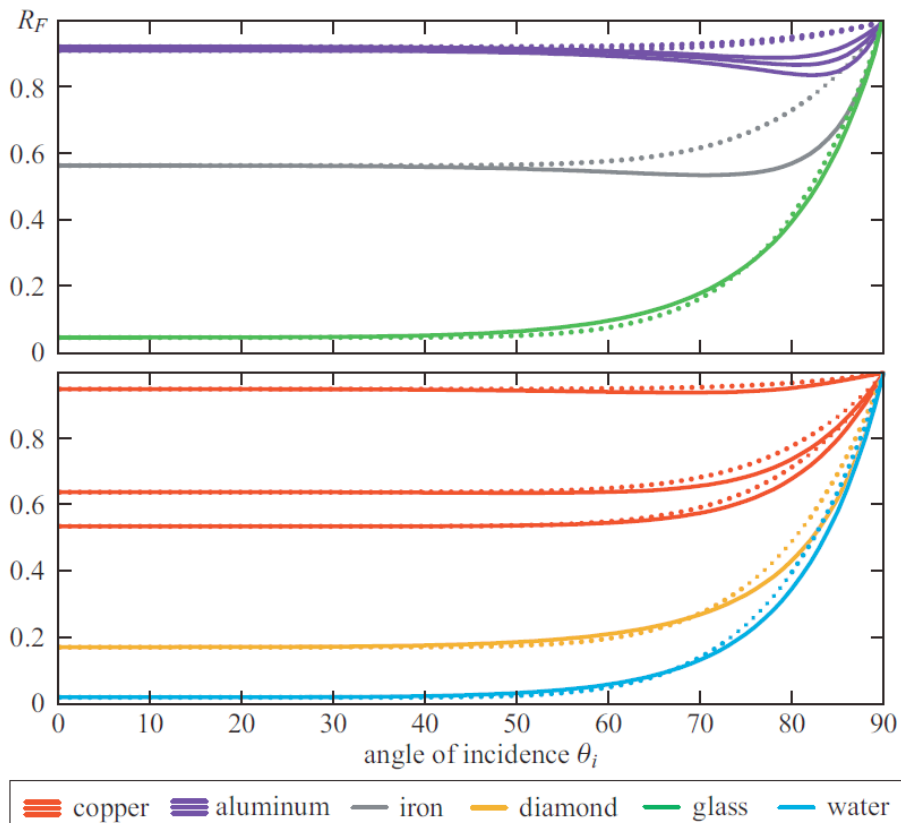
# Schlick's approximation of Fresnel

$$R_F(\theta) = R_F(0) + (1 - R_F(0))(1 - \cos(\theta))^5$$

- For dielectric

$$R_F(0) = \left(\frac{\eta - 1}{\eta + 1}\right)^2$$

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$$R_F(0)$$

Insulator: Water	0.02, 0.02, 0.02
Insulator: Plastic	0.03, 0.03, 0.03
Insulator: Glass	0.08, 0.08, 0.08
Insulator: Diamond	0.17, 0.17, 0.17
Metal: Gold	1.00, 0.71, 0.29
Metal: Silver	0.95, 0.93, 0.88
Metal: Copper	0.95, 0.64, 0.54
Metal: Iron	0.56, 0.57, 0.58
Metal: Aluminum	0.91, 0.92, 0.92

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## Rob Cook's vases



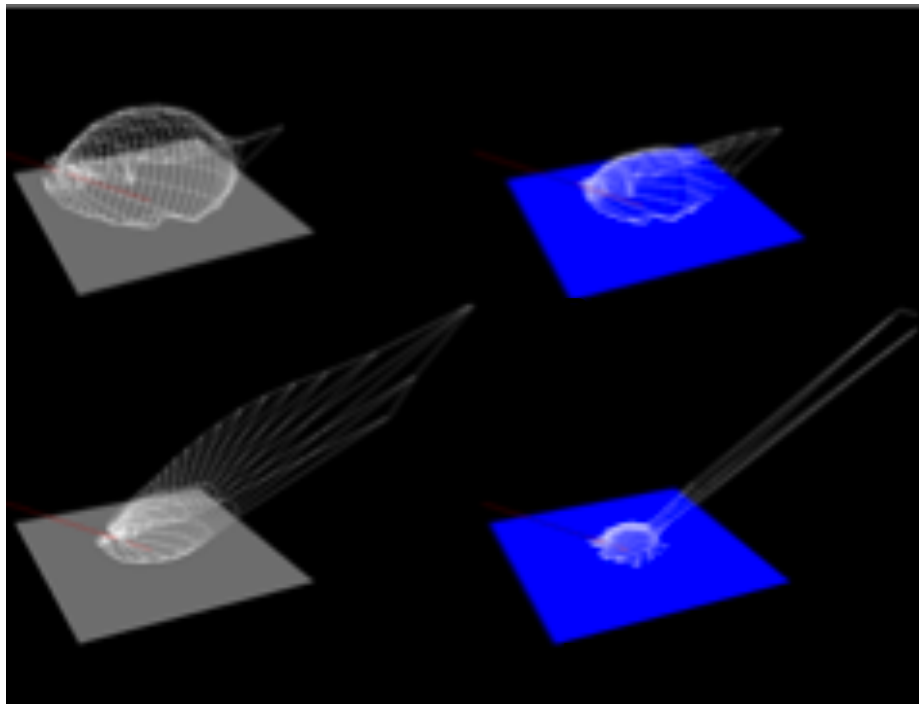
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- Physics-based models
  - Cook/Torrance, 1981; He et al. 1992;
- Empirically-based models
  - Ward 1992, Lafortune model

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## Measured BRDFs



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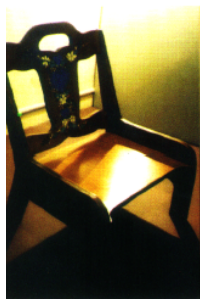
# Ward Model

- Physically valid
  - Energy conserving
  - Satisfies reciprocity
  - Easy to integrate
- Based on empirical data

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# Ward Model

- Isotropic and anisotropic materials



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## Ward Model: Isotropic

$$f_s = \rho_s \frac{1}{4\pi m^2} \frac{1}{\sqrt{N.LN.V}} e^{-\frac{\tan^2 \theta_h}{m^2}}$$

- where,
  - m (usually  $\alpha$ ) is surface roughness

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## Ward Model: Anisotropic

$$f_s = \rho_s \frac{1}{4\pi m_x m_y} \frac{1}{\sqrt{N.LN.V}} e^{-\tan^2 \theta_h \left( \frac{\cos^2 \phi_h}{m_x^2} + \frac{\sin^2 \phi_h}{m_y^2} \right)}$$

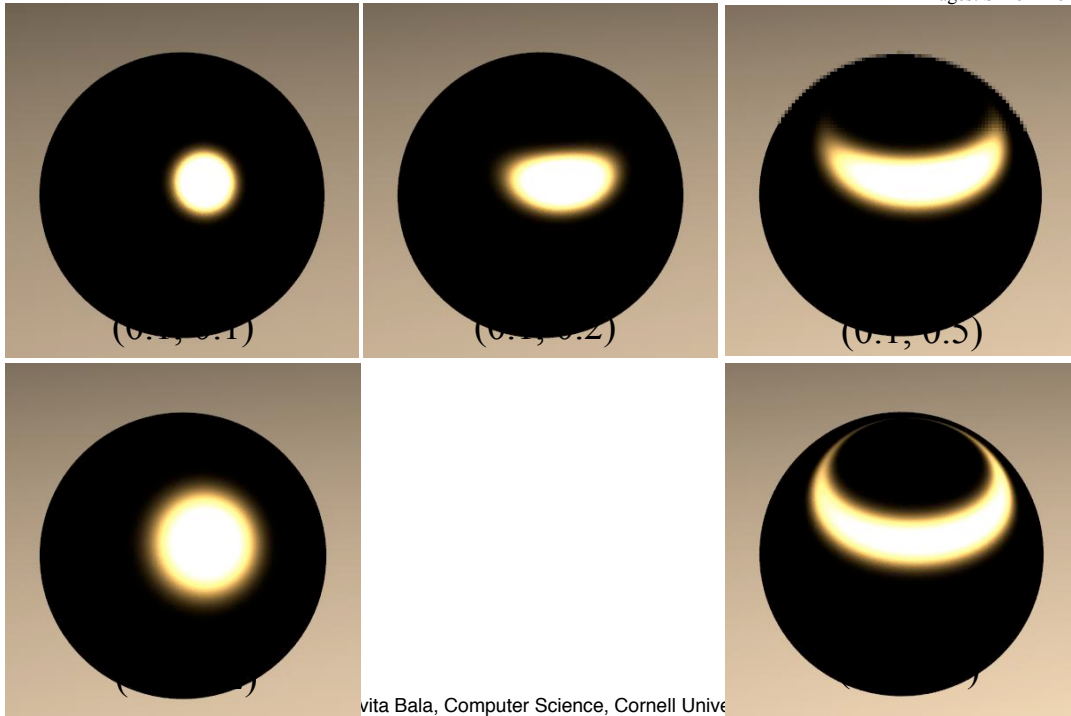
$$f_s = \rho_s \frac{1}{4\pi m_x m_y} \frac{1}{\sqrt{N.LN.V}} e^{-2 \frac{\left( \frac{H \cdot \hat{x}}{m_x} \right)^2 + \left( \frac{H \cdot \hat{y}}{m_y} \right)^2}{1 + N \cdot H}}$$

- where,
  - $m_x, m_y$  are surface roughness in  $\hat{x}, \hat{y}$
  - $\hat{x}, \hat{y}$  are mutually perpendicular to the normal

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# Examples

Images: Simon Premoze



# Teapot



## Normals for Illumination

- In polygonal models, each facet has normal
- But, faceted look (N constant)
  - Directional light (constant diffuse illumination)



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## Shading Normals

- Normal matches the object (not the polygons)
  - Assume polygons are piecewise smooth approximation
  - Ideally provided by underlying object
  - Otherwise, average normals of nearby facets



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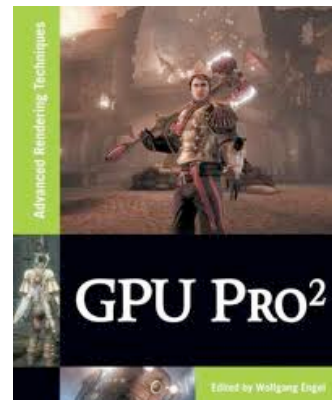
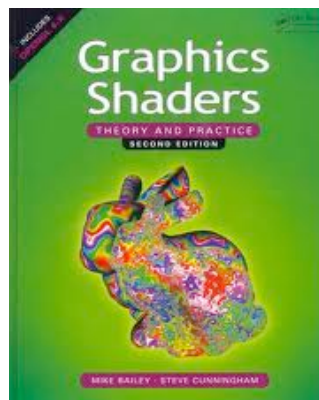
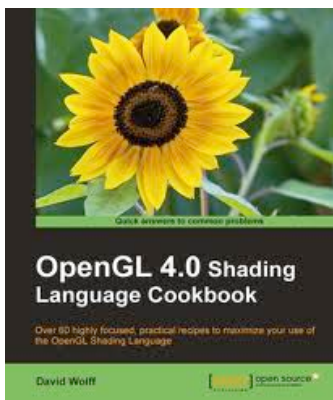
# Shading Models

- Fast, easy: Phong
- Physically-based model: Cook-Torrance
- Empirically-based model: Ward
  
- Next time: textures

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# Books

- Email about RTR (3rd ed.)



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