

19 Mar 2019

# Fun with Hashing

1. Dictionaries
2. Fingerprinting
3. Bloom filters
4. Count-min sketch

Topic: data structures for answering membership, approximate membership, counting queries in a set or multiset.

Standing assumptions:

Elements of the set/multiset are drawn from a universe of  $N$  potential elements.  $N \gg \gg 1$ .

The set/multiset has at most  $m$  elements in total.

$$N \gg m \gg 1.$$

( $m \approx$  amount of space one might potentially allocate for a data structure.)

( $N \approx$  exponentially greater than that.)

E.g. password checking  $N \approx 2^{256}$ ,  $m \approx 2^{19}$ .

Elements may be inserted or queried, <sup>(usually)</sup> never deleted.

0. Trivial solution. Bit vector of length  $N$ .  
Too much space.

1. Deterministic solution. Balanced binary search tree (e.g. red/black) storing members of the set.  
Supports  $O(\log m)$  insertion, deletion, lookup  
Space  $O(m \log N)$ .

## 2. Hash table. choose based on m.

Array of size  $n$ .

Hash function  $h: [N] \rightarrow [n]$ .

Chosen randomly/pseudorandomly from a hash family  $\mathcal{H}$ ,  
a set of functions  $[N] \rightarrow [n]$ .

A good hash function:

① "looks random": for any  $i \in [N]$   $h(i)$  unif distrib over  $[n]$ ,

For any  $i \neq j$ , the pair  $(h(i), h(j))$  unif distributed over  $[n] \times [n]$ . "pairwise independent"

For any  $i_1, i_2, \dots, i_k$  all distinct,  $(h(i_1), \dots, h(i_k))$  unif distrib over  $[n]^k$  "k-wise indep"

② Can be stored in small space and evaluated quickly.

Example of a pairwise independent  $h$ .

$$h(x) := ax + b \pmod{n}$$

$$\left. \begin{array}{l} a \in (\mathbb{Z}/(n)) \text{ random} \\ b \in (\mathbb{Z}/(n)) \text{ random} \end{array} \right\} \text{unifamily}$$

Interesting question: Fastest algo for generating a random number co-prime to  $n$ , given  $n$  in binary?

Read "Generating Random Factored Integers, Easily" by Adam Kalai.

Analysis.  $h(x)$  unif distributed in  $\mathbb{Z}/(n)$

because even holding  $a$  fixed,  $b$  is still random.

So  $ax + b$  is unif distrib.

If  $n$  is prime, then for  $x \neq y$ ,  $h(x)$  unif distrib.

$$h(x) - h(y) = ax + b - ay - b = a \cdot (x - y) \text{ unif distrib.}$$

Hash function  $\rightarrow$  dictionary.

Data structure is array of size  $m$ . Elements called "buckets".

1. Chain hashing: each bucket  $i$  stores a linked list of all  $x \in S$  such that  $h(x) = i$ .

2. Linear probing: each bucket  $i$  stores <sup>at most</sup> one element of  $S$ .

insert( $x$ ): compute  $h(x)$  and find first unoccupied bucket starting from  $h(x)$ . Insert  $x$  in first empty bucket.

query( $x$ ): start at  $h(x)$  and search forward until:

- find  $x$ , answer "present"
- find empty bucket, answer "absent".

Both methods support  $O(1)$  expected time per insert / query provided  $n > c \cdot m$  for some  $c > 1$ .

Space requirement  $O(m \cdot \log N)$  bits.

Advantage:  $O(1)$  insert / query rather than  $O(\log m)$

Disadvantage: randomized, running time guarantee only in expectation.

**Trie**: a data structure that matches these bounds asymptotically, deterministically.

Approximate membership using Bloom filters

Array  $A[i]$  ( $0 \leq i < m$ ). Array values are bits.

$n = \left\lceil \left( \frac{k}{\ln 2} \right) \cdot m \right\rceil$   $k$  = parameter related to failure probability.

$\therefore$  about 8-10 bits per element, in practice. E.g.  $k=6$  or  $7$  usually a good choice.

Hash functions  $h_1, \dots, h_k: [N] \rightarrow [n]$ .

(Same  $k$  as before,  $k=6$  or  $7$  typically)

$h_1, \dots, h_k$  indep rand samples from  $\mathcal{H}$ .

insert  $x$ : set  $A[h_1(x)] = A[h_2(x)] = \dots = A[h_k(x)] = 1$ .

query  $x$ : check if  $A[h_1(x)], \dots, A[h_k(x)]$  all equal 1.

Both operations take  $O(k)$ .

No false negatives.

Some false positives.  $\Pr(\text{false positive}) = 2^{-k}$  if  
the array has half 1's, half 0's.

Sketchy analysis that shows why  $A[\cdot]$  is half 1's, half 0's.

After inserting  $m$  elements.

$$\mathbb{E}[\# \text{ of occupied hash buckets}] = \sum_i \Pr[A[i] = 1]$$

$$= \sum_i \Pr(\exists x \in S \exists j \in [k] h_j(x) = i)$$

$$= \sum_i 1 - \Pr(\forall x \in S \forall j \in [k] h_j(x) \neq i)$$

$$\approx \sum_i \left( 1 - \prod_{x \in S} \prod_{j=1}^k \left( 1 - \frac{1}{n} \right) \right)$$

$$= n \cdot \left[ 1 - \left( 1 - \frac{1}{n} \right)^{km} \right]$$

$$= n \left[ 1 - \left\{ \left( 1 - \frac{1}{n} \right)^n \right\}^{\ln(2)} \right]$$

$$\approx \frac{n}{2}$$

Assume these events all indep as  $j, x$  vary.

$$n = \frac{k}{\ln 2} m, \quad km = n \cdot \ln(2)$$